POLICY AND REQUIREMENTS HANDBOOK
(Chapters 1 - 6)

U.S. Department of Labor
Office of Job Corps

April 6, 2020
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ENROLLMENT SERVICES

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1.0 INTRODUCTION

Chapter 1, Enrollment Services, also referred to as outreach and admissions period, provides a framework of requirements in areas such as applicant outreach, recruitment, guidance, assessment, and selection that outreach and admissions providers must deliver to Job Corps applicants. It also includes a section on center applicant file review. Requirements are detailed in the following sections within the chapter: Outreach and Recruitment; Eligibility; Career Guidance and Assessment; Enrollee Selection; Center Applicant File Review; and Assignment and Departure. Applicants must be provided enrollment services consistent with the Workforce Innovation and Opportunity Act and Job Corps requirements contained herein. Outreach and admissions providers receive and respond to prospective applicants, inform them about Job Corps training and education programs and center life, and assess applicants’ likelihood of success. In addition, providers process applications consistent with applicable law and policy requirements, keep applicants informed of their status, and report to federal managers on detailed information regarding the status of applicants during each phase of the admissions process. Outreach and admissions providers also work cooperatively with a multitude of organizations in order to promote referrals of Job Corps applicants; introduce students to all aspects of center life and center education and training opportunities; interact with the applicants in accordance with applicable law and Job Corps policy requirements; assist applicants with career planning; advise applicants of admissions determination; and coordinate applicants’ arrival on centers among other responsibilities.
1.1 OUTREACH & RECRUITMENT

REQUIREMENTS

R1. Center Information

Centers must:

a. Provide Admissions Counselors (AC) and One-Stop Career Centers/American Job Centers with current information, including a video when possible, about all aspects of center life, including the center’s Career Development Services System (CDSS); the availability of career technical training, on-center certification, credentialing, and licensure opportunities; dorm life; center standards of conduct; recreation; and support services.

b. Provide ACs with copies of current career technical Training Achievement Records (TARs).

c. Offer center tours to prospective applicants, parents, school counselors, employers, etc., whenever possible.

d. Assign staff and students to participate in outreach activities as needed.

R2. Partnerships and Linkages

Outreach and Admissions (OA) contractors and centers must, as applicable:

a. Work cooperatively with schools; social service agencies including those that serve foster care and homeless youth; youth programs; youth standing committees, if established; community centers; other employment and training programs; state vocational rehabilitation agencies; associations; and other appropriate organizations, including organizations and other entities, that serve the targeted populations referred to in Chapter 5, Section 5.1, R5.c.4, to promote referral of applicants who are eligible to participate in the Job Corps program. In this regard, close cooperation between the National Training Contractors (NTC) and OA contractors is essential in assisting potential students who have been referred to Job Corps by unions and/or employers that work with the NTCs.

b. Establish working relationships and partnerships, including memberships when appropriate, with One-Stop Career Centers/American Job Centers, state and local workforce development boards, youth standing committees that are appointed by local boards, and other local employment and training programs for youth.

c. Ensure that Job Corps services are included on the menu of services found on the Internet that are available through the One-Stop Career Centers/American Job Centers.
d. Develop linkages and relationships that enhance the quality of services to students and the community, such as work-based learning, leisure-time employment, high school, college, career technical training programs, on-the-job training, One-Stop Career Centers/American Job Centers, career services, and job placement.

R3. Information on Center Life

ACs must provide applicants with accurate information about:

a. Residential living arrangements

b. Student conduct standards and expectations, including Job Corps’ zero tolerance and drug testing policies

c. Center expectations for student behavior and information on regular evaluation of student progress

d. Center life, including community service learning activities, recreational activities, Student Government Association (SGA), and other center-supported activities

e. Child care allotments, as applicable (see Exhibit 6-2, Student Allowance and Allotment System (SAAS))
1.2 Eligibility

Requirements

R1. Interacting with the Applicant

a. Admissions Counselors (AC) must communicate by telephone, e-mail, or mail with each applicant referred by the National Call Center within 3 business days of receipt of either the prospect list, constituent issues, or voice-mail message.

b. Admissions service staff must interview each applicant to discern pertinent data to make a determination of eligibility based on the Workforce Innovation and Opportunity Act (WIOA) [https://www.congress.gov/113/bills/hr803/BILLS-113hr803enr.pdf](https://www.congress.gov/113/bills/hr803/BILLS-113hr803enr.pdf) eligibility requirements referenced in Exhibit 1-1, Job Corps Eligibility Requirements. Admissions service staff must recruit and interview enough applicants to generate student arrivals to maintain the designated Job Corps center(s) at an average on-board strength of 100 percent design capacity regardless of monthly arrival or annual arrival schedule.

c. ACs must take appropriate steps to ensure their communications with applicants (and/or applicants’ parents, guardians, or other representatives) who have disabilities are as effective as communications with others. This obligation, which is separate from the reasonable accommodation obligation, is described in detail in Appendix 201 (Communicating with Persons with Disabilities). Even before taking the actions described in that appendix, ACs need to know that if they receive a request for auxiliary aids and services (communication aids) for a person with a disability, they:

1. Must address the request immediately

2. Must not begin, or continue with, any part of the admissions process until some sort of communication aid has been provided that is acceptable to the person for whom the auxiliary aid and/or service is being requested

d. A qualified applicant with a disability is entitled to request and receive reasonable accommodation at any time during the admissions process. This request is different from establishing communication as in ‘c’ above. If the applicant is requesting reasonable accommodation to participate in the admissions process, the AC:

1. Must address the applicant’s accommodation needs immediately

2. Must not begin, or continue with, any part of the admissions process for which the applicant has requested accommodation until the accommodation has been provided (Other parts of the admissions process may go forward if they do not directly involve the applicant’s participation, or if the applicant states that he or she does not need accommodations for those parts.)
Requirements for providing reasonable accommodation to participate in the admissions process, including the circumstances under which the AC may ask for documentation of the need for the accommodation, are explained in Form 1-06 (Job Corps Reasonable Accommodation Request Form-Admissions).

Even if the applicant requests accommodation for the admissions process, the AC:

1. Must not ask whether the applicant will need accommodation to actually participate in Job Corps
2. Must not assume that the applicant will need accommodation to participate in Job Corps
3. Must not ask for any disability-related information except at the times, and under the circumstances, that are described elsewhere in this chapter
4. Must not take the applicant’s disability into consideration in determining whether he or she meets the eligibility requirements or other factors for enrollment in Job Corps, except as described in Chapter 1, Section 1.2, R3.b.
5. Must document the request for reasonable accommodation in accordance with Job Corps reasonable accommodation guidelines

The AC will inform each applicant of his or her right to request and receive reasonable accommodations at any time during the admissions process and then review the Job Corps Reasonable Accommodation Request Form-Admissions (see Form 1-06) with the applicant. If the applicant wants to request an accommodation to participate in the admissions process, the Job Corps Reasonable Accommodation Request Form-Admissions should be completed. The AC may assist with the completion of the form as necessary.

e. ACs must take reasonable steps to provide meaningful access to the application process for persons who have a limited ability to read, write, speak, or understand English. These persons are referred to as Limited English Proficient (LEP) or English Language Learners (ELL). The steps that must be taken should be described in the Outreach and Admissions (OA) contractor’s LEP/ELL Readiness Plan, as described in Chapter 5, Section 5.1, R6. On the Record Applicant Preference Screen in OASIS, ACs must document if the applicant is learning English in the English Language Learner (ELL) selection area. One of the following criteria should be used to determine if a student is considered LEP/ELL:

1. English is not the applicant’s primary language and he or she cannot communicate clearly in English.
2. The initial interview requires an interpreter.
3. The applicant will need language support in order to fully participate in the program.

4. The applicant self-identifies as needing language assistance or instruction.

R2. Provision of Accurate Information about Enrollment Process and Rights

ACs must provide every applicant with accurate information about the Job Corps enrollment process, and his or her rights in that process. That information must include, at a minimum:

a. The process for admissions determination and assignment to a center for enrollment

b. The rights of students to:
   1. Privacy
   2. Confidentiality of personal information, including medical and disability-related information
   3. Nondiscrimination and equal opportunity, including:
      (a) Communication aids and reasonable accommodations for persons with disabilities (see Appendices 601-Students Rights to Privacy and Disclosure of Information, 602-Civil Rights and Nondiscrimination, Form 2-03-Definitions and Documentation Requirements Related to Reasonable Accommodations for Applicants and Students with Disabilities, and Appendix 201-Communicating with Persons with Disabilities)
      (b) Information and services in languages other than English for LEP/ELL individuals as described in the OA contractor’s LEP/ELL Readiness Plan referred to in Chapter 5, Section 5.1, R6
      (c) Religious accommodation

   c. A copy of the “Equal Opportunity is the Law” notice that contains accurate information about where the applicant may file a discrimination complaint (see Form 1-09, Equal Opportunity Notice)

   The notice must be:

   1. Signed and dated by the applicant, and a copy placed in the applicant’s file

   2. Provided in alternate formats to applicants with visual impairments and other disabilities (see Appendix 201, Communicating with Persons with Disabilities). Where notice has been provided in an alternate format, a record that an alternate-format notice has been given must also be a part of the applicant’s file. This record should indicate the format in which the notice was provided.
3. Provided in appropriate languages for LEP/ELL individuals, as described in the OA contractor’s LEP/ELL Readiness Plan referred to in Chapter 5, Section 5.1, R6

4. Posted prominently, in reasonable numbers and places, in the OA Agency’s facilities

d. That enrollment in Job Corps is voluntary for each individual

**R3. Eligibility Requirements**

Use Exhibit 1-1, Eligibility Requirements, to determine if each applicant to Job Corps meets the eligibility requirements necessary to provide a conditional offer of enrollment.

a. Before beginning the eligibility requirements process, the AC must explain to every applicant, and his or her parent, guardian if a minor, or other representative, that two of the eligibility requirements questions (those related to age and low-income status) may result in answers disclosing that the applicant has a disability. The AC must also explain the four principles that apply to all medical and disability-related questions in Job Corps. See Section 1.2, R3.b below.

b. Asking About Disability

   In general, ACs may not ask whether an applicant is an individual with a disability or about the nature and severity of a disability prior to conditional enrollment in Job Corps. (An applicant is conditionally enrolled in Job Corps when additional documentation or information is needed to confirm that the applicant meets all the eligibility requirements.) At two points in the process of determining eligibility; however, ACs may invite an applicant to disclose whether he or she has a disability:

   1. If the applicant is or will be older than 24 years old on the date of enrollment, the maximum age limit may be waived if he or she is a person with a disability.

   2. If the applicant would not meet the low-income requirement unless the applicant is considered a “family of one” because of a disability.

The AC should explain to the applicant that under the law, he or she may be considered a “person with a disability” if:

   (a) He or she has a physical or mental impairment.

   (b) The impairment affects one or more of his or her major life activities. The term “major life activities” refers to activities that are of central importance to daily life, (e.g., caring for one’s self, performing manual tasks, walking, seeing, hearing, speaking, breathing, learning, and working).

   (c) The effect of the impairment is substantial.

Before inviting the applicant to disclose whether he or she falls into this category, the AC must explain to the applicant that:
(a) Providing disability-related information is voluntary – in other words, the applicant is not required to disclose whether he or she has a disability.

(b) The information will be kept confidential as required by law.

(c) Declining to disclose whether he or she has a disability will not cause the applicant to receive unfavorable treatment (except that if the applicant decides not to disclose, there is a possibility that he or she will not be found to meet the age requirements and/or qualify as low income).

(d) The information will be used only in accordance with the law.

The same four pieces of information, (a) through (d) above, must be provided whenever an applicant is about to be asked a question and the answer to the question is likely to lead to disclosure of a disability.

The AC should explain to the applicant that when he or she is asked whether he or she falls into the category of an individual with a disability, the applicant should select only one of three possible answers: yes, no, or do not wish to answer. If the applicant’s response is yes, the AC:

(a) Must not use this information to determine the applicant’s eligibility under any factors other than age or low-income status

(b) Will continue with the admissions process and no other information regarding the disability will be requested or collected until and unless the applicant is notified that he or she has been determined eligible and selected for enrollment in Job Corps or unless the applicant asks for reasonable accommodation for the admissions process

After the applicant is notified that he or she has been determined eligible and selected for enrollment in Job Corps, the AC will secure any corresponding supporting medical and/or educational documentation. The AC must not review the contents of this information, and must place all medical documents and/or all special education and/or disability documentation in a separate envelope. The envelope must be sealed and included with the applicant file that is forwarded to the center for review (see Appendix 202, Transmission, Storage, and Confidentiality of Medical, Health, and Disability-Related Information). As part of the file review process, the center will then ensure that the applicant has a disability, and therefore meets the age eligibility requirement or can be considered as a family of one for the low-income eligibility requirement. If a center determines that the applicant has a disability, the center review of the applicant file will continue. If the center determines that the applicant does not have a disability, the applicant file will be forwarded to the Regional Office for final disposition.

c. If there are any eligibility requirements that the applicant does not meet, stop the application process at that point because the applicant is not eligible for admission to Job Corps. The AC must provide a written explanation of the denial to the applicant (see Appendix 103, Denial Letter Template for Admissions Counselors). This explanation must inform the applicant about his or her right to file an appeal with the OA agency or the Job Corps center. The explanation must also inform the individual
of his or her right to file a discrimination complaint with either the recipient of the funds as defined in 29 CFR 38.4, such as the OA agency or the Job Corps contractor, or Center Operator, if not federally operated, or the Director of the U.S. Department of Labor Civil Rights Center (DOL-CRC) if the applicant feels he or she was discriminated against during the application process.

d. The following is a list of the eligibility requirements for Job Corps. This list provides only a brief outline of each requirement; it does not contain all of the information an AC must have in order to properly make a determination about whether a particular applicant meets each requirement. That information is found in Exhibit 1-1, Eligibility Requirements.

1. To be determined eligible for Job Corps, each applicant must be a
   (a) United States citizen or national, including a naturalized citizen;
   (b) lawfully admitted permanent resident alien, refugee, asylee or parolee, or other immigrant who has been authorized by the Department of Homeland Security to work in the United States; or
   (c) resident of a U.S. territory.

2. Be at least 16 years of age and not more than 24 years of age on the date of enrollment (i.e., date of departure for a center).

   For an individual with a disability who is otherwise eligible, the maximum age limit may be waived (minimum age is still 16). Therefore, this eligibility requirement will require the AC to invite an applicant older than 24 to disclose whether he or she has a disability (see Appendix 201, Communicating with Persons with Disabilities).

3. Qualify as “low income” as described in Exhibit 1-1, Eligibility Requirements. Documentation must be collected verifying that the applicant meets the low income criterion. This eligibility requirement will require the AC to invite an applicant who does not meet the standard low-income requirement to disclose whether he or she is a person with a disability who would meet the requirement under the disability waiver (see Appendix 201, Communicating with Persons with Disabilities).

   Special Rule for Veterans: In making the income determination, the Admissions Counselor will disregard military income earned by the veteran in the 6 months prior to the application.

4. Face one or more of the following barriers to education and employment:
   (a) Is basic-skills deficient:
       (1) A youth with English, reading, writing, or computer skills at or below the 8th grade level on a generally accepted standardized test; or
       (2) An individual unable to compute or solve problems, read, write, or speak English, at a level necessary to function on the job, in the individual’s
family, or in society.

(b) Is a school dropout

c) Is homeless, as defined in sec. 41403(6) of the Violence Against Women Act of 1994 (42 U.S.C. 14043e-2(6)),
http://legcounsel.house.gov/Comps/DOMVIOL.PDF; is a homeless child or youth, as defined in sec. 725(2) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434(a)(2)),
https://www.hudexchange.info/resources/documents/HomelessAssistanceActAmendedbyHEARTH.pdf; is a runaway, an individual in foster care, or an individual who was in foster care and has aged out of the foster care system (see Exhibit 1-1, Eligibility Requirements, for details)

d) Is a parent, an individual who, in law, has custody, guardianship, or access rights in regard to a child and who may have corollary obligations to financially support a minor, typically by way of child support

e) Requires additional education, career technical training, or workforce preparation skills in order to obtain and retain employment that leads to economic self-sufficiency

(f) Is a victim of a severe form of trafficking in persons, as defined in Section 103 of the Victims of Trafficking and Violence Protection Act of 2000 (22 U.S.C. 7102(9)), https://www.govinfo.gov/content/pkg/BILLS-106hr3244enr/pdf/BILLS-106hr3244enr.pdf, (Individuals who qualify as a victim of a severe form of trafficking in persons need not meet the income eligibility requirement described above in Section 1.2, R3.d.3.)

5. Meet the Selective Service Registration requirement, if applicable. If the applicant is male, he must sign a consent form for automatic Selective Service Registration.

6. Educational and training needs

For each applicant, the AC must determine, based on the information relating to the background, needs, and interests of the applicant, whether the educational and career and technical training needs of the applicant can best be met through the Job Corps program, or if the applicant should be referred to an alternative program in the community in which he or she resides. Exhibit 1-1 contains a detailed explanation about how to make these determinations. The following should be considered:

(a) If the applicant has not graduated from high school:

(1) The applicant wants to earn a high school diploma or its recognized equivalent and participate in career technical training (the applicant must indicate a desire to obtain both academic and career technical training).

(2) After graduation from Job Corps, the applicant intends to

- obtain employment,
- enter the military, or
- continue his or her education.

(b) If the applicant is a high school graduate:
(1) The applicant wishes to obtain career technical training.
(2) After graduation from Job Corps, the applicant intends to
   • obtain employment,
   • enter the military, or
   • continue his or her education.

7. Meet the determination that there is a reasonable expectation that the applicant can participate successfully in group situations and activities, and is not likely to engage in actions that would potentially
   (a) prevent other students from receiving the benefit of the program,
   (b) be incompatible with the maintenance of sound discipline, or
   (c) impede satisfactory relationships between the center to which the student is assigned and surrounding local communities.

8. Demonstrate a basic understanding of the rules of the Job Corps program and the consequences of failure to follow the rules, and document that the applicant agrees to comply with the rules. The criteria that the applicant must meet, and the questions that the AC must ask the applicant in order to determine whether he or she meets these requirements, are described in detail in Exhibit 1-1, Eligibility Requirements.

9. Disqualifying Convictions: An individual will not be selected as a student if the individual has been convicted of a felony consisting of
   (a) murder (as described in Section 1111 of Title 18, United States Code),
   (b) child abuse, or
   (c) a crime involving rape or sexual assault.

10. After conducting a background check consistent with applicable State and local laws, confirm that the applicant is not on probation or parole, under a suspended sentence, or under the supervision of any agency as a result of court action or institutionalization, to the extent that he or she will be prohibited from participating in the program. The steps that the AC must take to determine whether the applicant meets these requirements are described in detail in Exhibit 1-1, Eligibility Requirements, and Appendix 102, Admissions Counselor Guide for Evaluating Court Involvement/Agency Supervision and the Maintenance of Sound Discipline. The following is a brief description of the determinations the AC must make.
   (a) If the applicant is on probation, or on parole, or under a suspended sentence, or under the supervision of any agency as a result of court action or institutionalization, then all of the following must be certified by the appropriate court or agency:
      (1) The court or agency will approve of the applicant’s release from its supervision.
      (2) The applicant’s release does not violate applicable statutes and regulations.
      (3) The applicant has responded positively to supervision.
(4) The court or agency will permit the applicant to leave the local area or state while he or she is enrolled in Job Corps.

(b) If the applicant has court fines or court-ordered restitution in excess of $500, then one of the following requirements must be met:
   (1) The applicant must settle the court fine or court-ordered restitution prior to enrollment.
   (2) The court must agree to suspend the obligation during the applicant’s enrollment in Job Corps.
   (3) The Regional Office of Job Corps grants a waiver and permits entry.

11. Have child care, if applicable. If the applicant is a parent, he or she must certify that he or she has made suitable arrangements for the care of any dependent children for the proposed period of enrollment.

12. Sign, or have a parent, guardian if a minor, or other representative sign, the Form 6-02 “Authorization for Use and Disclosure of Your Health Information.”

13. Receive parental consent, if applicable. If the applicant is an unemancipated minor, his or her parent or legal guardian must provide consent for him/her to participate in Job Corps. Exhibit 1-1, Eligibility Requirements, describes how to determine whether the applicant is an emancipated minor.

e. Once the AC has completed the eligibility requirements process, if the AC makes a decision that the applicant meets the eligibility requirements, a conditional offer of enrollment will be made and the applicant will be assigned to a center. The AC will then:

1. Ask the applicant to complete the Job Corps Health Questionnaire (ETA 653).

2. Inform each applicant of his or her right to request reasonable accommodation and review the Reasonable Accommodation Request Form with the applicant (see Form 2-03, Definitions and Documentation Requirements Related to Reasonable Accommodations for Applicants and Students with Disabilities). If the applicant wants to request accommodation, the request form should be completed. The AC may assist with the completion of the request form as necessary.

3. Secure any corresponding supporting medical and/or educational documentation. The AC must not review the contents of this information, and must place all medical documents and/or all special education and/or disability documentation in a separate envelope. That envelope must be sealed and included with the applicant file that is forwarded to the center for review (see Appendix 202, Transmission, Storage and Confidentiality of Medical, Health and Disability-Related Information).
R4. Establishment of Child Care Arrangements

a. Prior to enrollment, a program applicant with dependent children who provides primary or custodial care must certify that suitable arrangements for child care have been established for the proposed period of enrollment.

b. Job Corps centers are responsible for coordinating with outreach and admissions agencies to assist applicants, whenever feasible, with making arrangements for child care.

R5. Priority Enrollment

An applicant who meets all of the eligibility requirements listed above in R3, and who is a veteran of the armed forces of the United States or an eligible spouse of a veteran (as specified in Exhibit 1-2, Factors for Priority Enrollment), will receive priority in enrollment at Job Corps centers. Those applicants who qualify for priority enrollment will be offered the opportunity to enroll in the program before all other applicants.

As warranted, the National Director of Job Corps may initiate an “expedited enrollment” policy for victims of natural and man-made disasters. As directed, Outreach and Admissions agencies serving affected areas should follow the procedures outlined in Exhibit 1-3, Office of Job Corps Expedited Applicant Enrollment for Natural and Man-Made Disaster Victims.

R6. Collection and Handling of Other Types of Required Documents

ACs must help the applicant offered enrollment to make copies of the documents in the list below for use on center. The AC should either send these documents to the center or ensure that the applicant offered enrollment takes them with him or her when he/she departs for the center.

a. Applicants need proof of citizenship, legal residency, or authorization to work.

b. Applicants need public assistance documentation, if applicable; e.g., records of Temporary Assistance for Needy Families (TANF) or Supplemental Nutrition Assistance Program (SNAP). If this documentation discloses that the applicant offered enrollment has a disability, and the AC plans to send the documentation to the center rather than having the applicant take it with him or her, the following requirements apply:

1. It must be placed in a separate “health records file,” and until it is sent, it must be stored separately from all other documents related to the applicant.

2. Hard copies of the documentation must be transmitted in sealed envelopes.

3. Access to the documentation must be strictly limited, as explained in Appendix 202, Transmission, Storage and Confidentiality of Medical,
Health and Disability-Related Information.

c. Applicants need their medical insurance card, if applicable.

d. Applicants need their immunization records. The transmission, storage, and confidentiality requirements described in Appendix 202, Transmission, Storage and Confidentiality of Medical, Health and Disability-Related Information, apply to these records.

e. Applicants need their release entitled “Right to Use Photographic Likeness or Moving Images,” signed by the applicant offered enrollment, or by a parent or legal guardian if applicant is a minor (see Appendix 601, Student Rights to Privacy and Disclosure of Information).

f. Applicants need their form entitled, “Job Corps-Informed Consent to Receive Mental Health and Wellness Treatment” signed by the applicant offered enrollment, or by a parent or legal guardian if the applicant is an unemancipated minor (see Form 1-01, Job Corps-Informed Consent to Receive Mental Health and Wellness Treatment).

R7. Center’s New Information Review of Applicant Eligibility

a. The AC determines an applicant’s initial eligibility (i.e., meets the eligibility requirements) to enroll in the Job Corps program. The files of eligible applicants are forwarded to the center in which the applicants have been conditionally assigned so that the center may complete clinical reviews. The center File Review Team or its individual members only may revisit the determination that an applicant is qualified for admission (i.e., an applicant’s eligibility status) if:

1. There is new information presented that the AC could not have reasonably known at the time the applicant’s qualification for admission was established.

2. The new information indicates that the applicant offered enrollment may no longer meet eligibility requirements (see Chapter 1, Section 1.5, R1.a).

b. If new information is present that indicates that an applicant may no longer be eligible to enroll in Job Corps, the center File Review Team must complete the following steps:

1. Identify the specific eligibility requirements that the applicant no longer is believed to meet as per the criteria listed in Exhibit 1-1, Eligibility Requirements.

2. Re-apply the listed criteria for each of the specific eligibility requirements in question. Ask the applicant any questions outlined within the guidance information in Exhibit 1-1, Eligibility Requirements, for the specific eligibility requirement. The questions must be stated in the same way they are written in Exhibit 1-1, Eligibility Requirements, and as they were originally asked by the
AC. Their content may not be broadened or modified.

3. If the applicant provides a negative (e.g., “no”) response to the specific questions previously asked by the AC from Exhibit 1–1, Eligibility Requirements, then the applicant is no longer eligible and the application process is stopped. If the applicant responds with a “yes” response, then the application process continues.

For example, in Exhibit 1–1, Eligibility Requirements, within the guidance for Criterion 7, Group Participation, the AC was instructed to ask: “Do you understand that you will be living and working with members of various races, ethnic groups, political or religious affiliations or beliefs, sexual orientations, gender identities, and people with disabilities?” This question is informational only and is asked to confirm the applicant’s understanding of the Job Corps environment before proceeding with the actual eligibility question specific to the eligibility requirement which is, “Knowing this about Job Corps, are you willing to go forward with your application?” The applicant would have responded with a “yes” to have been found eligible previously by the AC.

If new information surfaces or is provided during the center’s review that the AC could not have reasonably known that may now indicate that this applicant is no longer eligible under the “Group Participation” eligibility requirement, then the center File Review Team must ask the exact same questions of the applicant as those originally asked by the AC for that specific eligibility requirement (i.e., “Do you understand that you will be living and working with members of various races, ethnic groups, political or religious affiliations or beliefs, sexual orientations, gender identities, and people with disabilities? Knowing this about Job Corps, are you willing to go forward with your application?”)

4. If the applicant is determined to no longer be eligible, then the center completes the Center Recommendation of Denial Form and submits it, the applicant file and the supporting documentation to the Regional Office for review.

c. New Information–Age (Criterion 2), Income Eligibility (Criterion 3), and Disability Status

1. In general, ACs may not ask whether an applicant is an individual with a disability or about the nature and severity of a disability prior to conditional enrollment in Job Corps. At two points in the process of determining eligibility, however, ACs may invite an applicant to disclose whether he or she has a disability:
   (a) If the applicant is, or will be, over 24 years old on the date of enrollment, the maximum age limit (Eligibility Requirement-Criterion 2) may be waived if he or she is a person with a disability.
   (b) If the applicant would not meet the low-income requirement unless the applicant is considered a “family of one” (Eligibility Requirement-Criterion 3) because of disability.
2. The AC does not determine whether or not an applicant is a person with a disability. Appropriate center staff will do this since the AC does not review health and medical information. The AC will gather the documentation and place it in a sealed envelope with the applicant file that is then forwarded to the center for review.
   (a) The center reviews the documentation of disability. If the documentation supports that the applicant is a person with a disability, the applicant file review process continues.
   (b) If the center determines that the applicant is not a person with a disability, then the center completes and submits the “Center Recommendation of Denial Form” along with the applicant’s file and submits to the Regional Office for review.

R8. Determination of Ineligibility or Failure to Meet Other Eligibility Requirements after Enrollment

If, after an individual is enrolled in Job Corps, new information is received that is credible and reliable and that indicates that the individual does not meet the eligibility criteria for the program, appropriate members of the File Review Team will review the new information and determine, based solely on that information, whether or not the individual remains eligible for the program. If the team determines that the individual has become ineligible and recommends that the individual be removed, the file, the Applicant File Review Form (see Form 1-07, Applicant File Review Guidance-Center Process, and Form 1-08, Applicant File Review Guidance-Regional Review Process), and supporting documentation must be sent to the Regional Office for review prior to removing the individual. The individual should remain on center until he or she is officially separated, unless he or she poses a risk to himself/herself or others or would interfere with the delivery of services to other students.

R9. Readmission Criteria

Admissions Counselors (ACs) must assess, determine, and verify that applicants for readmission:

a. Meet all eligibility requirements (see Exhibit 1-1, Eligibility Requirements).

b. Have not been readmitted before, unless the most recent separation was the result of a medical separation; and the student is able to meet the eligibility requirements of the program with or without reasonable accommodation.

c. Have no more than 18 months of previous, paid Job Corps training, and can be expected to complete training within a period of time which, when added to the initial stay, will total no more than 24 months, unless the period is extended as part of a reasonable accommodation of a disability or to complete advanced career training.
d. Have been out of Job Corps a minimum of 1 year. This may be waived at the discretion of the Regional Director.

e. Have not previously received mandatory separations for Level I disciplinary reasons (refer to Exhibit 2-1, Infraction Levels, Definitions, and Appropriate Center Actions) except for applicants previously separated for Level I drug use or Level I alcohol-related infractions (possession, consumption, or distribution of alcohol while on center or under center supervision; or abuse of alcohol). Such applicants are eligible to reapply after 1 year. If applicants separated for a Level I drug use infraction test positive for drug use upon readmission, they will be separated immediately and not allowed to reapply to Job Corps.

R10. Application Procedures for Readmission

ACs must:

a. Help the applicant applying for readmission complete all required application forms.

b. Verify the applicant’s entry and separation dates, previous center of assignment, reason for separation, and center recommendation regarding readmission. Centers may recommend that the applicant be readmitted to Job Corps, but may recommend that he or she not be readmitted to the previous center he or she attended, in cases where rejoining the original center would decrease the applicant’s likelihood to succeed in the program.

c. Provide justification for readmission that clearly demonstrates a motivational change as well as behavioral improvement by the applicant who previously received an unfavorable center recommendation or a disciplinary discharge. The applicant must provide the AC with documentation of how he or she has made positive improvements since leaving the program, (e.g., letter attesting to participation in volunteer activities, certificate of completion of vocational/educational classes. Documentation may be included in the applicant folder).

d. Original centers must provide student files to the receiving center in the case of readmitted students.
1.3 CAREER GUIDANCE & ASSESSMENT

REQUIREMENTS

R1. Career Development

Admissions Counselors (AC) must inform applicants about career development describing, at a minimum:

a. Personalized career planning assistance

b. Preparation for career development

c. Career development combining academic, career technical training, social, and essential employability skills training in both center-based and work-based settings to meet each student’s individual needs

d. Career transition services

e. Placement services

f. Program expectations and graduation requirements

R2. Personal Career Development Assistance

ACs must assist applicants in initiating career planning by:

a. Discussing available career technical training offerings, trade requirements, and waiting lists

b. Identifying certification, accreditation, and licensure opportunities; and associated training and experience requirements, or other prerequisites

c. Providing accurate information about:

1. The requirements to achieve the expectations of each Training Achievement Record (TAR), such as the length of stay

2. The increased salary opportunities associated with certificates, credentials, and licenses

d. Using labor-market information to advise applicants regarding the career outlook for his or her expressed industry sectors and career technical training interests, and to assist applicants in selecting career technical training preferences

e. Explaining the use of the Personal Career Development Plan (PCDP) as a
personalized blueprint, which will be used throughout enrollment, and the Career Transition Period (CTP) to assist students in meeting their career goals (see Form 1-04, Information for Personal Career Development Plan)
1.4 Enrollee Selection

Requirements

R1. Admissions Notification

Admissions Counselors (AC) must advise all applicants of the results of the admissions determination.

a. If the applicant is eligible for enrollment, offer conditional enrollment to the applicant and advise the applicant that:

   1. He or she will be assigned to a Job Corps center based on the applicant’s career training program preference, availability of training slots, and applicant’s geographic proximity to a center that has both.

   2. The AC will collect medical information about the applicant and transmit it to the Job Corps center, along with the applicant’s file.

   3. If the applicant offered enrollment has an apparent or known disability, the AC may ask whether he or she will need a reasonable accommodation to participate in Job Corps. Before the applicant responds, the AC must explain that:
      (a) Providing disability-related information is voluntary – in other words, the applicant is not required to disclose whether he or she has a disability.
      (b) Choosing not to disclose a disability, or to ask for a reasonable accommodation at this point, does not preclude him or her from asking for an accommodation at any point later in the enrollment process or during his or her participation in Job Corps.
      (c) Disability-related information will be kept confidential as required by law.
      (d) Disclosing whether he or she has a disability will not cause the applicant to receive unfavorable treatment.
      (e) The information will be used only in accordance with the law.

b. To request a reasonable accommodation, the applicant offered enrollment must complete the Reasonable Accommodation Request Form (see Form 2-03). Responses to questions on the form must be provided by the applicant offered enrollment and/or his or her parent, guardian, or other representative, although the AC may help in filling out the form. The AC must place the completed form in a separate file for medical and disability-related information about the applicant. This file must be stored separately from other information about the applicant, and must be kept confidential, as explained in Appendix 202, Transmission, Storage and Confidentiality of Medical, Health and Disability-Related Information.

c. If the applicant is not eligible for enrollment, take the following steps:

   1. Inform the applicant that he or she has been determined not to meet the
specific eligibility requirement(s). Provide the applicant with a clear, documented, written explanation for the determination (see Appendix 103, Denial Letter Template for Admissions Counselors).

2. Inform the applicant of his or her rights, as follows:
   (a) If the applicant believes that he or she has been denied admission as a result of discrimination on a protected basis (race, color, religion, sex (including pregnancy and gender identity), national origin, age, disability (physical or mental), genetic information, parental status, sexual orientation, marital status, political affiliation or belief, or any other prohibited factor), he or she may file a written complaint within 180 days, either with the recipient of the funds as defined in 29 CFR 38.4, such as the Outreach and Admissions (OA) agency or the Job Corps contractor, or center operator (if not federally operated) or with the director of the U.S. Department of Labor Civil Rights Center (DOL-CRC). Provide the applicant with the contact information of both the recipient and the Director of DOL-CRC. DOL-CRC’s information is as follows:

   Director, Civil Rights Center
   U.S. Department of Labor
   200 Constitution Avenue, NW
   Room N-4123
   Washington, DC 20210
   Phone: (202) 693-6502
   TTY: (202) 693-6516
   CivilRightsCenter@dol.gov

   If the applicant files with the recipient of the funds as defined in 29 CFR 38.4 and is dissatisfied with the result, he or she has 30 days to file a new complaint with DOL-CRC. Likewise, if the applicant fails to receive a written Notice of Final Action from the recipient within 90 days of filing a complaint, then the applicant need not wait for the recipient to issue that notice before filing with DOL-CRC. However, the applicant must file with DOL-CRC within 30 days of the 90-day deadline.

   (b) If the applicant believes that he or she has been wrongfully found unqualified for reasons unrelated to discrimination, he or she may file an appeal with the OA agency or the Job Corps center within 60 days of the determination. Provide the applicant with the name and address of the OA agency and the appropriate Job Corps center with whom the appeal must be filed, and explain the time frame and deadline for appealing. A hearing must be conducted within 30 days of when the appeal was filed.

   The OA agency must establish procedures for the review of appeals. The procedures must include at a minimum the following steps:
   (1) Designate a Point of Contact at the OA corporate office.
(2) Create an appeal review panel consisting of at least one corporate staff member and one contract staff member.
(3) Conduct a verification call with the applicant.
(4) Review final determination with the Admissions Counselor and Quality Assurance Manager.
(5) Submit written decision to the applicant and send a copy to the Regional Office.

The OA agency or Job Corps center must issue a decision on the appeal within 60 days of when the appeal was filed. If the OA agency or Job Corps center denies the appeal within 60 days of when the appeal was filed, the applicant may appeal the denial to the Job Corps Regional Director within 60 days of the date of the denial. If the OA agency or Job Corps center does not issue a decision on the appeal within 60 days of when the appeal was filed, the applicant may file an appeal with the Job Corps Regional Director within 60 days from the date the center operator or service provider should have issued the decision.

Also notify the applicant that if the OA agency, Job Corps center, or Regional Office rejects the appeal, and the applicant believes that the agency, center, or Regional Office rejected his or her appeal for reasons of discrimination, he or she has 180 days from receipt of the determination letter to file a complaint with the DOL-CRC.

3. Refer the applicant to an appropriate One-Stop Career Center/American Job Center, or other training/educational resource in his or her home community.

4. On a monthly basis, submit no fewer than 5 percent of files of applicants denied admission to the Regional Office for a quality review. The quality review does not take place before the applicant is notified of the denial.

5. Regardless of whether the applicant is enrolled, copies of his or her records must be kept for a period of no less than 3 years from the close of the program year in which the determination was made. If the applicant files an appeal, or a complaint alleging that the admissions process was affected by discrimination or that the Workforce Innovation and Opportunity Act (WIOA) nondiscrimination requirements were violated during the process, copies of the records must be kept for a period of no less than 3 years from the date on which the complaint or appeal was resolved.

R2. Readmission Denials

ACs must:

a. Refer readmission applicants determined not to meet the eligibility requirements to
an appropriate One-Stop Career Center/American Job Center or other training/educational resource in his or her home community.

b. Keep clear documentation on file about the steps that were taken to inform, counsel, and refer the readmission applicant who was determined not to meet the eligibility requirements.
1.5 CENTER APPLICANT FILE REVIEW

REQUIREMENTS

R1. Center Applicant File Review Legal Requirements

a. A center is not permitted to revisit an Admissions Counselor’s (AC’s) determination that an applicant meets the eligibility requirements and is eligible for Job Corps, even if the center disagrees with the AC’s determination of the applicant’s eligibility qualification(s), except in the following limited circumstances:

1. The center receives new information that:
   (a) Was not reasonably available to the AC at the time the applicant’s eligibility qualifications were established
   (b) Indicates that the applicant offered enrollment may no longer meet one of the eligibility requirements

b. Apart from the circumstances described in 1(a) above, the center may review the information in the applicant’s file, such as on the Job Corps Health Questionnaire (ETA 653), the accompanying documentation that is medically related to the information on the questionnaire, or that the applicant has otherwise voluntarily disclosed, to determine the health needs of the applicant and/or to determine whether the applicant has a disability, mental health, or medical condition that likely poses a significant risk of substantial harm to the health or safety of the individual or others.

Only the categories of persons identified in Appendix 202, Transmission, Storage and Confidentiality of Medical, Health and Disability-Related Information, may be permitted to review, or have access to the applicant’s medical, health, or disability-related information.

R2. Requirements for the Center Applicant File Review

a. To ensure equal opportunity for all applicants, including those with disabilities, all centers are required to follow the same applicant file review process. This process will be described in an SOP (see Exhibit 5-1) that describes in detail how an applicant file is processed, from the time it is received from the admissions contractor, until the applicant is accepted into the program and assigned a start date, or recommended for denial and a final disposition is made by the Regional Office.

b. The applicant’s file must be processed within 30 calendar days from receipt by center. If the center reasonably can substantiate needing longer than 30 days to complete the file review process, then an extension may be discussed with the respective Regional Office Project Manager.

c. While each center file review procedure may have unique aspects, all center procedures must incorporate the following requirements:
1. Location where files are sent and logged in upon arrival to the center and the method of tracking the movement of the file to include an explanation of the center’s disposition of the file

2. Responsibilities and roles of applicant file review team members to include the Health and Wellness Manager, the Center Clinicians, and the center’s Disability Coordinator(s) which usually will include the center’s Health and Wellness Manager

3. Procedures for reviewing an applicant file to include the acceptable reasons for recommending denial of an application

4. Procedures for reviewing and determining reasonable accommodation

5. Procedures for processing application withdrawals both before and after submitting a file for regional review

6. Timeframe the center establishes to complete the file review process to ensure it meets the PRH required timeframe of 30 calendar days

7. Storage, transmission and maintenance of the applicant file information (see Appendix 202)

See Chapter 1, Section 1.5 R1, R3, R4 and Form 1-07.

R3. Center Applicant File Review Process

a. Responsibilities of Records Staff

   The records department must maintain a single ongoing log that documents:

   1. The location of every applicant file

   2. How long the file has been on center

   3. Who the file was sent to

   4. How long the file has been with a particular department or staff person

   5. The center’s recommendation regarding enrollment and/or an explanation of any final movements or actions taken related to a file (i.e., for example, an applicant contacted a center to request a withdrawal of application and file returned to Outreach and Admissions (OA) since file is not in regional review)

For those files sent to the Regional Office for review, the records department must document the date the file was sent, to whom it was sent, and the final disposition of
b. Responsibilities of Center File Review Team

The Health and Wellness Manager (HWM) completes a review of the Job Corps Health Questionnaire (ETA 653) and supporting documentation to determine which center staff is needed to conduct a review of each applicant’s file. These staff comprise the center’s File Review Team (FRT). The center FRT must include the HWM and the Disability Coordinator (if a student with a disability) and may include other staff such as the Center Mental Health Consultant, physician, Trainee Employee Assistance Program (TEAP) Specialist, and/or the dentist.

c. Center Procedure

Each center is required to have a written procedure describing the center’s process for reviewing applicant files. This procedure should describe in detail how an applicant file is processed, from the time it arrives on center from the OA contractor, until the applicant is accepted into the program and assigned a start date, or recommended for denial and a final disposition is made by the Regional Office. The applicant’s file must be processed within 30 calendar days from receipt by center. If the center reasonably can substantiate needing the file longer than 30 days to complete the file review process, then an extension request may be submitted to the respective Regional Office.

While each center file review procedure may have unique aspects, all center procedures must incorporate the following requirements:

1. Location where files are sent and logged in upon arrival to the center and the method of tracking the movement of the file to include an explanation of the center’s disposition of the file (see Section 1.5, R3.a)

2. Responsibilities and roles of applicant FRT members to include the HWM, the center clinicians, and the center’s Disability Coordinator(s) which usually will include the center’s HWM

3. Procedures for reviewing an applicant file to include the acceptable reasons for recommending denial of an application

4. Procedures for reviewing and determining reasonable accommodation

5. Procedures for processing application withdrawals both before and after submitting a file for regional review

6. Timeframe the center establishes to complete the file review process to ensure it meets the PRH required timeframe of 30 calendar days
7. Storage, transmission and maintenance of the applicant file information (see Appendix 202, Transmission, Storage and Confidentiality of Medical, Health and Disability-Related Information)

See section, “Standard Operating Procedure,” in Form 1-07, Applicant File Review Guidance-Center Process, for detailed descriptions of the requirements listed above.

R4. Review of Health Information

a. The HWM conducts the initial review of the health documentation in the individual applicant’s folder, including Job Corps Health Questionnaire (ETA 653) and the medically related supporting documentation that has been submitted with it, to:

1. Explain the health care needs of the applicant.
2. Determine whether Job Corps can meet the health-care needs of the applicant.
3. Determine if the applicant presents a direct threat to self or others.
4. Obtain consent for required routine medical assessments and/or consent to receive basic health care services.

b. Health-Care Needs Assessment

A health-care needs assessment may be conducted for an applicant if the center clinical staff believes that one of the following applies:

1. The health-care needs may not be manageable as defined by basic health care services in Exhibit 2-4, Job Corps Basic Health Responsibilities.
2. The health care needs may be manageable but may require community support services that are not available near the center of assignment, the applicant should be assigned to a center where these needs can be met.

In the instance that a center has recommended the applicant’s health-care needs can be met in Job Corps if the individual were located at a center where needed resources and supports were available, the applicant’s file is routed through the typical regional review process with the following additional steps:

(a) If the center’s recommendation is supported by the Regional Health Specialist (RHS) and approved by the Regional Director or his or her designee, then the Regional Office returns the file, including the completed Health-Care Needs Assessment from the initial center, to the AC to contact the applicant and assist in identifying the new center.

(b) The new center completes a review of the documentation and confirms the current status of the applicant and then documents the contact and assessment
in the progress notes narrative and includes in the medical file.

(c) If the center’s recommendation is to accept the applicant, the center notifies the AC and the Regional Office, and schedules the individual for arrival. If the center’s recommendation is to deny the applicant, then the center notifies the AC and forwards the file back to the Regional Office for a second clinical review by the appropriate RHS.

(d) If the RHS recommends overturning the center’s recommendation of denial and the Regional Director, or his or her designee, concurs, then the center is notified that the applicant must be scheduled for enrollment. If the RHS concurs with the center’s recommendation and the Regional Director, or designee concurs, then the applicant is notified of the disposition of his or her file, the file is returned to the AC, and the center notified of the Region’s decision.

See Form 2-05, Health Care Needs Assessment, for specific guidance on conducting a health care needs assessment. See section “Review of Applicant’s Health Care Needs by the Alternate Center” in Form 1-07, Applicant File Review Guidance-Center Process, and section, “Review Process for Recommendations to Attend an Alternate Center” in Form 1-08, Applicant File Review Guidance-Regional Process, for detailed descriptions of the requirements listed above.

c. Direct Threat Assessment

In the case of an applicant, a direct threat assessment may be conducted:

1. Whenever Job Corps believes that a known or apparent disability or medical condition poses a direct threat to the health or safety of the individual or others. This typically will occur after the applicant has received conditional assignment to a Job Corps center and has completed the Job Corps Health Questionnaire (ETA 653).

2. If the specific information that has been received about that particular applicant indicates that he or she may have a medical condition or disability that:
   (a) Poses a significant risk of substantial harm to the health or safety of the individual or others
   (b) Cannot be eliminated or reduced by reasonable accommodation or modification

If the specific information in the folder appears to meet the standards described above, the HWM will forward the applicant’s information to the licensed health provider employed by the center for a detailed direct threat assessment.

For specific guidance on conducting a direct threat assessment, see Form 2-04, Individualized Assessment of Possible Direct Threat.
1.6 ASSIGNMENT & DEPARTURE

REQUIREMENTS

R1. Assignment and Scheduling Procedures

A note about accessibility considerations: Because all Job Corps centers are required to comply with applicable accessibility requirements, it is unlawful to assign an applicant to a particular center, or to steer such an applicant away from a center, based solely on accessibility concerns. Even if the law does not require a specific center to comply with federal architectural accessibility guidelines, the center may be required to provide reasonable accommodations for the needs of a particular individual’s disabilities if the accommodations are not an undue hardship.

If an applicant offered enrollment has disclosed a mobility-related disability, or has such a disability that is obvious (for example, if he or she uses a wheelchair), and the Admissions Counselor (AC) has concerns about the accessibility of the most suitable center, the AC may raise those concerns with the applicant and/or his or her parent, guardian, or other representative. In these cases, three points must be kept in mind:

a. The AC should inform the applicant and/or his or her parent, guardian, or other representative that the applicant is entitled to ask for a reasonable accommodation, which may include a request for modifications to the center at issue.

b. Job Corps’ Reasonable Accommodation Committee (RAC) is required to consult with the applicant to identify possible accommodations and must give consideration to the applicant’s choice of accommodation, but Job Corps is not required to implement an accommodation that would impose an undue hardship.

c. The ultimate decision about whether the applicant will or will not accept a reasonable accommodation must be left up to the applicant and/or his or her parent, guardian, or other representative.

Outreach and Admissions (OA) contractors must:

a. Assign enrollees to a center offering the type of career technical training selected by the individual, and, among the centers that offer such training, is closest to the enrollee’s home, unless:

1. The enrollee would be unduly delayed in participating in the Job Corps program because the closest center is operating at full capacity.

2. The parent or guardian of an enrollee requests assignment to another Job Corps center due to circumstances in the home community of the enrollee that would impair prospects for his or her successful participation in the Job Corps program. Such a request must be documented in the applicant file.
3. If a parent or guardian of the enrollee objects to the assignment of an enrollee under the age of 18 to a center other than the center closest to home that offers the desired career technical training, such an assignment must not be made. The objection of the parent or guardian must be documented in the applicant’s file.

b. Give priority in assignments of open slots to applicants offered enrollment who are veterans of the armed forces of the United States or spouses of veterans, as specified in Exhibit 1-2, Factors for Priority Enrollment.

R2. Pre-Departure Activity

Admissions Counselors (ACs) must:

a. Provide each assigned applicant with specific, current information about the center of assignment, including location, rules, career technical training waiting lists, and program expectations.

b. Provide the assigned applicant with a travel packet to include itinerary, e-ticket information, meal money (if applicable), emergency phone numbers, and written guidance on acceptable behavior and expectations while on travel to the center.

c. Send all required documents to the center and ensure that the applicant takes the documents with him or her when he or she departs for the center (see Chapter 6, Section 6.4 R3, Collection and Handling of Health-Related Information and Documents).

d. Notify each applicant of his or her assignment date, or departure date if using government-furnished transportation, and the process for departure.

e. Verify with the applicant that there has been no change to the applicant’s admission status that would alter any of his or her answers to the eligibility requirements since the completion of the original application.

R3. Departure Scheduling and Procedures

ACs must:

a. Contact the assigned applicant to provide travel information and answer any last-minute questions.

b. Whenever possible, accompany the applicant to the scheduled departure site or arrange for another responsible escort, and see that the applicant departs safely as scheduled.

c. As required in Chapter 6, Section 6.5 R4, request that the applicant sign a release of “Right to Use Photographic Likeness or Moving Images” (Form 5-08 for adult students and Form 5-09 for minors) and forward it to the center of assignment (see Appendix 601, Student Rights to Privacy and Disclosure of Information).
R4. Delays

a. Travel Delay

In the event that an assigned applicant asks for a delay in the assigned day for travel to the center of assignment, the AC must take the following steps:

1. Determine whether the request for the delay is valid and reasonable, e.g., due to illness or death of an immediate family member.

   If the request is related to a disability, the AC should contact the center and ask that the appropriate center staff persons determine whether the delay is a reasonable accommodation for the disability, as explained in Form 2-03, Definitions and Documentation Requirements Related to Reasonable Accommodations for Applicants and Students with Disabilities.

2. If the reason is unrelated to a disability, and the AC determines that the reason is valid and reasonable, notify the center, and obtain instructions and a future date of travel. This notification must take place either before or on the assigned travel date.

b. Delayed Assignment

Under the following circumstances, the AC may ask the center for a delayed assignment for assigned applicants who fail to depart as originally scheduled and who did not request a travel delay:

1. The AC determines that the reason for the failure to depart is valid and reasonable. If the failure to depart is related to a disability, the AC should contact the center and ask that the appropriate staff persons determine whether the delay is a reasonable accommodation for the disability, as explained in Form 2-03, Definitions and Documentation Requirements Related to Reasonable Accommodations for Applicants and Students with Disabilities.

2. The assigned applicant requests a delayed assignment date within 90 days of the original interview date. If the request is made after the 90-day period expires, all of the eligibility documentation for the applicant must be re-verified.

3. The AC must verify with the assigned applicant that he or she continues to meet all the Job Corps eligibility criteria as of the rescheduled date of enrollment.

c. Under no circumstances may an AC send an assigned applicant to the center on a day other than the departure date entered on the applicant’s travel itinerary without obtaining center and/or Regional Office approval.
R5. No-Shows

In the event that the applicant fails to depart for the center and does not communicate with the AC 24 hours prior to the scheduled arrival time, or 24 hours prior to the scheduled departure time if using government-furnished transportation, he or she will be determined to be a no show, and the AC must:

a. Contact the assigned applicant promptly to determine the reason he or she did not arrive at the assigned center.

b. If appropriate, request a delayed assignment and reschedule the applicant in accordance with Chapter 1, Section 1.6, R4.b.

c. Notify the center of assignment of any delay.

R6. Pre-Departure Center Contact

Centers will contact assigned students prior to scheduled arrival to welcome them, and provide information about the center, reiterating behavioral standards.

R7. Arrival Scheduling

Centers must:

a. Accurately project arrival needs and issue arrival requests to the ACs in accordance with Regional Office procedure.

b. Ensure that the application folder is complete and contains all required documentation upon arrival at center. The center will contact the AC to obtain missing or incomplete documentation.

c. Schedule timely assignment, for first available opening on center, of applicants referred by ACs, to ensure maintaining center at capacity.

d. Where reasonable accommodations will be provided, ensure that the accommodations are in place before arrival. However, failure to provide timely accommodations will not excuse undue delay in an applicant’s arrival, and may be the basis for a discrimination complaint.

e. Provide timely travel authorization and arrival information to ACs and other appropriate parties, as required.

f. Meet and greet arrivals at the designated time on the center or at the determined travel termination point.
EXHIBIT 1-1
JOB CORPS ELIGIBILITY REQUIREMENTS

ELIGIBILITY REQUIREMENTS

CRITERION 1. U.S. CITIZEN/LEGAL RESIDENT/DEFERRED ACTION STATUS

The applicant must be a (1) United States citizen or national, including naturalized citizen; or (2) lawfully admitted permanent resident alien, refugee, asylee or parolee, or other alien who has been authorized by the Department of Homeland Security to work in the United States; or (3) resident of a U.S. territory. Applicants must remain in legal status or retain employment eligibility throughout the length of his/her stay in Job Corps, as well as during the period allotted for the receipt of graduate services.

Guidance provided by the Employment and Training Administration provides that Deferred Action for Childhood Arrivals (DACA) participants, who meet program eligibility requirements, qualify for Job Corps if they have employment authorization.

A copy of each document used in the assessment/verification process to demonstrate eligibility under this criterion must be retained in the applicant’s file in all cases.

<table>
<thead>
<tr>
<th>Eligibility Requirement Details</th>
<th>Documentation Requirements</th>
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<tbody>
<tr>
<td>The Admissions Counselor (AC) must review and verify that all applicants are</td>
<td>1. Citizens or residents of U.S. territories: Acceptable source documents include:</td>
</tr>
<tr>
<td>1. a U.S. citizen; or</td>
<td>• Birth certificate</td>
</tr>
<tr>
<td>2. a lawfully admitted permanent resident alien, refugee, asylee or parolee, or other alien who has been authorized by the Department of Homeland Security to work in the United States; or</td>
<td>• U.S. passport</td>
</tr>
<tr>
<td>3. a resident of a U.S. territory.</td>
<td>• Social Security Card</td>
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<tr>
<td></td>
<td>2. Non-Citizens: Acceptable source documents include:</td>
</tr>
<tr>
<td></td>
<td>• Employment Authorization Card – I-551 or I-151</td>
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<tr>
<td></td>
<td>• Valid foreign passport with Form I-94 containing a refugee admission stamp</td>
</tr>
<tr>
<td></td>
<td>• Social Security Card</td>
</tr>
<tr>
<td></td>
<td>3. Individuals admitted under Deferred Action for Childhood Arrivals (DACA) status:</td>
</tr>
<tr>
<td></td>
<td>• Employment Authority Card – I-551 or I-151.</td>
</tr>
</tbody>
</table>
**ELIGIBILITY REQUIREMENTS**

**CRITERION 2. AGE**

The applicant must be at least 16 years of age and not more than 24 years of age on the date of enrollment (i.e., date of arrival at the center, or date of departure for a center if using government-furnished transportation). The upper-age limit must be waived for individuals with disclosed disabilities who meet all other eligibility requirements. The minimum age requirement of 16 remains the same regardless of disability status.

A copy of each document used in the assessment/verification process to demonstrate eligibility under this criterion must be retained in the applicant’s file in all cases. If a Department of Homeland Security document is used to verify age, the following must be documented: date of issuance, Alien Registration Number, country of citizenship, and expiration date (as appropriate). If an official eligibility form from another government agency is used to verify age, the following must be documented: agency issuing form, title of form and form identification number, date completed, and purpose of form.

<table>
<thead>
<tr>
<th>Eligibility Requirement Details</th>
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<tbody>
<tr>
<td>The AC must verify that the applicant is between the age of 16 and 24.</td>
<td>Acceptable source documents must state the applicant’s name and date of birth, and include: • Birth certificate, with place of birth and documentation registration number; or • Driver’s license/state identification card; or • U.S. passport with date of issuance and document registration number; or • Department of Homeland Security (DHS) documents including: o Document I-55 Permanent Resident Card o Form I-94 Arrival/Departure Record; or • Other official forms or documents from other government agencies that identify the applicant’s name and date of birth, such as school records, welfare documents, military records, and employment records.</td>
</tr>
</tbody>
</table>

**GUIDANCE:** Disability: Follow process described in Section 1.2, R3 of Chapter 1.
ELIGIBILITY REQUIREMENTS

CRITERION 3. LOW INCOME

To qualify as low income, one or more of these conditions must exist:

Public Assistance: Receives, or is a member of a family (see Appendix 101 for definition of “family”) living in a single residence that is receiving, or in the past 6 months has received, assistance through:
- The supplemental nutrition assistance program established under the Food and Nutrition Act of 2008;
- The program of block grants to States for temporary assistance for needy families program under party A of Title IV of the Social Security Act; or
- The supplemental security income program established under Title XVI of the Social Security Act

Earned Income: An individual, or a member of a family living in a single residence that has received total family income (see Appendix 101 for definition of “family income”) for the 6-month period prior to application, which, in relation to family size, was not in excess of the higher of (1) the poverty level determined in accordance with criteria established by the Department of Health and Human Services (DHHS); or (2) 70 percent of the Lower Living Standard Income Level (LLSIL).

Special Rule for Veterans of the Armed Forces: In determining if a veteran meets the low income definition, the AC must disregard military income earned by the individual within the 6-month period prior to the individual’s application, if such income prevents the individual from meeting the income requirements.

Exception for victims of severe forms of trafficking in persons: The income requirement is disregarded for any victim of a severe form of trafficking in persons. See Criterion 4.

Homeless (as defined in the Violence Against Women Act of 1994 (Section 42 U.S.C. 14043e-2[6]) or the McKinney-Vento Homeless Assistance Act [42 U.S.C. 11434a(2)]):

An individual who lacks a fixed, regular, and adequate nighttime residence, and includes:
- An individual who is
  1. sharing the housing of another person due to the loss of housing, economic hardship, or a similar reason;
  2. living in a motel, hotel, trailer park, or campground due to the lack of alternative adequate accommodations;
  3. living in an emergency or transitional shelter;
  4. abandoned in a hospital;
  5. living in cars, parks, public spaces, abandoned buildings, substandard housing, bus or train stations, or similar settings; or
  6. awaiting foster care placement.
- An individual who has a primary nighttime residence that is a public or private place
not designed for or ordinarily used as a regular sleeping accommodation for human beings; or

c. Migratory children who qualify as homeless under this section because the children in
   are living in circumstances described in this paragraph.

According to 20 U.S.C. 6399, a “migratory child” means a child who is, or whose parent
or spouse is, a migratory agricultural worker, including a migratory dairy worker, or a
migratory fisher, and who, in the preceding 36 months, in order to obtain or accompany
such a parent or spouse, in order to obtain temporary or seasonal employment in
agricultural or fishing work:
   a. Has moved from one school district to another;
   b. In a State that is comprised of a single school district, has moved from one
      administrative area to another within such district; or
   c. Resides in a school district of more than 15,000 square miles and migrates a distance
      of 20 miles or more to a temporary residence to engage in a fishing activity.

**Free or Reduced Price Lunch:** Receives or is eligible to receive a free or reduced price

**Foster Child:** A child on behalf of whom state or local government payments are made.

**Individual with a Disability whose own Income Meets the Low Income Requirement
above:** But who is a member of a family whose income does not meet this requirement.
Follow process described in Chapter 1, Section 1.2, R3.

Information pertaining to an applicant’s disability must be placed in a separate file, which
must be:
   • Stored separately from all other information about the applicant; and
   • Accessible and available only to authorized persons (e.g., hard copies must be stored
     in a locked cabinet or room; electronic copies must be password-protected. In either
     case, access to the key or knowledge of the combination or password must be strictly
     limited.) See Appendix 202 (Transmission, Storage, and Confidentiality of Medical,
     Health, and Disability-Related Information).

A copy of each document used in the assessment/verification process to demonstrate
eligibility under this criterion must be retained in the applicant’s file in all cases.

<table>
<thead>
<tr>
<th>Eligibility Requirement Details</th>
<th>Documentation Requirements</th>
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<tbody>
<tr>
<td><strong>Public Assistance</strong></td>
<td>Acceptable source documents include:</td>
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<tr>
<td></td>
<td>• A letter or printout from appropriate government agency acknowledging family receipt of cash payments from income-based public assistance</td>
</tr>
<tr>
<td></td>
<td>• Public assistance voucher or payment stub;</td>
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<tr>
<td></td>
<td>• Documented phone contact with case worker;</td>
</tr>
<tr>
<td></td>
<td>• Public assistance voucher or payment stub.</td>
</tr>
<tr>
<td><strong>Earned Income</strong></td>
<td>Acceptable source documents include:</td>
</tr>
<tr>
<td>• When determining income eligibility for a person who applies to Job Corps as an</td>
<td>• Letters of employment verification;</td>
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<tr>
<td></td>
<td>• Income verification statements from,</td>
</tr>
<tr>
<td>Eligibility Requirement Details</td>
<td>Documentation Requirements</td>
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<tr>
<td>individual, the AC must use the “Family Unit of One” standards in the official Poverty Guidelines, as revised by the Department of Health and Human Services. When using the LLSIL guidelines (<a href="http://www.doleta.gov/llsil/">http://www.doleta.gov/llsil/</a>), the AC must use the “Family of One” column.</td>
<td>or documented phone calls with employers;</td>
</tr>
<tr>
<td>• Veteran: The AC must review and verify documentation of the applicant’s excludable military income for the 6-month period preceding the application, as specified above under Earned Income.</td>
<td>• Paycheck stubs, tax returns, or W-2s;</td>
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<tr>
<td>• Victim of severe form of trafficking in persons need not meet this requirement.</td>
<td>• Unemployment insurance quarterly wage records; or</td>
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<td>• Documentation of excludable income, such as letters of receipt of unemployment insurance or Supplemental Security Income, or copies of checks. (Excludable income is not to be confused with public assistance.)</td>
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<tr>
<td></td>
<td>Applicants must be required to complete a Statement of Support form categorized under Homeless when (1) the applicant has zero or minimal income, (2) the claimed income appears unrealistic relative to family size, or (3) no income documentation is available.</td>
</tr>
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</table>

| Homelessness (including Migratory Children)                                                   | Acceptable source documents include:                                                        |
|                                                                                                | • A letter from caseworker or support provider; or                                           |
|                                                                                                | • If the above is unavailable, documented attempts to obtain such information accompanied by a completed Statement of Support form describing how the applicant is being supported in the absence of any significant income. The Statement of Support form can be found in Form 1-03. |

| Free or Reduced Price Lunch                                                                   | Acceptable source documents include:                                                        |
|                                                                                                | • A letter or other documentation from the U.S. Department of Agriculture verifying the applicant’s eligibility; or |
|                                                                                                | • Written documentation from the last school attended by the applicant verifying the applicant’s eligibility. |

| Foster Child                                                                                  | Acceptable source documents include:                                                        |
|                                                                                                | • Letter from a caseworker or public agency personnel attesting to the status of the applicant; or |
|                                                                                                | • Documented phone contact with a caseworker or public agency personnel attesting to the status of the applicant. |

| Individual with a Disability whose own Income meets the Low Income Requirement                  | See low income documentation requirements above.                                               |

GUIDANCE: Definitions of family and family income are outlined in Appendix 101.
ELIGIBILITY REQUIREMENTS

CRITERION 4. BARRIERS TO EDUCATION AND EMPLOYMENT

The applicant must be one or more of the following:

Basic skills deficient:
- A youth with English, reading, writing, or computer skills at or below the 8th grade level on a generally accepted standardized test; or
- An individual unable to compute or solve problems, or read, write or speak English, at a level necessary to function on the job, in the individual’s family, or in society.

A school dropout: The individual is a school dropout prior to high school graduation.

Homeless (as defined in the Violence Against Women Act of 1994 (Section 42 U.S.C. 14043e-2[6]) or the McKinney-Vento Homeless Assistance Act [42 U.S.C. 11434a(2)]): An individual who lacks a fixed, regular, and adequate nighttime residence, and includes:

a. An individual who is
   1. sharing the housing of another person due to the loss of housing, economic hardship, or a similar reason;
   2. living in a motel, hotel, trailer park, or campground due to the lack of alternative adequate accommodations;
   3. living in an emergency or transitional shelter;
   4. abandoned in a hospital;
   5. living in cars, parks, public spaces, abandoned buildings, substandard housing, bus or train stations, or similar settings, or
   6. awaiting foster care placement.

b. An individual who has a primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodation for human beings; or

c. Migratory children who qualify as homeless under this section because the children are living in circumstances described in this paragraph.

According to 20 U.S.C. 6399, a “migratory child” means a child who is, or whose parent or spouse is, a migratory agricultural worker, including a migratory dairy worker, or a migratory fisher, and who, in the preceding 36 months, in order to obtain or accompany such a parent or spouse, in order to obtain temporary or seasonal employment in agricultural or fishing work:

1. Has moved from one school district to another;
2. In a State that is comprised of a single school district, has moved from one administrative area to another within such district; or
3. Resides in a school district of more than 15,000 square miles and migrates a distance of 20 miles or more to a temporary residence to engage in a fishing activity.

A runaway, an individual in foster care or an individual who was in foster care and has aged out of the foster care system. An individual for whom state or local government payments are or were made, or a ward of the state or court.
**Parent:** Father or mother or as otherwise defined by statute, such as through adoption or same sex relationships, or an individual who, by law, has custody, guardianship, or access rights in regard to a child and who may have corollary obligations to financially support a minor, typically by way of child support.

**Requires additional education:** The individual requires additional education, career and technical training, or workforce preparation skills to be able to obtain and retain employment that leads to economic self-sufficiency.

**A victim of a severe form of trafficking in persons** (as defined by section 103 of the Victims of Trafficking and Violence Protection Act of 2000 (22 U.S.C. 7102)):

a. Sex trafficking in which a commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such act has not yet attained 18 years of age; or

b. The recruiting, harboring, transportation, provision, or obtaining of a person for labor or service, through the use of force, fraud, or coercion for the purpose of subjecting to involuntary servitude, peonage, debt, bondage, or slavery.

Individuals meeting this definition need not meet the low income requirement in Criterion 3.

A copy of each document used in the assessment/verification process to demonstrate eligibility under this criterion must be retained in the applicant’s file in all cases.

<table>
<thead>
<tr>
<th>Eligibility Requirement Details</th>
<th>Documentation Requirements</th>
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<tbody>
<tr>
<td><strong>Basic Skills Deficient</strong></td>
<td>One of the following is necessary to assess basic skills deficiency:</td>
</tr>
<tr>
<td></td>
<td>• School records;</td>
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<td></td>
<td>• Standardized test results;</td>
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<td></td>
<td>• Criterion-referenced test scores; or</td>
</tr>
<tr>
<td></td>
<td>• Documented efforts to obtain school records or standardized test results.</td>
</tr>
<tr>
<td><strong>School Dropout</strong></td>
<td>One of the following is necessary to document that the applicant is a school dropout:</td>
</tr>
<tr>
<td></td>
<td>• Written verification from the records office or guidance counselor from the last school attended by the applicant that he or she is a school dropout; or</td>
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<tr>
<td></td>
<td>• Documentation in the case notes that the AC contacted the last school attended by the applicant via telephone and received confirmation that the applicant is a school dropout.</td>
</tr>
<tr>
<td><strong>Homeless (including Migratory Children)</strong></td>
<td>Acceptable source documents include:</td>
</tr>
<tr>
<td></td>
<td>• A letter from caseworker or support provider; or</td>
</tr>
<tr>
<td></td>
<td>• If the above is unavailable, documented attempts to obtain such information accompanied by a completed Statement of Support form describing how the applicant is being supported in the absence of any significant income. The Statement of Support form can be found in Form 1-03.</td>
</tr>
<tr>
<td><strong>Runaway, in foster care or aged out of foster care</strong></td>
<td>Acceptable source documents include:</td>
</tr>
<tr>
<td>Eligibility Requirement Details</td>
<td>Documentation Requirements</td>
</tr>
<tr>
<td>--------------------------------</td>
<td>----------------------------</td>
</tr>
<tr>
<td><strong>system</strong></td>
<td>• Letter from a caseworker, former caseworker, or public agency personnel attesting to the status of the applicant; or • Documented phone contact with a caseworker, former caseworker or public agency personnel attesting to the status of the applicant.</td>
</tr>
<tr>
<td><strong>Parent</strong></td>
<td>Acceptable source documents include: • Birth certificate indicating applicant as parent; • Court decree indicating child support; or • Any official government/school form indicating dependent children.</td>
</tr>
<tr>
<td>ETA 652 indicates one or more dependent children.</td>
<td>If ETA 652 indicates the applicant does not have a high school diploma or GED, then the applicant requires additional education.</td>
</tr>
<tr>
<td><strong>Victims of severe forms of trafficking in persons</strong></td>
<td>Examples of the types of circumstances that would make the applicant eligible include: • Lack of employability skills, including inability to retain jobs; and • Lack of prior work experience.</td>
</tr>
<tr>
<td>If ETA 652 indicates the applicant has a high school diploma or GED, the AC must assess the applicant’s need for additional education, career and technical training, or workforce preparation skills.</td>
<td>If ETA 652 indicates the applicant does not have a high school diploma or GED: • In-school applicants require a letter from a school official indicating that the applicant would benefit more from Job Corps than from staying in school, or a documented phone call that provides the same information.</td>
</tr>
</tbody>
</table>
## Eligibility Requirements

### Criterion 5. Selective Service Registration

A male applicant age 18 and older must comply with Section 3 of the Military Selective Service Act (50 USC App. 451) by registering with the Selective Service.

A copy of each document used in the assessment/verification process to demonstrate eligibility under this criterion must be retained in the applicant’s file in all cases.

<table>
<thead>
<tr>
<th>Eligibility Requirement Details</th>
<th>Documentation Requirements</th>
</tr>
</thead>
<tbody>
<tr>
<td>The AC must ensure that all male applicants sign the consent form containing authorization for Selective Service Registration.</td>
<td>• A copy of the consent form containing the Selective Service Authorization must be completed, signed, and retained in each male applicant’s file.</td>
</tr>
<tr>
<td>This applies even if the applicant has documentation (e.g., Selective Service registration card, or letter of acknowledgment from Selective Service Board) that he is already registered with the Selective Service system. If the applicant is already registered, the computer data will show that the student is registered and will not register him again.</td>
<td>• For female applicants, the notation “NA/F” must be placed in the Selective Service authorization space on the consent form.</td>
</tr>
</tbody>
</table>
ELIGIBILITY REQUIREMENTS

CRITERION 6. EDUCATIONAL AND TRAINING NEEDS
The applicant’s educational and training needs can best be met through the Job Corps program relative to other available programs that can address the immediate and long-term needs of the applicant.

<table>
<thead>
<tr>
<th>Eligibility Requirement Details</th>
<th>Documentation Requirements</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>The AC must assess whether an applicant’s educational and training needs can best be met through the Job Corps program.</strong></td>
<td></td>
</tr>
<tr>
<td>If the applicant has not graduated from high school, the applicant must indicate a desire to:</td>
<td></td>
</tr>
<tr>
<td>• Earn a high school diploma or GED; AND</td>
<td></td>
</tr>
<tr>
<td>• Participate in career technical training.</td>
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</tr>
<tr>
<td>If the applicant is a high school graduate, the applicant must indicate a desire to:</td>
<td></td>
</tr>
<tr>
<td>• Obtain career technical training.</td>
<td></td>
</tr>
<tr>
<td>After graduation from Job Corps, all applicants must intend to:**</td>
<td></td>
</tr>
<tr>
<td>• Obtain employment; or</td>
<td></td>
</tr>
<tr>
<td>• Enter the military; or</td>
<td></td>
</tr>
<tr>
<td>• Continue his or her education.</td>
<td></td>
</tr>
<tr>
<td><strong>The AC must determine whether the applicant is able to complete/answer basic Job Corps application questions (e.g., birth date, address, phone number, last school attended, last grade completed) with or without reasonable accommodation.</strong></td>
<td></td>
</tr>
<tr>
<td>For example, an applicant’s parent, guardian, or other representative may help explain what an applicant with a disability that impairs his or her speech is saying in response to a question. However, the parent, guardian, or other representative should not supply the content of the answers to the questions on the applicant’s behalf.</td>
<td></td>
</tr>
<tr>
<td><strong>If the applicant states that he or she does not intend to:</strong></td>
<td></td>
</tr>
<tr>
<td>a. Obtain employment; or</td>
<td></td>
</tr>
<tr>
<td>b. Enter the military; or</td>
<td></td>
</tr>
<tr>
<td>c. Continue his or her education because he or she is afraid of losing certain existing benefits (e.g., Social Security Disability), then the AC should encourage the applicant to work with his or her case managers to determine the impact participation in Job Corps and eventually employment will have on benefits.</td>
<td></td>
</tr>
<tr>
<td><strong>The AC must document that the applicant is able to answer questions about basic personal information, independently or with communication assistance/reasonable accommodation, as appropriate.</strong></td>
<td></td>
</tr>
<tr>
<td>Applicants with disabilities receiving Social Security Administration (SSA) benefits can also be referred to their local SSA Work Incentives Planning and Assistance (WIPA) programs. WIPAs provide SSA beneficiaries with disabilities (including transition-to-work aged youth) access to benefits planning and assistance services.</td>
<td></td>
</tr>
</tbody>
</table>
Some One-Stop Career Centers/American Job Centers have Disability Navigators who are also able to provide assistance with benefits counseling.
## Eligibility Requirements

### Criterion 7. Group Participation

It can be reasonably expected that the applicant can participate successfully in group situations and activities.

<table>
<thead>
<tr>
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</tr>
</thead>
<tbody>
<tr>
<td>The AC must access the likelihood that the applicant appears willing to function as a part of a group and can successfully participate in group situations and activities.</td>
<td>The AC must document the questions asked and the responses that indicate that the applicant appears willing to function as a part of a group.</td>
</tr>
</tbody>
</table>

Ask the applicant:
- Do you understand that in order to succeed in Job Corps, you will need to function as part of a group? For example, you will share a dormitory room, you will be responsible for cleaning your living area, and you will need to rely on staff and other students to complete tasks and assignments.
- Knowing this about Job Corps, are you willing to go forward with your application?

<table>
<thead>
<tr>
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</tr>
</thead>
<tbody>
<tr>
<td>The AC must assess whether the applicant appears willing to live in a multi-cultural environment.</td>
<td>The AC must document that that applicant either expresses willingness or does not express active hostility to or dislike of living in a multicultural environment.</td>
</tr>
</tbody>
</table>

Provide the applicant with an example of a multi-cultural environment.

Ask the applicant:
- Do you understand that if you are admitted to Job Corps that you will be living and working with members of various races, ethnic groups, political or religious affiliations or beliefs, sexual orientations, gender identities, and people with disabilities?
- Knowing this about Job Corps, are you willing to go forward with your application?
ELIGIBILITY REQUIREMENTS

CRITERION 7(A). INTERFERENCE WITH OTHER STUDENTS’ PARTICIPATION

It can be reasonably expected that the applicant is unlikely to engage in actions that would potentially prevent other students from receiving the benefits of the Job Corps program.

A copy of each document used in the assessment/verification process to demonstrate eligibility under this criterion must be retained in the applicant’s file in all cases.

<table>
<thead>
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</tr>
</thead>
<tbody>
<tr>
<td>The AC must assess whether the applicant displays disruptive and/or threatening behavior during the application process.</td>
<td>Any behavior observed by the AC that would indicate an applicant is likely to prevent other students from receiving the benefits of the Job Corps program must be documented in detail.</td>
</tr>
</tbody>
</table>

For example, during the application process:
- Was the applicant involved in any altercation resulting in medical treatment?
- Did the applicant assault or threaten assault with the intent to do bodily harm with or without the use of a weapon?
- Did the applicant possess a gun or an illegal weapon during the application process?
- Did the applicant display inappropriate sexual behavior including unwelcome:
  - Sexual advances; or
  - Requests for sexual favors; or
  - Other verbal statements of a sexual nature; or
  - Physical contact of a sexual nature?
- Did the applicant destroy/steal or attempt to destroy/steal property?

A signed Applicant Commitment Statement (Form 652) must be included in the applicant’s file and noted on the Folder Inventory.
ELIGIBILITY REQUIREMENTS

CRITERION 7(B). MAINTENANCE OF SOUND DISCIPLINE AND POSITIVE CENTER CULTURE

It can be reasonably expected that the applicant’s behavior is compatible with the maintenance of sound discipline and positive center culture.

A copy of each document used in the assessment/verification process to demonstrate eligibility under this criterion must be retained in the applicant’s file in all cases.

<table>
<thead>
<tr>
<th>Eligibility Requirement Details</th>
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</tr>
</thead>
<tbody>
<tr>
<td>The AC must assess whether the applicant’s behavior is compatible with the maintenance of sound discipline and positive center culture.</td>
<td>The AC must document that the applicant acknowledges that:</td>
</tr>
<tr>
<td>Ask the applicant:</td>
<td>• Violence, bullying, and harassment are not tolerated in Job Corps.</td>
</tr>
<tr>
<td>• Do you understand that violence, bullying, and harassment are not tolerated in Job Corps?</td>
<td>• Job Corps is an alcohol- and drug-free environment and that in order to be admitted to Job Corps, he or she must commit to being alcohol and drug free.</td>
</tr>
<tr>
<td>• Do you understand that Job Corps is an alcohol- and drug-free environment and that in order to be admitted to Job Corps, you must commit to being alcohol and drug free?</td>
<td>• He or she will be tested for illegal use of drugs upon arrival to the center.</td>
</tr>
<tr>
<td>• Do you understand that when you arrive on center, you will be tested for illegal use of drugs?</td>
<td>• If he or she tests positive for illegal use of drugs on entry, he or she will be enrolled in a program to help him or her become drug free, and that he or she will be given a specified period of time to become drug free.</td>
</tr>
<tr>
<td>• Do you understand that if you test positive for illegal use of drugs on entry, the following steps will be taken:</td>
<td>• He or she is prohibited from illegally using, selling, or possessing drugs while on center or under center supervision.</td>
</tr>
<tr>
<td>o You will be enrolled in a program to help you to become drug free; AND</td>
<td>• Possession of unauthorized goods is not tolerated in Job Corps.</td>
</tr>
<tr>
<td>o You will be given a specified period of time to become drug free?</td>
<td>• All illegal and disruptive activity is prohibited in Job Corps.</td>
</tr>
<tr>
<td>• Do you understand that Job Corps has a Zero Tolerance policy for acts of violence; for use, sale or possession of a controlled substance; for abuse of alcohol; or for other illegal or disruptive activity?</td>
<td>• He or she must abide by Job Corps rules and policies to stay in the program.</td>
</tr>
<tr>
<td>• Do you understand that Zero Tolerance policy means that you can be automatically dismissed from the Job Corps program?</td>
<td>A signed Applicant Commitment Statement (Form 652) must be included in the applicant’s file and noted on the Folder Inventory.</td>
</tr>
<tr>
<td>• Do you understand that you are prohibited from illegally using, selling, or possessing drugs while you are on center or under center supervision?</td>
<td></td>
</tr>
<tr>
<td>• Do you understand that if you are convicted of illegal drug use, possession, or sale off center, you will have to leave the Job Corps program?</td>
<td></td>
</tr>
<tr>
<td>• Do you understand that you will be required to leave the Job Corps program if you use alcohol while on center or under center supervision? In that case, do you understand that the following steps will be taken:</td>
<td></td>
</tr>
<tr>
<td>o You will be enrolled in a program to help you become alcohol free; AND</td>
<td></td>
</tr>
<tr>
<td>o You will be given a specified period of time to become alcohol free?</td>
<td></td>
</tr>
<tr>
<td>• Do you understand that you will be required to</td>
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</tbody>
</table>
### Eligibility Requirement Details

<table>
<thead>
<tr>
<th>Details</th>
<th>Documentation Requirements</th>
</tr>
</thead>
<tbody>
<tr>
<td>Do you understand that you will be required to leave the Job Corps program if you engage in illegal or disruptive activity while on center or under center supervision?</td>
<td></td>
</tr>
<tr>
<td>Do you understand that you must abide by Job Corps rules and policies to stay in the program?</td>
<td></td>
</tr>
</tbody>
</table>
**ELIGIBILITY REQUIREMENTS**

**CRITERION 7(C). COMMUNITY RELATIONS**

It can be reasonably expected that the applicant is not likely to engage in actions that would impede satisfactory relationships between the Job Corps center to which the individual might be assigned and its surrounding communities.

<table>
<thead>
<tr>
<th>Eligibility Requirement Details</th>
<th>Documentation Requirements</th>
</tr>
</thead>
</table>
| The AC must assess the applicant’s willingness to participate in community service activities at least twice per year. | The AC must document that the applicant expresses a willingness to:  
• Participate in community services; and  
• Behave in a manner that reflects positively on Job Corps. |
| For example, students might:  
• Help build, clean up, or repair local community facilities;  
• Provide companionship and/or services to people in the community; and  
• Participate in environmental cleanup. | |
| The AC must assess the applicant’s willingness to participate in job shadowing during which they follow a worker around on the job and learn what he or she does. | |
| Ask the applicant:  
• Are you willing to participate in these kinds of activities?  
• Do you understand that while you participate in these activities, you are representing the Job Corps program and must behave in a manner that reflects positively on Job Corps? | |
| The AC must assess the applicant’s willingness to refrain from the use of gang paraphernalia, symbols, gestures, handshakes, and colors or dress while in the Job Corps program. | The AC must document that the applicant acknowledges that gang paraphernalia, symbols, gestures, handshakes, and colors or dress are not allowed in the Job Corps program and agrees that he or she will not participate in and/or display gang paraphernalia or symbols while enrolled in Job Corps. |
| Ask the applicant:  
• Do you agree that you will not participate in any gang activity while you are in the Job Corps program?  
• Do you agree that you will not display or use gang paraphernalia, symbols, gestures, handshakes, colors, dress, and/or any other gang-related activities or items while you are in the Job Corps program? | |
| If the AC observes the use or display of any gang paraphernalia, symbols, gestures, handshakes, and colors or dress, say to the applicant:  
• I see that you have (describe gang-related behavior, symbol, activity). Do you agree that if you are admitted to Job Corps, you will not (use/wear/display) (list behavior or symbols, etc.)? | |
<table>
<thead>
<tr>
<th>Eligibility Requirement Details</th>
<th>Documentation Requirements</th>
</tr>
</thead>
</table>
| If the AC observes that the applicant is displaying a gang symbol in the form of permanent body art, including but not limited to tattoos or scars, say to the applicant: • Do you agree that if you are admitted to Job Corps, you will keep (the symbol) covered to the best of your ability throughout your participation in the program? | }
ELIGIBILITY REQUIREMENTS

CRITERION 8. UNDERSTANDING AND AGREEING TO COMPLY WITH THE RULES
The applicant demonstrates a basic understanding of the rules of the Job Corps program and the consequences of failure to follow the rules. The applicant’s agreement to comply with the rules is documented.

A copy of each document used in the assessment/verification process to demonstrate eligibility under this criterion must be retained in the applicant’s file in all cases.

<table>
<thead>
<tr>
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</tr>
</thead>
<tbody>
<tr>
<td><strong>The AC must assess an applicant’s understanding of center rules and the consequences for not following those rules.</strong> Example of behavior and verbal statements that would make the applicant qualified for admission include, but are not limited to: • The applicant states that he or she is willing to follow the rules of the Job Corps program and/or to accept instruction and direction. • The applicant demonstrates compliance with the outreach and admissions process by following rules and complying with timelines.</td>
<td>At the end of the process, the applicant will sign the Applicant Commitment Statement (Form 652). It must be included in the applicant's file and noted on the Folder Inventory.</td>
</tr>
<tr>
<td><strong>The applicant must clearly state that he or she is willing to follow the rules of the Job Corps program and/or to accept instruction and direction, and does not make statements that he or she is not willing to do so.</strong> Ask the applicant: • Do you understand that if you are accepted into Job Corps, you will be expected to comply with the rules and regulations of Job Corps? • For example, if you live on center, you will have to follow a curfew, your center will have rules about cell phone use, you may not be permitted to smoke on center, and you may be required to wear a uniform. The applicant should not make statements such as, “No one tells me what to do,” or express strong objection to the rules. Ask the applicant: • Knowing this about Job Corps, are you willing to go forward with your application and sign a statement acknowledging that you understand and will follow the rules?</td>
<td>The AC must document that the applicant acknowledges authority and expresses or demonstrates willingness to follow directions.</td>
</tr>
</tbody>
</table>
ELIGIBILITY REQUIREMENTS

CRITERION 9. DISQUALIFYING CONVICTIONS
An applicant will not be admitted to Job Corps if he/she has been convicted of any of the following felonies:

- Murder, as described in section 1111 of Title 18, United States Code
- Child abuse
- A crime involving rape or sexual assault

A copy of each document used in the assessment/verification process to demonstrate eligibility under this criterion must be retained in the applicant’s file in all cases.

<table>
<thead>
<tr>
<th>Eligibility Requirement Details</th>
<th>Documentation Requirements</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Background Check:</strong> The AC will complete a criminal history review as described in Appendix 102.</td>
<td>- All background check information should be maintained, in hard copy, in the student’s folder.</td>
</tr>
<tr>
<td>The AC must obtain from every applicant the addresses where the applicant has lived in the last 3 years and any residential history arrest records during that time. Using these two pieces of data, the AC must obtain sufficient information from the applicable courts, institutions, and agencies in those jurisdictions, in accordance with applicable state and local laws, so as to determine whether the applicant has any disqualifying convictions.</td>
<td>- The results of background checks with each court, agency, and institution should be conducted in accordance with applicable State and local laws, and recorded on the ETA Form 655, unless the court, agency, or institution provides the results of a check on its own letterhead or stationery.</td>
</tr>
<tr>
<td><strong>Does the applicant have a conviction for any of the following?</strong></td>
<td>- Should the AC use an online or electronic system to conduct the background check, the AC should complete the appropriate portions of Section 1 of the ETA Form 655, and attach it to the hard-copy results of the online or electronic search.</td>
</tr>
<tr>
<td>• Murder (as described in section 1111 of title 18, United States Code);</td>
<td>If yes, the conviction must be documented and the applicant is ineligible for enrollment.</td>
</tr>
<tr>
<td>• Child abuse; or</td>
<td></td>
</tr>
<tr>
<td>• A crime involving rape or sexual assault.</td>
<td></td>
</tr>
</tbody>
</table>
ELIGIBILITY REQUIREMENTS

CRITERION 10. COURT INVOLVEMENT AND/OR AGENCY SUPERVISION

The applicant who is on probation, parole, under a suspended sentence, or under the supervision of any agency as a result of court action or institutionalization may be considered eligible only if the court or appropriate agency certifies in writing its approval of the applicant’s release from supervision, that the release does not violate applicable laws and regulations, that the applicant has responded positively to supervision, and that the agency will permit the applicant to leave the local area or state while enrolled in Job Corps. If the applicant has court fines or court ordered restitution in excess of $500, he or she must meet one of the criteria below.

No individual shall be denied a position in Job Corps solely on the basis of individual contact with the criminal justice system, except for the disqualifying felony convictions of murder (as described in section 1111 of Title 18, United States Code), child abuse, or a crime involving rape or sexual assault.

A copy of each document used in the assessment/verification process to demonstrate eligibility under this criterion must be retained in the applicant’s file in all cases.

<table>
<thead>
<tr>
<th>Eligibility Requirement Details</th>
<th>Documentation Requirements</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Background Check:</strong> The AC will complete a criminal history review as described in Appendix 102 for every applicant. The AC must obtain from every applicant the addresses where the applicant has lived in the last 3 years and any residential history arrest records during that time. Using these two pieces of data, the AC must obtain sufficient information from the applicable courts, institutions, and agencies in those jurisdictions, in accordance with applicable State and local laws, so as to determine whether the applicant has any current court or agency involvement.</td>
<td><strong>All background check information should be maintained in hard copy in the student’s folder.</strong></td>
</tr>
<tr>
<td><strong>Lack of Information:</strong> If courts/agencies refuse or fail to supply information, and the applicant (1) indicates that there has been past history with the courts, and (2) admits an offense, the AC should make a reasonable judgment of eligibility consistent with the type of offense admitted to by the applicant.</td>
<td><strong>The results of background checks with each court, agency, and institution should be conducted in accordance with applicable State and local laws, and recorded on the ETA Form 655, unless the court, agency, or institution provides the results of a check on its own letterhead or stationery.</strong></td>
</tr>
<tr>
<td><strong>Fraudulent Enrollment:</strong> Where courts refuse to disclose juvenile history and applicant denies any court involvement, the AC should emphasize that concealing a criminal history is grounds for immediate discharge from Job Corps for fraudulent enrollment.</td>
<td><strong>Should the AC use an online or electronic system to conduct the background check, the AC should complete the appropriate portions of Section 1 of the ETA Form 655, and attach it to the hard-copy results of the online or electronic search.</strong></td>
</tr>
</tbody>
</table>

<p>| Is the applicant facing pending criminal court action? | If yes, the pending criminal court action must be documented and the applicant is ineligible until the court makes a final adjudication of the matter. |</p>
<table>
<thead>
<tr>
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</thead>
<tbody>
<tr>
<td>Is the applicant:</td>
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<tr>
<td>• On probation; or</td>
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<tr>
<td>• On parole; or</td>
<td></td>
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<tr>
<td>• Under a suspended sentence; or</td>
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</tbody>
</table>
| • Under the supervision of any agency as a result of court action or institutionalization? | If yes, in order for the applicant to be eligible, the court or appropriate agency must certify in writing:  
  • The approval of the applicant’s release from its supervision  
  • That the applicant’s release does not violate applicable laws and regulations  
  • That the applicant has responded positively to supervision  
  • That it will permit the applicant to leave the local area or state while enrolled in Job Corps |
| Does the applicant have court fines or court-ordered restitution in excess of $500? | If yes, one of the three criteria below must be met and documented in writing:  
  • The applicant settles the court fine or court-ordered restitution; or  
  • The court agrees to suspend the obligation during the applicant’s enrollment in Job Corps; or  
  • The Regional Office permits entry. |
ELIGIBILITY REQUIREMENTS

CRITERION 11. CHILD CARE
An applicant with dependent children who provides primary or custodial care must have established suitable arrangements for the care of any dependent children for the proposed period of enrollment. This applies to weekend and evening times, as well as class times, for all residential applicants.

A copy of each document used in the assessment/verification process to demonstrate eligibility under this criterion must be retained in the applicant’s file in all cases.

<table>
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</thead>
<tbody>
<tr>
<td>The AC must determine that suitable arrangements for the care of any dependent children have been made for the proposed period of enrollment.</td>
<td>The only acceptable source document is a Child Care Certification (ETA 682), which specifies the care provider and the child(ren) for whom the care has been arranged. A copy of the child care certification obtained during the assessment/verification process must be retained in the applicant's file for each child in all applicable (applicants with dependent children) cases. Appropriate items related to dependents and child care must be completed on the ETA 682.</td>
</tr>
</tbody>
</table>
ELIGIBILITY REQUIREMENTS

CRITERION 12. AUTHORIZATION FOR USE AND DISCLOSURE OF HEALTH INFORMATION

All applicants or parent/legal guardian sign the “Authorization for Use and Disclosure of Your Health Information.” (Form 6-02)

ACs should encourage all applicants to provide the following information for use by center staff in assessing the applicant’s health needs:

- ETA Form 653, Job Corps Health Questionnaire
- Physician/institution report describing injuries, illnesses, and conditions noted on the ETA Form 653, including comments about medications taken

A copy of each document used in the assessment/verification process to demonstrate eligibility under this criterion must be retained in the applicant’s file in all cases and forwarded to the center of assignment in a sealed envelope.

<table>
<thead>
<tr>
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</tr>
</thead>
<tbody>
<tr>
<td>All applicants, or their parent/legal guardian if an unemancipated minor, must sign the “Authorization for Use and Disclosure of Your Health Information.”</td>
<td>A signed “Authorization for Use and Disclosure of Your Health Information” must be received at the receiving center prior to the applicant’s arrival.</td>
</tr>
</tbody>
</table>
**ELIGIBILITY REQUIREMENTS**

**CRITERION 13. PARENTAL CONSENT**
An applicant must have parental/legal guardian consent to participate when the applicant is an unemancipated minor. Legal guardians (e.g., social workers, probation officers, other family members) must have official documents legally granting custodianship, with respect to a particular state’s laws.

Exceptions to this requirement occur when an applicant:
- Is considered to be emancipated according to applicable laws of the state; or
- Is younger than 18 years of age and married.

A copy of each document used in the assessment/verification process to demonstrate eligibility under this criterion must be retained in the applicant’s file in all cases.

<table>
<thead>
<tr>
<th>Eligibility Requirement Details</th>
<th>Documentation Requirements</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>The AC must obtain the consent to participate from the parent/legal guardian when the applicant is an unemancipated minor.</strong></td>
<td>Acceptable source documents include:</td>
</tr>
<tr>
<td>Only signatures of parents or legal guardians should be obtained. If minor applicant lives with other family members who have not obtained legal guardianship status, such as grandparents, siblings, uncles or aunts, they may not consent to the applicant’s enrollment in Job Corps until guardianship has been obtained.</td>
<td>- Parent/guardian signature on appropriate Job Corps forms, such as the ETA 652, 653, and other appropriate forms; or</td>
</tr>
<tr>
<td>If only one parent has legal custody for the minor applicant, only that parent’s consent is necessary; if both parents share custody, consent of both is needed, although signature of consent is needed from only one. Consent of the second parent is assumed if no documented objection is raised. If a parent refuses to provide consent not out of objection to the applicant participating in the program but because of disinterest in being involved, the applicant must be emancipated by a court in order to be eligible for the program.</td>
<td>- Emancipation papers for all applicants claiming to be emancipated; or</td>
</tr>
<tr>
<td></td>
<td>- Marriage license for married applicants under 18 years of age.</td>
</tr>
</tbody>
</table>
### EXHIBIT 1-2

**FACTORS FOR PRIORITY ENROLLMENT**

<table>
<thead>
<tr>
<th>Factors for Priority Enrollment</th>
<th>Assessment/Verification Requirements (All Applicants)</th>
<th>Documentation Requirements (All Applicants)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A: VETERANS’ PRIORITY</strong></td>
<td>AC assesses documentation of veteran status.</td>
<td>At the time of application to Job Corps, veterans must provide their form DD 214, Report of Separation, which identifies a veteran’s condition of discharge. In addition to form DD 214, veterans with a service-connected disability of 30% or more must also submit a copy of a letter, dated within the last 12 months, from the Department of Veterans Affairs or the Department of Defense certifying receipt of compensation.</td>
</tr>
</tbody>
</table>

Veterans are defined as those individuals who have been separated with an honorable discharge or under honorable conditions from active duty in the Armed Forces of the United States, performed during one of the periods or campaigns described in 5 U.S.C. 2108.

Spouses of veterans also receive priority if they are married to:

- Any veteran who died of a service-connected disability;
- Any member of the Armed Forces serving on active duty who, at the time of application for assistance under this section, is listed, pursuant to section 556 of title 37 and regulations issued thereunder, by the Secretary concerned in one or more of the following categories and has been so listed for a total of more than 90 days: (1) missing in action; (2) captured in the line of duty by a hostile force; or (3) forcibly detained or interned in the line of duty by a foreign government or power;
- Any veteran who has a total disability resulting from a service-connected disability; or
- Any veteran who died while a disability so evaluated was in existence.

AC assesses documentation of veteran status.
Enrollment Procedures
The enrollment process can be time consuming, as applicants are assessed on a variety of eligibility criteria. Due to the urgency of any natural or man-made disaster situation, Job Corps will expedite the enrollment process as described below. Currently, it may take weeks or months to admit a new young person into Job Corps – this expedited process will strive for immediate admissions in a temporary, streamlined process.

Please note that this process does not exempt applicants from any eligibility criteria, but instead allows for temporary self-certification for those applicants who may have difficulty accessing the required documentation. As such, an expedited process may lead to the enrollment of some students who would be excluded in a formal enrollment process. Per Job Corps’ Policy and Requirements Handbook (PRH), any student who is found to be ineligible at the time of screening or was enrolled due to a screening error, may be subsequently separated from the program.

Eligibility Standards
As a natural or man-made disaster can leave so many without homes or access to public education, the evacuee population at large already meets many of Job Corps’ eligibility requirements. Since many evacuees may be without a source of income indefinitely, the Job Corps program will inform all potential applicants that, as a result of admission into the Job Corps program, they will be individually ineligible for unemployment insurance, the Supplemental Assistance Nutrition Program (SNAP), and possibly other forms of government aid.

Low-Income Eligibility
The program anticipates that many potential applicants will be housed in temporary residences, which will make them eligible under the “homeless” provision of the low-income criterion. For these applicants, no additional income information is required, and they will be coded as “homeless” in Job Corps’ system. Applicants will be flagged as expedited enrollment students in the Outreach and Admissions Student Input System (OASIS).

For an applicant with a permanent residence, he or she will be permitted to self-certify as receiving public assistance, his or her status as a foster child, or his or her family’s income. These applicants will be informed of the low-income threshold for their geographic area and be required to provide documentation after enrollment, when such documents become available.

Citizenship and Residency
Minimally, the Job Corps policy mandates a Social Security card (or other ID with SSN) as verification of citizenship or legal residency status. For those applicants who do not have their Social Security card, Job Corps will allow them to self-certify their SSN on ETA 652.
For those applicants who neither have their Social Security card nor know their SSN, Job Corps will work with the applicants to request this information from the Social Security Administration, and assign each student a unique, temporary identification number.

**Parental Consent, Child Care for Dependents, and Selective Service**

Assure that parents and legal guardians give consent for unemancipated minors. For individuals with a disability, who are otherwise eligible, the maximum age limit may be waived, per current PRH standards.

Assure that applicants have made appropriate arrangements for child care.

Inform male applicants, who are 18 years of age or older, that completing the ETA 652 will automatically register them for Selective Service.

**Criminal and Behavioral History**

Where possible, Job Corps will screen applicants for behavioral problems and criminal history that would exclude them from participation in the Job Corps program. As usual, Job Corps will be observing behavior throughout the application process to determine whether any unsafe or troubling behavior is displayed, but formal screening of behavioral and criminal history will be streamlined as much as possible.

In the affected areas, state courts should still be available for records checks. State courts will have records of felony and sexual offenses. The only unavailable records may be municipal courts and county courts, which could have pending cases and minor offenses.

**Health and Wellness**

Limited medical information may be available on these applicants from their health care providers. Admissions Counselors (ACs) will explain the need for the applicant to answer medical and mental health questions honestly, to prevent the possibility of the enrollee being medically separated if the center is unable to provide for the enrollee’s mental health or medical needs. If the enrollee has a history of recent medical or mental health issues, this enrollee may not be a candidate for expedited enrollment. The AC will contact the receiving center directly to discuss the enrollee’s medical health issues to determine if the applicant can be admitted to the center.

**Personal Career Development Plan (PCDP)**

Currently, applicants who are determined to be eligible for the program participate in a goal-setting and career-planning session with an AC. The information collected in this effort is documented in the PCDP. To expedite the enrollment process, this requirement is temporarily suspended, and will take place once the applicant is enrolled and has arrived on center.

**Referrals**

In the event an applicant is denied enrollment, Job Corps will provide the contact information for the local One-Stop Career Center/American Job Center and other education and training programs. Job Corps will not simply deny an applicant without having other alternatives readily available.
APPENDIX 101
DEFINITIONS OF FAMILY AND FAMILY INCOME

a. Family means persons living in a single residence who are related by blood, marriage, or decrees of court and may include one or more of the following categories: (1) a husband, wife, and dependent children; (2) a parent, grandparent, or guardian and dependent children; and (3) a husband and wife. A step-child or step-parent is considered to be related by marriage.

1. For purposes of this definition, an applicant who lives in a single residence with family members, at least one of whom claims the applicant as a dependent, will be considered a family member supported by the family, and income of all family members will be included in the family income computation.

2. A person must be considered an “individual” if he or she:
   (a) Lives alone; or
   (b) Lives with unrelated individuals; or
   (c) Lives in a single residence where no family member claims him or her as a dependent.

3. A person with disabilities has an option of applying and being considered as a family member or as an individual.

b. Head of household means an individual in one family setting who provides actual support and maintenance to one or more individuals who are related to him or her through adoption, blood, or marriage.

c. Family income means all income actually received from all sources by all members of the family for the 6-month period prior to application. Family size is the maximum number of family members during the 6-month period prior to application. When computing family income, income of a spouse and other family members is counted for the portion of the 6-month (annualized) period prior to application that the person was actually a part of the family unit.

1. For the purpose of determining an individual’s eligibility for participation in the Job Corps program, family income includes:
   (a) Gross wages, including wages from community service employment (CSE), work experience, and On-the-Job training (OJT) paid from Workforce Innovation and Opportunity Act funds, and salaries (before deductions);
   (b) Net self-employment income (gross receipts minus operating expenses); and
   (c) Other money income received from sources such as interest, net rents, OASI (Old Age and Survivors Insurance) Social Security benefits, pensions, alimony, and periodic income from insurance policy annuities, and other sources of income (including continuing disability income).
2. Family income does not include:
   (a) Non-cash income such as SNAP or compensation received in the form of food or housing;
   (b) Imputed value of owner-occupied property, i.e., rental value;
   (c) Public assistance payments;
   (d) Cash payments received pursuant to a state plan approved under Titles IV, X, or XVI (Supplemental Security Income) of the Social Security Act, or disability insurance payments received under Title II of the Social Security Act;
   (e) Federal, state, or local unemployment benefits;
   (f) Capital gains and losses;
   (g) One-time unearned income, such as, but not limited to:
      (1) Payments received for a limited fixed term under income maintenance programs and supplemental (private) unemployment benefits plans;
      (2) One-time or fixed-term scholarship or fellowship grants;
      (3) Accident, health, and casualty insurance proceeds;
      (4) Disability (one-time payments) and death payments including fixed term (but not lifetime) life insurance annuities and death benefits;
      (5) Fixed-term workers compensation awards;
      (6) Soil bank payments; and
      (7) Agricultural crop stabilization payments.
   (h) Pay or allowances that were previously received by any veteran while serving on active duty in the Armed Forces;
   (i) Educational assistance and compensation payments to veterans and other eligible persons under Chapters 11, 13, 31, 34, 35, and 36 of Title 38, U.S. Code;
   (j) Payments made under the Trade Act of 1974;
   (k) Payments received under the Black Lung Benefits Act (30 U.S.C. 901 et seq);
   (l) Any income directly or indirectly derived from, or arising out of, any property held by the United States in trust for any Indian tribe, band, or group of any individual; per capita payments; and services, compensation or funds provided by the United States in accordance with, or generated by, the exercise of any right guaranteed or protected by treaty; and any property distributed or income derived therefrom, or any amounts paid to or for the legatees or next of kin of any member, derived from or arising out of the settlement of an Indian claim; and
   (m) Child support payments.
This guide provides Admissions Counselors (ACs) with strategies to use when assessing whether an applicant meets one or both of the following eligibility requirements:

1. Maintenance of Sound Discipline and Positive Center Culture (Criterion 7[b] of Exhibit 1-1)
2. Court Involvement and/or Agency Supervision (Criterion 10 of Exhibit 1-1)

All applicants must be evaluated on an individual basis, and on his/her current ability to participate in Job Corps. A determination that an applicant does not meet one of these two eligibility requirements should not be based solely on past behaviors or offenses.

The guidelines in this appendix are not a substitute for an AC’s judgment. To determine whether the applicant meets eligibility requirement Criteria 7(b) and 10, the AC is responsible for evaluating the documentation about the applicant, and the AC’s own observations of the applicant’s responses to the questions in the relevant sections of Exhibit 1-1, in light of the other factors described in this appendix.

a. Tools for Evaluating Eligibility Requirement Criteria 7(b) and 10

As with all other eligibility requirements, the AC must evaluate the applicant’s qualifications under these two criteria before asking the applicant to complete the Health Questionnaire (ETA 653).

The AC will use any of the tools or resources below to evaluate these eligibility requirements:

1. Documentation from a criminal background check (see below)
2. Any documentation detailing behavior from institutions, agencies, or schools
3. Criminal or behavioral history provided to the AC by the applicant
4. Behavior during the application process

Applicants may have a history of behaviors that did not result in criminal conviction, but that cause an AC to have concerns regarding whether his or her current behavior is consistent with the maintenance of sound discipline and positive center culture. ACs should evaluate all aspects of the applicant’s history when determining qualifications for Job Corps, regardless of whether the behaviors led to criminal conviction.
b. **Performing a Background Check**

In addition to offenses admitted to by the applicant, the AC will perform a background check in accordance with applicable State and local laws that will be used to assess both eligibility requirements.

From the applicant, the AC must obtain the addresses where the applicant has lived in the last 3 years and any arrest records during that time. Using these two pieces of data, ACs must obtain sufficient information from the applicable courts, institutions, and agencies in those jurisdictions:

1. So as to make a reasonable judgment regarding whether the applicant’s behavior is compatible with the Maintenance of Sound Discipline (Criterion 7[b], Exhibit 1-1).

2. So as to determine whether the applicant has any current court or agency involvement (Criterion 10, Exhibit 1-1).

c. **Assessing the Maintenance of Sound Discipline and Positive Center Culture**

This eligibility requirement, found in Criterion 7(b) of Exhibit 1-1, has several factors for consideration, one of which is a review of criminal history. This review will be conducted using the same background check as the previous section, which must be conducted in accordance with applicable State and local laws.

Applicants cannot be denied enrollment in Job Corps solely based on contact with the criminal justice system. However, an applicant is automatically disqualified from participation if the individual has been convicted of a felony consisting of

1. murder (as described in section 1111 of Title 18, United States Code),

2. child abuse, or

3. a crime involving rape or sexual assault.

There is not a specific number of criminal offenses that automatically determines that an applicant is unsuitable for enrollment in Job Corps.

In order to evaluate the qualifications of applicants with a history of non-disqualifying criminal convictions or other verified serious behavior, ACs must keep in mind the need for applicants to function within a system of structured training and discipline. Applicant criminal history review is meant to determine whether the applicant will exhibit behavior that is incompatible with the maintenance of sound discipline in the Job Corps program. Applicant criminal history review should include the following:

1. **The relevance of each conviction or verified serious behavior to the requirements of Job Corps.** Considerations must include:
   (a) Does the applicant’s criminal and/or verified institutional record indicate that he or she has demonstrated inappropriate or disruptive behaviors in school or other
structured environments that are similar to the Job Corps environment, such as group homes or foster-care placements, that would interfere with the maintenance of sound discipline if the applicant were to engage in the same behaviors in Job Corps?

(b) Does the criminal and/or institutional record indicate that the applicant has a history of theft or of running away?

2. **The nature of the crime(s) committed.** Considerations must include:
   (a) Has the applicant been convicted of assault (other than sexual assault) or physical aggression toward other persons?
   (b) Has the applicant been convicted of poisoning or attempted poisoning of others, or crimes that involve kidnapping, robbery, or extortion?
   (c) Has the applicant been convicted of possessing a weapon or using one in a crime?
   (d) Has the applicant been convicted of stalking, or of any other behaviors that involve threats or harm to individuals?
   (e) Has the applicant been convicted of arson-related property crimes?
   (f) Has the applicant been convicted of any felony crimes other than those listed as disqualifying?

3. **The facts surrounding each offense.** Considerations must include:
   (a) Does the record of a particular conviction indicate that there were aggravating factors, such as the applicant’s making threats against the victim, or engaging in multiple instances of aggressive behaviors?
   (b) Was the offense a first offense?
   (c) Was the applicant a “ring leader” for the crime of which he or she was convicted, or was the applicant following the lead of another person in committing the offense?
   (d) Did the crime of which the applicant was convicted involve significant planning, or did it appear to be an unplanned response to an opportunity?

4. **The number and recency of convictions.** Considerations must include:
   (a) Does the applicant’s criminal record contain only one conviction (other than a disqualifying conviction), or are there multiple convictions?
   (b) If there are multiple convictions, did they increase in seriousness as the applicant became older (i.e., did they begin with small property crimes at age 15 and end with robbery at age 19)?
   (c) Are there a larger number of convictions during an earlier time period, and then fewer or none more recently, indicating the applicant has made an effort to reform?
   (d) When (meaning how long ago) did the crime of which the applicant was convicted take place?
   (e) When was the applicant convicted?
   (f) When did the applicant complete his or her court- or institution-imposed sanctions, if applicable?

5. **The applicant’s school and employment history before and after the conviction.** Considerations must include:
   (a) Did the applicant attend school or have employment prior to the conviction?
   (b) Was the applicant reasonably successful in school or employment prior to the
conviction? After his or her release?
(c) If there is a long history of failure to complete academic programs or maintain employment, what appears to be the cause or causes of this failure?
(d) Is the applicant now employed or in school? Does he or she appear to be reasonably successful?

6. The applicant's other efforts at rehabilitation. Considerations must include:
   (a) Is the applicant active in finding ways to rehabilitate himself or herself, such as counseling, drug and alcohol treatment, or involvement in sports, cultural activities, or community service?
   (b) Does the applicant acknowledge personal responsibility for his or her crimes or verified serious behaviors?

d. Assessing Court Involvement and/or Agency Supervision (Criterion 10, Exhibit 1-1)
   This criterion assesses any current involvement with courts and agencies that may affect the applicant’s ability to participate in the Job Corps program.

1. In addition to the tools or resources listed in Section a. above, which apply to both eligibility requirement Criteria 7(b) and 10, the following tools or resources apply solely to the assessment of Criterion 10:
   (a) Any documentation of court fines;
   (b) Any documentation of parole, probation, suspended sentence, or court or agency supervision.

2. Parole, Probation, Suspended Sentence, and Court or Agency Supervision
   Applicants to Job Corps who are on parole, probation, suspended sentence, or under court or agency supervision are not considered for enrollment unless the court or agency is willing to certify all of the following in writing:
   (a) The court or agency will release the applicant from supervision; and
   (b) Such a release does not violate applicable statutes or regulations; and
   (c) The applicant has responded positively to supervision; and
   (d) The court or agency will permit the applicant to leave the local area or state while enrolled in Job Corps.

3. Court Fines/Restitution
   (a) ACs should determine the eligibility of applicants with court fines of $500 or less by considering the level of restitution required and the applicant’s commitment to fulfilling court imposed obligations while enrolled in Job Corps.

   (b) ACs should also consider the applicant’s restitution efforts up to the point of application when determining whether he/she satisfies the eligibility requirement. Applicants should have made a responsible effort such as establishing a payment plan to pay court-imposed fines for at least two months before applying to Job Corps.

   (c) ACs should forward cases in which applicants are responsible for court fines, in excess of $500, but are otherwise qualified for enrollment, to the Regional Office for
review and approval before the applicant receives a conditional offer of enrollment.

e. **Special Considerations**

**Drug and Alcohol Involvement**

1. Applicants who disclose current or past drug or alcohol use are not automatically disqualified from Job Corps. These applicants are reviewed on an individual basis for their qualifications to enroll in Job Corps. Admissions determinations for applicants with a history of drug involvement and/or drug addiction are processed in one of several ways depending on applicant characteristics:

2. Applicants who are currently using drugs illegally are reviewed by the AC to determine qualification under Criterion 7(b).

3. Applicants who are not currently using drugs illegally, and who have an addiction to alcohol or drugs that substantially limits one or more of their major life activities (such as those who have been admitted to a rehabilitation program for alcohol or drug addiction), are considered to be persons with disabilities, and are protected by disability nondiscrimination law from discrimination on the basis of their status as an alcoholic or drug addict.

4. The files of applicants who are not currently using drugs illegally, but who:
   (a) Have a history of such use; and
   (b) Have not completed a supervised drug treatment program; and
   (c) Have not been otherwise rehabilitated successfully (such as through a self-help program); and
   (d) Have a physician’s or other medical professional’s referral for treatment should be forwarded to the Job Corps center of assignment for a clinical care review. The center may recommend that the applicant be required to complete treatment prior to enrollment in Job Corps. If the applicant successfully completes treatment, she/he will be protected under federal disability nondiscrimination law, and his/her history of drug use must not be used to determine that she/he does not satisfy Criterion 7(b).
Dear Applicant:

Thank you for your interest in Job Corps. It has been determined that Job Corps is not a program that best meets your needs at this time. As I explained to you, Job Corps has specific eligibility requirements. Your application has been denied because (insert explanation from box below).

<table>
<thead>
<tr>
<th>Reason</th>
<th>Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eligibility Requirement – Criterion 1</td>
<td>You did not meet the legal U.S. residency requirement which states that an applicant must be (1) a legal U.S. resident; or (2) lawfully admitted permanent resident alien, refugee, asylee or parolee, or other alien who has been authorized by the Attorney General to work in the United States; or (3) resident of a U.S. territory.</td>
</tr>
<tr>
<td>Eligibility Requirement – Criterion 2</td>
<td>You did not meet the age requirement which states that applicants must be at least 16 years of age, and not more than 24 on the date of enrollment.</td>
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<tr>
<td>Eligibility Requirement – Criterion 3</td>
<td>You did not meet the low income eligibility criterion.</td>
</tr>
<tr>
<td>Eligibility Requirement – Criterion 4</td>
<td>You did not meet the barriers to education and employment requirement which states that an applicant must be one or more of the following:</td>
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<td></td>
<td>• Basic skills deficient;</td>
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<td>• A school dropout;</td>
</tr>
<tr>
<td></td>
<td>• Homeless;</td>
</tr>
<tr>
<td></td>
<td>• An individual in foster care or an individual who was in foster care and has aged out of the foster care system;</td>
</tr>
<tr>
<td></td>
<td>• A runaway;</td>
</tr>
<tr>
<td></td>
<td>• A parent; or</td>
</tr>
<tr>
<td></td>
<td>• An individual that requires additional education, career and technical training, or workforce preparation skills to be able to obtain and retain employment that leads to economic self-sufficiency.</td>
</tr>
<tr>
<td>Eligibility Requirement – Criterion 5</td>
<td>You refused to sign a copy of the consent form containing authorization for Selective Service Registration.</td>
</tr>
<tr>
<td>Eligibility Requirement – Criterion 6</td>
<td>Your educational and training needs cannot be best met through the Job Corps program.</td>
</tr>
<tr>
<td>Eligibility Requirement – Criterion 7 – Group Participation</td>
<td>You did not demonstrate that you are reasonably expected to participate successfully in group situations.</td>
</tr>
<tr>
<td>Eligibility Requirement – Criterion 7(a) – Interference with other students’ participation</td>
<td>You did not demonstrate that you are unlikely to engage in actions that would potentially prevent other students from receiving the benefit of the Job Corps program.</td>
</tr>
<tr>
<td>Eligibility Requirement – Criterion 7(b) – Maintenance of Sound Discipline and Positive Center Culture</td>
<td>You did not demonstrate that your behavior is compatible with the maintenance of sound discipline and positive center culture on a Job Corps center.</td>
</tr>
<tr>
<td>Eligibility Requirement – Criterion 7(c) – Community Relations</td>
<td>You did not demonstrate that it is unlikely that your behavior will impede satisfactory relationships between the Job Corps center you would be assigned to and its surrounding communities.</td>
</tr>
<tr>
<td>Eligibility Requirement – Criterion 8</td>
<td>You did not demonstrate that you understand the rules and document that you agree to comply with them.</td>
</tr>
<tr>
<td>Eligibility Requirement – Criterion 9</td>
<td>You have a disqualifying conviction.</td>
</tr>
<tr>
<td>Eligibility Requirement – Criterion 10</td>
<td>You are under probation, parole, under a suspended sentence, or under the supervision of an agency that will not release you from its supervision in order to participate in the program.</td>
</tr>
<tr>
<td>Eligibility Requirement – Criterion 11</td>
<td>You did not demonstrate that you had made suitable arrangements for child care.</td>
</tr>
<tr>
<td>Eligibility Requirement – Criterion 12</td>
<td>You or your parent/legal guardian did not sign the “Authorization for Use and Disclosure of Your Health Information” form.</td>
</tr>
<tr>
<td>Eligibility Requirement – Criterion 13</td>
<td>Your parent/legal guardian did not consent to your participation in Job Corps.</td>
</tr>
<tr>
<td>Insufficient documentation requirement</td>
<td>You did not provide the required documentation within 60 days from the date of your interview with your Admissions Counselor.</td>
</tr>
</tbody>
</table>

If you wish to contest your admissions denial, you must send your request by mail to the following, within 60 days of the date of the determination:

**Name and Address of Outreach and Admissions Agency**

If your denial is not overturned or a decision is not issued within 60 days, you may file an appeal, by mail, with

- **Regional Director Office of Job Corps**
  - **U.S. Department of Labor Address**
  - **City, State, Zip code**
  - **Telephone number (voice)**
  - **Telephone number (TTY)**

You have 60 days from the date of the denial to file your appeal with the Regional Director. Alternatively, if the decision from the Outreach and Admissions Agency or the Job Corps center was not issued within 60 days of your filing your complaint, you must file your request for review with the Regional Director within 60 days of the date that the Outreach and Admissions agency should have issued its decision.

Also, if you believe that your application has been denied because of discrimination on the basis of race, color, religion, sex, national origin, age, disability, political affiliation or belief, citizenship, participation in any Workforce Innovation and Opportunity Act (WIOA) Title I-financially assisted program or activity, or because legal requirements related to nondiscrimination were violated during the application process, you may file a discrimination complaint with either the designated Regional Office or the U.S. Department of Labor’s Civil Rights Center (DOL-CRC). Your complaint must be filed in writing, within 180 days of the date on this letter.
DOL-CRC’s information is as follows:

Director, Civil Rights Center  
U.S. Department of Labor  
200 Constitution Avenue, NW Room N-4123  
Washington, D.C. 20210  
(202) 693-6502 (voice)  
(202) 693-6515 (TTY)  
CivilRightsCenter@dol.gov

For electronic versions of DOL-CRC’s complaint form in English or Spanish (PDF or HTML format), please go to DOL-CRC’s website at: https://www.dol.gov/agencies/oasam/centers-offices/civil-rights-center/external/how-to-file-complaint.

Although your application to attend Job Corps has been denied, you have taken a very positive step in seeking education and training. We encourage you to contact the agency below. This agency provides education, training or other services in your area.

Contact Name  
Address  
City, State, Zip code  
Telephone number (Voice)  
Telephone number (TTY)

Please contact me for any clarification regarding your application or for additional referrals in your area.

Sincerely,

Admissions Counselor
FORM 1-01
JOB CORPS — INFORMED CONSENT TO RECEIVE MENTAL HEALTH AND WELLNESS TREATMENT

I, ____________________________, consent to receive services from Job Corps, through appropriate mental health personnel, to promote and maintain my mental and emotional well-being. The services may involve mental health and/or substance abuse counseling, educational activities, medications, and other methods and services as deemed necessary by the wellness staff.

The Job Corps center operates under a team approach and I understand all treatment is confidential, as limited in the following special circumstances:

1. If the staff believes it is in the best interest of my treatment to share some information with other center staff, they will do so only on a need-to-know basis as allowed through the authorization for disclosure under HIPAA (the Health Insurance Portability and Accountability Act) that I have signed.

2. If I become a danger to myself or others, center staff will take measures to maintain my safety, and the safety of others. This may include a medical separation based on an evaluation by the health and wellness staff.

3. If I disclose any past or present abuse of a minor, center staff are legally required to report the abuse to the appropriate children’s social services agency.

4. If I disclose any past or present abuse of an elder, center staff are legally required to report the abuse to the appropriate adult protective services agency.

I have read and understand the above information concerning mental health and wellness assistance, my privilege of confidentiality, and the limitations to it. This consent will remain in force during my stay in Job Corps.

/  
Job Corps Applicant’s Signature  Date

/  
Parent’s Signature (Required if Minor)  Date

/  
Admissions Counselor’s Signature  Date
FORM 1-02
RECORDS RELEASE AUTHORIZATION

To:                                  From:

Date of Request:                     Date of Receipt:

Please print your name, sign, date, and return this form with the information requested below.

<table>
<thead>
<tr>
<th>Academic Transcript</th>
<th>High School Equivalency (HSE) Transcript</th>
</tr>
</thead>
<tbody>
<tr>
<td>Copy of High School Diploma</td>
<td>Copy of HSE Certificate</td>
</tr>
<tr>
<td>Individual Education Plan (IEP), Psycho-educational Evaluations, Eligibility and Triennial Evaluation Reports, and IEP Progress Notes</td>
<td>Criminal Background Check</td>
</tr>
<tr>
<td>504 Plan and Eligibility Evaluation Reports</td>
<td>Medical/Mental Health/Dental Records</td>
</tr>
</tbody>
</table>

MAIL TO:

Outreach and Admissions (OA) Agency/Center Name:
Number, Street:
City, State, Zip Code:

If you have any question regarding this request, please call (________) - ____________.

Signature:                              Date:

Printed Name:                           Title:

STUDENT INFORMATION:

Name:  
(Last) (First) (Middle)

Social Security Number: Dates of School Attendance:
Date of Birth (MM/DD/YYYY): Mother’s Maiden Name:

INFORMATION RELEASE AUTHORIZATION:

My signature below authorizes the release of the requested information. This authorization remains in effect for a period of 1 year from the date of this request.

Student Signature:

Signature of Parent or Guardian (if applicant is under 18 years of age):

Address:
City:  State:  Zip Code:  Phone #:
Records Release Authorization – Instructions

Admissions Counselors (AC) may use the “Records Release Authorization” to obtain educational records of Job Corps applicants. The following information explains the sections of the form.

To: Agency from which the AC/OA office is requesting information (verify correct and current address)

From: Name of the AC requesting the information

Date of Request: Date when the request is sent

Date of Receipt: Date when the AC received the requested information (or date when the center received the requested information, if the form was sent to the center as documentation that the AC has made the request prior to a student’s enrollment/arrival)

Academic Transcript or Copy of HSD: Send request to the high school or middle school office (NOT to the guidance or counseling offices, which are often closed during school breaks and vacations)

HSE Transcript or Copy of Certificate: Send to the HSE testing site where the applicant took his or her HSE tests

IEP or 504: Send request to the Office of Special Education, or the high school or middle school office

Mail to: Enter the recipient’s address

Telephone Number: Enter the AC’s contact number

Name, Signature, and Date: To be completed by the person responding to the request

Student Information: To be completed by the AC with information provided by the applicant

Information Release Authorization: To be completed by the applicant or the applicant’s parent or guardian (if applicant is an unemancipated minor), with assistance from the AC
FORM 1-03
JOB CORPS APPLICATION STATEMENT OF SUPPORT

Applicant Name: ____________________________ Interview Date: ____________________________

To Whom It May Concern:

I do not have any earned income. I am being supported by ____________________________
(Supporter’s Name)

who provides me with room and board. I am/I am not claimed as a dependent by this person for
tax purposes. ____________________________ is not charging me for room and board.
(Supporter’s Name)

Applicant’s Signature: ____________________________ Date: ____________________________

Supporter’s Signature: ____________________________ Date: ____________________________
Address: ____________________________ ____________________________ ____________________________ __________
City: ____________________________ State: ____________________________ Zip Code: ____________________________ Phone #: ____________________________

Admissions Counselor’s Signature: ____________________________ Date: ____________________________
FORM 1-04
INFORMATION FOR PERSONAL CAREER DEVELOPMENT PLAN

Admissions Counselors must use this form only to collect information for the applicant’s Personal Career Development Plan, and to help Job Corps provide services to the applicant if he or she enrolls in Job Corps. The information collected must not be used to determine whether the applicant meets eligibility requirements.

Responses should be recorded in the Outreach and Admissions Student Input System (OASIS).

a. APPLICANT HISTORY
   1. Explain reason for pursuing further training.

b. GOALS
   1. Short-term goals (What does applicant plan to accomplish during Job Corps enrollment?)
   2. Long-term goals (What are the applicant’s career, educational, career technical training, and personal plans subsequent to Job Corps enrollment?)
   3. Action plan for accomplishing these goals, including target dates (Discuss with the applicant short- and long-term goals, and establish realistic target dates for completion.)

c. NEEDS
   1. Discuss recommended length of stay to receive the maximum benefit from the program. Record and summarize applicant’s responses to discussion of planned duration of training.
   2. Does applicant have an interest in a specific career technical training area? Yes/No If yes, please explain. List choices, if no. Summarize results of career technical training choices discussion.
   3. If required, is the admitted applicant willing and able to relocate for advanced training or placement? Yes/No
   4. Discuss career technical training choices, and correlation with labor market information. Summarize discussion of need and willingness to relocate for advanced training and quality placement.
FORM 1-05
JOB CORPS APPLICANT ELIGIBILITY FOLDER INVENTORY

Admissions Counselors must use the following layout when creating an applicant folder. **Only the documents listed on this form should be included, as applicable.** Please see Exhibit 1-1, Eligibility Requirements, for more information on the types of required documentation. The Applicant Eligibility Folder Cover Sheet must be stapled to the front of the folder and the inside left flap.

Name of Applicant: ___________________________ Student ID#: ___________________________

English Language Learner: □ Yes □ No  If yes, specify language: ___________________________

Veteran (see Exhibit 1-2, Factors for Priority Enrollment): □ Yes □ No

<table>
<thead>
<tr>
<th>Folder Inventory</th>
<th>Right Side</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Left Side</strong></td>
<td><strong>Child Care (if applicable)</strong></td>
</tr>
<tr>
<td>ETA 652</td>
<td>□ Child care certificate (ETA 682)</td>
</tr>
<tr>
<td>U.S. Citizen Documentation</td>
<td>□ Single Parent Contract Form</td>
</tr>
<tr>
<td>□ Social Security Card</td>
<td><strong>If applicant is applying to a residential parent dorm or applying for a child care allotment then the following documents should be included:</strong></td>
</tr>
<tr>
<td>□ Birth Certificate</td>
<td>□ Child’s birth certificate</td>
</tr>
<tr>
<td>□ U.S. Passport</td>
<td>□ Child’s Social Security card</td>
</tr>
<tr>
<td>Legal Resident Documentation</td>
<td>□ Child’s immunization records</td>
</tr>
<tr>
<td>□ Military Records (DD 214)</td>
<td>□ Child’s medical or physical records</td>
</tr>
<tr>
<td>□ Unexpired foreign passport with Form I-94 containing a refugee admission stamp</td>
<td></td>
</tr>
<tr>
<td>□ Alien Registration Receipt Card: I-688A or I-688B; Temporary Residence Card, I-688; or Employment Authorization Card: I-551 or I-151 (green card)</td>
<td></td>
</tr>
<tr>
<td>Age Documentation</td>
<td></td>
</tr>
<tr>
<td>□ Birth certificate</td>
<td></td>
</tr>
<tr>
<td>□ Driver license/state identification card</td>
<td></td>
</tr>
<tr>
<td>□ U.S. passport</td>
<td></td>
</tr>
<tr>
<td>□ Department of Homeland Security (DHS) Documents, such as Document I-55 Permanent Resident Card or Form I-94 Arrival/Departure Record</td>
<td></td>
</tr>
<tr>
<td>Other official forms or documents from other government agencies, such as school records, welfare documents and employment records that identify the applicant’s name and date of birth.</td>
<td></td>
</tr>
<tr>
<td>Eligibility Requirements</td>
<td>Behavioral Adjustment History &amp; Court Involvement and/or Agency Supervision</td>
</tr>
<tr>
<td>□ Written statement from the court or appropriate agency that the applicant has responded positively to supervision, and that it will permit the applicant to leave the local area or state while enrolled in Job</td>
<td></td>
</tr>
</tbody>
</table>
### Corps
- Paperwork showing dismissal of criminal charges
- ETA 655 Court Documentation
- ETA 655A Institutional Statement
- Criminal background results
- Supplemental documentation relating to behavioral history
- Written statement from the court or appropriate agency certifying the approval of the applicant’s release from its supervision, that the applicant’s release does not violate applicable laws and regulations
- Receipt of paid fines or court-ordered restitution
- Confirmation of completion of community service hours

### Parental Consent for Minors
- Emancipation papers
- Marriage license
- ETA 652 (documents proving that signer is the parent of the minor may be included)

### Readmission
- OASIS Readmission Report

### Selective Service Registration
- ETA 652

### Required Non-Eligibility Forms
- Rights to Use Photographic Likeness or Moving Images Release Form (Form 5-08)
- Equal Opportunity Notice (Form 1-09)
- Parental Consent Form

### Low Income – One or More of the Following:
- Letter or printout from appropriate government agency acknowledging family receipt of cash payments from income-based public assistance e.g., documented eligibility for the Supplemental Nutrition Assistance Program (SNAP) at the current time or within the previous 6 months; public assistance voucher or payment stub; documented phone contact with case worker
- At least 1 month’s worth of paycheck stubs from each employer, for each working adult member of the family, during the last 6 months, which indicate the employer’s name and date of the check
- Income verification statements from, or documented phone calls with employers
- Excludable income: Letter of receipt of Supplemental Security Income or letter of receipt of unemployment insurance
- Tax returns or W-2s
- A letter from, or documented phone contact with caseworker or public agency personnel attesting that the applicant is in foster care or is a ward of the court or state
- A letter from, or documented phone contact with a homeless shelter or support provider attesting that the applicant is homeless
- Statement of support, signed by applicant, AC, and support provider, if possible
- Documentation of excludable military income for veterans

### Non-Required Non-Eligibility Forms
- Career Interest Assessment Tools, e.g., O*NET Interest Profiler
- Personal Career Development Plan (PCDP)
- State-specific parental consent form for Drivers Learner’s Permit
- State-specific parental consent form for General Education Diploma (GED) test
<table>
<thead>
<tr>
<th>☐</th>
<th>If a victim of a severe form of trafficking in persons who does not meet the low income requirement, documentation as to the status of the applicant.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Requires Additional Education and Training (ETA 652 Form), and one or more of the following:</td>
<td></td>
</tr>
<tr>
<td>☐</td>
<td>The GED certificate or Official GED Test Scores</td>
</tr>
<tr>
<td>☐</td>
<td>School transcript request documentation</td>
</tr>
<tr>
<td>☐</td>
<td>A copy of a HSD or official transcript of completion</td>
</tr>
<tr>
<td>☐</td>
<td>Documented efforts by AC to obtain school records or standardized test results</td>
</tr>
<tr>
<td>☐</td>
<td>Foreign diploma</td>
</tr>
</tbody>
</table>

**Confidential Envelope**

|☐ | Records Release Authorization Form (Form 1-02) |

**Health and Disability History Envelope**

|☐ | Any other protected medical/disability-related information (i.e., summaries from current and/or recent medical providers or treatment facilities, including mental health and substance abuse) |
|☐ | Orthodontic treatment form (if applicable) |
|☐ | ETA 653 |
|☐ | Authorization for Use and Disclosure of Your Health Information Form (HIPAA) |
|☐ | Job Corps Informed Consent to Receive Mental Health and Wellness Treatment |
|☐ | Medical records |
|☐ | Individualized Education Program (IEP) |
|☐ | Reasonable Accommodation Request Form |
|☐ | Psycho-educational reports |
|☐ | Other cognitive and achievement testing results |
|☐ | Immunization records (required) |
|☐ | Dental records (if applicable) |
|☐ | Supplemental documentation relating to health and wellness (examples) |
|☐ | Copy of health insurance card (front and back) |
|☐ | Memo to Health and Wellness Center Staff |

/  
Admissions Counselor’s Signature Date  

/  
Admissions Contractor Quality Check Signature Date  

/  
Center Quality Check Signature Date
FORM 1-06

JOB CORPS REASONABLE ACCOMMODATION REQUEST FORM-ADMISSIONS

Note: Only applicants who are requesting reasonable accommodation to participate in the admissions process should complete this form. Admissions Counselors (AC) should only follow the process outlined in this form when an applicant needs accommodation to participate in the admissions process.

Applicants who are requesting accommodation to participate in the Job Corps program should complete the Job Corps Reasonable Accommodation Form in Form 2-03. ACs should follow the process described in Section 1.2, R3 and Section 6.4 R4 when an applicant is requesting accommodation to participate in the Job Corps program.

BACKGROUND

What are reasonable accommodations? Reasonable accommodations are any changes to the environment, or in the way things are customarily done, that give a person with a disability an opportunity to participate in the application process that is equal to the opportunity given to similarly situated people without disabilities. Although many people with disabilities can (and do) apply for the Job Corps program without any reasonable accommodations, barriers do exist that keep other potential applicants from applying, and that could be overcome with some form of accommodation. Reasonable accommodation may involve providing an appropriate service or product; modifying or adjusting a job, work/academic environment, policy, program, or procedure; or any other action that removes those barriers for the person with a disability.

For additional background information on reasonable accommodation, please see Form 2-03.

POLICY

A qualified applicant with a disability is entitled to request and receive reasonable accommodation at any time during the admissions process. If an applicant with a disability is requesting accommodation to participate in the admissions process, the Outreach and Admissions (OA) contractor must engage the applicant in an interactive process to determine the limitations resulting from their disability, and the potential accommodations that would allow them to participate in the admissions process.

Keep in mind that the applicant is the best source of information about his or her disability, and what assistance is needed to participate in the admissions process. No accommodation should be implemented without the applicant’s consent.
PROCESS

Requests

A reasonable accommodation request can be communicated in any form (e.g., oral, written, sign language); however, the request must be documented on the Job Corps Reasonable Accommodation Request Form-Admissions (included in this form). This is a Job Corps required form; it must be used as is, and is the only form that can be used to document the reasonable accommodation process. The AC will go through the form with the applicant, and may assist with its completion, as necessary.

If the applicant is requesting reasonable accommodation to participate in the admissions process, the AC:

a. Must address the applicant’s accommodation needs immediately

b. Must not begin, or continue with any part of the admissions process for which the applicant has requested accommodation until the accommodation has been provided. Other parts of the admissions process may go forward if they do not directly involve the applicant’s participation, or if the applicant states that he or she does not need accommodations for those parts

See Form 2-03 for the policy on providing accommodations to an applicant who requests them to participate in the Job Corps program.

Documentation

Under federal disability nondiscrimination law, OA staff cannot ask for documentation when (1) both the disability and the need for reasonable accommodation are obvious; or (2) the person has already provided the OA staff with sufficient information to substantiate that she or he has an actual, current disability, and needs the reasonable accommodation requested.

When the disability and/or the need for accommodation is not obvious, OA staff may ask the applicant for reasonable documentation about his or her disability and functional limitations. The OA staff is entitled to know that the person actually has a covered disability for which she or he needs a reasonable accommodation.

Reasonable documentation means that the OA staff may require only the documentation that is needed to establish that a person has an actual, current disability, and that the disability necessitates a reasonable accommodation. Thus, the OA staff, in response to a request for reasonable accommodation, cannot ask for documentation that is unrelated to determining the existence of a disability and the necessity for an accommodation.

OA staff may require that the documentation about the disability and the functional limitations come from an appropriate health care or rehabilitation professional. The appropriate professional in any particular situation will depend on the disability and the type of functional limitation it
imposes. Appropriate professionals include doctors (including psychiatrists), psychologists, nurses, physical therapists, occupational therapists, speech therapists, vocational rehabilitation specialists, and licensed mental health professionals.

The OA staff must maintain the confidentiality of all medical information collected during this process, regardless of where the information comes. If a person provides insufficient documentation of a disability in response to the OA staff’s initial request, the admissions staff should explain why the documentation is insufficient and allow the person an opportunity to provide the missing information in a timely manner.

See the Reasonable Accommodation Process section of the Job Corps Disability website (https://supportservices.jobcorps.gov/disability/Pages/AccommodationGuidelines.aspx) for examples of possible types of documentation for specific disabilities.

**Applicant Without Documentation**

If an applicant’s disability or need for reasonable accommodation is not obvious, and she or he refuses to provide the reasonable documentation requested by OA staff, then she or he is not entitled to reasonable accommodation. If an applicant suspects that she or he may have a disability that has not been diagnosed, and is unable to pay for an evaluation, the AC should provide appropriate referral information.

**Reviewing a Request**

OA staff will review the request. If the applicant requests an accommodation the OA contractor cannot provide, or is unsure how to provide, the contractor should request assistance from the Regional Office.

There are many gray areas in the interpretation of what constitutes a reasonable accommodation, therefore, Job Corps has no specific list of accommodations that will or will not be provided. Each request for accommodation should be evaluated individually and a determination made regarding whether it is reasonable. When evaluating a request for reasonable accommodation, the following factors should be considered:

a. Effect of the request on overall financial resources of the OA contractor, the region, and the program;

b. Does the OA contractor have the funds to provide the accommodation?

c. Can the Regional or National Office provide funding or identify other resources that may provide funding?

d. What effect would funding a particular accommodation have on the total amount of resources available to the OA contractor, region, and/or program?

1. Availability of outside funding: Can other agencies/organizations provide or
contribute to the cost of providing the accommodation?

2. Effectiveness of the accommodation: Will it allow the individual applicant to participate in the admissions process?

3. Benefits of the accommodation: Could the requested accommodation benefit other persons with disabilities?

4. Effect of the accommodation: Will the requested accommodation affect the daily operation of the OA contractor, or affect the ability of staff to do their job?

5. Is there a more appropriate accommodation at another admissions office?

**Undue Hardship and Fundamental Alteration**

If granting a requested accommodation would pose an undue hardship or fundamental alteration to the program, Job Corps is not obligated to provide it. Undue hardship means that providing the accommodation would be unduly costly or extensive when considered in light of the factors mentioned above. Fundamental alteration means that providing the accommodation would alter the nature or operation of the program based on the factors mentioned above.

In cases where the OA contractor determines that providing the accommodation would be an undue hardship or fundamental alteration, the OA contractor must take any other action that would not result in such a hardship or alteration, but would allow the applicant to participate in the admissions process.

An applicant cannot be prohibited from participating in the admissions process based solely on the need to provide reasonable accommodation.

**Denying a Request**

No accommodation can be denied at the OA staff level. If the OA contractor believes that providing the accommodation would be an undue hardship or a fundamental alteration to the nature or operation of the program, and no alternate accommodation can be agreed upon, the decision must be forwarded to the Regional Director for a final decision.

Before sending a recommendation for denial to the region, the OA staff should review the following statements to determine if the reasonable accommodation process was completed.

a. The OA staff met with the applicant and his or her parents/guardians/advocates to determine his or her reasonable accommodation needs.

b. The interactive process was well documented.

c. Specific accommodations were considered.
d. If appropriate, the OA staff contacted the Job Accommodation Network (JAN), and documented the date, name of the JAN staff person who assisted, and JAN’s recommendations for accommodation.

e. The reason for the recommendation for denial is clearly documented, and is because providing the accommodation would be an undue hardship or a fundamental alteration to the nature or operation of the program.

f. The OA staff offered another solution that would permit the applicant to participate in the program to the greatest extent possible. The applicant’s decision to decline this offer is documented.

g. If the recommendation for denial is based on undue hardship due to cost, the Regional and National Offices were contacted to determine if assistance could be provided.

h. The review of the request was completed within a reasonable amount of time (e.g., a dated log tracks the accommodation process, and there was continuous progress toward a resolution unless extenuating circumstances are indicated on file).

If all steps were taken, the OA staff should submit all documentation/notes/forms related to the request to the region for a final decision. The Regional Director will then make a determination after consultation with regional health consultants, other appropriate staff, and the National Office (e.g., national health staff) as to whether there is an obligation to grant the accommodation request. If the Regional Director determines that the accommodation should be granted, the accommodation will be provided. If the Regional Director determines that there is no obligation to grant the accommodation, the Regional Office will provide the applicant with a written statement that includes the reason for the denial, and why no other accommodation is possible.

Record Keeping

Documentation relating to the reasonable accommodation request should be located in a separate envelope maintained with the applicant’s file. When preparing the file to submit to the center of assignment for review, the envelope of disability documentation/information must be sealed and included with the rest of the file.

CONFIDENTIALITY

Information regarding the applicant’s disability and prior accommodation will be discussed during the reasonable accommodation process. To maintain confidentiality, documentation is made available on a need-to-know basis only, and participants in the process should not discuss information about the request outside of the process. Those responsible for implementing the accommodation will be informed of the accommodation, and the reasons for it, only to the extent necessary to ensure effective implementation of the accommodation.
FUNDING

Often, an individual with a disability can be accommodated with little or no financial expenditure. For example, the individual may already have the accommodation they require, or they can be accommodated using existing OA resources. Sometimes the accommodation merely requires a change in a policy, program, or procedure (e.g., oral provision of application information). OA contractors are responsible for any costs associated with providing reasonable accommodation during the admissions process. If the OA contractor cannot fund the request, the Regional Office should be contacted.

For more detailed information, tools/forms, tips, and resources to support the reasonable accommodation process, see the Reasonable Accommodation Process section (https://supportservices.jobcorps.gov/disability/Pages/AccommodationGuidelines.aspx) of the Job Corps Disability website.
JOB CORPS REASONABLE ACCOMMODATION REQUEST FORM-ADMISSIONS

If you are a person with a disability, you may request accommodations (changes in the way things are done, or other types of help) to assist you in participating in and completing the application and admissions process for the Job Corps program. If you ever,

- Had an IEP or 504 plan in school;
- Had special education support;
- Had extra supports or pullout classes in school;
- Were given extra time to complete assignments or tests in school;
- Were allowed extra time to get to and from class;
- Received any adjustments to the scheduled school day for appointments or because of side effects of medication;
- Got help in a resource room in high school;
- Were/are a client of Vocational Rehabilitation or a related program;
- Receive Supplemental Security Income (SSI) or Social Security Disability Insurance (SSDI);

you may be qualified to receive reasonable accommodation.

If you are worried about talking about your disability, it is important to understand that Job Corps upholds strict policies on confidentiality, which means this information will only be shared with those who need to know. The main reason for you telling us about your disability is so you can get the support you need to participate effectively in the Job Corps admissions process.

Depending on your disability and the type of accommodation you are asking for, we may ask you to provide documentation about your disability and how it affects you so we can determine your need for reasonable accommodation. Your AC can assist you with the accommodation process.

If you indicate in section A below that either you would like accommodations or think you may need accommodations, the AC will discuss your request with you, and assist you in the completion of the process, if needed.

Your request will be reviewed and you will be notified of the decision. If we cannot provide you with an accommodation you have asked for because it is unreasonable, we will explain why, and we will offer you at least one alternative accommodation.

*Your Admissions Counselor will guide you through completion of this form.*
SECTION A – APPLICANT

Name:

(Last)  (First)  (Middle)

Address:
City:  State:  Zip Code:

Contact Information (include all phone numbers and email addresses:)

☐ I would like to request accommodations to participate in the Job Corps admissions process. 

Please list the accommodations requested:

☐ ____________________________________________________________

☐ ____________________________________________________________

☐ ____________________________________________________________

☐ ____________________________________________________________

☐ I think that I may need an accommodation, but I am not sure what accommodations I will need.

/Applicant/Student Signature  Date

/Parent/Guardian Signature  Date

SECTION B – ADMISSIONS COUNSELOR

I have met with the applicant to discuss his or her accommodation needs. At this time the applicant

☐ Has been approved to receive the following accommodations to participate in the admissions process.

☐ ____________________________________________________________

☐ ____________________________________________________________

☐ ____________________________________________________________

☐ ____________________________________________________________
☐ Does not wish to receive any accommodations. The applicant has been informed that he or she may request reasonable accommodation at any time.

☐ And the AC cannot agree on requested and/or offered accommodations, and alternative accommodations have been offered and rejected. All documentation related to the accommodation plan is being sent to the Regional Office for review. This documentation includes information on the accommodation requested, alternate offered, and reason for applicant rejection of alternate accommodation.

/  
Admissions Counselor Signature  Date

SECTION C – APPLICANT
I have met with the AC to discuss my accommodation needs. At this time

☐ We have agreed to accommodation.

☐ I do not wish to receive accommodations. I understand that I may request reasonable accommodation at any time.

☐ We cannot agree to accommodations and I do not accept the alternative accommodations offered. I understand all documentation related to my accommodation plan is being sent to the Regional Office for review.

/  
Applicant/Student Signature  Date

/  
Parent/Guardian Signature  Date

The original of the document should be stored with the rest of the applicant’s file.
FORM 1-07
APPLICANT FILE REVIEW GUIDANCE – CENTER PROCESS

Purpose

To provide additional information and guidance on the center file review process outlined in Chapter 1, Section 1.2 R7 and R8, and Section 1.5.

Background

Congressional and administrative mandates require Job Corps to enroll applicants who meet the admissions requirements imposed by Congress (as interpreted by the U.S. Department of Labor in the Job Corps regulations). This guidance will assist the center’s applicant File Review Team (FRT) in understanding:

- The team’s roles and responsibilities in the applicant file review process;
- The components of the applicant file review process; and
- The documentation requirements associated with the applicant file review process.

Standard Operating Procedure (SOP)

Each center is required to have a written procedure describing the center’s process for reviewing applicant files. This policy should describe in detail how an applicant file is processed from the time it arrives on center until the applicant is accepted into the program and assigned a start date, or recommended for denial and a final disposition made by the Regional Office. See Chapter 1, Section 1.5, R3(c).

All center policies must address the following requirements:

- Location where files are sent and logged in upon arrival to the center and the method of tracking the movement of the file to include an explanation of the center’s disposition of the file.

All applicant files received from Outreach and Admissions (OA) are forwarded to the center records department where the applicant file review process begins. The records department enters the necessary file information into the tracking log (see Chapter 1, Section 1.5, R3 a) and forwards the sealed medical, health, and/or disability-related information unopened to the Health and Wellness Manager (HWM) or his or her designee for a health documentation review and initial direct threat review. During the applicant file review, the center may also revisit an applicant's eligibility status only if there is new information that the Admissions Counselor (AC) could not have reasonably known at the time of the certification of eligibility that now leads the center to believe that the applicant may no longer be eligible for Job Corps.
• Responsibilities and roles of applicant File Review Team members to include the Health and Wellness Manager, the center clinicians, and the center’s Disability Coordinators (DC) which usually will include the center’s Health and Wellness Manager;

• Procedures for reviewing an applicant file to include the acceptable reasons for recommending denial of an application (see “Application Outcomes”);

• Procedures for reviewing and determining reasonable accommodation;

In cases where an applicant requests accommodation or there is presence of information/documentation in the applicant file that indicates that s/he may be a person with a disability, the applicant must be engaged in an interactive process to determine reasonable accommodation needs (see Form 2-03) prior to the applicant’s arrival on center or as a component of completing the health-care needs or direct-threat assessments.

• Procedures for submitting applicant files for regional review when there is a recommendation for denial;

All files that are recommended for denial by the center must be forwarded to the Regional Office for final disposition. Centers must follow the appropriate regional procedure for preparing and submitting files recommended for denial and include the appropriate completed center recommendation of denial form (see Form 1-07 and Form 1-08).

If a center’s recommendation of denial based upon new information is overturned (i.e., the applicant remains eligible), the file is returned to the center with instructions for it to resume the file review process. The center has the option to complete a health-care needs or direct-threat assessment, if appropriate or if not, to enroll the applicant.

If a center’s recommendation of denial based upon health-care needs or direct-threat status is overturned by the region, the applicant’s file is returned to the center and the applicant must be scheduled for enrollment. The scheduled arrival date must be based upon the date when the application arrived on center and not by the date it was returned from the region.

• Procedures for processing application withdrawals both before and after submitting a file for regional review;

If the applicant withdraws his or her application or chooses not to enroll, all supporting documentation, including all medical, health, and disability-related documentation, must be returned to the AC if the application has not entered into regional review. If the application is under regional review, all supporting documentation, including all medical, health, and disability-related documentation must be returned to the Regional Office for final processing. Medical, health, and disability-related documentation must be placed in a sealed envelope by a person who is authorized to have access to that information, and
included with the rest of the information about the applicant (see Appendix 202) before being transmitted to either the AC or the Regional Office.

- Time frame the center establishes to complete the file review process to ensure it meets the Policy and Requirements Handbook (PRH) required time frame of 30 calendar days (see “Timelines for Review”);

- Storage and maintenance of the applicant file information (see the “Storage and Transmission of Health and Disability Information” section below).

**Timelines for Review**

The applicant file review process must be completed within 30 days of the receipt of the file on center. Taking excessive amounts of time to review a file for a person with a disability may be construed as discriminatory when compared to the amount of time it takes to review a file of an individual without a disability. If the center reasonably needs additional time to complete the review of the applicant file, then the center may request an extension from the appropriate regional program manager.

**Storage and Transmission of Health and Disability Information**

When filing, storing, and transmitting Individualized Education Programs (IEP), Section 504 plans, IEP/special education diplomas, similar documents, or any documents indicating that a particular applicant has such documents, strictly comply with the following legal requirements related to medical and disability-related information, as explained in Appendix 202.

- Maintain and/or place acquired health and disability documentation in a separate envelope marked “Health/Disability Records.” Health-care needs or direct threat assessments are medical information and must be included within the medical envelope.

- Carefully limit access to these documents. For example, keep hard copies of the documents in locked files; ensure that electronic copies of the documents are password-protected. Be vigilant about who is permitted to know the password, or to have access to the key or combination that opens the lock. Appendix 202 explains what categories of persons are legally authorized to have access to the documents.

- Transmit hard copies of the documents in sealed envelopes in accordance with Appendix 202. Make the best effort to ensure that the copies are delivered only to persons who are authorized to have access to those specific types of documents.

**Application Outcomes**

Once application has been made to the Job Corps program, it must result in one of the final dispositions listed below:

- Enrollment
• Applicant withdrawal

• Recommendation for denial – a center FRT (along with Center Director approval) may recommend denial of enrollment if:
  
  o The applicant has been determined to pose a direct threat.
  
  o The applicant’s health-care needs exceed those of basic care and cannot be met by the center.
  
  o The applicant’s health-care needs are manageable at Job Corps as defined by basic health-care services in Exhibit 2-4, but require community supports and services which are not available near center.
  
  o There is new information that the AC could not have reasonably known that demonstrates that the applicant no longer meets one or more of the eligibility requirements.

All files that are recommended for denial by the center must be forwarded to the Regional Office for final disposition. Centers must follow required procedures for preparing and submitting files recommended for denial (see “Regional Review” section). Center responsibilities in the applicant file review process are outlined in Chapter 1, Section 1.2 R7 and R8, Section 1.5, and described in detail in this form.

Withdrawals of Application

Processing a Withdrawal – Applicant Request

Document the applicant’s request for withdrawal of his or her application and return the file to OA if a recommendation of denial has not yet been made.

Processing a Withdrawal – Center is Unable to Reach Applicant

If the center is completing its review of an applicant file and is unable to reach the applicant to conduct clinically related interviews, or to complete the reasonable accommodation process within the health care needs or direct threat assessment, then the center, in collaboration with the AC, would document the attempts to contact the applicant and if unable to do so, then would process the file as a withdrawal.

The routing of the applicant’s file is dependent upon what part of the process the application is in when the staff is unable to reach the applicant.

  • If the center has not yet made a recommendation regarding enrollment, the file would be returned to OA.
• If the center has submitted a file to the Regional Office as a recommendation of denial and the file has been returned to a center to complete some missing component of the file review process, then the file must be returned to the Regional Office. Once a file is in regional review, the final disposition of the file is within the purview of the Regional Director or his or her designee even if that is to simply verify the withdrawal documentation before returning the file to the AC.

The center must document its good faith effort in making these contacts and the applicant should be afforded a reasonable amount of time to respond to such requests.

Awaiting Documentation

If the center has requested additional documentation about an applicant and it does not receive that information or the applicant does not have the means to secure it, the center must make a decision on the information that is available to it (i.e., documentation already collected along with the clinical interview findings). The applicant’s file may not be returned to OA as unable to process if the center has been able to reach the applicant to conduct the clinically related interviews (see “Timelines” section).

Direct Threat

Job Corps requires that applicants not have conditions, disabilities, or behaviors that pose a direct threat to the health or safety of themselves, other students, or staff; and behaviors that cannot be eliminated by reasonable accommodations or modifications. In the case of an applicant, a direct-threat assessment typically will occur after the applicant has received conditional assignment to a Job Corps center and has completed the “Job Corps Health Questionnaire (ETA 653)” and if an initial review of the specific information that has been received about that applicant (including the “Job Corps Health Questionnaire (ETA 653),” the medically related information that has been collected by the AC, and the results of the background check) indicates that he/she may have a medical condition or disability that poses a significant risk of substantial harm to the health or safety of the individual or others.

If the specific information in the folder appears to meet the standards described above, the Health and Wellness Manager will forward the applicant’s information to the appropriately qualified licensed health provider employed by the center for a detailed direct threat assessment.

Reasonable Accommodation in the Direct-Threat Process

If the applicant’s condition rises to the level of disability and documentation of the disability has been provided or the disability is obvious (i.e., person who is blind, uses a wheelchair, etc.), then the center’s Reasonable Accommodation Committee (RAC) needs to engage the applicant in the interactive reasonable accommodation process (see Form 2-03).

The applicant must be a participant in this discussion. The goal is to identify the symptoms and behaviors that are resulting in the applicant being reviewed as a direct threat and then determining whether or not reasonable accommodation will support the individual such that the
symptoms and behaviors (i.e., the barriers to enrollment) are sufficiently reduced so that the applicant would no longer pose a direct threat. Accommodations are not intended to treat the impairment.

The “Form for Individualized Assessment of Possible Direct Threat” found in Form 2-04 must be used to complete the direct threat assessment. Form 2-04 also contains detailed information and guidance for conducting a direct threat assessment.

**Health-Care Needs**

If the center staff believes that the health care needs of an applicant is beyond what the Job Corps Health and Wellness Program can provide as defined as basic-health care in Exhibit 2-4, then the center would complete the “Form for Individualized Health-Care Needs Assessment” in Form 2-05. A component of the process will be to determine whether or not reasonable accommodation would sufficiently reduce or eliminate the barriers to providing the necessary health care needs. If so, the center would enroll the applicant. If not, the center will forward the “Form for Individualized Health-Care Needs Assessment” in Form 2-05 and any supporting documentation along with the applicant file to the Regional Office as a recommendation of denial.

*Reasonable Accommodation in the Health-Care Needs Assessment Process*

If the applicant’s condition rises to the level of disability and documentation of the disability has been provided, then the center RAC needs to engage the applicant in the interactive reasonable accommodation process (see Form 2-03). The applicant must be a participant in this discussion. The goal is to identify the symptoms and behaviors that are resulting in the center’s determination that the applicant’s basic health-care needs exceed those provided by Job Corps and then determining whether or not reasonable accommodation will support the individual such that the symptoms and behaviors (i.e., the barriers to enrollment) are sufficiently reduced so that the center would be able to meet the applicant’s basic health-care needs. Accommodations are not intended to treat the impairment.

*Documenting Local Resources when Recommending Enrollment at another Center*

When the center clinical staff believe that an applicant’s health-care needs are manageable within the Job Corps program but only if the applicant is located within his or her home state and closer to existing resources, the center must document efforts to arrange for less frequent treatment in home state and/or to secure community support near center and include this information in the health-care needs assessment. Then if the center determines that the applicant’s health-care needs cannot be met locally, the applicant should be considered for possible enrollment at a center closer to home where health support and insurance coverage is available.

In determining the need to consider enrollment at another center, document the following efforts:

- Contact the treating provider and discuss applicant’s needs and see if treating provider (not the Health and Wellness staff) recommends less frequent treatment or monitoring.
• If treating provider does not recommend changes to frequency of treatment or monitoring, consider local community services with sliding fee scales for applicants without insurance or insurance in another state.

• If community services are not available, document name of local resource contacted and information provided by the resource.

The file should then be forwarded to Regional Office for final determination.

Review of Applicant’s Health-Care Needs by the Alternate Center

When a Regional Office has upheld a center’s recommendation of denial that it cannot meet the basic health-care needs of an applicant but has indicated that the health-care needs could likely be met if the applicant were to be considered for a center closer to those needed resources and supports, the file is returned to the AC who will work with the applicant to identify an alternate center.

Once an alternate center is identified, the AC submits the file to that center. The medical envelope should already contain the health-care needs assessment that was completed from the previous center. The center completes a clinical assessment to determine if the center can meet the applicant’s health-care needs and the applicant’s current stability. If the center finds that it can meet the health-care needs of the applicant at their location, the center schedules the applicant for enrollment. If the center believes that the applicant’s health-care needs exceed those of basic care even with the access to local supports and services, then the center must complete its own health-care needs assessment and resubmits the file to the Regional Office for review.

The “Form for Individualized Health-Care Needs Assessment” found in Form 2-05 must be used to complete the health-care needs assessment. Form 2-05 also contains detailed information and guidance for conducting a health-care needs assessment.

New Information

Neither the center File Review Team nor its individual members may revisit the determination that an applicant is qualified for admission unless:

1. There is new information presented that the AC could not have reasonably known at the time the applicant’s qualification for admission was established;

2. This new information indicates that the applicant offered enrollment may no longer meet an eligibility requirement;

For example, an applicant may have committed a felony crime after the file was sent to the center and the center learned of this when the applicant told the Health and Wellness Manager that he could not make a visit to the center on a particular day because he had to be in court. This information prompted the Health and Wellness Manager to question the applicant further and he
disclosed he was being charged with a felony crime.

If the applicant doesn’t meet the initial admission criteria for court involvement after the adjudication of his charges, then the center completes the “Center Recommendation of Denial Form for New Information” (see Attachment A) and submits the file along with the recommendation form to the Regional Office as a recommendation for denial based upon review of new information.

**New Information—Age (Eligibility Requirement Criterion 2) and Income Eligibility (Eligibility Requirement Criterion 3)/Disability Status**

In general, ACs may not ask whether an applicant is an individual with a disability or about the nature and severity of a disability prior to conditional enrollment in Job Corps. At two points in the process of determining eligibility, however, ACs may invite an applicant to disclose whether he or she has a disability:

1. If the applicant is, or will be, over 24 years old on the date of enrollment, the maximum age limit may be waived if he or she is a person with a disability (Eligibility Requirement Criterion 2);

2. If the applicant would not meet the low-income requirement unless the applicant is considered a “family of one” because of disability (Eligibility Requirement Criterion 3).

The AC will not determine whether or not an applicant is a person with a disability. Appropriate center staff will do this since the AC does not review health and medical information. The AC will gather the documentation and place it in a sealed envelope with the applicant file that is then forwarded to the center for review.

- The center reviews the documentation of disability. If the documentation supports that the applicant is a person with a disability, the applicant file review process continues.

- If the center determines that the applicant is not a person with a disability, then the center completes the “Center Recommendation of Denial Form for Health-Care Needs, Direct Threat or Disability Status” (see Attachment B) and submits the form along with the file and submits to the Regional Office for review.

The centers are encouraged to collaborate with the AC in situations where center staff is having difficulty contacting the applicant.

**Center Applicant File Review Process**

Applicant file review is the process by which the center reviews health, medical and disability-related information, and other information about the applicant that was not reasonably available to the AC, to ensure that the applicant meets the eligibility requirements under applicable statutes, regulations, Executive Orders, and policies.
File review can be a complex process at times and in those and all situations, it is essential that an appropriate, uniform process is used and documented. An effective file review process requires cooperation between ACs, records staff, wellness staff, and possibly other staff.

**Step 1**
Applicant file(s) arrive on center.

All applicant files come from OA, and should be immediately forwarded to the center’s records department. Upon receipt of the file, the records staff must record the arrival of the record in a tracking log, and maintain the movement of the record until a final disposition of the application has been reached.

The records log should indicate:
- The date the file arrived on center
- To whom each file was sent
- How long a file has been with a particular department or staff member
- How long the file has been on center
- Notes/explanation of any delays in the process
- The start date or an explanation of the disposition of the file
- The applicant told HWM during clinical interview that he was no longer interested in coming to Job Corps so file was returned to OA

For those files sent to the Regional Office for review, the records log should contain:
- The date the file was sent
- To whom each file was sent
- The final disposition of the application

**Step 2**
The records department forwards the sealed medical, health, or disability-related information unopened to the Health and Wellness Manager.

**Step 3**
The HWM completes a review of the “Job Corps Health Questionnaire (ETA 653)”.

The information on the “Job Corps Health Questionnaire (ETA 653)” and the medically related documentation is reviewed to determine:
- The health care needs of the applicant
- Whether Job Corps can meet the health-care needs of the applicant
- If the applicant presents a direct threat to self or others

**Step 4**
Obtain consent for required routine medical assessments and/or consent to receive basic health care services. The HWM opens the sealed information and conducts a health-documentation review and initial direct threat review of the applicant’s file.

The Health and Wellness Manager determines who else may need to review the protected
information based upon his or her determination of “need to know.” This is essentially the center’s File Review Team and may include the following staff persons:

- HWM reviews medical information and directs the file review process
- Center Mental Health Consultant (CMHC) reviews mental health-related information
- Center physician reviews medical information
- Center dentist reviews oral health information
- TEAP specialist reviews substance-related information
- Academic Manager reviews IEPs, 504 plans, educational reports including special-education assessments

**Step 5**
The appropriate members of the File Review Team determine if a health care needs or a direct threat assessment is necessary or if there is a need to revisit the eligibility factors. *If there are no health-care needs or direct threat assessment necessary, skip to Step 11.*

**Step 6**
Convene the Reasonable Accommodation Committee if completing a direct threat assessment or a health care needs assessment and the applicant’s impairment rises to the level of disability in order to identify accommodations that may reduce the barriers to enrollment to a level that allows for the applicant to be enrolled in the program.

In these scenarios, the Reasonable Accommodation Committee would only be comprised of those staff members with a need to know and would likely consist of the center clinician, the Health and Wellness Manager/Disability Coordinator, and must include the applicant. Accommodation considerations might include those requested or suggested by the applicant and/or those suggested by staff members of the committee. Accommodations suggested by the staff members should generally be reasonable in scope and cost and seek to support manifestations, behaviors, or other barriers presented because of the disability.

For example, if a staff member on the committee believes that the applicant needs 24 hour a day 1:1 supervision and monitoring, that type of extreme suggestion is not likely going to be deemed reasonable and the applicant is also not likely to accept it. This concern should be noted in the narrative of the assessment form being completed but not listed as an accommodation. However, if an applicant were to request that the center consider providing him or her with 1:1 supervision, then a reasonableness review would need to be completed. See Step 9. Generally, the goal for staff committee participants is to assist the applicant in possibly identifying reasonable supports that would assist in reducing the barriers to enrollment that might allow the applicant to be able to enroll in the program.

**Step 7**
The Reasonable Accommodation Committee provides the File Review Team with its list of accommodation recommendations.

**Step 8**
Then the qualified licensed professional who conducted the original assessment must determine:
• In the case of direct threat, whether or not accommodations and/or modifications would remove the barriers to enrollment by sufficiently reducing the threat to below a level of direct threat or eliminating the direct threat.

• In the case of health-care needs exceed those of basic care, whether or not accommodations and/or modifications would remove the barriers to enrollment and make condition manageable at Job Corps as defined by basic health services in Exhibit 2-4 or would allow the center to meet the applicant’s health-care needs instead of recommending the applicant be referred to an alternate center where needed supports and services likely would be available.

See “Review of Applicant’s Health-Care Needs by the Alternate Center” above and “Review Process for Recommendations to Attend an Alternate Center” in Form 1-08.

If the Reasonable Accommodation Committee (inclusive of the licensed professional) cannot identify any appropriate accommodations to eliminate or reduce the level of threat or remove barriers due to health-care needs, then the clinician completing the direct-threat or health-care needs assessment would check “no” – that there were no appropriate accommodations that could be identified given the significance of the applicant’s current behavior and symptoms. Every effort should be made to identify appropriate accommodations, however, and Reasonable Accommodation Committees are encouraged to use identified resources (e.g., Job Accommodation Network) to assist them, as appropriate.

**Step 9**

If the individual is a person with a disability and accommodations or modifications that could either eliminate the risk or reduce it to an acceptable level have been listed by the center’s Reasonable Accommodation Committee, the Center Director is responsible for making a determination as to whether such accommodations or modifications would be “reasonable.” Unreasonableness may only be due to the accommodation(s) being unduly costly or the accommodation resulting in a fundamental alteration to the program. Guidance on how to make this determination is available in the “Evaluating a Request and Denying a Request” section of Form 2-03.

If the Center Director does believe that an accommodation(s) is unreasonable, then the “Accommodation Recommendation for Denial Form” (available on the Job Corps Disability website) must be completed. The team may assist the Center Director as needed in gathering the necessary data to make this determination.

**Step 10**

The center File Review Team must determine its recommendation of the application. The File Review Team, whether it meets collectively or individually, must make a recommendation as to the disposition of the file (i.e., accept, deny, etc.).

Any applicant file recommended for denial at the center level is packaged up along with supporting information and forwarded to the Regional Office for a final disposition.
The appropriate center recommendation of denial form should be placed in a separate envelope entitled “Regional Office.” All other documents, including the health-care needs and/or the direct-threat assessment, containing protected information must be placed within the sealed health/disability envelope.

**Step 11**

*Reasonable Accommodation Process when Enrolling*

If an applicant has been accepted or approved for enrollment and has either requested reasonable accommodation or there is information/documentation in the applicant file that indicates the applicant is a person with a disability, the center must engage the applicant in an interactive process to determine reasonable accommodation needs prior to assigning the applicant a start date. An applicant may not wish to receive accommodations and reject any suggestions offered. If they do, document any accommodations discussed and the applicant’s refusal of accommodation.

See Form 2-03 for detailed information about reasonable accommodation process requirements.

**Consistency in Documentation**

If a center physician, Center Mental Health Consultant, or other Health and Wellness staff disagrees with an opinion provided by an outside clinician or related professional regarding Job Corps’ ability to provide basic health-care needs of the applicant, the current condition of the applicant, or the applicant’s ability to manage relatively independently, the center physician and/or the Center Mental Health Consultant (as appropriate) should contact the outside professional to attempt to resolve the difference in opinion as well or be able to sufficiently document the reasons for it. If the outside professional can’t be contacted within a reasonable time frame, please indicate efforts within the health-care needs or direct threat assessments.

**Who Secures Documentation?**

*Outreach and Admissions*

The AC should obtain the needed information if the:

- Applicant has checked an affirmative answer on the “Job Corps Health Questionnaire (ETA 653)” for some type of medical, mental health or learning impairment
- Applicant has requested accommodations to participate in the program
- Applicant has indicated that he or she may need accommodations to participate in the program

*Center*

The center should obtain the needed information if the center staff discover additional medical
information that is needed to complete the direct threat or health-care needs assessment or to be able to assist the individual on center should he or she be enrolled.

It is strongly encouraged that the AC and center staff work together to gather information needed to make recommendations on applicants.

**Who Pays?**

If a center wants additional tests or evaluations from the applicant and this information is necessary to make an enrollment decision, the center may request the applicant obtain these if they have insurance and/or access to a facility that can provide the testing or evaluations at a rate the applicant can afford. The center will need to work with the applicant and AC to identify specific resources. If applicant cannot afford to obtain additional tests or evaluations, or has not provided the additional health information requested within a reasonable amount of time, the center must make its best recommendation based on the information available.

**Regional Review**

*Preparing Documentation for Regional Review*

If an applicant is being recommended for denial of enrollment, the applicant’s file and supporting documentation must be packaged and sent to the Regional Office for review.

Applicant files submitted to the Regional Office as a recommendation of denial for direct threat and health-care needs should include the following information:

- Completed “Center Recommendation of Denial form for Direct Threat, Health-Care Needs, and Disability Status” (Attachment B)

- Completed “Form for Individualized Assessment of Possible Direct Threat” from Form 2-04 if for direct threat or “Form for Individualized Health-Care Needs Assessment” from Form 2-05 if for health-care needs. Note: Submit only one assessment per applicant. Do not submit both a health-care needs and a direct-threat assessment.

- Supporting documentation

Applicant files submitted to the Regional Office as a recommendation of denial for new information should include the following information:

- Completed “Center Recommendation of Denial Form for New Information” (Attachment A)

- Supporting documentation

*New Information–Age (Eligibility Requirement Criterion 2) and Income Eligibility (Eligibility Requirement Criterion 3)/Disability Status*
If an applicant who meets the age or income eligibility requirements (Eligibility Requirement Criteria 2 and 3) for enrollment because he or she is a person with a disability, the center’s clinical staff reviews the applicant’s supporting documentation to verify that the individual is actually a person with a disability. If it is determined that the applicant is not an individual with a disability, the file and supporting documentation is forwarded to the Regional Office for review. The Regional Office forwards these files to the Regional Administrative File Review Coordinator for completion of the disability status review.

Applicant files submitted to the Regional Office for a disability review related to age or income should include the following information:

- Completed “Center Recommendation of Denial Form for Direct Threat, Health-Care Needs, and Disability Status”
- Supporting documentation

**Administrative File Review**

Files submitted to the regional review undergo an administrative file review which is completed by a Regional Administrative File Review Coordinator under the following circumstances:

- Center has recommended denial because the applicant is believed to pose a direct threat
- Center has recommended denial because the health-care needs of the applicant exceed those provided by the Job Corps program
- Center has recommended denial because the applicant’s health-care needs can be met but require community supports not available to the center
- Center has recommended denial because the appropriate center staff did not find the applicant to be a person with a disability (for applicants whose age exceeds those required for Job Corps enrollment and/or the applicant met the income eligibility requirement as a family of one)

The administrative review of these files is completed to ensure that all required procedures have been completed and all required documentation is included with the request. If the file review process is incomplete or the documentation is insufficient, the file is returned to the center with instructions as to what part(s) of the process must be completed and/or what documentation is needed. The corrected documentation and file should be returned to the Regional Administrative File Review Coordinator within 10 business days of receipt of the file.

The Regional Office will conduct the administrative review of applicant files recommended for denial based on new eligibility requirement information not related to disability status.

Note: Receiving a file back from the Regional Administrative File Review Coordinator for
completion or correction of some component of the file review process does not mean that the center’s recommendation has been overturned. It is simply ensuring the file review process has been completed before the file is submitted to one of the Regional Health Specialists (RHS) for review. The final determination regarding the center’s recommendation is then made by the Regional Director. See “Clinical Review” and “Regional Director Decision” sections.

Clinical Review

Once the administrative review is complete, the Regional Administrative File Review Coordinator forwards the file to the appropriate Regional Health Specialist for a clinical review. The Regional Health Specialist documents his or her support or disagreement with the center’s recommendation, and the file is submitted to the Regional Director for a final determination.

Regional Director’s Decision

The Regional Director may either uphold the File Review Team’s recommendation of denial, or may overturn it. If the Regional Office supports the center’s recommendation for denial, a clear, documented, written decision regarding the recommendation of denial must be completed and issued by the Regional Office within 60 days of the date on which the file was received. If a denial recommendation is rejected by the Regional Office and the applicant’s file returned to the center for enrollment, that applicant must be scheduled for arrival based on the date on which the application first arrived on center, not the date on which it was returned from the region.
ATTACHMENT A

Applicant File Review

Center Recommendation of Denial Form for New Information
(For Center Use)

(To be completed by the center’s File Review Coordinator, i.e., Health & Wellness Manager or designee.)

| Applicant Name: ____________________ | ID#: ____________________ |
| Center: ____________________ | Regional Office: ____________________ |
| Date File Received ____________________ | Date Sent to ____________________ |
| from OA (required): ____________________ | Regional Office (required): ____________________ |

### File Review Team Participants:

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<thead>
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<th>Name:</th>
<th>Position:</th>
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<td>Name:</td>
<td>Position:</td>
</tr>
<tr>
<td>Name:</td>
<td>Position:</td>
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</tbody>
</table>

### Reason for Recommendation of Denial:

The applicant is ineligible for Job Corps due to the review of new information that the AC could not have reasonably known at the time the applicant was deemed eligible. Please refer to Exhibit 1-1 of Chapter 1 of the PRH and identify the specific eligibility requirement(s) that you believe the applicant no longer meets.

**Note:** If you believe the applicant is no longer eligible because of disability status related to eligibility requirement criterion “2” (age) or eligibility requirement criterion “3” (low income), then please complete the Center Recommendation of Denial Form – Health-Care Needs, Direct Threat or Disability Status instead of this form.

<table>
<thead>
<tr>
<th>☐ B.</th>
<th>Selective Service Registration</th>
<th>☐ I.</th>
<th>Program Suitability</th>
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<tbody>
<tr>
<td>☐ C.</td>
<td>Legal U.S. Resident</td>
<td>☐ J.</td>
<td>Group Participation and Understanding of Rules</td>
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<td>☐ E.</td>
<td>Education/Training/Family Needs</td>
<td>☐ K.</td>
<td>Interference with Other Students’ Participation</td>
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<td>☐ F.</td>
<td>Authorization for Use and Disclosure of Health Information</td>
<td>☐ L.</td>
<td>Community Relations</td>
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<td>☐ G.</td>
<td>Parental Consent</td>
<td>☐ M.</td>
<td>Court Involvement and/or Agency Supervision</td>
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<td>☐ H.</td>
<td>Child Care</td>
<td>☐ N.</td>
<td>Maintenance of Sound Discipline</td>
</tr>
</tbody>
</table>

**IMPORTANT:** Neither the center file review team nor its individual members may revisit the determination that an applicant is qualified for admission unless:

- There is new information presented that the AC could not have reasonably known at the time the applicant’s qualification for admission was established, and
- This new information indicates that the applicant offered enrollment may no longer meet one or more of the Eligibility Requirements.

**Section 1:** Please list the specific question or criterion from Exhibit 1-1 for the eligibility requirements checked above that the applicant no longer meets.
<table>
<thead>
<tr>
<th>Section 2:</th>
<th>What is the applicant’s response to the specific question(s) asked from Section 1 above and/or how does the applicant no longer meet the specific criterion for the checked eligibility requirement(s)?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Section 3:</td>
<td>Identify the specific new information that the AC could not have reasonably known that provided the basis for revisiting eligibility (i.e., document name and where the document was located, applicant stated the following during a specific interview, etc.).</td>
</tr>
<tr>
<td>Section 4:</td>
<td>Summarize your findings.</td>
</tr>
</tbody>
</table>

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**Signature (of Person Completing the Form):**

**Date:**

**Title:**

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*Center Recommendation of Denial Form – New Information*
ATTACHMENT B

Applicant File Review
Center Recommendation of Denial Form for Health Care Needs, Direct Threat or Disability Status
(For Center Use)

(To be completed by the center’s File Review Coordinator, i.e., Health and Wellness Manager or designee)

Applicant Name: __________________________________  ID#: __________________________________
Center: __________________________________________  Regional Office: __________________________
Date File Received from OA (required): _______________  Date Sent to Regional Office (required): __________

Section A:
Reason for Recommendation of Denial:

☐ 1. Applicant poses a direct threat to self or others that cannot be alleviated with reasonable accommodation. If so, the completed direct threat assessment form found in Form 2-04 of the PRH is attached to this document. **Skip to Section C.**

☐ 2. The health-care needs of an applicant is beyond what the Job Corps’ Health and Wellness Program can provide as defined as basic health care in Exhibit 2-4: Job Corps Basic Health Care Responsibilities and cannot be eliminated or reduced by reasonable accommodation or modification. If so, the completed health-care needs assessment form found in Form 2-05 of the PRH is attached to this document. **Skip to Section C.**

☐ 3. The health-care needs are manageable at Job Corps as defined by basic health care services in Exhibit 2-4, but require community support services which are not available near center. If so, the completed health-care needs assessment form found in Form 2-05 of the PRH is attached to this document for consideration of a different center. **Skip to Section C.**

☐ 4. The applicant is ineligible for Job Corps due to age or income related to disability status (i.e., the applicant is over 24 years of age and/or considered a family of one for low-income consideration because of being a person with a disability). **Complete Sections B & C.**

Section B:
Eligibility Re-evaluation due to eligibility requirement criterion “2” (Age) or eligibility requirement criterion “3” (Income) from Exhibit 1-1 related to Disability Status (i.e., the applicant is older than age of 24 and/or considered a family of one for low-income consideration because of being a person with a disability).

☐ A. Age  ○ D. Low Income

Summarize why the center does not believe this applicant to be a person with a disability.

Section C:

☐ The file review team rationale for recommendation of denial may be found in the attached completed **direct threat assessment** (direct threat assessment form from Form 2-04).

☐ The file review team rationale for recommendation of denial may be found in the attached completed **basic health-care needs assessment** (health care needs assessment form from Form 2-05).

☐ The file review team rationale for recommending consideration for enrollment to a different center closer to where available supports and services are located may be found in the attached completed **basic health-care needs assessment** (health care needs assessment form from Form 2-05).

Signature (of Person Completing the Form): __________________________  Date: __________________________

Title: ________________
FORM 1-08
APPLICANT FILE REVIEW GUIDANCE – REGIONAL REVIEW PROCESS

Purpose

To provide additional information and guidance on the regional review process of applications recommended for denial by a center.

Policy

Centers can only recommend denial of enrollment because:

- Of direct threat
- The center cannot meet the applicant’s health-care needs
- The health-care needs of the applicant are manageable at Job Corps as defined by basic health-care services in Exhibit 2-4, but require community support services which are not available near center
- New information demonstrating that the applicant does not meet one of the eligibility requirements outlined in Exhibit 1-1

Regional Review Documentation Requirements for Direct Threat or Health-Care Needs

- Applicant files submitted by a center to the Regional Office as a recommendation of denial for direct threat and health-care needs should include the following information:
  - Completed “Center Recommendation of Denial Form for Direct Threat, Health-Care Needs, and Disability Status” (found in Form 1-07)
  - Completed “Form for Individualized Assessment of Possible Direct Threat” (found in Form 2-04) or a completed “Form for Individualized Health-Care needs Assessment” (found in Form 2-05)
  - Supporting documentation

The Regional Office File Review Coordinator sends these files to the Regional Administrative File Review Coordinator who completes an administrative review of the file to ensure that the file review process has been completed.

Regional Review Documentation Requirements for New Information

Applicant files submitted to the Regional Office for a disability review related to age or income should include the following information:
• Completed “Center Recommendation of Denial Form for Direct Threat, Health-Care Needs, and Disability Status” (found in Form 1-07)

• Supporting documentation

The Regional Office will forward the file and supporting documentation to the Regional Administrative File Review Coordinator for completion of the disability status review.

Regional Review Documentation Requirements for New Information

Applicant files submitted to the Regional Office as a recommendation of denial for new information should include the following information:

• Completed “Center Recommendation of Denial Form for New Information” (found in Form 1-07)

• Supporting documentation

The Regional Office appoints an internal staff or staff person(s) to review files submitted with recommendations of denial based upon new information.

Withdrawal of Application while a File is in Regional Review

If the application has entered the regional review process, the center must return the file to the Regional Office and not to Outreach and Admissions. The Regional Office verifies the documentation that the center was either unable to reach the applicant to complete a required interview or that the applicant requested withdrawal of his or her application. If the Regional Office’s tracking log shows that the file had been returned to the center for additional work by either the Regional Administrative File Review Coordinator or by a Regional Health Specialist (RHS), then the Regional Office notifies that individual that the file is being processed as a withdrawal so that all tracking logs may be updated and tracking of the file closed.

Center Transmission of Applicant File and Supporting Documentation

The center should place the “Center Recommendation of Denial Form for Direct Threat, Health-care needs and Disability Status” in a separate sealed envelope marked “Regional Office.” The center should also maintain and/or place acquired health and/or disability documentation including the health-care needs or direct threat assessments in a separate envelope marked “Health/Disability Records.”

This ensures that all protected information is secured prior to transmission, but allows the Regional Office to access the form needed for processing the recommendation.
Regional Review Process for Center Recommendations of Denial

All applicant files received in the Regional Office are received and logged in and the “Regional Office File Review Process Form” is initiated (see Attachment A) by the Regional Office File Review Coordinator, and attached to the file for tracking and monitoring purposes.

Review of Center Recommendations of Denial Based upon New Information

Step 1:

The Regional Office receives the applicant file that has been recommended for denial and logs it into the Regional Office tracking log.

Step 2:

The Regional Office File Review Coordinator reviews the center recommendation of denial form to determine the type of denial. If the center has completed the “Center Recommendation of Denial Form Based upon New Information,” the Regional Office File Review Coordinator forwards the file to the Regional Office staff person or persons designated by the Regional Director to complete the review of the file.

Step 3:

If the center has not provided all the required information or documentation, the Regional Office staff person contacts the center and obtains the missing information.

Step 4:

The Regional Office makes a determination on the recommendation. Each Regional Director determines if he or she needs to review and/or make the final application determinations or whether it is handled solely by the designated regional reviewer.

If the determination is to overturn the recommendation of denial, the applicant’s file is returned to the center with guidance to resume the applicant file review process. The center may complete a health-care needs or a direct threat assessment, if appropriate, and resubmit the file to the Regional Office for review. See “Review of Center Recommendation of Denial Based upon Health-Care Needs, Direct Threat Assessment, or Disability Status.” If the center no longer has a basis for recommending denial, the center must schedule the applicant for enrollment.

If the determination is to uphold the center’s recommendation, a clear, documented, written decision regarding the recommendation of denial is completed and issued to the applicant with notification of the application outcome to both the Admissions Counselor (AC) and the center. The AC provides the appropriate referral information to the applicant.

All applications must be reviewed in a timely manner.
Review of Center Recommendation of Denial Based upon Health-Care Needs, Direct Threat Assessment, or Disability Status

Step 1:

The Regional Office receives the applicant file that has been recommended for denial and logs it into the Regional Office tracking log.

Step 2:

The Regional Office File Review Coordinator reviews the center recommendation of denial form to determine the type of denial. If the center has completed the “Center Recommendation of Denial Form Based upon Health Care Needs, Direct Threat Assessment, or Disability Status,” the Regional Office File Review Coordinator forwards the file to the Regional Administrative File Review Coordinator to complete an administrative (i.e., process) review of the file.

Step 3:

If the center has not provided all the required information or documentation or has not completed some component of the required file review process, the Regional Administrative File Review Coordinator returns the file to the center with guidance and instruction as to what part(s) of the process must be completed and/or what documentation is needed.

The corrected documentation and file should be returned to the Regional Administrative File Review Coordinator within 10 business days of receipt of the file.

Step 4:

Once the administrative review is completed, the Regional Administrative File Review Coordinator forwards the file to the appropriate RHS(s) for a clinical review (i.e., mental health, medical, dental, TEAP).

Step 5:

The RHS documents his or her support or disagreement with the center’s recommendation and the file is submitted to the Regional Director for a final determination. If the recommendation is for the applicant to be considered for an alternate center due to health care needs that may require resources closer to the applicant’s current home address, then the RHS also completes a “Referral for Alternate Center Form” (see Attachment B and Review Process for Recommendations to Attend an Alternate Center below).

Step 6:

The Regional Director, or designee, reviews the recommendations. If the Regional Director
supports the center’s recommendation for denial, a clear, documented, written decision regarding the recommendation of denial is completed and issued to the applicant with notification of the application outcome to both the AC and the Center. The AC provides the appropriate referral information to the applicant.

If the Regional Director rejects a denial recommendation, the applicant’s file is returned to the center for the applicant’s enrollment. The applicant must be scheduled for arrival based on the date on which the application first arrived on center, not the date that it was returned from the region.

**Review Process for Recommendations to Attend an Alternate Center**

**Step 1:**

If the center has recommended that an applicant’s health-care needs exceed basic health care but could possibly be met at an alternate site, then the RHS reviews the health-care needs assessment and supporting documentation. The RHS documents his or her recommendations.

**Step 2:**

If the RHS concurs with the center’s recommendation, the “Referral to an Alternate Center Form” (see Attachment B) is completed and included with the RHS’s recommendations for the Regional Director or his or her designee’s review.

**Step 3:**

If the Regional Director or designee concurs, the Regional Office forwards this the applicant’s file back to the AC for assignment to an alternate center. The Regional File Review Coordinator ensures that the following documents are included with the applicant’s file:

- The Health-Care Needs Assessment completed by the previous center which should be stored in the “Medical” information envelope. This assessment is included for the alternate center’s clinical staff to review.

- The “Referral to an Alternate Center Form” which is completed by the RHS and guides the AC in selecting an appropriate alternate center to consider.

Additionally, the original center is notified that the Regional Office has upheld their recommendation.

If the Regional Director or designee overturns the center’s recommendation, the AC and the center are notified, and the center directed to enroll the applicant and the review process ends.
Step 4:

The AC reviews the “Referral to an Alternate Center Form” and contacts the applicant to discuss and determine which alternate center the applicant is going to be conditionally enrolled.

Step 5:

The AC forwards the applicant file along with the information identified in Step 3 of this section to the alternate center.

Step 6:

The alternate center completes a clinical assessment to determine if the center can meet the applicant’s health-care needs and to assess the applicant’s current stability. If the center finds that it can meet the health-care needs of the applicant at their location, the center schedules the applicant for enrollment and notifies the Regional Office of the applicant’s acceptance.

If the alternate center, however, believes that the applicant’s health-care needs exceed those of basic care even with the access to local supports and services, then the center must complete its own Health-Care Needs Assessment using the current form in Form 2-05 and resubmit the file to the Regional Office for review.

Step 7:

The Regional Office logs receipt of the file and forwards it to the RHS who conducted the original review of the previous center’s recommendation to consider an alternate center.

Step 8:

If the RHS recommends overturning the alternate center’s recommendation of denial and the Regional Director, or his or her designee, concurs, then the AC and the center are notified and the center directed to enroll the applicant.

If the Regional Director, or designee concurs, a clear, documented, written decision regarding the recommendation of denial is completed and issued to the applicant with notification of the application outcome to both the AC and the center. The AC provides the appropriate referral information to the applicant.
ATTACHMENT A

(Insert Regional Office Header)

Regional Applicant File Review Process Form
(For Regional Office use only)

<table>
<thead>
<tr>
<th>Applicant Name:</th>
<th>ID#:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Center:</td>
<td>Date:</td>
</tr>
</tbody>
</table>

Readmit: □

Reason(s) for Review:

- □ Health Care Needs *(Send file to Regional Administrative File Review Coordinator)*
- □ Direct Threat *(Send file to Regional Administrative File Review Coordinator)*
- □ New Information *(Regional Office Staff processes the file – Complete Section A below; Send to Regional Administrative File Review Coordinator only if the recommendation under new information is for disability status related to age or income – eligibility requirement criteria 2 and/or 3)*

Section A: New Information – Applicant Eligibility Review – Regional Office Staff

<table>
<thead>
<tr>
<th>Reviewer:</th>
<th>Date Received:</th>
</tr>
</thead>
</table>
| □ Yes □ No
Did the center staff list the specific eligibility requirement that resulted in the applicant being ineligible? |

| □ Yes □ No
Did the center staff list the specific eligibility requirement question or reference the specific criteria from Exhibit 1-1 that was the basis for the recommendation of denial? |

| □ Yes □ No
Did the center staff list the specific responses to the questions and/or document the current status of the criterion re-asked/re-assessed from Exhibit 1-1? |

| □ Yes □ No
Did the center identify by title and source the new information that the AC could not have reasonably known and that was the basis for revisiting eligibility? |

| □ Yes □ No
Does the file need to be returned to the center to complete any missing documentation related to the above new information review? |

If returned to center — Date Sent: Date Returned:

*If the new information review is complete, proceed to Section D and complete, as appropriate.*

Section B: Administrative Review – Regional Disability Staff

<table>
<thead>
<tr>
<th>Reviewer:</th>
<th>Date Received:</th>
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<tbody>
<tr>
<td>□ Medical □ Mental Health □ TEAP □ Dental</td>
<td></td>
</tr>
</tbody>
</table>

| □ Yes □ No
Returned to Center | Date Sent: Date Returned: |
|-------------------|--------------------------|
| □ Yes □ No
Returned to Regional | Date Sent: |
| RHS: | Date Sent: |

Comments:
This file contains an Accommodation Recommendation of Denial request that requires regional review. Please see recommendations/comments included on the Administrative File Review Form stored in the sealed envelope marked “Regional Office.”
Section C: Clinical Review – Regional Health Specialist

<table>
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<th>Reviewer:</th>
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<tbody>
<tr>
<td>□ Yes □ No Returned to Center</td>
<td>Date Sent: Date Returned:</td>
</tr>
<tr>
<td>□ Yes □ No Forwarded to RHS:</td>
<td>Date Sent:</td>
</tr>
<tr>
<td>□ Yes □ No Forwarded to Regional</td>
<td>Date Sent:</td>
</tr>
<tr>
<td>□ Yes □ No Support Recommendation (See comments on Regional Health Specialist Form stored in the sealed envelope marked “Regional Office.”)</td>
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Clinical Review – Regional Health Specialist

<table>
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<th>Date Received:</th>
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<tr>
<td>□ Yes □ No Returned to Center</td>
<td>Date Sent: Date Returned:</td>
</tr>
<tr>
<td>□ Yes □ No Forwarded to RHS:</td>
<td>Date Sent:</td>
</tr>
<tr>
<td>□ Yes □ No Forwarded to Regional</td>
<td>Date Sent:</td>
</tr>
<tr>
<td>□ Yes □ No Support Recommendation (See comments on Regional Health Specialist Form stored in the sealed envelope marked “Regional Office.”)</td>
<td></td>
</tr>
</tbody>
</table>

Section D: Regional Office Final Disposition – Regional Office

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<th>Regional Director or Authorized Representative:</th>
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</tr>
</thead>
<tbody>
<tr>
<td>□ Eligible for Enrollment*</td>
<td>Date:</td>
</tr>
<tr>
<td>□ Ineligible</td>
<td>Date:</td>
</tr>
<tr>
<td>□ Approved for Enrollment</td>
<td>Date:</td>
</tr>
<tr>
<td>□ Disapproved</td>
<td>Date:</td>
</tr>
<tr>
<td>□ Administrative – RO Withdrawal of Application**</td>
<td>Date:</td>
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<tr>
<td>□ Applicant Requested Withdrawal of Application</td>
<td>Date:</td>
</tr>
<tr>
<td>File Returned to Center</td>
<td>Date:</td>
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<td>File Returned to OA</td>
<td>Date:</td>
</tr>
<tr>
<td>Comments or Findings:</td>
<td></td>
</tr>
</tbody>
</table>

* If the applicant is eligible, please return the file to the center that submitted it with instructions to contact the applicant and resume the admissions process. The center may complete a health care needs or direct threat assessment, as appropriate, and resubmit to the Regional Office.

** Applicant file has entered into the regional review process and the file was returned to the center for completion of the file review process. During this time, the center (along with the AC) was unable to contact the applicant after multiple attempts.
ATTACHMENT B

Referral for Alternate Center Form
Recommendations to be shared with Admissions Counselor (AC)

Regional Office: As per PRH 1: 1.5, R4(b)(2a), if the center’s recommendation is supported by the Regional Health Specialist (RHS) and approved by the Regional Director or his or her designee, then the Regional Office returns the file, including the completed Health-Care Needs Assessment from the initial center, to the AC to contact the applicant and assist in identifying the new center.

<table>
<thead>
<tr>
<th>Applicant Name:</th>
<th>ID#:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Original Center:</td>
<td>RHS:</td>
</tr>
</tbody>
</table>

Reason for Recommendation of Alternate Center
The Regional Health Specialist (RHS) concurs with the recommendation from the center that health care needs are manageable at Job Corps as defined by basic health care services in Exhibit 2-4, but require community support services which are not available near center. Applicant should be considered for center with specific health support as checked below:

- [ ] 1. Access to current treatment providers/specialists in home state.
- [ ] 2. Access to medical or mental health agency offering services within reasonable distance from center.
- [ ] 3. Access to health specialist (specify type):
- [ ] 4. Other:

AC Guidance
Contact applicant and discuss needs identified above on this form. Once an appropriate alternate center is identified, submit the complete file along with a copy of this form to that center. The medical envelope should already contain the Health Care Needs Assessment that was completed from the previous center.

Alternate Center Guidance
The alternate center completes a clinical assessment to determine if the center can meet the applicant’s health care needs and the applicant’s current stability.

- If the alternate center finds that it can meet the health care needs of the applicant at their location, the applicant is scheduled for enrollment.
- If the alternate center believes that the applicant’s health care needs exceed those of basic care even with the access to local supports and services, then the alternate center must complete its own Health Care Needs Assessment and resubmit the file to the Regional Office for review.

See Form 1-07 for more detail.

<table>
<thead>
<tr>
<th>Regional Health Specialist’s Signature</th>
<th>Title</th>
<th>Date</th>
</tr>
</thead>
</table>

*Please place in a separate envelope and label it as “Regional Office.”*
FORM 1-09
EQUAL OPPORTUNITY NOTICE

EQUAL OPPORTUNITY IS THE LAW

It is against the law for this recipient of Federal financial assistance to discriminate on the following bases:

• Against any individual in the United States, on the basis of race, color, religion, sex, national origin, age, disability, political affiliation or belief; and

• Against any beneficiary of programs financially assisted under Title I of the Workforce Innovation and Opportunity Act (WIOA), on the basis of the beneficiary’s citizenship/status as a lawfully admitted immigrant authorized to work in the United States, or his or her participation in any WIOA Title I–financially assisted program or activity.

The recipient must not discriminate in any of the following areas:

• Deciding who will be admitted, or have access, to any WIOA Title I–financially assisted program or activity;

• Providing opportunities in, or treating any person with regard to, such a program or activity; or

• Making employment decisions in the administration of, or in connection with, such a program or activity.

WHAT TO DO IF YOU BELIEVE YOU HAVE EXPERIENCED DISCRIMINATION

If you think that you have been subjected to discrimination under a WIOA Title I–financially assisted program or activity, you may file a complaint within 180 days from the date of the alleged violation with either:

• [Insert name, phone number, e-mail address, and mailing address for center’s Equal Opportunity Officer], the recipient’s Equal Opportunity Officer; or

• The Director, Civil Rights Center, U.S. Department of Labor, 200 Constitution Avenue, NW, Room N-4123, Washington, DC 20210.

If you file your complaint with the recipient, you must wait either until the recipient issues a written Notice of Final Action, or until 90 days have passed (whichever is sooner), before filing with the Civil Rights Center (CRC) (see address above).

If the recipient does not give you a written Notice of Final Action within 90 days of the day on which you filed your complaint, you do not have to wait for the recipient to issue that Notice
before filing a complaint with CRC. However, you must file your CRC complaint within 30 days of the 90-day deadline (in other words, within 120 days after the day on which you filed your complaint with the recipient).

If the recipient does give you a written Notice of Final Action on your complaint, but you are dissatisfied with the decision or resolution, you may file a complaint with CRC. You must file your CRC complaint within 30 days of the date on which you received the Notice of Final Action.

________________________________________________________________________

Student Signature                Date

Please place a copy of the signed form in student’s file.
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2.0 INTRODUCTION

Chapter 2, Student Support Services which in addition to Chapter 3, covers career preparation period and career development period activities, provides a framework of requirements for center contractors in areas such as counseling, personal and career assessment, community living, leisure time employment, student benefit fund, health and wellness program, healthy lifestyle, disability accommodations and processes, student conduct, student sanctions, investigations, appeals, evaluation of student progress, and transition to the career development period among others. Requirements are detailed in the following sections within the chapter: Counseling; Community Living (Residential); Health Services; Disabilities; Student Conduct; and Evaluation of Student Progress. Job Corps enrollees must be provided services consistent with the Workforce Innovation and Opportunity Act and Job Corps requirements contained herein. Center staff are tasked with all areas of personal and career counseling and assessment of students, collaborating with students to create a My Pathway to Achieving Career Excellence Career Plan, overseeing residential community living and developing activities and events, providing welcome kits and basic living services, health services, trainee employee assistance program services, disability services, student conduct rewards and sanctions, and ongoing, frequent evaluations of student progress.
2.1 COUNSELING

REQUIREMENTS

R1. **Organization**

Centers must provide personal counseling services with the following features:

a. Designated counseling staff or qualified professionals

b. Assigned caseloads

c. Personal counseling sessions

d. Availability of counseling services on weekends and in the event of emergencies

R2. **Personal Assessment and Counseling Services**

Centers must provide intensive ongoing personal assessment and counseling services early within the first 60 days of the student’s stay on center. These services will continue as needed throughout the student’s enrollment to identify, assess, and assist students to address personal barriers to progress in academic and career technical training programs, with the following features:

a. An intake assessment, including student history, conducted during the first 48 hours of enrollment (see Chapter 3, Section 3.4, R7 and R8). A copy of this assessment must be submitted to the Health and Wellness center for review and inclusion in the student’s health record

b. Ongoing structured, scheduled, and documented individual social development and adjustment counseling

c. Group support sessions designed to identify and address specific issues, such as abuse, relationships, child care, homesickness, language and cultural barriers, etc.

d. Identification of students who need more intensive services and referral to such services

e. Intervention, implementation, and documentation of strategies to address personal issues, including mental health, medical issues, and challenges for English Language Learners (ELL)

f. Support services, to include assisting with Unauthorized Absence (UA) retrieval; conferring with parents, Admissions Counselors, Career Transition Specialists, and social service agencies; and providing referrals to community resources, as appropriate

g. Availability of counseling services on weekends and in the event of emergencies
**R3. Career Assessment and Counseling**

Centers must provide career assessment and counseling services throughout the student’s enrollment with the following features:

a. Ongoing structured, scheduled, and documented individual career counseling sessions, which may be scheduled as part of a student’s career development activities

b. Management of students’ career development through collaboration among the student, counselor, and interdepartmental Career Management Team (CMT) to assist the student in:

   1. Setting and updating incremental short-term, mid-term, and long-term personal and career goals by ensuring Pathway Achievement Record (PAR) completion progress is current

   2. Developing strategies and identifying actions necessary for students to prepare for and attain academic credentials and industry-recognized certifications

   3. Identifying personal strengths and career challenges

   4. Resolving personal issues affecting career readiness

   5. Assessing transitional support needs and developing strategies to meet those needs

c. Counselors must know and remain current on industry certifications offered and requirements for attainment

d. During the Career Preparation Period, staff will assist students in identifying initial career goals and developing personalized strategies to reach those goals through:

   1. An individualized schedule of appropriate academic, career technical training, and evening/weekend studies

   2. Exposure, practice, and experiences to meet the Career Success Standards

   3. Personal and career counseling to develop appropriate strategies and identify resources to address issues

e. A review and confirmation of the student’s initial My Pathway to Achieving Career Excellence (MyPACE) Career Plan and mid-term career pathway goals, within 30 days of entry into the Career Development Period

f. Trained staff who can assist students, for whom a change of mid-term career (placement) goal is determined appropriate to:
1. Modify the MyPACE Career Plan and reassign the student to a revised PAR.

2. Submit the revised Career Plan to the Center Director or senior management designee for review and approval.

3. Retain a copy of the approved revised Career Plan and revised Pathway Achievement Record in the student’s permanent personnel file. (See Chapter 6, Section 6.4, R17, Student Personnel File.)

g. Regular assessments and evaluations of student progress in meeting career goals in academic and career technical education and training programs, reviewing, and updating the student’s MyPACE Career Plan and the PAR in accordance with Chapter 2, Section 2.6, R1 and R2

R4. My Pathway to Achieving Career Excellence Career Plan

a. Centers must collaborate with each student to initiate a MyPACE Career Plan that must document the student’s personal career goals, training needs, challenges, progress and accomplishments throughout enrollment and the post-center Career Transition Period.

At a minimum, the MyPACE Career Plan must include:

1. Student long-term career goal (the ultimate career that the student will progress toward)

2. Mid-term career pathway placement goals (immediate next steps upon Job Corps completion to support career progression), including one of the following:
   (a) Entry-level job placement in an in-demand industry sector on the pathway to the student’s ultimate career
   (b) Entry into an apprenticeship program
   (c) Participation in one of Job Corps’ Advanced Training (AT) programs
   (d) Enlistment in the armed services to pursue a career in the military
   (e) Enrollment in post-secondary education

3. Student short-term specific, measurable, attainable, recorded, and time-bound (SMART) goals

4. Desired geographic residence/work location

5. Student interests, aptitudes, values, work styles, and career technical training preferences and choices

6. Details regarding a student’s prior employment, including:
   (a) employer
   (b) location
   (c) job title
(d) ending wage

Centers must verify the accuracy of this information.

7. Student training needs to achieve career goals
   (a) Academic
   (b) Career technical training
   (c) Career Success Standards
   (d) Job search skills
   (e) Information technology (IT) skills
   (f) Driver’s education and license

8. Progress/accomplishments/achievements

9. Career transition needs/challenges/strategies
   (a) Housing
   (b) Transportation
   (c) Child care
   (d) Health care
   (e) Work clothing and tools
   (f) Food and nutrition
   (g) Budgeting/money management
   (h) Counseling/mentoring
   (i) Job retention skills
   (j) Legal services
   (k) Application for federal funding for advanced education, as appropriate

b. Students must update their MyPACE Career Plan by completing the accompanying curriculum. Once the MyPACE Career Plan is complete, students must be assigned the appropriate Pathway Achievement Record (PAR) that matches their mid-term goal. Students must update their PARs on an ongoing basis, in cooperation with appropriate staff, no less frequently than at each student’s regularly scheduled evaluation of student progress.

c. Each student’s completed MyPACE Career Plan and PAR must be uploaded to the appropriate section of the Center Information System (CIS) e-Folder. Students must be provided copies of their MyPACE Career Plan and PARs whenever changes are made to the plan and at separation.

R5. Placement Readiness

To ensure that each student is fully prepared to effectively access resources and services that assist them in making a successful transition to his/her mid-term goal the interdepartmental Career Management Team (CMT) must:

a. Collaborate with the Career Transition Service Provider and each student to:
1. Update and finalize the student’s My Pathway to Achieving Career Excellence (MyPACE) Career Plan and Pathway Achievement Records (PAR);

2. Review and verify the student’s mid-term career goal to:

   (a) Ensure the student has completed all assigned mid-term goal PAR tasks and activities and has proper supporting documentation of completed tasks;
   (b) Verify the student’s understanding of the next steps needed to transition to the chosen mid-term career goal; and career pathway remains appropriate;
   (c) Confirm the student’s transitional support needs and strategies to address them.

b. Facilitate the student’s connection with his/her assigned Career Transition Specialist.

c. Submit each student’s final MyPACE Career Plan and PAR to the Center Director or senior management designee for review and approval.

d. Retain a copy of the approved final MyPACE Career Plan and PAR in the student’s permanent personnel file. (See Chapter 6, Section 6.4, R17, Student Personnel File.)

e. Upload a copy of the final MyPACE Career Plan and PAR in the Center Information System (CIS) e-Folder for the student’s assigned Career Transition Specialist to access.

f. Ensure student has access to his/her final MyPACE Career Plan and PAR.
2.2 COMMUNITY LIVING (RESIDENTIAL)

REQUIREMENTS

R1. Student Self-Management Skills Development

Centers must develop systems that involve students in the management of their living areas, which must incorporate the following features:

a. Opportunities for all students to have input into the development of the center policies governing the management of their living areas

b. Procedures to solicit input and feedback from, and disseminate information to students

c. Student responsibility for maintaining cleanliness within their living areas

d. Progressive opportunities to learn, practice, demonstrate personal responsibility and self-management skills, and to complete career pathway planning activities and Pathway Achievement Records (PAR)

R2. Supervision of Student Living Areas

Centers must:

a. Provide staff supervision in all student living areas at levels that assure visibility, the safety, security, and accountability of all students at all times.

b. Develop a structured process for sharing information that ensures effective student accountability.

R3. Recreational Planning

Centers must:

a. Develop and maintain a calendar of recreational activities and events, and distribute the schedule to students in advance of the activities listed.

b. Involve students in selecting and planning recreational activities.

c. Conduct periodic surveys of student recreational interests and participation, and use the information gathered in planning recreational activities.

d. Coordinate with career technical, academic, counseling staff, and social development staff to ensure integration of student training, including completion of My Pathway to Achieving Career Excellence (MyPACE) PARs, with leisure time activities.
e. Consider cost effective options in planning off-center recreational activities.

**R4. Recreational Activities**

Centers must provide a wide variety of activities open to all students. Activities should reinforce and provide time to practice communication skills, to demonstrate positive attitudes and behaviors, and to work and participate in groups.

a. Activities must include but are not limited to:

1. Group fitness classes
2. Individual fitness activities
3. Organized sports
4. Exercise groups or clubs
5. Group sessions with employers or career professionals
6. Entrepreneurship classes
7. Evening resume writing and interview techniques
8. Volunteering, mentoring or tutoring

b. May include:

1. Cultural events
2. Dancing and theater
3. Radio and/or television mockups
4. Playwright, rap and poetry seminars
5. Physical education and conditioning (yoga, spinning, etc.)
6. Arts and crafts
7. Reading and computer resource facilities
8. Entrepreneurship classes
R5. **Recreation Supervision**

Centers must provide adequate staff supervision of events, activities, facilities, and equipment to ensure participant safety.

R6. **Community Service Projects**

Centers must provide opportunities for staff and students to participate in community service activities.

R7. **Water Safety Training**

Centers must:

a. Provide students with instruction in water safety as follows:

   1. All centers must provide a video-taped presentation on water safety.

   2. Centers sponsoring recreation trips that involve swimming, in-water activities, or access to pools/other bodies of water must provide water safety instruction and swimming proficiency tests for all students.

   3. Centers with pools or ready access to pools/other bodies of water must provide water safety instruction and swimming proficiency tests for all students.

b. Prohibit students from participating in swimming or other water-related activities until they have received water safety instruction and demonstrated swimming proficiency.

c. Ensure that all water-related activities are supervised by certified lifeguards.

d. Require the use of the buddy system in all swimming activities, and the use of personal flotation devices in all boating activities.

R8. **Use of Movies**

Centers showing movies of commercial motion pictures to students on center must be licensed to do so under an umbrella license through a national agreement between the National Office of Job Corps (NOJC) and the Motion Picture Licensing Corporation.

Centers will receive a list of movie titles directly from the vendor(s) each month indicating the movies covered under the agreement. Center Operators will assume liability for showing any movie(s) that do not appear on the list.

R9. **Leisure Time Employment**

a. Centers may authorize gainful leisure time employment of students so long as the
employment does not interfere with training activities.

b. Leisure time employment is not considered training or work-based learning. Accordingly, students are not considered federal employees for Federal Employees’ Compensation ACT (FECA) purposes while engaged in leisure time employment, except when the employment occurs on center.

R10. Student Benefit Fund

Centers must establish a student benefit fund to be managed by the Student Government Association (SGA) with the assistance of center staff. The purpose of the fund will be to provide the SGA with the ability to purchase items and services for the benefit of all students. The fund must have the following features:

a. Be self-supporting and must not include any appropriated Job Corps funds. Allowable sources of income include, but are not limited to, the following:

1. Operation of a store or canteen
2. Operation of other concessions, such as vending machines
3. Student fines
4. Student fund-raising activities

b. A staff member designated to assist the SGA officers in overseeing the operation of the fund.

c. May not be used to pay for goods or services that are normally part of center operating costs.

d. A simple accounting system for the student benefit fund and involve SGA officers in the management of the accounting system. The accounting system must include, at a minimum, the following:

1. A written accounting and audit plan

   The accounting plan must ensure the integrity of the fund by establishing an appropriate set of checks and balances, to include, at a minimum dual approval of all expenditures by the SGA president or designee and the center director or designee.

2. Separation of payment and collection duties

3. Maintenance of a bank account (checking or savings or both)
4. A written record of income and expenditures

5. Periodic financial reports

6. Annual audits by the center operator (corporate office or agency headquarters designee)

**R11. Welcome Kit**

Upon arrival, centers must provide each residential student with the following personal items at no cost to the student:

a. Towels and wash cloths

b. Laundry bag

c. Toiletries

**R12. Laundry Services**

Centers must provide adequate laundry facilities and supplies to residential students at no cost to the student, and training to students in the proper use of laundry equipment.

**R13. Mail Services**

Centers must establish a secure, confidential, and prompt system for the receipt and distribution of mail and packages through the U.S. Postal Service and commercial delivery services.

**R14. Linen and Bedding**

Centers must provide adequate linen and bedding for all residential students.

**R15. Inventory**

Centers must:

a. Conduct and record an inventory of clothing and other personal effects when a student enters the residential program, and update the inventory after each clothing issue or purchase.

b. Inventory and secure a student’s clothing and personal effects when the student is absent from the center without permission for more than 24 hours.
2.3 Health Services

Requirements

R1. Student Introduction to Health Services

Centers must provide an overview of health services to new students by a member of the health services staff during the Career Preparation Period (CPP). This must include an explanation of procedures/tests that are performed as part of the medical and oral exam, information on Human Immunodeficiency Virus (HIV) and other sexually transmitted diseases, safe sex practices, family planning services, Trainee Employee Assistance Program (TEAP) services, mental health services, the importance of good health to obtain/maintain employment, and the notice describing how medical information about students may be used, disclosed, and how students can get access to this information.

R2. Health and Wellness Program (See Exhibit 2-4, Job Corps Basic Health-Care Responsibilities.)

Centers must provide basic medical services to students. The Health and Wellness Program must include the following components:

a. A cursory health evaluation, laboratory testing, and a medical history within 48 hours of arrival on center. The medical history must be documented on the Job Corps Health History Form. The cursory health evaluation and medical history must be conducted by a qualified health professional designated by the Center Physician.

b. A complete entrance physical examination and a review of the medical history within 14 days. The cursory evaluation, with the exception of the required entrance laboratory testing, may be omitted if the physical examination is conducted within 72 hours of a student’s arrival on center. The physical examination must be provided by a qualified health professional and documented on the Job Corps Physical Examination Form.

1. When indicated, the center must furnish one pair of glasses that meet American National Standards Institute (ANSI) standards.

2. Contact lenses must be provided if clinically indicated. Students who lose or damage glasses provided by Job Corps must replace them at their own expense.

3. Students identified as having chronic health problems during the cursory or entrance physical must be monitored as directed by the Center Physician or other appropriate center health-care provider.

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1 For a description of basic services, refer to Exhibit 2-4 (Job Corps Basic Health Care Responsibilities).
2 Reinstated and transfer students are exempt from the cursory health evaluation/physical examinations.
3 Near and distant vision screening, color vision screening, and hearing screening shall be part of the entrance physical examination.
4 As determined by the center physician who authorizes the activity by a written personal authorization.
c. Laboratory tests within the time frames shown below:

<table>
<thead>
<tr>
<th>Entrance Laboratory Testing Requirements</th>
<th>Required Time Frame</th>
</tr>
</thead>
<tbody>
<tr>
<td>HIV Antibody Test</td>
<td>Within 48 hours after arrival (see waiver condition, Section 2.3, R15)</td>
</tr>
<tr>
<td>Syphilis Serology</td>
<td>Optional&lt;sup&gt;5&lt;/sup&gt;</td>
</tr>
<tr>
<td>Hemoglobin or Hematocrit</td>
<td>Within 48 hours after arrival</td>
</tr>
<tr>
<td>Sickle Cell Screening (must be offered to all at-risk students)</td>
<td>Within 48 hours after arrival</td>
</tr>
<tr>
<td>Urinalysis (dipstick) for Glucose/Protein</td>
<td>Within 48 hours after arrival</td>
</tr>
<tr>
<td>Drug Screen (urine)</td>
<td>Within 48 hours after arrival</td>
</tr>
<tr>
<td><strong>(Males Only)</strong></td>
<td></td>
</tr>
<tr>
<td>Urinalysis (dipstick) for Leukocyte Esterase (gonorrhea screen)</td>
<td>Within 48 hours after arrival</td>
</tr>
<tr>
<td>Chlamydia Testing (urine)</td>
<td>Within 48 hours after arrival</td>
</tr>
<tr>
<td>Gonorrhea Testing if Leukocyte Esterase Screen is Positive (urine)</td>
<td>Within 48 hours after arrival</td>
</tr>
<tr>
<td><strong>(Females Only)</strong></td>
<td></td>
</tr>
<tr>
<td>Pregnancy Test (urine)</td>
<td>Within 48 hours after arrival</td>
</tr>
<tr>
<td>Pap Smear</td>
<td>Females age ≥ 21 years (unless documented pap smear results within 24 months before arrival on center)</td>
</tr>
<tr>
<td></td>
<td>Within 14 days after arrival</td>
</tr>
<tr>
<td></td>
<td>Students younger than 21 years only require pelvic/speculum exam for clinical indications such as pelvic pain, vaginitis, menstrual disorders, pregnancy, etc.</td>
</tr>
<tr>
<td>Chlamydia Testing (endocervical or urine)</td>
<td>All females; perform on urine if age &lt; 21 years</td>
</tr>
<tr>
<td>Gonorrhea Testing (endocervical or urine)</td>
<td>All females; perform on urine if age &lt; 21 years</td>
</tr>
</tbody>
</table>

**d. Immunizations**

All applicants are required to provide Admissions Counselors with current immunization records at the time of application. Records will be reviewed by center health staff on entry to determine currency of immunizations. Centers must immunize students for the following as directed by the Office of Job Corps:

1. Immunizations or boosters if the following immunization series are incomplete or if current immunization records cannot be produced:
   (a) Tetanus and diphtheria toxoid (Td) or Tetanus-diphtheria-acellular pertussis (Tdap)
   (b) Inactivated polio vaccine (IPV) for students younger than 18 years
   (c) Measles, mumps, and rubella vaccine

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<sup>5</sup> Center physician may choose to continue screening for syphilis on entry if there is a significant prevalence in the center population.
2. Hepatitis B vaccine series

At a minimum, Hepatitis B vaccine must be provided to health personnel and health occupations training students. Vaccination consent/declination must be documented in the staff member’s personnel file or student health record. Vaccination of health occupations training students must begin six weeks prior to on-site clinical work experience.

Refer to the Immunizations and Communicable Disease Control Technical Assistance Guide (TAG) for optional immunizations (e.g., influenza vaccine) that may be recommended but not required by the center physician, based upon availability.

Centers should utilize the Vaccines for Children program to provide immunizations for eligible students according to the latest Centers for Disease Control and Prevention (CDC) guidelines.

e. A tuberculosis skin test (Mantoux) is required of all new students who do not have documented proof of a previous negative Mantoux test taken within the last 12 months. Annual tuberculin testing should be done for students in health occupations and for students at increased risk of infection. In addition, students in health occupations must receive a Mantoux test prior to clinical work experience in accordance with state or local health department requirements.

Results of tuberculin skin testing should be interpreted without regard to a prior history of BCG vaccination.

Refer to Treatment Guidelines in the Health-Care Guidelines TAG, for management of students with a positive Mantoux test.

f. A daily walk-in clinic outside of the training hours for students to receive routine health care.

g. An inpatient unit (during office hours) for minor conditions, such as respiratory infections or flu symptoms.

h. An appointment system for follow-up during the training day for treatment of chronic, urgent, and other conditions within the capabilities of center health professionals. Treatment guidelines for health must be used to manage common acute and chronic conditions.

i. Access to prescription medications.

j. An off-center specialist referral system.
k. A 24-hour emergency-care system, to include on-center Cardio Pulmonary Resuscitation (CPR) and first aid and written referral plan or agreement for off-center medical, oral health, mental health, substance use, and inpatient care.

l. Explain and have the student sign, on the first visit to health services, the notice describing how medical information about students may be used and disclosed, and how students can get access to this information (see Form 2-01, Notice of Medical Information Use, Disclosure, and Access).

R3. **Oral Health and Wellness Program (See Exhibit 2-4, Job Corps Basic Health Care Responsibilities.)**

Centers must provide basic dental services, as described below:

a. The general emphasis of the Oral Health and Wellness Program must be on early detection, diagnosis of oral health problems, basic oral-health care, dental hygiene, and prevention/education (e.g., oral hygiene instructions, caries risk assessments, the relationship between oral health and employability, oral health and wellness plans).

b. A dental readiness inspection must be completed within 14 days after arrival by the center dentist or designee as determined by the center dentist who authorizes the activity by a written personal authorization. The dental readiness inspection must be documented in the appropriate section on the Job Corps Physical Examination Form.

c. An elective oral examination, including bitewing X-rays, priority classification, and treatment plan, must be completed and recorded on the Job Corps approved oral examination form by the center dentist upon student request as a follow up to the dental readiness inspection. The X-ray images should be securely stored as part of the student’s health record.

d. Dental procedures to treat oral disease and correct oral health conditions that may represent employability barriers, to include: restorations, extraction of pathological teeth, root canal therapy on anterior/other strategic teeth, replacement of missing upper anterior teeth with a removable prosthesis, and dental hygiene treatment for periodontal disease.

e. Written referral plan or agreement with community facilities for emergent or urgent conditions treatable beyond the expertise of a general dentist.

f. Job Corps shall not pay for student orthodontics. Applicants with orthodontic appliances must furnish:

1. Proof of orthodontic care visits during previous three months consistent with orthodontic treatment plan.

2. Proof that a treatment plan is in place for continued care.
3. A signed agreement that the cost of continued treatment and transportation related to treatment will be borne by the student, parent, or legal guardian.

4. A signed agreement by the applicant (parent/guardian of a minor) that he or she will remain compliant with orthodontic care and schedule all orthodontic appointments such that he or she will not exceed authorized leave limits for elective dental treatment.

R4. Mental-Health and Wellness Program (See Exhibit 2-4, Job Corps Basic Health-Care Responsibilities.)

Centers must provide basic mental-health services as described below:

a. The general emphasis of the Mental-Health and Wellness Program must be on the early identification and diagnosis of mental-health problems, basic mental-health care, and mental-health promotion, prevention, and education designed to help students overcome barriers to employability. The program uses an employee assistance program approach that includes short-term counseling with an employability focus, referral to center support groups, and crisis intervention.

b. Assessment and possible diagnosis, to include:

1. Assessments and recommendations for Job Corps applicants;

2. Review of Social Intake Form (SIF) or intake assessment performed by counseling staff of students who indicate mental-health history, current mental-health problems, or who request to see the Center Mental-Health Consultant within one week of arrival;

3. Mental-health assessments and recommendations for referred students. Students who are assessed as a safety risk to self or others must be continuously supervised, until their case is resolved. Disposition should occur as soon as possible;

4. Determination when a Medical Separation with Reinstatement Rights (MSWR) or medical separation is appropriate and recommended for students with mental health conditions and/or substance use co-occurring conditions.

c. Mental health promotion and education, to include:

1. Minimum of a one-hour presentation on mental-health promotion for all new students during the Career Preparation Period with an emphasis on employability:
   (a) Presentations must explain the Mental-Health and Wellness Program, what services are available, and how to make a self-referral.
   (b) Students will learn basic skills in identifying and responding to a mental health crisis.
2. At least one annual center-wide mental-health promotion and education activity

3. Clinical consultation with Center Director, management staff, and Health and Wellness Manager regarding mental health-related promotion and education efforts for students and staff

4. Coordination with other departments/programs on center, including, but not limited, to residential, recreation, student government association, and Healthy Eating and Active Lifestyles (HEALS), to develop integrated promotion and education services

d. Treatment, to include:

1. Short-term counseling with mental-health checks as needed. The focus of these sessions should be on retention and behaviors that represent employability barriers;

2. Collaboration with TEAP specialist for short-term counseling of students with co-occurring conditions of mental-health issues and substance use;

3. Collaboration with center physician and Health-and-Wellness staff on psychotropic medication monitoring of stable students, with the advice of consulting psychiatrist, if appropriate;

4. Collaboration with counseling staff in developing and/or leading psychoeducational skill-building groups to promote wellness (e.g., relaxation training, anger management, mood regulation, assertiveness skills, handling relationships, sleep hygiene, etc.);

5. Information exchange through regular case conferences between the Center Mental Health Consultant, counselors, and other appropriate staff based on individual student needs;

6. Crisis intervention, as needed. In the event of a mental health emergency, the Center Mental-Health Consultant or the Center Physician must conduct a mental health evaluation as soon as possible, and when necessary, refer the student for psychiatric care. If the Center Physician or Center Mental-Health Consultant is not available, the student must be referred immediately to the emergency room of the nearest medical facility. If there is a life-threatening situation, 911 or the emergency response team should be called;

7. Referral to off-center mental-health professionals or agencies for ongoing treatment and/or specialized services;

8. A written referral/feedback system must be established and documented in the student-health record.
**R5. Trainee Employee Assistance Program (TEAP) (See Exhibit 2-4, Job Corps Basic Health-Care Responsibilities.)**

Centers must provide basic TEAP services, as described below:

a. The general emphasis of TEAP must be on prevention, education, identification of substance use problems, relapse prevention, and helping students overcome barriers to employability.

b. Substance use prevention and education, to include:
   
   1. Minimum of a one-hour presentation on substance use prevention for all new students during the Career Preparation Period. This presentation must explain (1) TEAP prevention, education, and intervention services, (2) Job Corps drug and alcohol testing requirements and procedures, and (3) the consequences of testing positive for drug or alcohol use while in Job Corps

   2. Presentation(s) on managing substance misuse, abuse, and dependency symptoms and issues in the workplace for students during the Career Development and Transition Periods

   3. At least three annual center-wide substance use prevention and education activities

   4. Clinical consultation with Center Director, management staff, Center Mental Health Consultant, and Health and Wellness Manager regarding substance use prevention and education efforts for students and staff

   5. Coordination with other departments/programs on center, to include, but not be limited to, residential, recreation, student government association, and HEALs, to develop integrated prevention and education services

c. Assessment for identification of students at risk for substance use problems to include:

   1. Review of Social Intake Form (SIF) or intake assessment of all students performed by counseling staff within one week of arrival

   2. Formalized assessment measures (e.g., SASSI3 or SASSIA2), and clinical judgment to determine students’ level of risk for substance use

   3. Collaboration with the Center Mental-Health Consultant to determine when a MSWR or medical separation is appropriate and should be recommended for a student with substance use conditions (see Chapter 2, Section 2.3, R5, e.5)

d. Intervention services for students identified at an elevated risk for substance use, to include:
1. Individual and group intervention services with a focus on behaviors that represent employability barriers

2. Collaboration with the Center Mental-Health Consultant for students with co-occurring conditions of mental health issues and substance use

3. Referral to off-center substance abuse professionals or agencies for ongoing treatment and/or specialized services. Any student separating from Job Corps who has a substance use condition must be provided with a referral for support services in his or her home community

e. Drug and alcohol testing

1. Drug testing procedures:
   (a) Students in the following categories must be tested for drug use:
      (1) New and readmitted students must be tested within 48 hours of arrival on center.
      (2) Students who tested positive on entrance must be retested between the 37th and 40th day after arrival on center.
      (3) Students who are reasonably suspected of using drugs at any point after arrival on center must be tested; this testing must take place as soon as possible after staff suspects use.

   (b) Biochemical testing is never permissible on a random basis, with the exception of designated licensed student drivers who are subject to 49 CFR Part 382 DOT Federal Motor Carriers Safety Administration. In addition, biochemical testing requested by work experience sites, union trades, or potential employers may only be performed by the requesting entity.

   (c) If a student refuses to provide a specimen or has an unexcused absence from his or her follow-up drug test, he or she shall be presumed guilty of the Level I infraction Drugs: Use of drugs as evidenced by a positive drug test. Students who state they are unable to produce a specimen must be referred to the center physician or designee for follow up.

   (d) Collection of urine for drug testing must be in accord with chain-of-custody principles and conducted by health and wellness staff or a staff member trained in urine collection procedures.

   (e) The Job Corps nationally contracted laboratory must be used for all required drug testing. On-center urine drug testing is prohibited.

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6 Reasonable suspicion is context specific, supported by specific and articulable facts, and may include (1) direct observation of drug use or behavioral signs or symptoms suggestive of drug use, or (2) specific reliable information that a student recently used drugs.
(f) Reinstated students cannot be subject to entry drug testing upon return to the center. Transfer students cannot be subject to drug testing upon arrival at receiving center. Both reinstated and transfer students shall be subject to testing for drugs upon suspicion of use only.

2. Alcohol testing procedures:
   (a) Students who are reasonably suspected\(^7\) of being intoxicated or consuming alcohol on center or under center supervision must be tested; this testing must take place immediately after staff suspects use.

   (b) Centers must use devices that measure alcohol in the breath or saliva (e.g., breathalyzers or alcohol test strips/tubes/swabs). Alcohol testing must only be administered by a staff member trained in the use of these testing devices. All testing must be documented and the results submitted to the health and wellness center.

   (c) If a student refuses to submit to a breathalyzer or provide a sample for alcohol testing, the student shall be presumed guilty of the Level I infraction *Alcohol: Possession, consumption, or distribution while on center or under center supervision*.

3. Students testing positive for drug or alcohol use:
   (a) New students and readmitted students not previously separated for drug use *Zero Tolerance* (ZT separation code 5.2a); possession, use or distribution of drugs on center or under center supervision (ZT separation code 5.2b); possession, consumption, or distribution of alcohol while on center or under center supervision (ZT separation code 5.1b); or abuse of alcohol (ZT separation code 5.1b) who test positive on entry must receive intervention services and a follow-up drug test. The results of the follow-up drug test must be received on center prior to the end of the intervention period.

   To remain in the program, students who test positive on entry must have a negative drug-test result at the end of the intervention period.

   If an intervention period takes place during a center vacation period (i.e., winter break), the intervention period is suspended and resumes the day the student is scheduled to return to the center (e.g., if a student is on day #30 of his or her intervention period at the time of the center vacation, the day count will be suspended at 30 days, and resume as day #31 the day, he or she is due back on center). If a student does not report to the center on the day he or she is expected to return, the intervention period still resumes and the student is labeled an Unauthorized Absence.

\(^7\) Reasonable suspicion is context specific, supported by specific and articulable facts, and may include (1) direct observation of alcohol use or behavioral signs or symptoms suggestive of alcohol use, or (2) specific reliable information that a student recently used alcohol.
Students who are an Unauthorized Absence on the day of their scheduled follow-up drug test shall be presumed guilty of the Level I infraction Drugs: Use of drugs as evidenced by a positive drug test.

(b) Readmitted students previously separated for drug use (ZT separation code 05.2a) that test positive on entry or any time during their second enrollment at Job Corps must be separated immediately without an intervention period. Such students shall not be allowed to reapply to Job Corps.

(c) Students who test positive for drug use by an off-center facility must be retested on center using the Job Corps nationally contracted laboratory as soon as possible, to include:

(1) Work-based learning students who tested positive on a drug test administered by experience sites, union trades, or potential employers;

(2) Students who tested positive on a drug test administered at a referral health facility (e.g., hospital emergency department, urgent care facility).

This retest by the Job Corps nationally contracted laboratory must be classified as a suspicion-of-drug-use test. For students who test positive for drug use on this retest, centers must follow the same procedures outlined in (c) above.

(d) Student drivers who test positive for drug use under 49 CFR Part 382 DOT Federal Motor Carriers Safety Administration must follow the same procedures outlined in (c) above for positive suspicion tests. In addition, during the intervention period, student drivers who fall under DOT regulations are not permitted to drive.

(e) Students who test positive for alcohol use on suspicion must be referred to the TEAP specialist for assistance and the center’s student conduct system for disciplinary action.

4. Student notification of drug or alcohol test results

(a) Students who test positive for drug use must be informed of their results by the TEAP specialist, Center Physician, or designee within 24 hours of receipt of positive result, or as soon as possible, given staff and student availability. Minor student’s parent/guardian must be notified of positive test results as required by applicable state laws for the state in which the center is located.

(b) Alcohol test results must be provided to the student by the person administering the test.

(c) Drug and alcohol test results must be shared only with center personnel who have a need to know for purposes of discipline, counseling, administration, and delivery of services (in accordance with 42 CFR, Part 2).
(d) If a student questions the validity of a confirmed positive drug test, he or she must be referred to the Center Physician or designee for counseling.

5. Medical Separations with Reinstatement Rights (MSWR) for substance use conditions:
   (a) Students may be given a MSWR for a diagnosed substance use condition, allowing the student to return to Job Corps to complete his or her training within 180 days. To return to Job Corps, proof of treatment completion from a qualified provider must be received.

   (b) A MSWR for substance use conditions can only be given if the following conditions are met:
       (1) The TEAP specialist and Center Director agree that the student has a diagnosed substance use condition.
       (2) There is a documented assessment of the student’s diagnosed substance use condition by the TEAP specialist in collaboration with the center mental health consultant.

   (c) A MSWR cannot be granted in lieu of ZT separation when a positive follow-up test is reported during the intervention period.

   (d) If a student is placed on a MSWR during the intervention period, the intervention period is suspended and resumes the day the student is scheduled to return to the center.

R6. Tobacco Use Prevention Program (TUPP)

Implement a program to prevent the onset of tobacco use and to promote tobacco-free environments and individuals. To support this program, a TUPP Coordinator must be appointed (he or she need not be a health services staff member). At a minimum, this program must include:

a. Educational materials and activities that support delay and/or cessation of tobacco use

b. A smoke-free, tobacco-free environment that prohibits the use of all tobacco products in center buildings and center-operated vehicles

c. Designated outdoor smoking areas located a minimum of 25 feet, or as required by state law, away from the building entrance

d. Prohibition of the sale of tobacco products on center

e. Adherence to federal and state laws regarding the use of tobacco products by minors

f. Minors who use tobacco products must be referred to the TUPP
g. All services provided should be documented in the student health record

R7. Family Planning Program

a. A family planning program must be provided to all students on a voluntary basis. At a minimum, this program must include counseling, health promotion activities, and medical services, including birth control. The Center Director must appoint a staff member to implement and monitor this program.

b. Students who are pregnant and/or experiencing pregnancy-related medical conditions must be afforded the same access to medical services, leave and medical separation as any other student experiencing a medical condition, unless otherwise provided by law.

c. Once a center learns that a student is pregnant, pregnancy-related services must include:

1. Prenatal services on center and/or in the community until separation, to include a comprehensive gestational record.

2. The Center Physician, in conjunction with an obstetrical/gynecological provider and the student, will agree upon a care-management and separation plan that takes into account the health and safety of the pregnant student before and after childbirth.

3. The center must identify available community health/social resources and services, and will make arrangements for transportation for the purpose of obtaining such resources and services consistent with Chapter 6, Section 6.7, R9.d. In lieu of the center providing transportation, the center may approve a student’s request to be transported by a friend, partner or family member.

4. The center cannot pay for an abortion unless the pregnancy is the result of rape or incest or unless a physician has certified that the student suffers from a physical disorder, injury, illness, or condition that places her in danger of death unless an abortion is performed.  

5. A student that is experiencing a pregnancy-related medical condition may be placed on paid administrative leave in accordance with Exhibit 6-1 (see Pay status: Paid, Duty status: Not Present for Duty-Administrative Leave with Pay).

d. Pregnancy-related services must include information on the options of continuing or terminating the pregnancy.

e. If required by applicable state laws in which the center is located, the center must notify

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8 The Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act of 2014, Title 5, Sec. 507(a) (P.L. 113-76) provides that the prohibition on the use of Federal funds for abortions described in Section 506 “shall not apply to an abortion (1) if the pregnancy is the result of an act of rape or incest; or (2) in the case where a woman suffers from a physical disorder, physical injury, or physical illness, including a life-endangering physical condition caused by or arising from the pregnancy itself, that would, as certified by a physician, place the woman in danger of death unless an abortion is performed.”
the student’s parent/guardian of her pregnancy if she is a minor, and if required by applicable state law, inform the student of this requirement prior to the disclosure.

**R8. HIV/AIDS**

Centers must:

a. Test students for HIV infection under the following circumstances:

1. As part of the cursory medical examination (see Chapter 2, Section 2.3, R2.c)
2. If a student exhibits signs and/or symptoms of a possible AIDS-related condition
3. Upon reasonable suspicion of student exposure to HIV
4. When student is diagnosed with a newly contracted sexually transmitted disease
5. Upon student request and after physician consultation

b. Submit specimens for HIV testing to the nationally contracted laboratory. Centers shall not be reimbursed for HIV tests performed at other than the nationally contracted lab.

c. Provide pre-test counseling, in accordance with state laws, to all students regarding the HIV test.

1. Counsel each student about the test and its implications and document in the health record that the student received the HIV pre-test counseling and signed the “HIV Testing Information Sheet” in Form 2-02
2. Student refusal (see Chapter 2, Section 2.3, R15)
3. Testing waiver (see Chapter 2, Section 2.3, R15, Waiver of Medical Care)

d. Provide post-test counseling, in accordance with state laws, to all students regarding HIV test results:

1. **HIV Negative Students:** Individually inform and counsel (e.g., measures to prevent HIV infection/transmission) all students with a negative HIV test result within 14 calendar days after receipt of test results.
2. **HIV Indeterminate Students:** Individually inform and counsel all students with an indeterminate HIV test result within five calendar days after receipt of test results. A student who has an indeterminate test result must be retested at three-month intervals until a conclusive test result (i.e., negative or positive) is obtained. If a conclusive result is not obtained within 6 months, no further testing is required.
3. **HIV Positive Students:**
   (a) **Inform and Counsel:** Individually inform and counsel all students with a positive HIV test result, preferably within 24 hours, but not later than 5 calendar days, after receipt of the written positive result. The Center Mental Health Consultant must be in attendance to assist in informing and counseling.

   (b) **Contact Notification:** HIV positive students must be instructed in how to notify their sexual contacts and intravenous drug contacts that they may have been exposed to HIV infection and to refer them for counseling and testing.

   The Center Physician or designee must report the student’s HIV infection to the state and/or local health department, which will be responsible for contact notification both on- and off-center.

4. **Students Off-Center:** If a student is not on-center (e.g., Unauthorized Absence, Missing Minor Student, on leave) when his or her positive or indeterminate test result is received by the center, the Center Director or designee must make every attempt to contact and inform the student of his or her result. The health department at the student’s location must be used to assist with the task of informing students who are no longer on-center.

5. Document post-test informing and counseling activities in the student’s health record, including attempts to contact students not on-center (d.4 above).

   e. Ensure that students who test positive for HIV infection are engaged in an interactive process to determine if an accommodation plan is needed (see center reasonable accommodation policy/process or national reasonable accommodation guidelines for specific requirements of accommodation process).

   f. Ensure that students who test positive for HIV infection are engaged in case management for chronic illness on center.

   g. Provide all students with information on HIV infection; including transmission and prevention (see Chapter 3, Section 3.4, R21, and Chapter 2, Section 2.3, R1).

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**R9. Healthy Eating and Active Lifestyles**

Centers must provide students with an environment that supports healthy eating and active lifestyles, and provide students with education and experiences that promote lifelong health and physical well-being. At a minimum, this program must include the following components:

a. **Planning**

   1. Establish a Healthy Eating and Active Lifestyles Committee to oversee and coordinate this program. At a minimum, this committee must include the Health
and Wellness Manager, Food Services Manager/Supervisor, Recreation Supervisor or Specialist, TEAP Specialist, Residential Manager/Counseling Manager, and student representative.

2. Incorporate student interests and preferences when planning activities.

3. Demonstrate collaboration between various departments on center.

b. Environment

1. Provide a variety of fitness activities open to all students, as outlined in Chapter 2, Section 2.2, R4.

2. Provide healthy eating selections and limit non-nutritious eating selections, as outlined in Chapter 5, Section 5.10, R3.

c. Education and counseling

1. Provide educational activities and materials to all students that support regular physical activity, nutrition, and achieving a healthy weight, as outlined in Chapter 3, Section 3.4, R21.

2. Provide individualized weight management programming and/or counseling. Student participation in this program is highly recommended.

3. Incorporate motivational interviewing and goal setting at student’s level of readiness for change.

d. Assessment

1. Document, monitor, and assess program.

R10. Health Aspects of Sports

a. All students participating in organized contact or rigorous sports (e.g., football, basketball, boxing, and running) must be medically cleared by a health professional prior to participating. Physical examinations performed by center health personnel within one year of the organized sports activity can fulfill this requirement, at the discretion of the Center Physician. After one year, a current physical examination is required.

b. A staff member trained in CPR/First Aid, with specific authorization in the center’s standing orders, must be present at all organized contact or rigorous sports activities, including practice sessions and sports events.

c. At a minimum, staff certified in CPR/First Aid must be present at all student boxing
events and contact football games.

d. In case of possible emergency, adequate transportation must be on the scene of all center-sponsored organized sports.

R11. Basic Health Services Provided by Job Corps Centers

a. Center operators are responsible for providing and paying for basic health care as detailed in Exhibit 2-4 (Job Corps Basic Health Care Responsibilities).

b. Job Corps shall not pay for any health-related costs incurred by a student while on leave or pass unless previously authorized by the Center Director upon recommendation of a center health professional.

R12. Health and Medical Costs Exceeding Basic Health Services Provided by Job Corps Centers

a. Centers should assist students in seeking third-party health insurance coverage that will be available should the student have medical needs or costs beyond the basic health services provided by the center.

b. If a student is determined to have a pre-existing or acquired health condition that significantly interferes with or precludes further training in Job Corps, or if a student is determined to have a health problem that is complicated to manage or for which necessary treatment will be unusually costly, the center must follow medical separation procedures (Chapter 6, Chapter 6, Section 6.2, R5, and Chapter 6, Section 6.2, R4.c.5) and determine whether referral to the Office of Workers’ Compensation Programs (OWCP) is required (Chapter 5, Section 5.1, R40).

R13. Professional Standards of Care

All center health staff and providers must follow accepted professional standards of care and are subject to prevailing state laws, including but not limited to:

a. Maintaining a copy of current provider’s license, Drug Enforcement Agency (DEA) registration, and proof of liability insurance, if applicable, in center health facility.

b. Documenting all prescribed medications and treatment in student health record.

c. Documenting all laboratory procedures ordered and recording the results in student health record.

d. Following current standards of care when providing health services and treating illnesses and injuries.
R14. Medication Management (See Appendix 203, Medication Management Guidelines.)

a. Centers must comply with all state and federal regulations regarding prescribed non-controlled medications, prescribed controlled substances, and over-the-counter medications.

b. Centers must follow medication management guidelines as specified in Appendix 203.

R15. Waiver of Medical Care

a. The Center Physician/Nurse Practitioner (NP)/Physician Assistant (PA) may waive any portion of the medical examination and laboratory testing except for the entrance drug testing if in his or her opinion there is sufficient justification or if a student refuses. Such a waiver must be clearly documented by the Center Physician/NP/PA in the student’s health record and include an explanation as to why the decision was made.

b. The Center Physician/NP/PA may grant waivers of immunization requirements for valid medical and/or religious reasons. Such a waiver must be clearly documented by the center physician in the student’s health record and include an explanation as to why the decision was made.

R16. Health Care Guidelines

a. All health-care guidelines must be approved and signed annually by the Center Physician, Center Mental Health Consultant, or Center Dentist, as appropriate.

b. Current signed and dated health care guidelines must be kept in the Health and Wellness Center.

c. Annually, each center must submit a memorandum to the Regional Office indicating which health care guidelines have been modified. Copies of any individual health staff authorizations and health care guidelines that have changed must be sent to the Regional Office for approval. (Refer to Exhibit 5-2, Plan and Report Submission Requirements, for reporting deadlines.)

R17. Communicable Disease and Infection Control

The center must:

a. Report cases of disease to state and local health departments in accordance with state and local laws.

b. Manage all cases of communicable disease and use protective measures as recommended by the Centers for Disease Control and Prevention (CDC).

c. Biologically monitor the function of autoclaves and maintain a log of spore test results.
d. Follow infection control measures as mandated by state and federal law.

*R18. Inventory Records*

Maintain records on the dispensing, inventory, and disposal of medical and dental supplies and pharmaceuticals.

*R19. Continuous Quality Improvement*

Center health staff must seek feedback from students, employ mechanisms to document quality of care provided, and document quality improvement activities.
2.4 DISABILITIES

REQUIREMENTS

R1. Disability Coordinators

a. The Health and Wellness Manager (or a health staff designee) and Academic Manager (or an academic staff designee) will function as Disability Coordinators (DC) to oversee the program. Additional DCs may be appointed. Centers may choose to hire a full or part time DC to oversee the program rather than or in addition to appointing an academic and health DC.

b. The roles and responsibilities of each DC will be defined in a standard operating procedure (SOP).

R2. Disability Accommodations

a. Accommodations for Applicants and Students With Disabilities

All applicants and students with disabilities must be provided the opportunity to request and receive reasonable accommodation in accordance with Section 188 of the Workforce Innovation and Opportunity Act of 2014 https://www.congress.gov/113/bills/hr803/BILLS-113hr803enr.pdf, Section 504 of the Rehabilitation Act of 1973 https://www2.ed.gov/policy/speced/leg/rehab/rehabilitation-act-of-1973-amended-by-wioa.pdf, and their implementing regulations. Guidelines for providing reasonable accommodation are outlined in Form 2-03, Definitions and Documentation Requirements Related to Reasonable Accommodations for Applicants and Students with Disabilities, and on the Job Corps Disability website.

b. Definition of Disability

For accommodation purposes, a disability is defined as a physical or mental impairment that substantially limits one or more of a person’s major life activities. Form 2-03 contains information explaining this definition, and related requirements, in detail. Whether a particular person has an impairment that satisfies this definition, and whether a specific accommodation is appropriate for a particular person, must be determined on a case-by-case basis by someone with appropriate expertise in the field.

R3. Reasonable Accommodation Process

a. An applicant or student with a disability may request and receive reasonable accommodation to participate in the Job Corps program at any time during the

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9 Applicants and students who have a record of a disability, or who are regarded as having a disability, are protected from discrimination by federal disability nondiscrimination laws. However, the laws do not entitle these people to the positive actions, such as reasonable accommodations, that must be provided to applicants and students with current disabilities.
admissions process or enrollment. Each center will have a process for ensuring applicants/students with disabilities who request accommodation, indicate they may need accommodation, and/or provide documentation of a disability are engaged in an interactive process to consider/determine the functional limitations resulting from their disability and the potential accommodations that would allow them to participate in the Job Corps program. An SOP describing this process is required (see Exhibit 5-1) and the center’s reasonable accommodation process will include all the components outlined in Form 2-03.

b. The DCs will coordinate the center’s reasonable accommodation process.

c. During Career Transition Readiness all students will receive information about workers’ rights and responsibilities including reasonable accommodation in the workplace (see Chapter 3, Section 3.4, R23, k).

R4. CIS Disability Data Collection and Accommodation Plans

a. A DC will accurately enter the required data in the disability data collection and accommodation plan icons in CIS as soon as possible after the student enters the program.

b. For students who require TABE testing accommodations, this data will be entered prior to the administration of the first TABE test.

c. Only the DCs will have access to the disability data collection entry screen, disability data report and the accommodation plan report with notes report in CIS.

d. Generally, only the DCs will have access to the accommodation plan entry screen; however, if a designee is appointed to enter accommodation plans, this staff person can have access.

e. All center staff responsible for providing accommodations will have access to the accommodation plan report in CIS.

f. Accommodation plans will not contain any medical or diagnostic information.

R5. Referral Process

a. A written referral/feedback system must be established to document a referral to the DCs when a student discloses a disability and may want reasonable accommodation or staff suspects a student may have a disability that is impacting his/her success in the program and should meet with the DCs to consider reasonable accommodation.

b. All referral forms will be stored in the student’s accommodation file or in the student health record if no accommodation file exists.
2.5 STUDENT CONDUCT

R1. Incentives

Centers must develop processes to recognize students for positive behavior and performance, including rewards and what students must do to earn them.

R2. Rules and Sanctions

Centers must:

a. Develop standards of conduct, including rules and sanctions. Conduct standards must:

1. Parallel workplace expectations to the extent possible.

2. Include, at a minimum, those infractions and corresponding actions in Job Corps’ Zero Tolerance Policy, as detailed in Exhibit 2-1 (Infraction Levels, Definitions, and Appropriate Center Actions).

b. Implement progressive disciplinary measures for behaviors identified as Minor Infractions, as defined in Exhibit 2-3 (Menu of Progressive Discipline Interventions and Sanctions for Minor Infractions).

c. Prohibit the use of the following sanctions:

1. Corporal punishment and measures designed to humiliate or degrade the student

2. Physical force or solitary isolation (Physical restraint may be used only to the minimum extent necessary and only in situations that seriously threaten persons or property.)

3. Dorm cleanup, kitchen duty, or other regular housekeeping chores used as a punishment

4. Suspension of privileges for the dining hall, canteen, voting, religious services, or pay and allowances

5. Restrictions to center in excess of 30 days

6. Fines in excess of $5 per offense or per pay period

7. Restitution in excess of $500 per enrollment

8. Forced resignation from the program
R3. Investigation and Disposition of Incidents

a. Centers must conduct investigations and Fact-Finding Boards (FFB) as follows:

1. Convene Fact-Finding Boards in accordance with the requirements in Exhibit 2-2 (Requirements for the Conduct of Fact-Finding Boards).

2. Provide Fact-Finding Boards with a written investigative report of the incident under consideration. Boards may only consider evidence relevant to the infraction.

3. Recommend appropriate sanctions in keeping with the level of infraction as shown in Exhibit 2-1 (Infraction Levels, Definitions, and Appropriate Center Actions).

4. Report the outcomes of Fact-Finding Boards on the “Summary of Review Board Hearing” form and document the date and disposition of the Fact-Finding Board in Center Information System (CIS) within 48 hours of its completion.

b. The National Director may suspend the timeframes for the Fact-Finding Board to issue its decision, if the student subject to the Fact-Finding Board is also the subject of an active police investigation.

R4. Appeal Process

Centers must develop a process for the appeal of disciplinary decisions, consistent with Exhibit 2-2. The appeal process must, have the following features at a minimum:

a. Students must be allowed to appeal disciplinary decisions from lower organizational levels to higher ones.

b. Students must be notified in writing of their right to appeal a decision of the Center Director, resulting in dismissal from the program, to the Regional Appeals Board (RAB). Student appeals must be made within 30 calendar days of their separation.

R5. Regional Appeals Board

In an effort to ensure due process, each Regional Office will establish a Regional Appeals Board (RAB) to review student appeals of disciplinary discharge from the Job Corps program. The RAB must operate as follows:

a. The Regional Director will determine the composition of the RAB.

b. In reviewing cases, the RAB must utilize only written documentation to include, at a minimum, the student’s appeal letter intended to preserve the student’s due process, and the record of the student’s hearing at the center. The RAB will not hear oral testimony from interested parties.
c. The RAB must rule on student appeals within 30 calendar days of the receipt of the student’s appeal letter.

d. In making a decision, the options open to the RAB are to:

   1. Affirm the Center Director’s decision to discharge the student.
   2. Overturn the Center Director’s decision to discharge the student.
   3. Recode the separation (for zero tolerance offenses).
   4. Remand the case to the center for rehearing.
   5. Request additional information from the center and delay the RAB meeting to a later date. Notify the center and student if the decision will be extended beyond the 30 calendar days for appeal resolution.

e. If the RAB determines that all three of the following conditions are met, the RAB must affirm the disciplinary discharge of the student.

   1. There is substantial evidence to support the alleged facts of the case.
   2. The procedural requirements of the law and Job Corps policies were adequately met.
   3. The facts of the case constitute an offense for which disciplinary discharge is permitted.

f. If the RAB determines from the record that either of the following conditions pertain, the RAB must reverse the disciplinary discharge.

   1. The evidence provided does not support the facts alleged.
   2. The facts are supported by the evidence presented, but these facts do not constitute an offense for which disciplinary discharge is permitted.

g. If the RAB determines that substantial procedural requirements were not met, the RAB may take either of the following actions.

   1. It may reverse the Center Director’s decision to discharge.
   2. It may remand the case to the center for rehearing.

h. If the RAB determines that there is not enough information in the record to make a determination, the RAB may delay the RAB meeting to another date and request additional written information from the center, the student, or any other source. The
RAB must provide all interested parties an opportunity to respond to the additional information before the RAB makes its final decision. The RAB must notify the center and student if the delay will extend beyond the 30 calendar day resolution period.

i. If the RAB overturns the decision of a Center Director to discharge a student from the program, the Regional Office must reestablish the student as follows:

1. If the student is allowed to resume training at the same center, the center must reestablish the student in the Center Information System (CIS) and arrange for the student’s immediate return to the center.

2. If the student is transferred to another center, the sending center must take the following actions:
   (a) Re-establish the student in CIS
   (b) Initiate the transfer in CIS
   (c) Provide the student with transportation and an itinerary to the receiving center
   (d) Provide a complete copy of the student’s personnel record (including medical records) to the receiving center

j. Manage an automatic appeal of felonies/misdemeanors (dropped charges/not guilty) as follows:

1. If the student is found not guilty, or if the charges are dropped, the center will forward the case to the RAB for disposition.

2. The RAB will decide if the student should be:
   (a) Re-established at the same center
   (b) Re-established and transferred to another center
   (c) Re-entered into CIS with a different code

3. The center must complete appropriate CIS entries as advised by the RAB.

k. Regional Offices must maintain a log of all cases reviewed by the RAB and the disposition of the cases.

l. RAB decisions must be communicated in writing to the student and the center.

m. RAB decisions are final and represent the official decision of the Secretary of Labor.
R6. Bullying and Sexual Harassment Training

Centers must provide students regular proactive education on bullying, sexual harassment, appropriate behavior, appropriate staff/student boundaries, and consequences.
2.6 EVALUATION OF STUDENT PROGRESS

REQUIREMENTS

R1. Evaluation of Student Progress

Centers must:

a. Inform all new students that they will be formally evaluated at least every 60 days on their knowledge of and ability to demonstrate all eight Career Success Standards.

b. Ensure that each student participates in ongoing evaluations, with appropriate staff, at least every 60 days.

c. Arrange for each student’s interdepartmental Career Management Team (CMT) to conduct a formal evaluation prior to the student’s entry into the Career Development Period (CDP) and the Career Transition Period (CTP), at a minimum.

d. Schedule special evaluations as needed, at any time during a student’s enrollment.

R2. Content of Evaluations

Centers must:

a. Assess each student’s progress in all major career development areas: academics, career technical training, industry certification attainment, work-based learning, career pathway preparation, social development, and recreation using the Evaluation module in CIS, including documentation of student progress in the My Pathway to Achieving Career Excellence (MyPACE) Career Plan and Pathway Achievement Record (PAR) tasks.

b. Evaluate student performance on all eight Career Success Standards as well as any additional expectations the center wishes to evaluate.

c. Provide each student with a schedule of individualized projects and activities to assist him or her in meeting the Career Success Standards in which a “Needs Improvement” rating is received.

d. Collaborate with students in setting, affirming, and/or revising short-term, mid-term, and long-term personal, training, and career goals using the student’s MyPACE Career Plan and Pathway Achievement Record (PAR):

1. Review the student’s mid-term career (placement) goal, PAR, and supporting documentation of completed tasks.

2. Confirm the student’s career pathway remains appropriate (Review Exhibit 2-5
Placement Pathway Prerequisites for Entry).

3. If the student requests a change in their mid-term pathway goal, the center must take the following action steps:

   (a) Student must submit a justification statement for mid-term career pathway change.
   
   (b) Interdepartmental CMT must review and agree with career pathway change; assist student in revising MyPACE Career Plan, and assign new PAR.
   
   (c) Center Director or designated senior manager must approve the revised MyPACE Career Plan and assignment of new PAR.

4. At a minimum, update the student’s MyPACE Career Plan and PAR as a result of each evaluation, to reflect accomplishments and goal revisions.

   e. Ensure students are evaluated by career development staff who are in and have direct contact with the students, such as counselors, instructors, residential advisors/residential counselors, and work site supervisors. Require evaluators to discuss their evaluations with students privately and in person.

   f. Provide each student with an updated copy of his or her MyPACE Career Plan and PAR following each evaluation or whenever the plan or career pathway is changed, and upload revisions into the Center Information System (CIS) e-Folder.

R3. Transition to Career Development Period

Centers must:

a. Ensure that each student receives ongoing evaluations, conducted by an interdepartmental Career Management Team (CMT).

b. Ensure each student’s interdepartmental (CMT) conducts a formal evaluation prior to the student’s entry into Career Development, which assesses the student’s progress, determine readiness to participate, and identifies any needs for support services.

c. Collaborate with the student to review, verify, and finalize his or her initial My Pathway to Achieving Career Excellence (MyPACE) Career Plan, including:

   1. Short-term training goals (career technical training program selection and academic goal)

   2. Mid-term career goal (job placement, apprenticeship, advanced training, military enlistment, or post-secondary education). An assessment to determine if the career pathway is appropriate for the student (Refer to Exhibit 2-5 Placement Pathway Prerequisites for Entry)
3. Long-term career goal (ultimate career that the student will progress toward)

4. Tasks associated with career development and transitional needs as identified in Chapter 2, Section 2.1, R4 My Pathway to Achieving Career Excellence Career Plan

d. Collaborate with each student to identify Career Success Standards that support their MyPACE Career Plan.

e. Schedule support services for each student, as needed, to address barriers to full participation in Career Development.

f. Assign each student to Career Development activities based on their individual progress and readiness to participate.

g. Assign student a Pathway Achievement Record (PAR) based on his/her mid-term placement goal (direct job placement, apprenticeship, advanced training, military enlistment, or postsecondary education). The interdepartmental CMT will assign staff during the Career Development Period (CDP) to:

1. Monitor, assist, and support the student in the completion of PAR tasks and activities, including transitional needs.

2. Identify completion timelines and follow up.

h. Ensure career plans include signatures from representatives for each department identified on the career plan.

i. Submit each student’s initial MyPACE Career Plan to the Center Director or senior management designee for review and approval. (Reference Form 2-06 MyPACE Career Plan Checklist and Exhibit 2-5 Placement Pathway Prerequisites for Entry)

j. Retain a copy of approved MyPACE Career Plan and PAR in each student’s permanent personnel file and upload any updated versions in the student’s e-Folder.
# Exhibit 2-1
## Infraction Levels, Definitions, and Appropriate Center Actions

<table>
<thead>
<tr>
<th>Infraction</th>
<th>Definition</th>
<th>Center Action</th>
<th>Readmit Eligible</th>
<th>Separation Code</th>
<th>Significant Incident Report (SIR) Required?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Possession of a weapon on center or under center supervision</td>
<td>Knowingly possessing an instrument whose primary use is to inflict bodily harm, including, but not limited to: • Firearms and ammunition • Explosives and incendiaries • Knives • Homemade weapons</td>
<td>Fact-Finding Board, automatic discharge if deemed responsible</td>
<td>Not Eligible</td>
<td>5.1a</td>
<td>Yes</td>
</tr>
<tr>
<td>Example: A knife is found in a student’s locker. The Fact-Finding Board determines the knife belonged to the student. Example: A knife is found in a student’s locker. The Fact-Finding Board determines the knife was placed there by another student for the purpose of getting the student kicked out of the program, and the student did not know it was there. In that case, the Fact-Finding Board would determine that the student did not knowingly possess the weapon and would not be found responsible for the infraction.</td>
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<tr>
<td>Assault</td>
<td>Taking a physical action with the intent to cause immediate bodily harm to another person unless taken in immediate response to another person taking such an action with the intent to prevent its continuation. Example: A student (aggressor) strikes another student (victim). The victim defends him or herself by tackling the aggressor in an attempt to stop further assault. Upon investigation, the aggressor is charged with assault, but the victim is not. However, if the victim had become a secondary aggressor and proceeded to pummel the initial aggressor, then both would be charged with assault. Example: A student (aggressor) attempts to strike another student (victim) and misses. The aggressor is charged with assault because the aggressor intended to cause bodily harm.</td>
<td>Fact-Finding Board, automatic discharge if deemed responsible</td>
<td>Not Eligible</td>
<td>5.1a</td>
<td>Yes</td>
</tr>
<tr>
<td>INFRINGEMENT</td>
<td>DEFINITION</td>
<td>CENTER ACTION</td>
<td>READMIT ELIGIBLE</td>
<td>SEPARATION CODE</td>
<td>Significant Incident Report (SIR) Required?</td>
</tr>
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</tr>
<tr>
<td>Threat of assault</td>
<td>Taking any action that intentionally causes another person to fear imminent bodily harm.</td>
<td>Fact-Finding Board, automatic discharge if deemed responsible</td>
<td>Not Eligible</td>
<td>5.1a</td>
<td>Yes</td>
</tr>
<tr>
<td></td>
<td><em>Example:</em> One student raises a tray in the cafeteria as if to strike another student to intentionally cause fear.</td>
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<tr>
<td>Threat to safety</td>
<td>Taking any action that causes another person to reasonably fear bodily harm, including threats expressed verbally or via email, text, blog or social media.</td>
<td>Fact-Finding Board, automatic discharge if deemed responsible</td>
<td>Not Eligible</td>
<td>5.1a</td>
<td>Yes</td>
</tr>
<tr>
<td></td>
<td><em>Example:</em> A student uses social media to invite members of the community to come fight another student on center.</td>
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<td></td>
<td><em>Example:</em> A student makes a terroristic threat to bomb a dormitory.</td>
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</tr>
<tr>
<td>Sexual assault</td>
<td>Causing or engaging in sexual contact, or inappropriate touching of a sexual nature of another, without the voluntary, affirmative consent of all individuals involved.</td>
<td>Fact-Finding Board, automatic discharge if deemed responsible</td>
<td>Not Eligible</td>
<td>5.1a</td>
<td>Yes</td>
</tr>
</tbody>
</table>
|                   | *Example:*  
|                   | • Forced sexual intercourse or sodomy  
|                   | • Attempted rape  
|                   | • Child molestation  
<p>|                   | • Fondling/groping |</p>
<table>
<thead>
<tr>
<th>INFRACTION</th>
<th>DEFINITION</th>
<th>CENTER ACTION</th>
<th>READMIT ELIGIBLE</th>
<th>SEPARATION CODE</th>
<th>Significant Incident Report (SIR) Required?</th>
</tr>
</thead>
</table>
| Drugs: Possession or distribution of drugs on center or under center supervision | Knowingly possessing, using, or distributing any of the following:  
- Illegal drugs, as defined by the Controlled Substances Act including seeds and residue, except when the drug is possessed and/or used in accordance with a valid prescription  
Note: Under Federal law, no valid prescription can be provided for Schedule I drugs, including marijuana  
- Synthetic drugs  
- Legalized marijuana  
- Prescription drugs not prescribed for the individual  
- Substances used for the purpose of intoxication  
- Over-the-counter medications for the purpose of intoxication  
- Drug paraphernalia  
- Drug sale ledger or distribution list |
<p>|                                                                            | Fact-Finding Board, automatic discharge if deemed responsible                                                                                                                                             | Not Eligible                                                                 | 5.2b             | Yes             |                                             |</p>
<table>
<thead>
<tr>
<th>INFRINGEMENT</th>
<th>DEFINITION</th>
<th>CENTER ACTION</th>
<th>READMIT ELIGIBLE</th>
<th>SEPARATION CODE</th>
<th>Significant Incident Report (SIR) Required?</th>
</tr>
</thead>
</table>
| Drugs: Use of drugs as evidenced by a positive drug test | • Testing positive on a follow-up to an initial positive drug test. The follow-up test is administered between the 37th and 40th day after arrival on center.  
• Testing positive on a drug test administered on suspicion at any time.  

NOTE: Job Corps has a policy of Zero Tolerance for drug use once the student is enrolled in the program. However, students with a history of drug use are not automatically disqualified from enrolling in Job Corps. As described in Chapter 2, Section 2.3, R5, students will be tested within 48 hours of initial arrival to the center. An initial positive drug test is considered to reflect drug use prior to the student’s enrollment. Students who test positive are provided intervention services and retested between the 37th and 40th day after arrival on center, at which point a positive test is a Level I infraction.  

NOTE: Students who refuse to provide a specimen or have an unexcused absence from a follow-up drug test shall be presumed guilty of this infraction. | Fact-Finding Board, automatic discharge if deemed responsible | Eligible after one year, but if individual tests positive for drug use upon readmission, they will be separated immediately and not allowed to reapply | 5.2a             | Yes                                      |
<table>
<thead>
<tr>
<th>INFRACTION</th>
<th>DEFINITION</th>
<th>CENTER ACTION</th>
<th>READMIT ELIGIBLE</th>
<th>SEPARATION CODE</th>
<th>Significant Incident Report (SIR) Required?</th>
</tr>
</thead>
</table>
| **Alcohol:** Possession, consumption, or distribution while on center or under center supervision | While on center or while off center but on a center-supervised activity, knowingly:  
- Possessing alcohol  
- Consuming alcohol  
- Distributing alcohol to others  

NOTE: Students who are aged 21 or older may drink alcohol when off center and not under center supervision; however, they cannot bring alcohol onto the center.  

In addition, if students of any age return to the center intoxicated, it is categorized as a Level II “intoxication” infraction described below.  

NOTE: Students who refuse to submit to a breathalyzer or provide a sample for alcohol testing shall be presumed guilty of this infraction. | Fact-Finding Board, automatic discharge if deemed responsible | Eligible after one year | 5.3c | Yes |
| **Abuse of Alcohol** | A pattern of alcohol consumption-related incidents demonstrated by receiving more than two Level II “Intoxication on center or under center supervision” infractions where the intoxication is the result of alcohol while enrolled in the program. The 3rd infraction elevates the behavior to Level I Abuse of Alcohol. | Fact-Finding Board, automatic discharge if deemed responsible | Eligible after one year | 5.3c | Yes |
| **Arrest for a felony or violent misdemeanor on or off center** | • Being arrested by law enforcement for a felony.  
• Being arrested by law enforcement for a misdemeanor involving the use, attempted use, or threatened use of physical force against the person or property of another.  

NOTE: If the student is subsequently found guilty of only a non-violent misdemeanor, as defined below, he/she shall instead be charged with committing the Level II infraction “Arrest for a non-violent misdemeanor on or off center”.  

If the charges are dropped or if the student is found not guilty, he/she will be exonerated. | Fact-Finding Board, automatic discharge if deemed responsible | Not Eligible | 5.1a | Yes |
<table>
<thead>
<tr>
<th>LEVEL I – ZERO TOLERANCE INFRACTIONS</th>
<th>DEFINITION</th>
<th>CENTER ACTION</th>
<th>READMIT ELIGIBLE</th>
<th>SEPARATION CODE</th>
<th>Significant Incident Report (SIR) Required?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Illegal Activity</td>
<td>Being convicted of a felony or misdemeanor as defined by Federal or state law, where the crime occurred while the student was enrolled in Job Corps.</td>
<td>Fact-Finding Board, automatic discharge if deemed responsible</td>
<td>Not Eligible</td>
<td>5.1a</td>
<td>Yes</td>
</tr>
<tr>
<td>Robbery or extortion</td>
<td>Taking money or possessions of another from his/her person by force or intimidation.</td>
<td>Fact-Finding Board, automatic discharge if deemed responsible</td>
<td>Not Eligible</td>
<td>5.1a</td>
<td>Yes</td>
</tr>
<tr>
<td>Arson</td>
<td>The malicious setting of fire to a structure or personal property belonging to another person or entity.</td>
<td>Fact-Finding Board, Automatic Discharge if deemed responsible</td>
<td>Not Eligible</td>
<td>5.1a</td>
<td>Yes</td>
</tr>
<tr>
<td>Cruelty to animals</td>
<td>The torture, ill-treatment, abandonment, willful infliction of injury or pain, beating, maiming, mutilating, or killing of any animal, whether belonging to the individual or another.</td>
<td>Fact-Finding Board, Automatic Discharge if deemed responsible</td>
<td>Not Eligible</td>
<td>5.1a</td>
<td>Yes</td>
</tr>
<tr>
<td>Inciting a disturbance or creating disorder</td>
<td>Persuading, encouraging, instigating, taunting, pressuring or threatening persons to disrupt a peaceful situation. Causing disorder or disrupting a peaceful situation.</td>
<td>Fact-Finding Board, Automatic Discharge if deemed responsible</td>
<td>Not Eligible</td>
<td>5.1a</td>
<td>Yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>LEVEL II INFRACTIONS</th>
<th>DEFINITION</th>
<th>CENTER ACTION</th>
<th>READMIT ELIGIBLE</th>
<th>SEPARATION CODE</th>
<th>Significant Incident Report (SIR) Required?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Possession of a potentially dangerous item</td>
<td>Knowingly possessing, without authorization or legitimate purpose, an instrument or substance that could readily be used to inflict bodily harm.</td>
<td>Fact-Finding Board</td>
<td>Eligible after 1 year</td>
<td>5.1b</td>
<td>Yes</td>
</tr>
<tr>
<td>Example: Box cutter, scissors, trade tools, drain cleaner.</td>
<td></td>
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</tr>
<tr>
<td>Theft/stealing</td>
<td>Taking the property of another person or entity, with the intent of permanently depriving the owner.</td>
<td>Fact-Finding Board</td>
<td>Eligible after 1 year</td>
<td>5.1b</td>
<td>Yes</td>
</tr>
<tr>
<td>INFRACTION</td>
<td>DEFINITION</td>
<td>CENTER ACTION</td>
<td>READMIT ELIGIBLE</td>
<td>SEPARATION CODE</td>
<td>Significant Incident Report (SIR) Required?</td>
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<td>------------------------------------------------</td>
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<td>-------------------------------------------</td>
</tr>
</tbody>
</table>
| Intoxication on center or under center supervision | While on center or while off center but on a center-supervised activity, exhibiting a state in which one’s capacity to act or reason normally has been inhibited by the ingestion of a substance with the intent to cause such a state.  

NOTE: Suspected intoxication from use of alcohol may be confirmed by a breathalyzer test if alcohol is found in the breath or saliva. However, this definition includes intoxication as a result of substances other than alcohol, so a negative breathalyzer does not preclude a student from being charged with an intoxication infraction.  

NOTE: Possessing, consuming, or distributing alcohol while on center or while off center but on a center-supervised activity is categorized as a Level I infraction, as described above. | Fact-Finding Board | Eligible after 1 year | 5.3b | Yes |
| Possession of stolen goods                     | Possessing items that one knows, or reasonably should know, are stolen.                                                                                                                                     | Fact-Finding Board       | Eligible after 1 year | 5.1b | Yes |
| Bullying or harassment                          | • Making repeated (2 or more instances) communications with the intent to threaten or hurt another person mentally or emotionally, including statements made orally, in writing or via email, blog, text or other social media.  
• Making discriminatory remarks or ethnic slurs.  
• Performance of curses, hexes, or other rituals or actions intended to harm others. | Fact-Finding Board       | Eligible after 1 year | 5.1b | Yes |
<table>
<thead>
<tr>
<th>INFRINGEMENT</th>
<th>DEFINITION</th>
<th>CENTER ACTION</th>
<th>READMIT ELIGIBLE</th>
<th>SEPARATION CODE</th>
<th>Significant Incident Report (SIR) Required?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sexual harassment</td>
<td>Making an unwelcome sexual advance(s), request(s) for sexual favors, sexually offensive remark(s), a sexual gesture(s) or other communication(s) of a sexual nature that contribute to an intimidating, hostile or offensive environment. Depending on its severity, a single incident may constitute sexual harassment. Harassment does not have to be of a sexual nature, however, and can include offensive remarks about a person’s gender including behavior, comments, jokes, slurs, email messages, pictures or other conduct that contributes to an intimidating or offensive environment. Sexual harassment may occur between males and females or between members of the same sex. <strong>Example:</strong> A student tells an offensive joke, warranting on-the-spot intervention and counseling that the joke is inappropriate. The student continues to tell offensive jokes, which is then deemed sexual harassment. <strong>Example:</strong> An overly explicit unwanted sexual advance.</td>
<td>Fact-Finding Board</td>
<td>Eligible after 1 year</td>
<td>5.1b</td>
<td>Yes</td>
</tr>
<tr>
<td>False accusation</td>
<td>Making a false accusation against another individual that could have resulted in a Level I infraction or staff disciplinary action, without any credible supporting evidence.</td>
<td>Fact-Finding Board</td>
<td>Eligible after 1 year</td>
<td>5.1b</td>
<td>No</td>
</tr>
<tr>
<td>Unfair money lending</td>
<td>Lending money and either demanding repayment with interest or using intimidating methods to obtain repayment.</td>
<td>Fact-Finding Board</td>
<td>Eligible after 1 year</td>
<td>5.1b</td>
<td>No</td>
</tr>
<tr>
<td>Hazing or initiation</td>
<td>Participating in any ritual, ceremony, ordeal or other activity that involves humiliating or verbally or emotionally abusing someone as a way of admitting him/her into a group or of granting him/her status. It shall not constitute a defense to the charge of hazing or initiation that the participant(s) took part voluntarily, that they voluntarily assumed the risks or hardship of the activity, or that no physical or mental injury was suffered. All participants engaged in a hazing or initiation activity are subject to disciplinary action.</td>
<td>Fact-Finding Board</td>
<td>Eligible after 1 year</td>
<td>5.1b</td>
<td>Yes</td>
</tr>
<tr>
<td>INFRINGEMENT</td>
<td>DEFINITION</td>
<td>CENTER ACTION</td>
<td>READMIT ELIGIBLE</td>
<td>SEPARATION CODE</td>
<td>Significant Incident Report (SIR) Required?</td>
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<tr>
<td>Gang representation or activity</td>
<td>Wearing of gang clothing, colors; using signs or handshakes associated with known gangs identified by law enforcement; using gang names or displaying gang symbols or slogans.</td>
<td>Fact-Finding Board</td>
<td>Eligible after 1 year</td>
<td>5.1b</td>
<td>Yes</td>
</tr>
<tr>
<td>Vandalism</td>
<td>Intentionally damaging or destroying equipment or property belonging to another person or entity, including tagging.</td>
<td>Fact-Finding Board</td>
<td>Eligible after 1 year</td>
<td>5.1b</td>
<td>Yes</td>
</tr>
</tbody>
</table>
| Plagiarism | Passing off the ideas or words of another as one’s own without crediting the source.  
Example: Copying a report from the internet and submitting as one’s own work. | Fact-Finding Board | Eligible after 1 year | 5.1b | No |
| Cheating | • Providing questions/answers to another student during a test.  
• Receiving questions/answers from another student during a test.  
• Using online resources during a test. | Fact-Finding Board | Eligible after 1 year | 5.1b | No |
| Arrest for a non-violent misdemeanor on or off center | Being arrested by law enforcement for a misdemeanor that does not involve the use, attempted use, or threatened use of physical force against the person or property of another.  
NOTE: Violent misdemeanors are categorized as Level I infractions and defined above.  
If the charges are dropped or if the student is found not guilty, he/she will be exonerated. | Fact-Finding Board | Eligible after 1 year | 5.3b | Yes |
| Bringing disrepute to the program | Behaving in a manner that is likely to cause others to have a diminished or lower opinion of the center or the Job Corps program.  
Example: While off center, creating some kind of disturbance in the community that did not result in an arrest (rowdy behavior which bothered citizens or merchants).  
Example: Video recording occurrences of Level I, II, or III infractions (such as videos of fights) and posting the footage on the internet. | Fact-Finding Board | Eligible after 1 year | 5.1b | Yes |
<table>
<thead>
<tr>
<th>LEVEL II INFRACTIONS</th>
<th>DEFINITION</th>
<th>CENTER ACTION</th>
<th>READMIT ELIGIBLE</th>
<th>SEPARATION CODE</th>
<th>Significant Incident Report (SIR) Required?</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Pattern of minor infractions</strong></td>
<td>Receiving more than 4 minor infractions within a 60 calendar day timeframe. The 5th infraction elevates the behavior to Level II, Pattern of Minor Infractions.</td>
<td>Fact-Finding Board</td>
<td>Eligible after 1 year</td>
<td>5.3a</td>
<td>No</td>
</tr>
<tr>
<td><strong>Unauthorized Exit</strong></td>
<td>Leaving the Job Corps center campus or a center-supervised activity without approval from a staff member authorized to approve student leave and passes. Example: A student leaves campus for any reason such as meeting a friend, conducting personal business, etc. without first securing appropriate approval.</td>
<td>Fact-Finding Board</td>
<td>Eligible after 1 year</td>
<td>5.3d</td>
<td>Yes</td>
</tr>
<tr>
<td>MINOR INFRINGEMENTS</td>
<td>DEFINITION</td>
<td>CENTER ACTION</td>
<td>Significant Incident Report (SIR) Required?</td>
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</tbody>
</table>
| Failure to follow center rules impacting the rights or ability of others to benefit from the program | Exhibition of a pattern of behavior infractions that impacts the rights of other enrollees or their ability to benefit from the program, including:  
- Using profanity, or abusive or obscene language  
- Interfering with the learning of others through disruptive behavior  
- Smoking in unauthorized areas  
- Cutting lines  
- Maintaining or operating a private vehicle on center  
- Gambling  
- Failing to follow safety rules  
Example: Failure to use safety equipment and protective gear; horseplay; misuse of tools. | Progressive interventions:  
More than 4 occurrences during a 60 calendar day period results in automatic Level II infraction and Fact-Finding Board. | No |
| Failure to follow center rules impacting the individual’s participation or progress in the program | Exhibition of a pattern of behavior infractions that demonstrates the individual’s lack of commitment to program participation or implicates self-endangerment including:  
- Refusing to perform assignments  
- Failing to follow instructions  
- Being absent or excessively tardy without permission from assigned activity including work, classes, and scheduled health appointments  
- Engaging in overt sexual behavior  
- Violating center dress code | Progressive interventions:  
More than 4 occurrences during a 60 calendar day period results in automatic Level II infraction and Fact-Finding Board. | No |
## EXHIBIT 2-2
**Requirements for the Conduct of Fact-Finding Boards**

<table>
<thead>
<tr>
<th>Conduct of Fact-Finding Boards</th>
<th>Level I</th>
<th>Level II</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>TIMEFRAME</strong></td>
<td>Decision within 3 training days</td>
<td>Decision within 5 training days</td>
</tr>
<tr>
<td><strong>COMPOSITION</strong></td>
<td>One senior staff member</td>
<td>Two staff, one student</td>
</tr>
<tr>
<td><em>(Does not include Center Director (CD), Center Standards Officer (CSO), Counselor, or Security)</em></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>STUDENT PARTICIPATION</strong></td>
<td>Student removed from center immediately and placed on Fact-Finding Board Leave.</td>
<td>Student removed from center immediately and placed on Fact-Finding Board Leave if determined to be a threat to self or others, in accordance with the PRH</td>
</tr>
<tr>
<td><strong>STUDENT RIGHTS</strong></td>
<td>May provide written input for consideration</td>
<td>• If on center, appear before Board • May make written input to Board</td>
</tr>
<tr>
<td><strong>CONSIDERATIONS</strong></td>
<td>Fact finding only</td>
<td>• Fact finding • Seriousness of infraction • Mitigating circumstances</td>
</tr>
<tr>
<td><strong>BOARD DETERMINATION</strong></td>
<td>Confirm documentation is present (incident report, witness and staff statements and/or other paperwork relevant to the specific charge(s))</td>
<td>Consider documentation (incident report, summary, witness and staff statements and or other paperwork relevant to the exact charge) Boards may not use, review, or consider Evaluations of Student Progress, or non-supporting statements at Board unless directly related to charge(s)</td>
</tr>
<tr>
<td></td>
<td>If documentation supports charges, confirm responsibility, sign summary and forward packet to CD</td>
<td>Vote, without the charged student present, to determine responsibility and make a recommendation to CD for retention or separation</td>
</tr>
<tr>
<td></td>
<td>If documentation is inadequate to support charges, request additional information or reduce charges and forward packet to CD</td>
<td>Forward packet with recommendation to CD for decision</td>
</tr>
<tr>
<td><strong>DISPOSITION OF RESPONSIBILITY</strong></td>
<td>Automatic discharge/separation Level I Zero Tolerance</td>
<td>Presumption of discharge</td>
</tr>
<tr>
<td><strong>DOCUMENTATION</strong></td>
<td>Form: Summary of Fact-Finding Board Determination signed by Board member</td>
<td>Form: Summary of Fact-Finding Board Hearing signed by Board members</td>
</tr>
<tr>
<td></td>
<td>CIS: Enter the exact date the Fact-Finding Board was held and its final disposition.</td>
<td>CIS: Enter the exact date the Fact-Finding Board was held and its final disposition. Provide rationale if Board recommendation is not upheld.</td>
</tr>
<tr>
<td><strong>CENTER DIRECTOR’S ROLE</strong></td>
<td>If paperwork supports charges, sign summary and initiate separation processing</td>
<td>Review the Board’s recommendation Determine if recommendation is to be upheld, overturned, or if charges are to be reduced; sign decision and provide rationale if Board recommendation is not upheld</td>
</tr>
<tr>
<td><strong>STUDENT NOTIFICATION</strong></td>
<td>In writing</td>
<td>Verbally (if present on center), and in writing</td>
</tr>
<tr>
<td><strong>APPEALS</strong></td>
<td>May appeal to Regional Appeal Board (RAB) within 30 days</td>
<td>May appeal Board decision to CD, and CD’s decision to Regional Appeal Board within 30 days</td>
</tr>
</tbody>
</table>
## EXHIBIT 2-3
### MENU OF PROGRESSIVE DISCIPLINE INTERVENTIONS AND SANCTIONS FOR MINOR INFRACTIONS

<table>
<thead>
<tr>
<th>Minor Infractions: Menu of Progressive Discipline Interventions and Sanctions</th>
<th>Select a minimum of one intervention and one sanction from the lists below for Minor Infractions.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Interventions</strong>:</td>
<td><strong>Sanctions</strong>:</td>
</tr>
<tr>
<td>1st Offense:</td>
<td>2nd Offense:</td>
</tr>
<tr>
<td>• Counseling referral</td>
<td>• Counseling referral</td>
</tr>
<tr>
<td>• Verbal apology</td>
<td>• Wellness referral (if applicable)</td>
</tr>
<tr>
<td>• Peer counseling</td>
<td>• Written and verbal apology</td>
</tr>
<tr>
<td></td>
<td>• CSS essay or assignment related to CSS skill deficiency</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Community service (4 hours)</td>
</tr>
<tr>
<td><strong>Sanctions</strong>:</td>
<td><strong>Sanctions</strong>:</td>
</tr>
<tr>
<td>• $1 fine</td>
<td>• $2 fine</td>
</tr>
<tr>
<td>• Verbal or written reprimand</td>
<td>• Letter of caution</td>
</tr>
<tr>
<td></td>
<td>• Weekend restriction</td>
</tr>
</tbody>
</table>

**Notes:**
- As reflected in the Center’s Behavior Management Plan, Peer Court may be incorporated into the Progressive Discipline process to recommend the appropriate interventions and sanctions.
- Interventions must be tailored to address specific skill deficiencies identified in the infraction report.
- CSS refers to Career Success Standards.
EXHIBIT 2-4

JOB CORPS BASIC HEALTH CARE RESPONSIBILITIES

A. Medical

1. Assessment and diagnosis of illness and injury, to include:
   • Cursory medical evaluation by a qualified health professional; must be completed within 48 hours after the student’s entry.
   • Entrance physical examination by a qualified health professional within 14 days after entry using Job Corps approved history and physical forms.
   • Required entry laboratory studies
     o Hemoglobin or hematocrit
     o Pregnancy test (all females)
     o Pap smear (all females ≥ 21 years of age)
     o Chlamydia and gonorrhea testing
     o HIV testing
     o Urine drug screen
   • Immunizations, to include boosters for incomplete immunization series, and hepatitis B vaccine for health occupations training students.
   • Tuberculin skin test (Mantoux).
   • Vision and hearing screening.
   • Daily walk-in clinic and appointment system for above and for episodic illness or injury assessment by center physician and/or nurse.
   • Inpatient unit visits for minor conditions, such as respiratory infections, or flu symptoms.

2. Treatment, as highlighted below, will be provided when necessary. Third-party payer information will be given to providers when off-center care is required.
   • Primary emergency care for illness and injury, including first aid and CPR, and secondary care within capabilities, e.g., injection of epinephrine, and immediate transfer to hospital emergency room for specialized diagnosis and treatment, if needed.
   • Treatment of urgent and other conditions not needing specialized care and that are within the capabilities of qualified health professionals on staff.
   • Management of chronic health conditions as directed by qualified health professionals.
   • Referral to off-center physicians for detailed specialized assessment.
   • Access to prescription medications.

If a student sustains an on-the-job injury that requires extensive or specialized treatment, he or she will be medically separated as a Medical Separation with Reinstatement Rights (MSWR) and a referral will be sent to the Office of Workers’ Compensation Programs (OWCP).
B. Oral Health

1. Assessment and diagnosis, to include:
   - Dental readiness inspection within 14 days after entry to identify urgent care need for oral conditions that if not treated are expected to result in dental emergencies in the near future.
   - Elective oral examination upon student request, including x-rays to precede dental treatment.

2. Treatment, to include:
   - Dental procedures to treat oral disease and correct oral health conditions that may represent employability barriers. Specific procedures include:
     o Restorations
     o Extraction of pathological teeth
     o Root canal therapy on anterior and other strategic teeth
     o Replacement of missing upper anterior teeth with a removable prosthesis
     o Dental hygiene treatment that involves nonsurgical periodontal care to treat periodontal disease
   - Referral to off-center facilities as necessary for emergent or urgent conditions treatable beyond the expertise of a general dentist.

3. Oral disease prevention education and management, to include:
   - Oral strategies, such as oral hygiene instruction, risk assessments, and group education.
   - Oral-health promotion activities with an emphasis on overall wellness and employability.

C. Mental Health

1. Assessment and possible diagnosis, to include:
   - Assessments and recommendations for Job Corps applicants.
   - Review of Social Intake Form (SIF) or intake assessment performed by counseling staff of students who indicate mental health history, current mental health problems, or who request to see the center mental health consultant within 1 week of arrival.
   - Mental-health assessments with recommendations for referred students.

2. Mental-health promotion and education, to include:
   - Minimum of a 1-hour presentation on mental health promotion for all new students during the Career Preparation Period with an emphasis on employability.
   - At least one annual center-wide mental-health promotion and education activity.
   - Clinical consultation with Center Director, management staff, and Health and Wellness Manager regarding mental health related promotion and education efforts for students and staff.
   - Coordination with other departments/programs on center to develop integrated
promotion and education services.

3. Treatment, to include:
   • Short-term counseling with mental health checks as needed. The focus of these sessions should be on retention and behaviors that represent employability barriers.
   • Collaboration with Trainee Employee Assistance Program (TEAP) Specialists in the short-term counseling of students with co-occurring conditions of mental health and substance use.
   • Collaboration with center physician and Health and Wellness staff on psychotropic medication monitoring of stable students, with the advice of consulting psychiatrist, if appropriate.
   • Collaboration with counseling staff in developing and/or leading psycho-educational skill building groups to promote (e.g., relaxation training, anger management, mood regulation, assertiveness skills, handling relationships, sleep hygiene, etc.).
   • Information exchange through regular case conferences between the Center Mental Health Consultant, counselors, and other appropriate staff members based on individual student needs.
   • Crisis intervention, as needed.
   • Referral to off-center mental-health professionals or agencies.

D. Trainee Employee Assistance Program (TEAP)

1. Substance use prevention and education, to include:
   • Minimum of a 1-hour interactive presentation on substance use prevention for all new students during the Career Preparation Period.
   • Presentation(s) on managing substance misuse, abuse and dependency conditions in the workplace students during the Career Development and Transition Periods.
   • At least three annual center-wide substance use prevention and education activities.
   • Clinical consultation with Center Director, management staff, Center Mental Health Consultant, and Health and Wellness Manager regarding substance use related prevention and education efforts for students and staff.
   • Coordination with other departments/programs on center to develop integrated prevention and education services.

2. Assessment for identification of students at risk for substance use problems to include:
   • Review of Social Intake Form (SIF) or intake assessment of all students performed by counseling staff within 1 week of arrival.
   • Formalized assessment measures (e.g., SASSI3 or SASSIA2) and clinical judgment to determine students’ risk levels for substance use.
   • Collaboration with the Center Mental Health Consultant to determine when a MSWR or medical separation is appropriate and should be recommended for a student with substance use conditions.

3. Intervention services for students identified at an elevated risk for substance use, to
include:

- Individual and group intervention services with a focus on behaviors that represent employability barriers.
- Collaboration with the Center Mental Health Consultant for students with co-occurring conditions of mental health and substance use.
- Referral to off-center substance abuse professionals or agencies for ongoing treatment and/or specialized services.

4. Drug and alcohol testing, to include:

- Drug and alcohol testing procedures
- Policies related to positive drug or alcohol tests
- Notification of drug or alcohol test results
EXHIBIT 2-5
PLACEMENT PATHWAY PREREQUISITES FOR ENTRY

Advanced Training pathway prerequisites for entry include:

- Minimum appropriate TABE scores in both Reading & Math (may vary by AT)
- 100% TAR completion
- Minimum age 17 ½ for program entry with parental consent
- Driver’s License
- Good to excellent center behavioral record
- Each AT will have its own requirements for background check (e.g., home state and state the JC center is located, or nation-wide)
- No physical issues that impact performance
- No mental health issues that impact performance
- Ability to have phone interview(s)
- (Varies by AT program) Certifications
- (May be required by AT) Enrollment in Community College as well as AT program
- (May be required by AT) Sending center provides trade related tools and clothing
- (May be required by AT) Must have positive impact on center
- Other criteria as required by specific AT

Military pathway prerequisites for entry include:

- Each military branch has its own minimum ASVAB score (lowest score is 31) and varies based on demand
- Complete national background check
- No prescribed medications, major mental health issue, or legal charges. Some waivers can be applied for but very difficult to obtain
- No debts exceeding more than $500
- Maintain satisfactory behavior on center
- Student can’t be receiving federal payments (e.g., social security payments)
- Some restrictions on tattoos (if visible and what content)
- If under 18, need parental consent to enlist
- Meet physical requirements based on height and weight measurement formula
- Minimum completion of 675 hours actual CTT training and attainment of either a Tier 1 HSD or a Hi-Set/GED (the exception is the marine branch which requires a Tier 1 diploma)
- CTT Certifications
- Other criteria as determined by specific military branch

**Apprenticeship pathway prerequisites for entry include:**

- 100% CTT and e-TAR completion
- HSD or HSE/GED
- (Varies by apprenticeship program) CTT Certifications
- Required hours of pre-apprenticeship varies per CTT (e.g., NTC requires 1000 hours)
- (If required) Meet physical requirements
- (If required) Driver’s License
- Most apprenticeship programs require minimum age of 18 years to be covered by Workmen’s Compensation
- Other specific criteria as required by Apprenticeship program

**Post-secondary education pathway (ACT/College) prerequisites for entry include:**

- HSD or HSE/GED
- (For college) Apply for FAFSA. Requires parental income documentation until the age of 25
- (For college) Requires SAT, ACT or other placement assessment by institution
- (For college) Maintain a 2.5 GPA or lose FAFSA funding
- (Varies with institution) Background check
- Other specific criteria as determined by post-secondary institution
Entry-Level Job pathway prerequisites include:

- 100% CTT and e-TAR completion
- HSD or HSE/GED
- Driver’s license
- Certifications
- (If required) Physical requirements
- (If required) Background check
- Other specific criteria as determined by employer
- Verification of job placement
APPENDIX 201
COMMUNICATING WITH PERSONS WITH DISABILITIES

These requirements are separate from accommodation requirements. Your obligation to communicate effectively with people with disabilities – whether those people are students, staff, applicants for admission or employment, parents, guardians, or members of the public – is separate from your obligation to provide reasonable accommodations for qualified people with disabilities.

The Workforce Innovation and Opportunity Act (WIOA) https://www.congress.gov/113/bills/hr803/BILLS-113hr803enr.pdf, nondiscrimination regulations, which apply to Job Corps, distinguish between these two obligations, for a very simple reason: without clear, accurate, effective communication, any encounter between a person with a disability and a program from which he or she is seeking services, such as Job Corps, will be meaningless.

Therefore, when a person with a disability that affects his or her ability to communicate approaches Job Corps, the first thing you should do is find out how to communicate as effectively with that person as you do with people without disabilities.

It is important to understand that under the law, the burden is on Job Corps to provide the auxiliary aids and services (communication aids) that are needed for equally effective communication with a particular person with a disability. This means that you cannot and must not require the person with a disability to supply, or pay for his or her own interpreter, communication device, or whatever else is necessary for clear communication between him or her and Job Corps.

Furthermore, in deciding what type of aid or service is appropriate and necessary in order for you to communicate equally effectively with a particular person with a disability, the law requires you to “give primary consideration to” the requests of that person. Why? Because:

- He or she is the best source of information about his or her own needs.
- Not everyone who appears to have “the same” disability, or type of disability, is able to use and understand the same communication method. For example, while some people with hearing impairments understand American Sign Language, others communicate in Signed English, while still others do not understand sign language at all, and need a different communication method such as CART transcription.

Although Job Corps is not necessarily required to provide the precise communication aid requested by a person with a disability when an equally effective, less costly alternative is available, the law places on you the clear obligation to provide some method of communication that is effective for that particular person with a disability. This essentially means that you must engage in an interactive process with the person with a disability, similar to the process required for reasonable accommodations (see Form 2-03), to find out what communication method(s) the person can genuinely understand. This process itself, of course, requires you to communicate effectively with the person with a disability.
Any medical, health, or disability-related information about a particular person – whether that person is an applicant to Job Corps, an enrollee, an employee, an applicant for employment, or anyone else – must be treated with extreme care. Federal law requires that all such information be treated as strictly confidential, and that it be transmitted and stored in a way that ensures confidentiality.

This appendix explains the rules for transmission, storage, and confidentiality of medical and disability-related information in Job Corps.

What information is disability-related?

This category is broader than you might think. It includes any information that indicates (even if it doesn’t explicitly state) that a particular person has a disability. For example, the fact that a particular applicant or student has an Individual Education Plan, or had one at some point during their education, means that he or she has a learning disability. Therefore, that fact is “disability-related information” that must be treated as confidential.

The category “disability-related information” is not limited to hard-copy or electronic records. It also includes discussions about the fact that a particular individual has a disability, or about specific details (such as a person’s physical or behavioral symptoms, use of particular devices or equipment, or types of treatment) that indicate that he or she has a disability. Of course, some of the latter types of details will also fall under the category of medical information, and must be kept confidential as well.

Confidentiality: The General Rule is Do Not Tell

The Federal disability nondiscrimination laws that apply to Job Corps list specific categories of persons who are allowed to obtain medical (including health) or disability-related information about a particular individual. This means that unless you know that a given person falls into one of the specified categories, you must assume that the person is not entitled to medical or disability-related information about someone else; in other words, your default setting must be “don’t tell.”

Who may be informed either about the fact that a particular individual has a disability, or about specific details related to a disability or medical/health condition?

Only people in the following categories, and only when they need to know (“need to know” is interpreted narrowly):
• **Admissions counselors** who:
  o Need to know whether they will need to provide one or more accommodations, or communication aids, for an applicant and/or his or her parent or guardian during the admissions process
  o Need to know whether an applicant is entitled to a waiver of the upper age limit for admission because he or she has a disability
  o Must make a decision about an applicant’s financial eligibility based on his or her status as a family of one

• **Instructors, residential staff, other center staff** – only those who **need to know** about:
  o An accommodation the person will be provided
  o Necessary restrictions on a person’s duties, activities, diet

• **First aid and safety personnel** – **only if**:
  o The condition might require emergency treatment
  o The participant might need special assistance in an emergency evacuation

• **Administrative staff** of the center, center operator, Regional or National Office, or DOL (or other operating federal agency) who are doing monitoring or data validation

• **Government officials** investigating compliance with requirements related to nondiscrimination and/or equal opportunity

• **Health and wellness staff** who are examining or treating a particular person

• **Others** – only on a **need-to-know** basis (interpreted narrowly)

### Knowledge Versus Access

The categories of persons who are permitted to have access to a particular individual’s underlying medical, health, or disability-related documents are still more limited: even among those who may be informed either about the fact that an individual has a disability, or about specific details related to a disability or medical condition. Very few people will genuinely need to see or use those documents.

**Examples:**

• Access to medical documentation that a participant is entitled to status as a family of one, or waiver of the upper age limit, should be limited to staff members who need to document the basis for such decisions.

• First aid personnel may need access to underlying documentation related to a person’s medical condition in an emergency.

• Administrators or health and wellness staff who are considering whether a request for a reasonable accommodation should be granted may need to review documentation that is submitted in support of the request. In these cases, however, you should think about removing personally identifiable information from the documents. This approach has the advantage not only of protecting confidentiality, but also of helping
ensure that the decision-makers base their determination solely on the facts of a particular case.

- Instructors, residential staff, or other center staff who are working with, or providing accommodations for, a particular person will rarely, if ever, need to see the person’s medical records or other documents that demonstrate that he or she has a disability.

Storage of Records that Contain Medical or Disability-Related Information

Federal disability nondiscrimination laws that apply to the Job Corps program require that any documents or other records that contain medical or disability-related information about a particular individual must be kept in files (either hard-copy or electronic) that are separate from all other information about the individual. Medical and disability-related information should be collected on separate forms and placed in the files reserved for medical and disability-related information. If a center, center operator, or staff member wants a document to be kept in a student’s general file or an employee’s personnel file, and that document happens to contain some medical or disability-related information, the medical or disability-related information must simply be removed from the document before it is put in the general or personnel file.

The files that contain medical and disability-related information must be stored in a way that satisfies the strict confidentiality and access requirements described above. In the majority of cases (particularly where hard copies of records are used), satisfying those requirements will mean that all medical- and disability-related records as a group must be stored separate from all other student, applicant, or employee files – in a separate drawer, file cabinet, or storage room, or on a separate server.

Regardless of whether the medical/health/disability files are maintained in electronic or hard-copy form, access to these files must be limited to the categories of persons listed in the “Knowledge Versus Access” section above. Hard copies of files must be kept locked, and access to the key or combination must be furnished only to authorized persons. Electronic files must be protected via passwords or other similar methods.

The requirement of separate storage makes sense when considered in the light of the laws requiring strict confidentiality of medical and disability-related information. The confidentiality requirements that apply to most information about a particular individual are less strict than the requirements that apply to medical and disability-related information. Therefore, while a number of different categories of outreach and admission/career transition services, center, or operator staffers may be permitted access to general information about a particular individual, a much narrower group is legally authorized to have access to the medical and disability-related records about that same individual. The most logical way to impose stricter limitations on access to the latter records is to store them separately. The alternative would be to drastically limit the categories of people who have access to the individual’s entire file, in order to protect the medical, health, and disability-related information the file contains. Then, only the categories of persons listed in the “Knowledge Versus Access” section above would be able to use, or even look at, the file.

Transmission of Medical, Health, and Disability-Related Information

When student, applicant, or employee records are being transmitted, the requirements described
above still apply. Any and all records that are medical-, health-, or disability-related, or that contain any of those types of information, must be kept separate from other records about the individual. If hard copies are being transmitted, put medical, health, and disability-related information in a separate file, and place that file in a sealed envelope that is clearly marked as containing such information. You may want to consider using numbers, rather than names, on the outside of such envelopes to identify whose records they contain.

Staff members who receive or sort files about individuals – for example, center records department staff who handle applicant and student files – must not open any envelopes containing medical, health, or disability-related information, unless the specific staff member is in one of the categories of authorized persons that are listed in the “Knowledge Versus Access” section above. Instead, those envelopes must be transferred to persons who are legally authorized to have access to such information. In the case of applicant files that arrive on center from an outreach and admissions contractor, for example, the sealed envelopes should be sent to the health and wellness manager or other person designated to conduct the initial direct threat review and clinical care review.

**Oral Transmission**

Keep in mind that the confidentiality of medical, health, and disability-related information must be maintained when the information is being transmitted orally – in other words, when it is being discussed aloud. This means that you must be sure that all such discussions take place in private locations where unauthorized persons cannot overhear the conversation, either voluntarily or involuntarily. Cubicles, for example, are inappropriate places to confer about medical or disability-related matters, or even to mention such information, unless the discussion is conducted in such a way that it is impossible for anyone who overhears to identify the person whose information is being discussed.
APPENDIX 203
MEDICATION MANAGEMENT GUIDELINES

Job Corps centers must comply with all state and Federal regulations regarding prescribed non-controlled medications, prescribed controlled substances, and over-the-counter medications, and follow medication management guidelines as specified below.

**Over-the-Counter (OTC) Medications:** OTC medications are drugs that have been found to be safe and appropriate for use without the supervision of a health care professional, such as a physician or nurse, and can be purchased without a prescription. Centers must comply with all state and Federal regulations regarding OTC medications and shall:

1. Make available OTC medications in the Health and Wellness Center (HWC). Document OTC medication use in the Student Health Record (SHR). If state law permits, stock bottles of OTC medications may be used in HWC.

2. Train and authorize non-health staff members to access OTC medications in first aid lockboxes for student use in compliance with state law. Eligibility, training, and authorizations will vary by state.

3. Outside of the HWC, store OTC medications in first aid lockboxes and make available, similar to a medicine cabinet, in education, trades, security, recreation, cafeteria, and residential areas for students use. OTC medications must be provided in individually packaged single doses in a properly sealed and properly labeled container.

4. Document OTC medication use outside of the HWC on a sign-out sheet kept with the OTC medication box. The sign-out sheet should include: the student’s name, the medication taken, the signature of the student, and signature of the observing staff member. Each OTC lockbox and sign-out sheet must be returned the HWC at least weekly to restock and document. Information from the sign-out sheet must be recorded in the Student Health Record (SHR).

5. Report suspected inappropriate OTC medication use by a student to the HWC staff as soon as possible.

6. Center specific policies that comply with Federal and state laws must be outlined in a Standard Operating Procedure (SOP) for OTC medications (see Chapter 5, Exhibit 5-1).

**Prescribed Non-controlled Medications:** Prescription medications are drugs that can only be acquired or purchased through a prescription order written by a physician or other prescribing practitioner. Prescribed non-controlled medications are prescriptions that not classified as controlled substances. Centers must comply with all state and Federal regulations regarding prescribed non-controlled medications and shall:
1. Determine which center health practitioners are legally authorized to prescribe, dispense, or administer prescribed non-controlled medications according to state and Federal laws.

2. Confirm the rationale for long-term prescribed non-controlled medications at least monthly with case conference between the Health and Wellness Manager (HWM) (or designee who is authorized under his/her state license to administer drugs) and the prescribing health professional. Medication rationale and review includes student adherence, side effects, and whether or not the medication is leading to the desired effect.

3. Document prescription orders and the administration of doses. Monthly Medication Administration Records (MAR) must be filed in the SHR. Prescription orders should be transcribed to a MAR exactly as the order reads.

4. Review and approve prescriptions by the center physician/nurse practitioner/physician assistant generated for students by health practitioners in the community or at the student’s place of residence by the center physician/nurse practitioner.

5. Ensure that all prescribed non-controlled medications are given to the correct student in the right dose and by the proper route. In cases of a medication error, the center medical provider/center dentist/center psychiatrist (if applicable), HWM, and center director must be notified. Document in the SHR. All medication errors will be immediately reported to the Regional Office and the regional nurse specialist.

6. Provide the student with required consumer medicine information in accordance with state pharmacy laws each time a prescription is filled.

7. Handle, package, store, and observe prescribed non-controlled medications when the HWC is closed in compliance with Federal and state pharmacy laws. Center specific policies that comply with state laws must be outlined in a SOP for prescribed non-controlled medicines (see Chapter 5, Exhibit 5-1).

   a. If applicable according to state law where the center is located, the HWM will identify and train/certify unlicensed, non-health staff to be legally authorized to observe self-administration of doses when the HWC is closed. Non-health staff observing medication self-administration must meet state laws, be trained, and have a personal authorization on file for this task.

   b. Any medication dose observed after hours must be documented on a Medication Observation Record (MOR), and a HWC staff member should file the MOR in the SHR at least weekly.
8. Four types of prescribed non-controlled medication should always be classified for self-management. These include: asthma inhalers, insulin (including vials or pens, syringes, and needles), Epi Pens, and oral contraceptives.

9. Dispose of surplus or expired prescribed non-controlled medications in compliance with state and Federal laws.

10. Send prescribed non-controlled medication(s) home with a student when he/she leaves the center. If a student leaves center when the HWC is closed, medications must be promptly sent to the student in compliance with the sending and receiving state laws.

**Prescribed Controlled Substances:** Controlled substances are highly regulated prescription medications that are classified in five categories by safety and potential for abuse. There are additional requirements for this classification of prescribed medications. Centers must comply with Federal and state regulations regarding prescribed controlled substances (or medications) and shall:

1. Purchase, store, and administer all controlled substances in accordance with the regulation at 21 CFR Part 1300. Each center must maintain a controlled medication log and have a Drug Enforcement Administration (DEA) registration. The center can obtain its own DEA registration or use the center clinician’s DEA registration number when ordering controlled substances.

2. Limit the use of controlled medications and stock only a small supply of those medications that will be prescribed by the center physician, center dentist, or psychiatrist with a DEA registration. Documentation must be maintained showing that controlled medications in stock were prescribed by one of these individuals.

3. Not stock Schedule II medications on center except when prescribed for a specific student. In such a case, the center shall order not more than enough controlled substance for a month’s treatment for the student.

4. Confirm the rationale for long-term controlled substances at least monthly with case conference between the health and wellness manager (HWM) (or designee who is authorized under his/her state license to administer controlled substances) and the prescribing health professional. Medication rationale and review includes student adherence, side effects, and whether or not the medication is leading to the desired effect.

5. Review and approve prescriptions for controlled substances by the center physician/nurse practitioner/physician assistant generated for students by health practitioners in the community or at the student’s place of residence by the center physician/nurse practitioner.

6. Store all Schedule II, Schedule III, and Schedule IV medications under a double-
lock system in a secured area of the HWC. Only Health and Wellness staff who are authorized under their state license to dispense or administer controlled medications shall have access to the controlled medications.

7. Ensure that two staff members (one must be staff authorized under their state license to dispense or administer controlled substances) receive and sign for medications received, noting the name(s) of the medications, dosage, amount, and date on a controlled substances log.

8. Maintain a log of all Schedule II, Schedule III, and Schedule IV medications. When dispensing or administering these medications by order of the clinician, the date, time, medication, and dosage must be noted on the log and the nurse dispensing or administering the medication must sign his or her full name or initial in accordance with state prescribing regulations. The log must be maintained in the locked area designated for controlled medications.

9. Document prescription orders and the administration of doses. Monthly Medication Administration Records (MAR) must be filed in the SHR. Prescription orders should be transcribed to a MAR exactly as the order reads.

10. Provide the student with required consumer medicine information in accordance with pharmacy laws each time a prescription is filled.

11. Ensure that all controlled substances are given to the correct student in the right dose and by the proper route. In cases of a medication error, the center medical provider/center dentist/center psychiatrist (if applicable), HWM, and center director must be notified. Document in the SHR. All medication errors will be immediately reported to the Regional Office and the regional nurse specialist.

12. Inventory and reconcile controlled medications at least once a week. Two authorized staff members must note the results on the controlled medications log. Any miscounts or missing medications identified during the inventory must be immediately reported to the Regional Office and regional nurse specialist by the Center Director.

13. Handle, package, store, and observe controlled substances when the HWC is closed in compliance with Federal and state pharmacy laws. Center specific policies that comply with Federal and state laws must be outlined in a SOP for prescribed controlled substances (see Chapter 5, Exhibit 5-1).

a. If applicable according to state law where the center is located, the HWM will identify and train/certify unlicensed, non-health staff to be legally authorized to observe self-administration of doses when the HWC is closed. Unlicensed staff observing medication self-administration must meet state laws, be trained, and have a personal authorization on file for this task.
b. Any medication dose observed after hours must be documented on a Controlled Substance Medication Observation Record (CMOR), and a HWC staff member should file the CMOR in the SHR at least weekly.

14. Send prescribed controlled substances home with a student when he/she leaves the center. If a student leaves center when the HWC is closed, medications must be promptly sent to the student in compliance with the sending and receiving state laws.

15. Properly dispose of controlled substances that need to be destroyed because of expiration dates, contamination, or wastage, and document such actions on the controlled substances log. The log must be signed by two staff members (one must be staff authorized under their state license to dispense or administer controlled substances).
FORM 2-01
NOTICE OF MEDICAL INFORMATION USE, DISCLOSURE, AND ACCESS

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED, AND HOW YOU CAN GET ACCESS TO THIS INFORMATION

Please Review Carefully

This Notice Is Required by the Health Insurance Portability and Accountability Act of 1996

We, the __________________________ Health Center, are required by law to maintain the privacy of your protected health information and to provide you, the Job Corps student, with notice of our legal obligations and privacy practices with respect to your protected health information. We are required to abide by the terms of this Notice (or any Revised Notice currently in effect). We have the right to change the terms of the Notice and to make those changes effective for all protected health information that we maintain. If we make changes to the Notice, we will issue you a Revised Notice at your assigned Job Corps location. This Notice is effective as of April 14, 2003. We may use and disclose medical information about you under certain circumstances listed below. In each case, we will share only the minimum information necessary.

Treatment, Payment, and Health Care Operations

Treatment. We may share the contents of your medical files, including date of visits, symptoms presented, diagnosis, medications prescribed, treatment given or recommended, and referrals to other health providers with other health center staff members so that we may effectively treat you and follow up on your care. In addition to sharing this information with health center nurses, doctors, dentists, mental health professionals, Trainee Employee Assistance Program (TEAP) specialists, or other health providers, we may share this information with health center clerks, receptionists, or other persons responsible for filing and entering data within the health center, and organizing patient flow and/or contacting you to set appointments or inform you of prescription availability or other medical information. We may share your prescription and other medical information with pharmacists or other providers of medicines or devices, and with center drivers who pick up medications at pharmacies or other stores, for the purpose of obtaining prescriptions, other medications, and devices for you. We may share information with medical laboratories necessary in identifying specimens for the purpose of testing. Center health care providers also may share your health information with specialists or other off-center health care providers for purposes of consultation or referral.

Payment and Health Care Operations. We may share the contents of your medical files, including referral and other information about care you received off center, with Medicaid and/or private insurance companies for the purposes of facilitating your access to health services not provided or paid for by Job Corps. We also may share information about illness or injuries you may incur in the performance of your duties with workers’ compensation coordinators, for the purpose of determining your eligibility for benefits, the payment to you of benefits, and the provision of care to you under those benefits.
Other Uses and Disclosures for Which Consent, Authorization, or Opportunity to Agree or Object Is Not Required

In addition to the above uses and disclosures of your medical information, federal law permits us to disclose medical information about you under the following circumstances:

- We may use or share any information required by law;
- We may share information about infection, disease, or other conditions with public health department authorized to receive such health information, as well as information about failure to follow prescribed treatments for these cases of infection or disease, to assist them in preventing or controlling health conditions and tracking vital events;
- We may contact you to provide appointment reminders or information about treatment alternatives or other health-related benefits and services;
- We may share information for certain public health activities, including for purposes related to the quality, safety, or effectiveness of products regulated by the Food and Drug Administration;
- We may share information with government authorities about individuals we believe may be victims of abuse, neglect, or domestic violence;
- We may share information for health oversight activities, including audits, licensing, and inspections of the health center, and determinations of our compliance with the medical privacy rules by the U.S. Department of Health and Human Services;
- We may share information in certain court proceedings;
- We may share information for law enforcement purposes;
- We may share information with a coroner, medical examiner, or funeral director to enable those people to perform their jobs with respect to people who have died;
- We may share information with organ donor organizations as necessary to allow authorized organ, eye, or tissue donations from people who have died;
- We may share information for certain approved limited research purposes;
- We may share information to avert a serious threat to health or safety;
- We may share information for workers’ compensation purposes;
- We may share information for certain specialized government functions, including certain military or national security uses.

Other uses and disclosures will be made only with your written authorization. Job Corps requires you to authorize certain other uses and disclosures of your protected health information as a condition of
enrollment in Job Corps. Those uses and disclosures are outlined in a written authorization form that you have signed already, or that we will ask you to sign. You may revoke your authorization for these uses and disclosures, in writing, at any time, unless we have relied on the Authorization. Please note, however, that federal law permits Job Corps to condition enrollment in its programs on receiving a valid authorization from you of certain uses and disclosures of your protected health information. Although the health center must honor any withdrawal of authorization you make, and cannot condition treatment on your authorization, such a withdrawal may affect your continued enrollment in Job Corps. Also, you may be asked to sign other voluntary authorizations. You may revoke a voluntary authorization, in writing, at any time, unless we have relied on that authorization.

**Your Rights**

**The right to request restrictions.** You have the right to request restrictions on certain uses and disclosures we make of your protected health information for treatment, payment, or health care operations, and may request restrictions on disclosures to family members or friends relevant to your care. However, in most instances the health center is not required to agree to your request. Generally, your health information will not be disclosed to family members or friends if you object to such disclosure, but in an emergency or other circumstance in which we cannot obtain your agreement, we may disclose limited information if it appears necessary for your care, consistent with state law. In addition, in case of a disaster, your health information may be shared with the Red Cross or other public or private entities assisting in disaster relief efforts for the purpose of notifying your family members or other loved ones of your location, general condition, or death. Furthermore, if you are a minor, we may be required to share health information about you with your parent or guardian, although some types of information you may be able to restrict us from sharing with your parent or guardian. (We will follow state laws in those instances.)

**The right to receive your health information confidentially.** You have the right to receive your health information privately. For example, if you are expecting a letter containing information from your doctor to arrive at your mailbox, and you share a mailbox with others and do not wish for others to discover the letter, you may request that the letter be delivered to you in another way or at another location, or you may arrange to pick up the letter.

**The right to inspect and copy your health information.** You have the right to look at and get a copy of your health information for as long as we maintain those records. However, under the law, we may deny you access to certain types of information, including psychotherapy notes kept by mental health professionals, information compiled in anticipation of a civil, criminal, or administrative action, certain information related to clinical or research studies, and classified information. Denials of this nature are final. In addition, we may deny you access to your health information if a health care provider believes that providing the information is likely to endanger the life or physical safety of you or someone else, or, if your information refers to someone else, the access requested is likely to cause substantial harm to that person. Also, if your personal representative requests access to your health information, we may deny that person access if a health care provider believes the access is likely to cause substantial harm to you or another person. You may have denials of this nature reviewed by another health provider who was not involved in the initial denial decision, and we will abide by the decision of that reviewer.

**The right to amend your health information.** You have the right to have us amend (correct or clarify) your health information that we keep in our records, for as long as we maintain those records.
In most circumstances, however, if you ask us to change, add, or delete certain information that we did not create, or that is not a part of your record, or that you are not permitted to access, we do not have to make the amendment. Furthermore, we do not have to make any changes you request that would cause your record to be anything other than accurate and complete.

The right to be informed of disclosures we make of your health information. You have the right to know what health information we have given to others about you for the 6 years prior to the date of your request. Certain exceptions apply. For instance, we do not have to tell you of instances in which we have disclosed information for purposes of treatment, payment, or health care operations, or information that we gave directly to you or your representative, or certain directory information and information given to persons involved in your care, or information disclosed for national security purposes, or to law enforcement or corrections officials, or disclosures we made before we were required to comply with these notice standards.

The right to receive a paper copy of this Notice. You have the right to request and receive a paper copy of this notice.

The right to complain about our use of your health information pursuant to the Health Insurance Portability and Accountability Act of 1996. You may complain to us and to the Secretary for the U.S. Department of Health and Human Services if you believe your privacy rights pursuant to the Health Insurance Portability and Accountability Act of 1996 have been violated. To file a complaint with us or to request further information regarding your rights to privacy in your health information, please contact

_____________________
(designated health center privacy official: name, title, phone number)

In addition, you may file a complaint with the Secretary for Health and Human Services within 180 days of the date you learn of our objectionable action or omission. You must put your complaint in writing, you must name us specifically (including the name of your Job Corps center), and you must describe what we have done to which you object.
Where to File Complaints Concerning Health Information Privacy:

If your Job Corps center is located in Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, or Vermont:

Office for Civil Rights  
U.S. Department of Health and Human Services  
Government Center  
J.F. Kennedy Federal Building, Room 1875  
Boston, MA 02203  
Voice Phone: (617) 565-1340  
FAX: (617) 565-3809  
TDD: (617) 565-1343

If your Job Corps center is located in New Jersey, New York, Puerto Rico, or Virgin Islands:

Office for Civil Rights  
U.S. Department of Health and Human Services  
Jacob Javits Federal Building  
26 Federal Plaza, Suite 3312  
New York, NY 10278  
Voice Phone: (212) 264-3313  
FAX: (212) 264-3039  
TDD: (212) 264-2355

If your Job Corps center is located in Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, or West Virginia:

Office for Civil Rights  
U.S. Department of Health and Human Services  
Public Ledger Building  
150 S. Independence Mall West, Suite 372  
Philadelphia, PA 19106-9111  
Main Line: (215) 861-4441  
Hotline: (800) 368-1019  
FAX: (215) 861-4431  
TDD: (215) 861-4440
If your Job Corps center is located in Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, or Tennessee:

Office for Civil Rights  
U.S. Department of Health and Human Services  
Atlanta Federal Center, Suite 3B70  
61 Forsyth Street, SW  
Atlanta, GA 30303-8909  
Voice Phone: (404) 562-7886  
FAX: (404) 562-7881  
TDD: (404) 331-2867

If your Job Corps center is located in Illinois, Indiana, Michigan, Minnesota, Ohio, or Wisconsin:

Office for Civil Rights  
U.S. Department of Health and Human Services  
233 N. Michigan Avenue, Suite 240  
Chicago, IL 60601  
Voice Phone: (312) 886-2359  
FAX: (312) 886-1807  
TDD: (312) 353-5693

If your Job Corps center is located in Arkansas, Louisiana, New Mexico, Oklahoma, or Texas:

Office for Civil Rights  
U.S. Department of Health and Human Services  
1301 Young Street, Suite 1169  
Dallas, TX 75202  
Voice Phone: (214) 767-4056  
FAX: (214) 767-0432  
TDD: (214) 767-8940

If your Job Corps center is located in Iowa, Kansas, Missouri, or Nebraska:

Office for Civil Rights  
U.S. Department of Health and Human Services  
601 East 12th Street, Room 248  
Kansas City, MO 64106  
Voice Phone: (816) 426-7278  
FAX: (816) 426-3686  
TDD: (816) 426-7065
If your Job Corps center is located in Colorado, Montana, North Dakota, South Dakota, Utah, or Wyoming:

Office for Civil Rights  
U.S. Department of Health and Human Services  
1961 Stout Street, Room 1185 FOB  
Denver, CO 80294-3538  
Voice Phone: (303) 844-2024  
FAX: (303) 844-2025  
TDD: (303) 844-3439

If your Job Corps center is located in American Samoa, Arizona, California, Guam, Hawaii, or Nevada:

Office for Civil Rights  
U.S. Department of Health and Human Services  
50 United Nations Plaza, Room 322  
San Francisco, CA 94102  
Voice Phone: (415) 437-8310  
FAX: (415) 437-8329  
TDD: (415) 437-8311

If your Job Corps center is located in Alaska, Idaho, Oregon, or Washington:

Office for Civil Rights  
U.S. Department of Health and Human Services  
2201 Sixth Avenue, Suite 900  
Seattle, WA 98121-1831  
Voice Phone: (206) 615-2287  
FAX: (206) 615-2297  
TDD: (206) 615-2296

If you would like to file a complaint by e-mail, send it to: OCRComplaint@hhs.gov or go to https://ocrportal.hhs.gov/ocr/smartscreen/main.jsf.

For more information, please contact the Office for Civil Rights, Department of Health and Human Services, Mail Stop Room 506F, Hubert H. Humphrey Building, 200 Independence Avenue, SW, Washington, DC 20201. Telephone number: (202) 205-8725.

The right to complain about our use of your health information pursuant to the Rehabilitation Act of 1973. You may complain to the Director of the Civil Rights Center, U.S. Department of Labor, if you believe your rights pursuant to the Rehabilitation Act of 1973 have been violated. To file a complaint or to request further information regarding your rights to privacy in your health information, please contact:
We are here to help you succeed and we will not take any negative action against you for making a complaint, whether you complain to us, to the Secretary for Health and Human Services, to the U.S. Department of Labor, or all three.

ACKNOWLEDGMENT OF RECEIPT OF NOTICE

I, _________________________________, have received a copy of this Notice. I have read this Notice and I understand that it explains how my health information may be used and shared with others, and what my rights are with respect to my health information.

__________________________________       _________________________________
Signature                                Date
Human Immunodeficiency Virus (HIV) is the virus that causes Acquired Immunodeficiency Syndrome (AIDS). This virus can only be transmitted to others through sexual contact, the introduction of infected blood into the bloodstream (e.g., by the sharing of syringes or needles), or from an infected mother to her infant, either during the birth process or by breast-feeding. A person who is infected with HIV is likely to come down with AIDS. However, AIDS usually does not develop until many years after a person has been infected, and persons with HIV infection may look and feel completely healthy.

Tests are available to determine the presence of antibodies to HIV. Antibodies are substances made by the body to fight infection. The presence of antibodies (a positive antibody test) indicates that a person is infected with HIV and is capable of infecting others with the virus. However, it takes time for the body to make antibodies after the virus gets into the body. For this reason, the antibody test for a person who has recently been infected with HIV may show that a person is “negative” (does not have antibodies) or “indeterminate” (neither positive nor negative) even though that person actually carries the virus in his/her body. A test taken at a later time, when the body has had more time to make antibodies, would show that the person is positive.

If your HIV antibody test results are known, it helps your doctor decide how best to treat you for certain illnesses. If you are infected with the virus, you can receive treatment to help prevent or delay the illnesses that can occur with AIDS. It may also help you to make personal decisions if you know that you have HIV infection and could infect someone else. If your blood test is positive, Job Corps will conduct medical and psychosocial evaluations in order to provide appropriate medical care and counseling, as well as to determine whether it is appropriate for you to remain in Job Corps.

If your blood test is positive and the test results become known by others, they might think you have AIDS or that you might infect them. This may not be true, but you might be discriminated against by friends, family, employers, landlords, insurance companies, or others. Therefore, you should be extremely careful in disclosing your test results.

HIV test results and other related medical records may only be released to Job Corps staff with a need for that information for purposes of counseling, administration and delivery of health services, and to the local and/or state health department, when required by law.

Acknowledgement of receipt of information:

Student Signature  Date
DEFINITIONS AND DOCUMENTATION REQUIREMENTS RELATED TO REASONABLE ACCOMMODATIONS FOR APPLICANTS AND STUDENTS WITH DISABILITIES

PROCESS FOR AN APPLICANT OR STUDENT WITH A DISABILITY TO REQUEST REASONABLE ACCOMMODATION TO PARTICIPATE IN THE JOB CORPS PROGRAM

BACKGROUND

What is the definition of disability?\(^\text{10}\)

A disability is a physical or mental impairment that substantially limits one or more major life activities; a record (or past history) of such an impairment; or being regarded as having a disability.

What is a physical impairment?

A physical impairment is any physiological disorder or condition, cosmetic disfigurement, or anatomical loss affecting one or more of the following body systems:

- Cardiovascular
- Circulator
- Digestive
- Endocrine
- Genitourinary
- Hemic and Lymphatic
- Immune
- Musculoskeletal
- Neurological
- Reproductive
- Respiratory (including speech organs)
- Skin
- Special Sense Organs

What is a mental impairment?

A mental impairment is any mental or psychological disorder, such as intellectual disability, organic brain syndrome, emotional or mental illness, and specific learning disabilities.

\(^{10}\) The Americans with Disabilities Act Amendments Act (ADAAA) went into effect January 1, 2009. The ADAAA makes it much easier for an individual to meet the definition of disability, be protected from discrimination, and be entitled to reasonable accommodations. Changes to this act apply to all federal disability nondiscrimination laws including the Workforce Innovation and Opportunity Act and Section 504 of the Rehabilitation Act that apply to Job Corps. While these laws are not identical, they are consistent, and have been modified to conform to the ADAAA. While all regulations that apply to Job Corps have not been updated, the ADAAA changes still apply to these regulations.
Are there conditions, situations, or orientations that are not considered physical or mental impairments?

The following conditions, situations, or orientations are not considered physical or mental impairments:

- Homosexuality and bisexuality
- Normal pregnancy
- Environmental, cultural, and economic disadvantages (e.g., a prison record or a lack of education)
- Limited English proficiency/English as a second language

In addition, federal disability nondiscrimination laws do not protect people with the following conditions, even if the conditions would otherwise satisfy the definition of “disability.”

- Transvestitism, transsexualism, pedophilia, exhibitionism, voyeurism, gender identity disorders not resulting from physical impairments, or other sexual behavior disorders
- Compulsive gambling, kleptomania, or pyromania
- Psychoactive substance-use disorders resulting from current illegal use of drugs
- Environmental, cultural, or economic disadvantages (e.g., poverty, lack of education, prison record)

What are major life activities?

Major life activities include, but are not limited to: caring for oneself; performing manual tasks; seeing; hearing; eating; sleeping; walking; standing; sitting; reaching; lifting; bending; speaking; breathing; learning; reading; concentrating; thinking; communicating; interacting with others; and working. Other major life activities include the operation of a major bodily function, including functions of the immune system; special sense organs and skin; normal cell growth; and digestive, genitourinary, bowel, bladder, neurological, brain, respiratory, circulatory, cardiovascular, endocrine, hemic, lymphatic, musculoskeletal, and reproductive functions. The operation of a major bodily function includes the operation of an individual organ within a body system. This list is not exhaustive, but carries examples of some activities that can be considered.

What is a substantial limitation?

When making a determination on whether an individual is substantially limited in performing a major life activity:

- The determination requires an individualized assessment.
• The determination should not require extensive analysis.

• An impairment need not prevent, or severely or significantly limit a major life activity in order to be considered substantially limiting. Nonetheless, every impairment does not constitute a disability.

• The term “substantially limits” should be construed broadly in favor of expansive coverage; “substantially limits” is not meant to be a demanding standard.

• An impairment is a disability if it substantially limits the ability of an individual to perform a major life activity as compared to most people in the general population. An impairment need not prevent, or significantly or severely restrict the individual from performing a major life activity in order to be considered substantially limiting.

• Although determination of whether an impairment substantially limits a major life activity as compared to most people will not usually require scientific, medical, or statistical evidence, such evidence may be used if appropriate.

• An individual need only be substantially limited, or have a record of a substantial limitation, in one major life activity to be covered under the first or second prong of the definition of disability.

• When determining whether a person is substantially limited in a major life activity, the beneficial effects of mitigating measures, except ordinary eyeglasses or contact lens, will be ignored. Mitigating measures are things such as:
  
  o Medication, medical supplies, equipment, or appliances, low-vision devices (defined as devices that magnify, enhance, or otherwise augment a visual image, but not including ordinary eyeglasses or contact lenses), prosthetics including limbs and devices, hearing aid(s) and cochlear implant(s) or other implantable hearing devices, mobility devices, and oxygen therapy equipment and supplies;

  o Use of assistive technology;

  o Reasonable accommodations or auxiliary aids or services;

  o Learned behavioral or adaptive neurological modifications; or

  o Psychotherapy, behavioral therapy, or physical therapy.

Evidence showing that impairment would be substantially limiting without mitigating measures could include evidence of limitations that a person experienced prior to using a mitigating measure, evidence concerning the expected course of a particular disorder absent mitigating
measures, or readily available and reliable information of other types.

While the beneficial effects of mitigating measures are ignored; if the mitigating measure itself causes any limitations, they will be considered. The use of a mitigating measure cannot be required.

An impairment that is episodic or in remission meets the definition of disability if it would be substantially limiting when it is active. This means that chronic impairments with symptoms or effects that are episodic rather than present all the time can be a disability even if the symptoms or effects would only substantially limit a major life activity when the impairment is active. Examples of impairments that may be episodic include epilepsy, hypertension, asthma, diabetes, major depressive disorder, bipolar disorder, and schizophrenia.

The effects of an impairment lasting fewer than 6 months can be substantially limiting. At the same time, the duration of an impairment is one factor that is relevant in determining whether the impairment substantially limits a major life activity. Impairments that last only a short period of time are typically not covered, although they may be covered if sufficiently severe.

**Are there certain impairments that will always result in substantial limitation in performing certain major life activities?**

The following impairments are examples from the Americans with Disabilities Act Amendments Act (ADAAA) regulations of impairments that should be easily found to substantially limit a major life activity:

- Deafness substantially limits hearing
- Blindness substantially limits seeing
- An intellectual disability substantially limits brain function
- Partially or completely missing limbs or mobility impairments requiring the use of a wheelchair substantially limit musculoskeletal function
- Autism substantially limits brain function
- Cancer substantially limits normal cell growth
- Cerebral palsy substantially limits brain function
- Diabetes substantially limits endocrine function
- Epilepsy substantially limits neurological function
- Human Immunodeficiency Virus (HIV) infection substantially limits immune function
• Multiple sclerosis substantially limits neurological function

• Muscular dystrophy substantially limits neurological function

• Major depressive disorder, bipolar disorder, post-traumatic stress disorder, obsessive compulsive disorder, and schizophrenia substantially limit brain function

May the condition, manner, or duration under which a major life activity can be performed be considered in determining whether an impairment is a disability?

For conditions that are not so obviously disabilities, the regulations state that in determining whether an individual is substantially limited in a major life activity, it may be useful in appropriate cases to consider, as compared to most people in the general population:

• The condition under which the individual performs the major life activity;

• The manner in which the individual performs the major life activity; and/or

• The duration of time it takes to perform a major life activity or the amount of time the activity can be performed.

What are reasonable accommodations?

Reasonable accommodations are any changes to the environment or in the way things are customarily done, that give a person with a disability an opportunity to participate in the application process, job, program, or activity that is equal to the opportunity given to similarly situated people without disabilities. Although many people with disabilities can (and do) apply for and participate in the Job Corps program without any reasonable accommodations, barriers do exist that keep other potential applicants or students with disabilities from applying or participating, and that could be overcome with some form of accommodation. Reasonable accommodation may involve providing an appropriate service or product; modifying or adjusting a job, work/academic environment, policy, program, or procedure; or any other action that removes those barriers for the person with a disability.

Who is entitled to reasonable accommodations?

Under federal law, only a person who meets one or both of the first two prongs of the disability definition (i.e., actual disability or record of a disability) is entitled to reasonable accommodation.11

11 People who are regarded as having a disability are protected from discrimination by federal disability nondiscrimination laws. However, these people are not entitled to the positive actions, such as reasonable accommodations, that must be provided to people with actual, current disabilities or those with a record (past history) of a disability.
How do federal disability nondiscrimination laws relating to drug addiction and alcoholism interact with Job Corps’ Zero Tolerance Policy and alcohol-related policies?

*Drug Addiction*

The definition of “individual with a disability” under federal law explicitly excludes persons who are *currently* engaging in the illegal use of drugs. This exclusion means that even though a particular person’s drug addiction constitutes a disability, it is not against the law to take adverse action against that person – to separate him or her from Job Corps, or otherwise give him or her less favorable treatment than others – because of that drug addiction.

Only people who are *currently* using drugs illegally are excluded from protection under the relevant federal laws. The following categories of persons *are* considered individuals with disabilities under those laws, and are therefore protected from discrimination on the basis of the drug addiction itself:

- Persons with a drug addiction diagnosis who have successfully completed a supervised drug rehabilitation program (an in-patient, out-patient, or employee assistance program), and who are no longer using drugs illegally.

- Persons with a drug addiction diagnosis who have been rehabilitated successfully in some other way (*e.g.*, recognized self-help programs such as Narcotics Anonymous) and who are no longer using drugs illegally.

- Persons who have a drug addiction diagnosis, are currently participating in a supervised rehabilitation program, and who are no longer using drugs illegally.

A center *may* request documentation that an applicant/student:

- Has a drug addiction diagnosis

- Has completed/is participating in a rehabilitation program or been rehabilitated successfully in some other way

- Is not currently using, **only** if an applicant/student is requesting to be considered a person with a drug addiction disability (most likely so he/she can request reasonable accommodation)

An applicant who has a diagnosis of drug addiction but is not requesting accommodation cannot be required to provide documentation that he/she is not currently using drugs. A person who casually used drugs illegally in the past but did not become addicted is not an individual with a disability, and therefore is not protected from discrimination.
Alcoholism\textsuperscript{12}

Even those who are currently using alcohol are protected by Federal disability nondiscrimination laws from adverse actions taken because of the alcoholism itself. However, students are subject to the center’s disciplinary policies and measures regarding the use and abuse of alcohol, as well as to Job Corps’ Zero Tolerance policy regarding the use of drugs.

It is important to understand the difference between taking adverse action against someone because of his or her alcoholism itself, and taking adverse action against him or her because of the behavior that the alcoholism causes. Taking adverse action because of someone’s behavior (rather than because of his or her status as an alcoholic) is not considered discrimination.

For example, suppose a student who is an alcoholic is discovered drinking alcohol on-center – an action that is prohibited under the center’s disciplinary policy. In this case, it is not discriminatory for the center to take action to discipline the student: the center is taking this action not because the center staff knows the student is an alcoholic, but because the student has violated the standards of conduct – in other words, because of the student’s behavior. The policy is not intended to punish students for being alcoholics; it prohibits and punishes the actual use of alcohol – in other words, the behavior. For these reasons, the policy does not violate federal disability nondiscrimination law.

POLICY

An applicant or student with a disability is entitled to request and receive reasonable accommodation to participate in the Job Corps program at any time during the admissions process or enrollment. Each center is required to have a reasonable accommodation Standard Operating Procedure (SOP). The SOP should describe the center’s process for ensuring applicants/students with disabilities who may need accommodation are engaged in an interactive process to consider/determine the functional limitations resulting from their disability and the potential accommodations that would allow them to participate in the Job Corps program. The center’s Disability Coordinators (DCs) should ensure that a reasonable accommodation process SOP is in place, and should coordinate the center’s reasonable accommodation process.

The reasonable accommodation process will have some variations depending on when the accommodation process begins, either during admissions or after enrollment. The center’s reasonable accommodation SOP, and process should include both of these situations and the following components:

\begin{itemize}
  \item Requesting accommodation
  \item Determining the need for accommodation
\end{itemize}

\textsuperscript{12} As with illegal use of drugs, a person’s use of alcohol does not constitute a disability unless it is an addiction that substantially limits one or more of the person’s major life activities.
• Ensuring appropriate documentation
• Reviewing a request
• Determining reasonableness
• Entering the accommodation plan
• Notifying staff/viewing the accommodation plan
• Determining accommodation effectiveness
• Documenting the accommodation process
• Maintaining the accommodation file
• Storing accommodation and disability documentation
• Confidentiality

PROCESS

Requesting Accommodation

A reasonable accommodation request can be communicated in any form (e.g., oral, written, sign language). However, the request must be documented on the Job Corps Reasonable Accommodation Request Form – Program (included in this document). This form cannot be changed, and must be used to document the request.

The Admissions Counselor (AC) will inform each applicant of his or her right to request reasonable accommodation, and then review the request form with the applicant. If the applicant wants to request accommodation or discuss the need for accommodations with a DC, the request form should be completed. The AC may assist with completion of the request form, as necessary.

If the applicant does not want to request accommodation, the AC should inform the applicant about his or her right to request reasonable accommodation at any point during the admissions process or during enrollment in the program. If a reasonable accommodation request is made after enrollment, a DC will go through the form with the student, and may assist with its completion, as necessary. All requests for reasonable accommodation to participate in the program will be reviewed at the center level (center of assignment).
Determining the Need for Accommodation

There must be an interactive process between the center and applicant/student (and parent/guardian, when appropriate) to determine accommodation needs.

- **Applicant to Participate in Program** – If an applicant:
  - Makes an accommodation request by completing the Job Corps Reasonable Accommodation Request Form – Program;
  - Indicates on the Job Corps Reasonable Accommodation Request Form – Program s/he would like to discuss the need for accommodation with a DC; or
  - Provides documentation that indicates s/he may be an individual with a disability who may need reasonable accommodation to participate in Job Corps.

  The DC must engage the applicant in an interactive process (even if the applicant did not request accommodation) to review request and/or determine possible accommodation needs.

- **Student to Participate in Program** – Applicants are not required to request accommodation during the admissions process and once enrolled in the program may request accommodation at any time. A student may complete the request form and/or make an accommodation request to any staff person. All requests should be referred to a DC.

If an applicant/student with a disability determines s/he does not want accommodations, a DC should ensure the decline is documented by ensuring completion of either the Job Corps Reasonable Accommodation Request Form – Program or the Reasonable Accommodation Review Due To Documentation of Disability Form as appropriate.

Ensuring Appropriate Documentation

If an applicant makes a reasonable accommodation request, the AC should ask the applicant for reasonable documentation about his or her disability and functional limitations. A DC will gather this information if the request is made after enrollment. Only the documentation that is needed to establish that (1) a person has a disability, and (2) the disability necessitates a reasonable accommodation, may be requested. It is important to obtain this type of information since the accommodation needs of an individual with a disability will depend on his or her functional capacities and limitations rather than his or her diagnosis. The applicant/student may be provided assistance to obtain the appropriate documentation to support the request. If an applicant/student provides insufficient documentation of a disability in response to the center’s initial request, the center should explain why the documentation is insufficient and allow the person an opportunity to provide the missing information in a timely manner. A center cannot ask for documentation
when (1) both the disability and the need for reasonable accommodation are obvious, or (2) the person has already provided the center with sufficient information to substantiate that she or he has a disability and needs the reasonable accommodation requested.

DCs should not spend a lot of time analyzing whether an applicant/student meets the definition of disability or requesting extensive documentation. Instead, the focus should be on the accommodation, whether it is reasonable, whether it can be provided without an undue hardship, and whether there are other accommodations that can be considered.

The documentation about the disability and the functional limitations should come from an appropriate health-care or rehabilitation professional (e.g., physicians, psychiatrists, psychologists, nurses, physical therapists, occupational therapists, speech therapists, vocational rehabilitation specialists). In addition, if the applicant has received a past accommodation, the associated documentation should be obtained (e.g., Individualized Education Program (IEP) or 504 Plan from the school system, along with supporting educational and psychological assessments when available).

All documentation should be reviewed to ensure the accommodation requested is supported by the documentation provided. Accommodations afforded to an applicant or student must be relevant to that individual’s manifestations and functional limitations resulting from the impairment. For example, a student who has diabetes and who has been granted accommodations that includes schedule adjustments and frequent breaks related to the manifestations of his diabetes would not be entitled to the use of a calculator on the non-allowable portions of the Test of Adult Basic Education (TABE) unless there was also a corresponding disability in the area of math calculations. For examples of possible types of documentation for specific disabilities, see the Reasonable Accommodation Guidelines section of the Job Corps Disability website.

Reasonable accommodation is not provided to struggling students without disabilities. Keep in mind that reasonable accommodation has a legal foundation and is intended to provide barrier removal for some limitation imposed by a person’s disability.

If a student’s or applicant’s disability or need for reasonable accommodation is not obvious, and she or he refuses to provide the reasonable documentation requested by the center, then she or he is not entitled to reasonable accommodation. If an applicant/student suspects that he or she may have a disability that has not been diagnosed and is unable to pay for an evaluation, the AC or a DC should provide the applicant/student with referral information.

**Documentation to Support Accommodations for Standardized Testing**

Types of accommodations that are allowable in a standardized testing situation such as the TABE usually are more limited than in other environments such as the academic and career technical training classrooms because certain accommodations may significantly alter what the test is intended to measure. Currently, centers should be using McGraw-Hill’s guidelines on appropriate accommodations as a general guide for the types of accommodations that are allowed for use with students with disabilities during TABE testing (see Appendix 301).
Appropriate documentation must support accommodations. An accommodation cannot be provided simply because the student requests one or because staff members believe it would be helpful to a student. For example, a student with a documented reading disability would not likely be entitled to the use of a calculator. Certain accommodations are never appropriate in the standardized testing environment such as rephrasing the TABE test questions by simplifying, rewording, or otherwise changing the structure of the test and therefore impacting the standardization beyond what Job Corps allows.

Reviewing a Request

The Reasonable Accommodation Committee (RAC) is led by the center’s DCs and always includes the applicant or the student. The RAC’s primary functions are to:

• Review an applicant’s request or need for accommodation (if documentation of disability is present) to participate in the Job Corps program when a center has recommended denial of an application or when the center intends to enroll the individual;

• Review a student’s request or need for accommodation (if documentation of disability is present) to participate in the Job Corps program;

• Assist applicant or student in determining needed accommodations by identifying the functional limitations resulting from the disability (i.e., student cannot read print material because text appears as a series of jumbled letters and needs access to a text-reader, audio tapes, or other oral communication supports, student has mobility impairment, and needs first floor dorm room);

• Ensure accommodation review considers accessibility needs and barrier removal for all areas of the center (residential/classroom/common areas/transportation, etc.) in which it is needed;

• Meet with students when issues with implementation or effectiveness of accommodation plans are identified during the effectiveness review process and when referrals are made to the DC that indicate an accommodation plan review may be needed;

• Assist with accommodation review during the work-based learning and transition periods; or

• Review TABE waiver requests to evaluate accommodation supports and effectiveness, make recommendations about additional accommodation needs, if appropriate, and provide feedback for the regional paperwork.
Reviewing a Simple Accommodation Request

If the accommodation request is straightforward and does not involve significant expense, the review can be an informal meeting (phone or face-to-face) between a DC and the applicant/student (and parents, service providers, if applicable). Agreed upon accommodations can be determined at this meeting and approved by a DC.

Reviewing a Complex Accommodation Request with RAC

If the request involves complex accommodation issues and/or significant expense, a RAC meeting may be required. Participants will vary depending on the nature of the request, but must always include a DC (chairperson) and applicant/student and his or her parents (if the applicant/student is a minor or requests his or her parents attend the meeting). Other possible members may include:

- Academic Manager and the Health and Wellness Manager, if the center has a dedicated position for a DC; otherwise, it is presumed that the Academic Manager and the Health and Wellness Manager are DCs, see Chapter 2, Section 2.4, R1.
- Center Mental Health Consultant, physician, dentist, or TEAP Specialist
- Career Technical Training Manager
- Student’s counselor
- Representatives of center departments directly impacted by the accommodation request
- Community social service agency if the applicant/student is receiving benefits in the community

A DC will provide information on the applicant’s/student’s accommodation request, functional limitations, and manifestations of the disability, as appropriate. The RAC will then determine:

- Whether Job Corps can provide the requested accommodation or an alternate accommodation that is equally effective. If no specific accommodations have been requested, the RAC will assist in identifying accommodations.
- The center staff responsible for ensuring the accommodation is made and the date by which the accommodation will be in place. Accommodations for applicants should be in place by the scheduled arrival date of the applicant.
- The amount and type of contribution to be obtained from other sources toward the purchase or acquisition of the requested accommodation.
If appropriate accommodations can be developed/agreed to at this meeting, an accommodation plan can be developed. If additional information is needed or research into specific accommodations is necessary, a DC or appropriate staff should stay in contact with the applicant/student until a plan can be developed.

A summary of any informal or formal meetings with the applicant/student should be included as a note in the Center Information System (CIS) Notes tab. RAC meeting documentation (i.e., copy of agenda/list of attendees) should be maintained for all RAC meetings. For more information on reviewing a request and the RAC meeting process see the Reasonable Accommodation Guidelines section of the Job Corps Disability website.

Accommodation Request or Documentation of Disability within Applicant File Review

If the center’s File Review Team has decided to recommend denial of an applicant who has a disability because it is believed that the applicant either poses a direct threat or that the applicant’s health care needs exceed those of basic care as provided within Job Corps, the center’s RAC will need to engage the applicant in the interactive process to determine whether or not accommodations would sufficiently reduce the barriers to enrollment such that the applicant can enroll in the program. Accommodation considerations within the assessment process will only include those related to the symptoms and behaviors that are presenting barriers to enrollment. For more information, see Forms 1-07, 2-04, and 2-05.

Determining Reasonableness

There are many gray areas in the interpretation of what constitutes a reasonable accommodation, therefore, Job Corps has no specific list of accommodations that will or will not be provided. Each request for accommodation should be evaluated individually, and a determination made regarding whether it is reasonable.

If granting a requested accommodation would pose an undue hardship or fundamental alteration to the program, Job Corps is not obligated to provide it. Undue hardship means that providing the accommodation would be unduly costly or extensive. Fundamental alteration means that providing the accommodation would alter the nature or operation of the program.

When considering if a request is an undue hardship or fundamental alteration, consider:

- What is the net cost of the accommodation, taking into consideration the availability of tax credits, deductions, or outside funding?
- What are the overall financial resources of the center, center operator, contractor, outreach and admissions agency, or placement agency; any parent companies of any of these entities; and Job Corps as a whole? Does the center have the funds to provide the accommodation? Can the National Office provide funding?
- Can other agencies/organizations provide or contribute to the cost of providing the accommodation?
• Will the accommodation allow the individual applicant to participate in and benefit from the Job Corps program?

• Could the requested accommodation benefit other persons with disabilities?

• Will the requested accommodation affect the daily operation of the center, prevent or reduce the benefit other students receive from the program, or affect the ability of staff to do their job?

In cases where the center determines that providing the accommodation would be an undue hardship or fundamental alteration, the center must take any other action that would not result in such a hardship or alteration, but would allow the applicant to participate in the program. Job Corps is required to make every effort to accommodate an applicant with a disability at the appropriate center in accordance with the assignment procedures in Chapter 1. However, if this assignment is not sensible, the applicant should be assigned to a center that offers comparable training, and is able to accommodate the applicant’s particular needs.

**Funding High Cost Accommodations**

Generally, centers are responsible for any costs associated with providing reasonable accommodation to students with disabilities. In rare cases, a high cost accommodation (e.g., sign language interpreter) may be needed. In these cases, if the center is unable to fund the accommodation or locate a funding source in the community, a request can be made through the appropriate Regional Office for National Office funding assistance. The Job Corps Reasonable Accommodation Funding Request Form must be used to request National Office funding. If supplemental funding is necessary after an initial request has been approved, it must be requested using the Job Corps Reasonable Accommodation Supplemental Funding Request Form. Both forms are available in this appendix, and additional guidance for completing these forms is available from the Regional Disability Coordinators.

**Recommending Denial of an Accommodation**

Recommending denial of an accommodation should be a rare occurrence. No accommodation can be denied at the center level. If the center feels providing the accommodation would be an undue hardship or a fundamental alteration to the nature or operation of the program and no alternate accommodation can be agreed upon, the decision must be forwarded to the Regional Director for a final decision.

Before sending a recommendation for denial to the region, center staff should contact its Regional Disability Coordinator for guidance and review the following statements to determine if the reasonable accommodation process was completed.

• The center held an interactive RAC meeting that included the applicant/student;

• The interactive process is well documented;
• The RAC considered specific accommodations;

• If appropriate, the center contacted the Job Accommodation Network (JAN) and documented the date, name of the JAN staff person who assisted, and JAN’s accommodation recommendations;

• The reason for the recommendation for denial of the accommodation is clearly documented, and is because providing the accommodation would be an undue hardship or a fundamental alteration to program;

• The center offered another solution that would permit the applicant/student to participate in the program to the greatest extent possible. The applicant’s/student’s decision to decline this offer is documented.

• If the recommendation for denial is based on undue hardship due to cost, funding from the National Office was requested.

If all steps were taken, the center should complete the Accommodation Recommendation for Denial form (included in this appendix) and submit along with all other documentation/notes/forms related to the request to the Regional Office for a final decision. The Regional Director will make a determination after consultation with Regional Disability Coordinators, other appropriate staff, and the National Office (i.e., National Health Staff) as to whether there is an obligation to grant the accommodation request.

If the Regional Director determines that the accommodation should be granted, the accommodation will be provided. If the Regional Director determines that there is no obligation to grant the accommodation, the applicant/student will be provided a written statement from the Regional Office that includes the reason for the denial and why no other accommodation is possible.

The center will make every effort to respond to the request in a timely manner and will inform the applicant/student if the request is being sent to the region for review or delayed for any other reason. Centers should complete the review of an accommodation request within 30 days of the arrival of the applicant’s file on center. When this is not possible, a DC should document the reason.

**Entering the Accommodation Plan**

After accommodation determinations have been made and as soon as possible after the student enters the program, the accommodation plan will be entered in CIS using the accommodation plan icon. For students who require TABE testing accommodations, the plan will be entered prior to the administration of the first TABE test. Accommodation plans should not include information about an individual student’s diagnosis, medication needs, or other health-related history or information. The DC and student will sign the plan. A copy of the plan must be provided to the student, and the original should be maintained in the student’s accommodation
file.

**Notifying Staff/Viewing the Accommodation Plan**

As necessary (i.e., when accommodation plans are added or updated in CIS), but at least biweekly, a DC should e-mail all staff members who interact with students a list of students with accommodation plans available in the CIS, the list should specifically indicate any plans that are new or updated. Copies of these e-mails should be maintained by a DC. Managers are responsible for ensuring that approved accommodations are implemented in their areas of supervision.

Staff should access plans in CIS using the accommodation plan icon. Access to the plan’s content must be determined on a “need to know” basis. Since in most cases accommodations need to be provided throughout all departmental areas of the center, access to the plans may need to be center-wide.

**Determining Accommodation Effectiveness**

As the student participates in the program, new needs may be identified or accommodation adjustments may be required. The effectiveness of a student’s accommodation will be evaluated as part of student performance panels on a regular basis throughout his or her enrollment in Job Corps (at least every 60 days). Student and staff feedback is required as part of this process and this feedback should be documented in the accommodation file. Forms and additional guidance for supporting this process are available on the Job Corps Disability website.

*Note: Staff should not wait for a performance panel review to request a modification of an existing plan if a need for a change has been identified.*

**Documenting the Accommodation Process**

Documentation of actions and decisions can be very important if an applicant/student alleges discrimination. Therefore all interactions with the applicant/student and activities related to the provision of reasonable accommodation should be documented. The Notes tab in CIS will be used to document the process. For examples of notes, see the Reasonable Accommodation Guidelines section of the Job Corps Disability website.

**Maintaining the Accommodation File**

A separate accommodation file (similar to the student’s health record) should be maintained for each student receiving accommodation. Appropriate staff should have access to the information and medical records should be stored separately (in student’s health record).

All accommodation files will contain documents and information to support the provision of accommodation and notes/updates documenting the accommodation process. For suggested content and layout for an accommodation file, see the Reasonable Accommodation Guidelines section of the Job Corps Disability website. All suggested contents may not be required for each
accommodation file. If a different layout is preferred, it should be consistent for all accommodation files.

**Storing Accommodation and Disability Documentation**

*Storage of Accommodation Files*

Each student should have only one accommodation file, and all accommodation files must be stored as a group in a separate drawer, file cabinet, or storage room that is locked. When the student separates, the accommodation file should be sealed and sent to records to be combined with other files into a single record and stored in a central location on center. See Appendix 202 for more information on storage of disability-related information.

*Storage of Non-Health Disability Documentation, No Accommodation Plan*

If a student with a disability does not wish to receive accommodations, either Reasonable Accommodation Review/Documentation of Disability Form or a Job Corps Reasonable Accommodation Request Form – Program will be completed for the student. A “disability, no accommodations” file will be created for each student that has a disability, but no accommodation plan. This file will contain the appropriate form and any non-health disability-related documentation such as an IEP. These files should be stored in the same location as the accommodation files, but should be differentiated (e.g., stored as a group in a separate area of the cabinet or color coded).

When the student separates, these files should be sealed and sent to records to be combined with other files into a single record and stored in a central location on center. See Appendix 202 for more information on storage of disability-related information.

**Confidentiality**

Information regarding disability and prior accommodation will be discussed during the process. To maintain confidentiality, documentation is made available on a need-to-know basis only, and participants in the process should not discuss information about the request outside of the process. Those responsible for implementing the accommodation will be informed of the accommodation and the reasons for it only to the extent necessary to ensure effective implementation of the accommodation. See Appendix 202 for more information on confidentiality of disability-related information.

For more detailed information, tools, forms, tips, and resources to support the center reasonable accommodation process, see the Reasonable Accommodation Guidelines section of the Job Corps Disability website.
JOB CORPS REASONABLE ACCOMMODATION REQUEST FORM – PROGRAM

If you are a person with a disability, you may request accommodations (changes in the way things are done, or other types of help) to assist you in successfully participating in the Job Corps program. You **may** be qualified to receive reasonable accommodation if you ever:

- Had an IEP or 504 Plan in school
- Had special education support
- Had extra supports or pullout classes in school
- Were given extra time to complete assignments or tests in school
- Got help in a resource room in high school
- Were allowed extra time to get to and from class
- Received any adjustments to the scheduled school day for appointments or because of side effects of medication
- Were/are a client of Vocational Rehabilitation or a related program
- Receive Supplemental Security Income (SSI) or Social Security Disability Insurance (SSDI)

If you are worried about talking about your disability, it is important to understand that Job Corps upholds strict policies on confidentiality, which means this information will only be shared with those who need to know. The main reason for you telling us about your disability is so you can get the support you need to participate effectively/successfully while enrolled in the Job Corps program.

In high school, you may have gone to a separate resource room for instruction if you received special education services. In Job Corps, students with disabilities attend all the same classes and participate in all of the same programs as students without disabilities.

Depending on your disability and the type of accommodation you are asking for, we may ask you to provide documentation about your disability and how it affects you so we can determine your need for reasonable accommodation. Each center has staff that can assist you with the accommodation process.

If you indicate that you would like accommodations, would like to discuss accommodations with a Center Disability Coordinator, or provide information indicating that accommodations may be necessary, a Center Disability Coordinator will contact you to talk about the need for accommodation.

You are not required to have an accommodation plan. Should you choose to have an accommodation plan, you will work jointly with a Center Disability Coordinator to develop one. If an accommodation you have requested cannot be provided because it is unreasonable, every effort will be made to offer you an equally effective alternate accommodation that is reasonable.

Your Admission’s Counselor or a Center Disability Coordinator (if you are requesting accommodation after arrival on center) will guide you through completion of this form.
SECTION A – APPLICANT/STUDENT

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☐ I would like to request accommodations to participate in the Job Corps program. **(Please list the accommodations requested.)**
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  - 

☐ I think I may need an accommodation, but I am not sure what I will need. I would like to talk directly with a Center Disability Coordinator about my accommodation needs.

Applicant/Student Signature                        Date

Parent/Guardian Signature                        Date

The Admission’s Counselor should forward the center this form and related documentation in the sealed envelope containing health/disability information.

SECTION B – DISABILITY COORDINATOR

I have met with the applicant/student ☐ by telephone ☐ in person to discuss his or her accommodation needs. The applicant/student:

☐ Has a ☐ drafted agreed upon accommodation plan pending enrollment* or has an ☐ agreed upon accommodation plan.

☐ Does not wish to receive accommodations. The applicant/student has been informed that s/he may request reasonable accommodation at any time.

☐ The center cannot agree to an accommodation plan. The Accommodation Recommendation for Denial form and related documentation are being sent to the Regional Office for review.

Applicant/Student Signature                        Date

Parent/Guardian Signature                        Date

Disability Coordinator Signature                   Date

*Upon enrollment, the student’s plan is entered into CIS, printed out and the student asked to review and sign the printed copy if in agreement. The student is given a copy of the signed plan.
REASONABLE ACCOMMODATION REVIEW/
DOCUMENTATION OF DISABILITY FORM

Job Corps policy requires that the Center Disability Coordinators engage an individual in the
interactive reasonable accommodation process if the applicant or student either requests an
accommodation (see the Job Corps Reasonable Accommodation Request Form–Program) or
provides documentation of a disability. Center Disability Coordinators must use this form to
document the interactive process for an applicant or student who has not requested
accommodations, but who has provided documentation of the disability.

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☐ Documentation source(s) (e.g., IEP, Chronic Care Management Plan, health documentation,
etc.)
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  •

I have met with the applicant/student ☐ by telephone ☐ in person to discuss his or her
accommodation needs. The applicant/student:

☐ Has a ☐ drafted agreed upon accommodation plan pending enrollment* or has an ☐ agreed
upon signed accommodation plan.

☐ Does not wish to receive accommodations. The applicant/student has been informed that s/he
may request reasonable accommodation at any time.

☐ The center cannot agree to an accommodation plan. The Accommodation Recommendation
for Denial Form and related documentation are being sent to the Regional Office for review.

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<th>Disability Coordinator Signature</th>
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*Upon enrollment, the student’s plan is entered into CIS, printed out and the student asked to
review and sign the printed copy if in agreement. The student is given a copy of the signed plan
and the original is stored in the accommodation file.
JOB CORPS REASONABLE ACCOMMODATION FUNDING REQUEST FORM

Centers are responsible for any costs associated with providing reasonable accommodation to an applicant or student with a disability. If a center cannot fund an accommodation or locate a funding source in the community, a request can be made through the appropriate Regional Office for National Office funding assistance for high-cost accommodations (those greater than $5,000).

All requests for funding assistance should be made as part of the accommodation process. Funding requests for accommodations already provided will not be considered. National Office funding for medications and personal use items is not available. This form can only be used to request funding assistance for an individual applicant or student. No requests that contain multiple students on the same form will be considered.

Please complete the appropriate section of the following form. All requests should be expedited so as not to unreasonably delay entry or provision of accommodation to the applicant/student.

### A. CENTER DISABILITY COORDINATOR REQUEST

Complete this section of the form and send it to your Regional Disability Coordinator within 7 days of the applicant’s or student’s reasonable accommodation committee meeting. You should include the applicant’s IEP or other documentation that indicates the applicant’s functional limitations and any past accommodations. You must contact your Regional Disability Coordinator for guidance on completing this form and providing supporting documentation.

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<th>Center:</th>
<th>Center Disability Coordinator:</th>
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<td>E-mail:</td>
<td>Phone:</td>
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<tr>
<td>Applicant/Student Name:</td>
<td>Date File Received on Center:</td>
</tr>
<tr>
<td>Accommodation Request Date:</td>
<td>RAC Meeting Date(s) and Attendees:</td>
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Describe the nature of the applicants/student’s disability and resulting functional limitation(s).

In the space below, list each accommodation with a one-time fixed cost (e.g., software, equipment, etc.,) that is being requested and provide the vendor estimates for these items with supporting documentation that was used to determine these costs. For accommodations requiring on-going costs (e.g., sign language interpreters), these costs should be estimated for a one-year period utilizing the Cost Analysis Form that will be provided to you by your Regional Disability Coordinator. This form must be completed and submitted with this funding request.

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<th>Accommodation:</th>
<th>One-time Fixed Cost:</th>
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<td>Accommodation:</td>
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<td>Accommodation:</td>
<td>On-going Cost:</td>
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<td>Total Estimated Fixed Costs:</td>
<td>Total Estimated On-going Costs:</td>
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List other possible funding sources consulted along with their contact information. If any funding is being provided by these sources, please list amount.

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<th>How will this/these accommodations remove or minimize the barriers presented by the applicant’s/students’ functional limitation(s)?</th>
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<th>Were any alternate accommodations considered? If yes, list and describe why not chosen.</th>
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<tr>
<th>Could the requested accommodation(s) benefit other students with disabilities enrolled in the program? If yes, describe the benefit.</th>
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If you are requesting funding for a sign language interpreter, you must review the Deaf/Hard of Hearing Guidance available on the Job Corps Disability website, including the guidance on interpreting services. A DC must have the applicant/student complete the interview form available on the overview page of the guidance. This form should be included with your request.

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<th>Date Guidance reviewed:</th>
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List any other accommodations that will/are being provided.

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<th>Disability Coordinator Signature:</th>
<th>Center Director Signature:</th>
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Date Forwarded to Regional Disability Coordinator:

**B. REGIONAL DISABILITY COORDINATOR REVIEW**

Please review the center’s request. If the center section of the form is not complete or inadequate documentation/information has been provided, please contact the Center Disability Coordinator to resolve these concerns. If the center section of the form is complete and adequate documentation/information has been provided, complete this section of the form and send it with a summary of your review to the Regional Office Program/Project Manager and cc National Health Staff within 7 days of receipt from the center.

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<tr>
<th>Regional Disability Coordinator:</th>
<th>E-mail:</th>
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<td>Phone:</td>
<td>Date Request Received:</td>
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<td>Date Request Reviewed:</td>
<td>Date Forwarded to Regional Office:</td>
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### C. REGIONAL PROGRAM/PROJECT MANAGER REVIEW

Please review the center’s request. If the sections A or B of the form are not complete or inadequate information has been provided, please contact the Regional Disability Coordinator to resolve these concerns. If sections A and B of the form are complete and adequate documentation/information has been provided, complete this section of the form and send to National Health Staff at the address below within 5 days of receipt from the Regional Disability Coordinator.

National Health Staff  
U.S. Department of Labor  
Office of Job Corps  
200 Constitution Avenue, NW, Room N-4507  
Washington, DC 20210

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<tr>
<th>Program/Project Manager:</th>
<th>Phone:</th>
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<td>Date Request Received:</td>
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<td>Date Request Reviewed:</td>
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<table>
<thead>
<tr>
<th>Regional Director Signature:</th>
<th>Program/Project Manager Signature:</th>
</tr>
</thead>
</table>

### D. NATIONAL HEALTH STAFF REVIEW

Please review the center’s request and supporting documentation. If the required signatures are missing, or additional information is needed, please return the form to the Regional Disability Coordinator to resolve these concerns. If the previous sections of the form are complete and adequate information has been provided, draft an approval letter and begin the National Office signature process within 3 days of receipt of form from the Regional Program/Project Manager.

<table>
<thead>
<tr>
<th>National Office Health Staff Person:</th>
<th>Position:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Phone:</td>
<td>E-mail:</td>
</tr>
<tr>
<td>Date Request Received:</td>
<td>Date Request Reviewed:</td>
</tr>
<tr>
<td>Date Approval Letter Drafted:</td>
<td>Amount Approved (if different from original request, please explain):</td>
</tr>
</tbody>
</table>

### E. NATIONAL OFFICE SIGNATURE APPROVAL PROCESS

National Health staff will coordinate the signature approval process in the following order: the National Health and Wellness Manager, Division Chief, Budget Chief, Deputy Director, and National Director. Complete this section of the form within 7 days of beginning the signature approval process.

<table>
<thead>
<tr>
<th>Health and Wellness Manager Signature:</th>
<th>Date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Division Chief Signature:</td>
<td>Date:</td>
</tr>
<tr>
<td>Budget Chief Signature:</td>
<td>Date:</td>
</tr>
<tr>
<td>Deputy Director Signature:</td>
<td>Date:</td>
</tr>
<tr>
<td>National Director Signature:</td>
<td>Date:</td>
</tr>
<tr>
<td><strong>Final Amount Approved (if no funding is approved or amount is different from original request, please explain):</strong></td>
<td>Final Disposition Date:</td>
</tr>
<tr>
<td>---</td>
<td>---</td>
</tr>
</tbody>
</table>

**F. NATIONAL OFFICE FINAL DISPOSITION**

If funding is approved, National Health staff will provide signed documentation to the Budget Chief, Regional Office Program/Project Manager, Center Disability Coordinator, and Regional Disability Coordinator. If the request is denied, National Health staff will notify the Regional Office Program/Project Manager, Center Disability Coordinator, and Regional Disability Coordinator.

<table>
<thead>
<tr>
<th>National Health Staff Making Notification:</th>
<th>Position:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Phone:</td>
<td>E-mail:</td>
</tr>
<tr>
<td>Date Notification Provided:</td>
<td>National Health Staff Signature:</td>
</tr>
</tbody>
</table>
JOB CORPS REASONABLE ACCOMMODATION SUPPLEMENTAL FUNDING REQUEST FORM

Please complete this form to request supplemental funding for an accommodation funding request that has been approved using the Job Corps Reasonable Accommodation Funding Request Form. If this is a new accommodation funding request or all funds have been used from your initial request, complete the Job Corps Reasonable Accommodation Funding Request Form.

<table>
<thead>
<tr>
<th>A. CENTER DISABILITY COORDINATOR REQUEST</th>
</tr>
</thead>
<tbody>
<tr>
<td>Complete this section of the form and send it to your Regional Disability Coordinator and cc the Regional Office Program/Project Manager. Attach the most recent follow up you provided to your Regional Disability Coordinator and the student’s accommodation plan.</td>
</tr>
<tr>
<td>Center Disability Coordinator:</td>
</tr>
<tr>
<td>Phone:</td>
</tr>
<tr>
<td>Describe the accommodation that supplemental funding is being requested for and why additional funding is being requested (attach supporting documentation).</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Amount of Additional Funding Being Requested:</th>
<th>Date of Last Request:</th>
<th>Amount Previously Requested:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Center Director Signature:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Disability Coordinator Signature:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Date forwarded to Regional Disability Coordinator:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>B. REGIONAL DISABILITY COORDINATOR REVIEW</th>
</tr>
</thead>
<tbody>
<tr>
<td>Please review the center’s request. If the center section of the form is not complete or inadequate information has been provided, please contact the Center Disability Coordinator to resolve these concerns. If the center section of the form is complete and adequate information has been provided, complete this section of the form and send it to the National Health Staff and cc the Regional Program/Project Manager within 7 days of receipt from the center.</td>
</tr>
<tr>
<td>Regional Disability Coordinator:</td>
</tr>
<tr>
<td>Phone:</td>
</tr>
<tr>
<td>Date Request Reviewed:</td>
</tr>
<tr>
<td>Regional Disability Coordinator Signature:</td>
</tr>
</tbody>
</table>
# ACCOMMODATION RECOMMENDATION FOR DENIAL FORM

<table>
<thead>
<tr>
<th>Center:</th>
<th>Date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Applicant/Student:</td>
<td>ID#:</td>
</tr>
<tr>
<td>Regional Office:</td>
<td>Date Submitted to Regional Office:</td>
</tr>
</tbody>
</table>

If this request is for an applicant and you have already completed the Applicant File Review Center Recommendation for Denial Form, please attach this form to it and skip to Section B. If this request is for a student, please complete Sections A through C.

## Section A

### Reasonable Accommodation Committee Participants

<table>
<thead>
<tr>
<th>Name:</th>
<th>Position:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name:</td>
<td>Position:</td>
</tr>
<tr>
<td>Name:</td>
<td>Position:</td>
</tr>
<tr>
<td>Name:</td>
<td>Position:</td>
</tr>
</tbody>
</table>

### Summarize the Applicant’s Input:


## Section B

Please list any accommodations that the center has identified as being unreasonable and then identify any alternative options that are deemed reasonable that the applicant rejected. If none can be identified, please state none and explain why in the Summary section of the document.

<table>
<thead>
<tr>
<th>Accommodation</th>
<th>Applicant Requested?</th>
<th>Alternative Options</th>
<th>Basis for Unreasonableness</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>☐ Yes ☐ No</td>
<td></td>
<td>☐ Unduly costly</td>
</tr>
<tr>
<td></td>
<td>☐ Yes ☐ No</td>
<td></td>
<td>☐ Fundamental Alteration</td>
</tr>
<tr>
<td></td>
<td>☐ Yes ☐ No</td>
<td></td>
<td>☐ Unduly costly</td>
</tr>
<tr>
<td></td>
<td>☐ Yes ☐ No</td>
<td></td>
<td>☐ Fundamental Alteration</td>
</tr>
</tbody>
</table>

## Section C

Please show calculated costs for each accommodation being recommended for denial that has been identified as unduly costly and/or document why the accommodation requires a fundamental alteration to the program.

| Cost Analysis or Fundamental Alteration |
Center Director’s Signature:

Signature  Date

<table>
<thead>
<tr>
<th>Accommodation</th>
<th>Disposition</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>□ Concur with Recommendation  □ Deny</td>
</tr>
<tr>
<td></td>
<td>□ Concur with Recommendation  □ Deny</td>
</tr>
<tr>
<td></td>
<td>□ Concur with Recommendation  □ Deny</td>
</tr>
</tbody>
</table>

*If the region does not support the recommendation for denial of the accommodation(s), then the center must be notified that it is responsible for providing the requested/agreed upon accommodation.
FORM 2-04

INDIVIDUALIZED ASSESSMENT OF POSSIBLE DIRECT THREAT

Purpose

To provide additional information and guidance on the direct-threat assessment process currently outlined in Chapter 1, Section 1.5, R4. This supersedes information in PRH Change Notice 11-03.

Background

Job Corps requires, as a qualification standard, that an applicant not pose a direct threat to the health or safety of himself/herself or others, including students and staff. Like any qualification standard, this requirement must apply to all applicants, not just to those with disabilities. If, however, an individual poses a direct threat as a result of a disability, Job Corps must determine whether a reasonable accommodation would either eliminate the risk or reduce it to an acceptable level.

This document provides Job Corps Health and Wellness staff, including Trainee Employee and Assistance Program (TEAP) specialists, with guidance designed to help them properly and lawfully assess an individual applicant’s ability to safely participate in the Job Corps program.

If the assessor determines that the individual poses a direct threat and the threat results from a disability, the assessor must consider whether any accommodations or modifications would reduce the risk, and list any suggested accommodations or modifications. Please note that the assessor must not consider whether, in his/her view, a particular accommodation or modification is “reasonable;” that determination must be made by the Center Director or his/her designee, on the basis of a number of specific factors that are required by law.

Instructions

The attached form may be used to conduct an individualized assessment of an applicant’s possible direct threat to self or others.

Federal disability nondiscrimination laws define a “direct threat” as a significant risk of substantial harm to the health and safety of the individual or others that cannot be eliminated or reduced by reasonable accommodation or modification. A “significant risk” means a high, not a slight, probability; a speculative or remote risk is insufficient.

Determining whether an individual poses a significant risk of substantial harm to himself/herself or others must be made on a case-by-case basis. Job Corps should identify the specific risk posed by the disability. For individuals with psychiatric disabilities, Job Corps must identify the specific behavior of the individual that would pose the direct threat. Federal disability nondiscrimination laws make clear that an individual does not pose a direct threat simply because he or she has a history of psychiatric disability, or is currently receiving treatment for a psychiatric disability.

When evaluating whether an individual with a disability or medical condition poses a direct threat,
threat, please keep in mind that there are special rules governing when disability-related inquiries, i.e., questions which are likely to elicit information about a disability can be made.

• In the context of evaluating an applicant for Job Corps, a direct-threat assessment may be done whenever Job Corps believes that a known or apparent disability or medical condition poses a direct threat to the health or safety of the individual or others. This typically will occur: (1) after the applicant has received conditional assignment to a Job Corps center and has completed the questions on the “Job Corps Health Questionnaire (ETA 6-53);” and (2) after specific, objective, factual information about that particular conditionally enrolled applicant is gathered that is medically related to any “yes” responses given to the questions in sections 8 or 9 of the questionnaire; if (3) the initial review of this specific, objective, factual information supports a reasonable belief that the conditionally enrolled applicant may have a medical condition or disability that poses a significant risk of substantial harm to the health or safety of the individual or others, i.e., direct threat. If all of these criteria are satisfied, the Health and Wellness Manager will forward the applicant’s information to the appropriate licensed health provider employed by the center for a detailed direct-threat assessment.

Who May Conduct the Assessment?

The clinical assessment of risk and degree of potential harm that may be caused by the individual’s medical condition or disability is generally a medical matter properly determined by licensed health providers. Such providers employed by Job Corps include nurses, physicians, Center Mental Health Consultants, dentists, and TEAP specialists. This group, therefore, has a significant role to play in determining whether, in a given Job Corps setting, a particular individual’s medical condition or disability poses a significant risk of substantial harm to the health or safety of himself/herself or others. Medical health conditions that may pose a direct threat should be assessed by nurses and/or physicians; mental health conditions should be assessed by mental health consultants; oral health conditions should be assessed by dentists; and conditions related to substance use should be assessed by TEAP specialists. In some cases, it may be necessary to consult an outside specialist with expertise in the particular medical condition or disability and its effects.

Basis for the Assessment

The determination that an individual has a medical condition or disability that poses a “direct threat” must be based on an individualized assessment of the individual’s present ability to safely participate in the Job Corps program. This assessment must be made by a licensed health provider, based on a reasonable medical judgment that relies on the most current medical knowledge and the best available objective evidence. Before conducting a direct-threat assessment, the assessor may need to educate himself/herself about the current state of medical knowledge, and about the specific facts of the particular individual’s medical history and/or the circumstances in which he or she has been or will be placed in the Job Corps program.

As noted above, the assessment must focus on the current medical condition or disability of the
specific individual named on the form. The determination cannot be based on generalizations about the medical condition or disability; the assessor must identify the specific risk that is posed by the medical condition or disability of the named individual in the applicable Job Corps context. Additionally, the harm must be serious and likely to occur, not remote and speculative. Subjective perceptions, irrational fears, patronizing attitudes, and stereotypes have no place in the assessment process.

Factors to Be Considered

In determining whether an individual has a medical condition or disability that poses a direct threat, the assessor must consider four specific factors: duration of the risk, nature and severity of the potential harm; likelihood that the potential harm will occur; and imminence of the potential harm.

If the assessor considers these four factors and determines that a direct threat is posed by the medical condition or disability, he/she must consider whether the risk can be eliminated or reduced to an acceptable level by reasonable accommodation or modification.

Relevant factors in making the direct threat assessment (including the consideration of whether the risk may be lessened or eliminated) may include:

- Input from the individual with the medical condition or disability
- The medical history of the individual, including his or her experience in previous situations similar to those he or she would encounter in the program
- Opinions of medical doctors, rehabilitation counselors, or therapists who have expertise in the condition involved and/or direct knowledge of the individual

Under federal disability nondiscrimination laws, the burden is on Job Corps to prove that a particular individual has a medical condition or disability that poses a direct threat. This means that if the objective, factual evidence is equivocal, or is insufficient to prove that the participation of that specific individual would pose a direct threat, the assessor must assume that no direct threat exists.

The four factors for determining direct threat are described below.

1. **Nature and severity of the risk.** In the professional judgment of the assessor:

   a. What *kind of harm* is potentially posed by this individual’s medical condition or disability? **List the specific symptoms or behaviors** and the information on which the judgment is based.

   b. What is the *seriousness of the potential harm* in this particular case (e.g., death, incapacitation, serious injury, minor injury/emotional distress)? List the specific information on which the judgment is based.
2. **Duration of the risk.** In the professional judgment of the assessor, how long will the risk last? List the specific information on which the judgment is based.

3. **Likelihood that the potential harm will occur.** In the professional judgment of the assessor, is the likelihood that potential harm will occur high, moderate, or low? List the specific information on which the judgment is based.

4. **Imminence of the potential harm.** In the professional judgment of the assessor, how soon is the harm likely to occur? List the specific information on which the judgment is based.

Taking all four of these factors into consideration, the assessor should determine whether the applicant’s condition poses a significant risk of substantial harm.

**Accommodations or Modifications**

If the individual is a person with a disability, the center’s reasonable accommodation team must convene and consider accommodations and/or modifications that the individual may need. See Form 2-03 for definition of a disability. Once the accommodations and/or modifications have been identified, the qualified licensed professional who conducted the original assessment must review the previous findings to each of the factors giving consideration to the identified accommodations to determine whether or not the accommodations and/or modifications eliminate the threat or reduce it to below the level of threat.

If it is determined that the accommodations and/or modifications will remove the barriers to enrollment and are considered unreasonable, then the team must forward the list of identified accommodations and/or modifications to the Center Director for a “reasonableness” and/or undue hardship determination (see below). If the accommodations and/or modifications identified would not eliminate the threat or sufficiently reduce it to below the level of threat, then the reasonable accommodation team (inclusive of the licensed professional) must indicate which accommodations and/or modifications would be insufficient and explain why.

**Examples of Accommodation/Modification Consideration for Direct Threat**

- Schedule adjustments to allow the applicant to attend necessary off-center appointments
- Shortened training day or later start to the training day to adjust for medication side-effects
- Passes during the training day to allow applicant to leave class and meet with counselor to de-escalate behaviors as needed

Every effort should be made to identify appropriate accommodations and/or modifications, and reasonable accommodation teams are encouraged to use identified resources (e.g., Job
Accommodation Network) to assist them, as appropriate.

**Center Director Reasonableness Determination**

If there is a recommendation for an applicant to be enrolled with accommodations or modifications which you believe are not reasonable and/or pose an undue hardship, the Center Director is responsible for making that determination using the “Accommodation Recommendation of Denial Form” found on the Job Corps Disability website and including that form along with the applicant file that is being submitted to the Regional Office with a recommendation for denial. The final determination is made by the Regional Office.

Guidance on how to make this determination is available in the “Evaluating a Request and Denying a Request” sections of Form 2-03. Please attach the completed “Accommodation Recommendation of Denial Form” found on the Job Corps Disability website.

FORM FOR INDIVIDUALIZED ASSESSMENT OF POSSIBLE DIRECT THREAT

Applicant’s Name: ___________________________ Date of Review: ___________________________

Center Name: _______________________________ ID #: ________________________________

Interview Conducted By: □ Telephone □ In Person □ Videoconference

In determining whether, in your professional judgment, the individual named above has a medical condition or disability that poses a direct threat, consider the following and respond accordingly.

Factors to be considered in determining whether a “significant risk of substantial harm” exists include: (1) duration of the risk, (2) nature and severity of the potential harm, (3) likelihood that the potential harm will occur, and (4) imminence of the potential harm.

Under the law, the burden is on Job Corps to prove that a specific individual poses a direct threat. Therefore, if the objective, factual information about the specific individual named above is equivocal, or is insufficient to prove that a direct threat exists, you must assume that the individual’s disability or medical condition does not pose a direct threat.

If you determine that a “significant risk of substantial harm” exists, consider whether any accommodations or modifications would reduce the risk, and list any suggested accommodations or modifications. Do not consider whether, in your view, a particular accommodation or modification is “reasonable.” That determination must be made by the center director or his/her designees.

1. What is the nature and severity of the potential harm?

   a. What kind of harm is potentially posed by this individual’s medical condition or disability?
      (Check the specific symptom(s) or behavior(s) or list under "Other")

      □ Abusive Behavior Towards Authority and/or Peers □ Other (specify): ___________________________
      □ Drug and Alcohol Use/Dependence
      □ Homicidal Behavior
      □ Paranoid Thinking
      □ Self-Injury
      □ Serious or Life Threatening Medical Condition
      □ Severe Sensory Impairment
      □ Severely Impaired Concentration
      □ Severely Impaired Impulse Control
      □ Severely Impaired Judgment
      □ Suicidal Behavior
      □ Threat of Sexually Inappropriate Behavior
      □ Threat of Violence – Assaultive Behavior
      □ Unpredictable Changes in Behavior
b. What is the seriousness of the potential harm in this particular case (e.g., death, incapacitation, serious injury, minor injury/emotional distress)?

________________________________________
________________________________________
________________________________________
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________________________________________
________________________________________
________________________________________
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________________________________________

2. What is the duration of the risk (i.e., how long will the risk last)?

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3. What is the likelihood that the potential harm will occur (i.e., high, moderate, or low)?

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4. What is the imminence of the potential harm (i.e., how soon is the harm likely to occur)?

________________________________________
________________________________________
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________________________________________
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________________________________________
________________________________________

5. Reasonable Accommodation Consideration

Is this applicant a person with a disability? ☐ Yes ☐ No
(i.e., documentation of a mental health, medical, substance-abuse, cognitive, or other type of disability is present in the applicant file or the disability is obvious (i.e., blind, deaf).

If no, please skip to #6. If yes, convene the reasonable accommodation committee (RAC) along with the applicant and list below any accommodations and/or modifications discussed with the applicant that could either remove or reduce the direct threat.

Note: Accommodations or modifications are not things that treat the impairment; they are things that will help the individual participate in the program. See Program Instruction 08-26 “Reasonable Accommodation and Case Management” for guidance.

☐ The RAC has been unable to identify any accommodations appropriate to support this applicant.
☐ The following accommodations/modifications listed below have been discussed with the applicant and considered as a part of this assessment:

Please avoid suggesting extreme accommodations already known to likely be unreasonable unless the applicant has requested a specific support (i.e., 24 hour supervision). If unsure if a support or modification is really an accommodation or is actually a case management support, please contact your regional health and disability consultants for assistance.

Based on the specific symptoms and/or behaviors checked in Section 1a, please check the appropriate accommodations below discussed with the applicant. Please note: This list is not all inclusive. These are suggestions for your use and you may need to consider functional limitations and accommodations beyond this list which can be entered in the “Other” section.

Are there any changes we can make to our center policies, procedures, or practices to eliminate or reduce the level of threat?

• Schedule adjustments to allow the student to attend necessary off-center appointments ☐ Yes ☐ No
• Shortened training day or later start to the training day to adjust for medication side effects ☐ Yes ☐ No
• Modified first 30 days on center with a reduction in tasks to minimize stress ☐ Yes ☐ No
- Provide applicant with pass to leave class if he/she begins to feel anxious, angry or upset and go to designated “calm down” area  
- Allow frequent breaks during the day  
- Allow telephone calls during work hours to doctors and others for needed support  
- Reduce mandatory participation in large group activities  
- Provide additional orientation on conduct and behavioral expectations

**Are there any physical changes or placement considerations in the dorm we can make to eliminate or reduce the level of threat?**

<table>
<thead>
<tr>
<th>Option</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provide single dorm room</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Modified door/window locks for safety</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Placement in residential dorm with fewer students and/or more experienced Residential Advisors (RAs)/Residential Counselors (RCs)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Provide dorm room closer to RA’s/RC’s office</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Allow mobility coach</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Allow refrigerator in room</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Other:

**Can we adjust our level of supervision or structure at the center to eliminate or reduce the level of threat?**

<table>
<thead>
<tr>
<th>Option</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provide staff mentor as needed (like a job coach)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Provide student mentor as needed</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Other:

**Can our instructors and/or RA/RC staff adjust their communication methods in a way to eliminate or reduce the level of threat?**

<table>
<thead>
<tr>
<th>Option</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provide detailed guidance</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Provide frequent feedback</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Provide praise and positive reinforcement</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Other:

**Is there any special equipment or device to consider that can eliminate or reduce the level of threat?**

<table>
<thead>
<tr>
<th>Option</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provide visual barriers to reduce startle responses</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Use of headphones to minimize distractions</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Other:

**Allow special medical equipment in room and in trade.**

<table>
<thead>
<tr>
<th>Option</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Permission to use a service animal</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Other:

**Summarize any special considerations and findings of the RAC as well as the applicant’s input:**

*Please Note: Job Corps cannot impose accommodations upon an individual. If the applicant does not accept*
or agree to a specific accommodation, there is no need to consider that specific accommodation in your determination of whether the accommodations listed will reduce the barriers to enrollment sufficiently or not nor is there a need to complete a reasonableness review related to that specific accommodation.

<table>
<thead>
<tr>
<th>Reasonable Accommodation Considerations:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Did the applicant participate in the RAC meeting?</td>
</tr>
<tr>
<td><em>(Note: The applicant must be a part of the discussion for reasonable accommodation).</em></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>RAC Participants:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name:</td>
</tr>
<tr>
<td>Name:</td>
</tr>
<tr>
<td>Name:</td>
</tr>
</tbody>
</table>

If there is a recommendation for an applicant to be enrolled with the accommodations or modifications listed in #5 above which you believe are not reasonable and/or pose an undue hardship, the **Center Director is responsible for making that determination** using the “Accommodation Recommendation of Denial Form” found on the Job Corps Disability website and including that form along with the applicant file that is being submitted to the regional office with a recommendation for denial. The final determination is made by the regional office.

Guidance on how to make this determination is available in the “Evaluating a Request and Denying a Request” sections of Form 2-03. Please attach the completed “Accommodation Recommendation of Denial Form.”

If there are agreed upon accommodations between the RAC and applicant listed above, then consider whether those accommodations reduce or eliminate the direct threat to allow for the applicant to be enrolled.

- If the accommodations would sufficiently reduce or eliminate the direct threat, then you do not need to complete the remainder of this assessment and the center can assign the applicant a start date. Retain all the paperwork included in completing this assessment within the applicant’s Student Health Record.
- If the accommodations would NOT sufficiently reduce or eliminate the direct threat, please proceed to #6.

6. Based on the factors above, does the named individual have a medical condition or disability that poses a significant risk of substantial harm to the safety of himself/herself or of others if he or she participates in Job Corps?

☐ In my professional judgement, the individual’s participation poses a direct threat.
☐ In my professional judgement, the individual’s participation does not pose a direct threat.

**Printed or Typed Name and Title of Licensed Health Provider Completing Form**

**Signature of Licensed Health Provider Completing Form**

**Date**
FORM 2-05
HEALTH CARE NEEDS ASSESSMENT

Purpose

To provide additional information and guidance on the health care needs assessment process currently outlined in Chapter 1, Section 1.5, R4.

Background

Based on a review of previous applications, Job Corps has learned that the majority of applicants’ treatment and/or monitoring needs can be met, but there may be situations in which a particular applicant’s needs are beyond what the Job Corps’ health and wellness program can provide as defined as basic health care in Exhibit 2-4: Job Corps Basic Health Care Responsibilities. Current disability data indicate that the majority of our applicants with medical, mental health, oral health, and substance abuse conditions have stable health and require only routine and episodic health care interventions with accommodations. However, a small percentage of applicants may have complex, newly diagnosed, persistent or recurring medical, mental health, oral health, and/or substance abuse health care issues that require services and/or care management beyond Job Corps’ basic health care as determined Job Corps health and wellness staff.

This document provides guidance to Job Corps health and wellness staff on how to determine whether Job Corps can meet the medical, mental health, oral health, and/or substance abuse treatment/monitoring needs of a particular applicant.

This determination is derived in part by a review of the “Job Corps Health Questionnaire (ETA 6-53).”

The “Job Corps Health Questionnaire (ETA 6-53)” serves three main purposes:

1. Determine the health care needs of the applicant and assist in the assessment of whether Job Corps can meet those needs

2. Alert center staff to the potential need for evaluation of direct threat to self or others

3. Obtain consent for required routine medical assessments and/or consent to receive basic health care services

If the individual is a person with a disability, the center’s reasonable accommodation committee (RAC) must convene and consider accommodations and/or modifications that the individual may need. Before making a recommendation about the applicant’s enrollment, the qualified licensed professional who conducted the original assessment must review the previous findings giving consideration to the identified accommodations to determine whether or not the accommodations and/or modifications can remove the barriers to enrollment due to health care needs.
Instructions

The attached form may be used to conduct an individualized assessment of an applicant’s health care needs.

Who May Conduct the Assessment?

The clinical assessment of health care needs caused by the individual’s medical condition or disability fall under the health and wellness department on each center. As such, these clinical assessments are to be carried out by qualified licensed/certified health providers only. Those providers employed or subcontracted by Job Corps include nurses, physicians, center mental health consultants (CMHCs), dentists, and Trainee Employee Assistance Program (TEAP) specialists. This group, therefore, has a significant role to play in determining whether, in a given Job Corps setting, a particular individual’s health care needs can be managed within the scope of Job Corps basic health services. Medical health conditions should be assessed by nurses and/or physicians; mental health conditions should be assessed by mental health consultants; oral health conditions should be assessed by dentists; and conditions related to substance use should be assessed by TEAP specialists. In some cases, it may be necessary to consult an outside specialist with expertise in the particular medical condition or disability and its effects.

Indicators that a review is needed

1. Within the past six months, two or more emergency room visits or one or more hospitalizations for medical, mental health, oral health, and/or substance abuse reasons.

2. New diagnosis or recurrence of medical, mental health, extensive untreated oral health, and/or substance abuse condition that would require frequent medication adjustments, significant health resources and/or substantial change to the training day (e.g., daily dialysis, only able to attend Job Corps three hours per day, hourly medication or behavioral monitoring, daily assistance with activities of daily living, long-term weekly on-center therapy provided by the CMHC, complex full-mouth reconstruction/rehabilitation).

3. Failure to follow previous treatment recommendations by licensed health providers that have adversely affected the applicant’s health, behavior, and/or adaptive functioning, and now requires significant health care management. (Note: Some students are non-adherent and experience adverse consequences, but may still benefit from enrollment. Examples might include substance abuse relapse, poor diabetic control, poor asthma control, etc.)

4. Applicant has followed treatment recommendations by licensed health providers with no improvement in applicant’s health, behavior, and/or adaptive functioning which continue to place applicant in need of significant health care management.

5. Applicant’s condition or behavior has not been successfully managed in a similar academic, work, or group environment in the past year.
6. Applicant is in treatment for a condition that is not in the scope of Job Corps Basic Health Care Responsibilities (e.g., orthodontic braces for malocclusion).

Elements of the Review Process

The review should, at a minimum, be comprised of the following elements:

1. A review of specific condition(s) identified on “Job Corps Health Questionnaire (ETA 6-53),” or self-disclosed by applicant.

2. Review of health documentation in the file.

3. Request additional recent health information, to determine applicant needs, if appropriate and medically necessary. Collaborate with OA counselor.
   - If the “Job Corps Health Questionnaire (ETA 6-53)” indicates a health condition and there is no supporting information included and no note from the OA counselor indicating their attempt to secure information, contact the OA counselor to gather information.
   - If the “Job Corps Health Questionnaire (ETA 6-53)” indicates a health condition and there is supporting information or a note from the OA counselor indicating they could not secure the information and the center wants additional information, the center will need to request that information from the applicant, provider, or facility.
   - If a center wants additional tests or evaluations from the applicant and this information is necessary to make an enrollment decision, the center may request the applicant obtain these if they have insurance and/or access to a facility that can provide the testing or evaluations at a rate the applicant can afford. The center will need to work with the applicant and OA counselor to identify specific resources. If applicant cannot afford to obtain additional tests or evaluations, or has not provided the additional health information requested within a reasonable amount of time, the center must make their best recommendation based on the information available.
   - In cases where a minor is involved, the center should collaborate with the OA counselor to get parent/guardian permission for health information.

4. Documented communication with treating provider, if possible and required if there are conflicting recommendations between the center health consultant and the treating provider. If unable to contact treating provider, all attempts need to be clearly documented. This should be included on Form 2-05.
5. Interview with the applicant, either face to face, videoconferencing, or via telephone. Documentation of the interview process should be included on Form 2-05. If unable to contact applicant, all attempts need to be clearly documented including collaboration with OA counselor.

6. Identification of the functional limitations (specific symptoms/behaviors) and health care needs of the applicant that are barriers to enrollment.

7. If condition rises to a level of a disability, then refer to the RAC for consideration of accommodations and/or modifications for discussion with applicant. See Form 2-03 for definition of a disability.

8. Consider if accommodations and/or modifications would remove the barriers to enrollment and make condition manageable at Job Corps as defined by basic health services in Exhibit 2-4.

**Decision Tree** (based on file review, treating provider information, if available, interview with applicant, and reasonable accommodations, if appropriate)

1. **Health care needs manageable at Job Corps as defined by basic health care services in Exhibit 2-4, but require community support services which are not available near center.** Documentation of efforts to arrange for less frequent treatment in home state and/or to secure community support near center included on the health care needs assessment in section 7. (i.e., name of organizations/facilities and specific individual contacted). Applicant should be considered for center closer to home where health support and insurance coverage is available. **File is forwarded to Regional Office for final determination.**

   • If community support is not available near requested center, the center must do the following:

   o Contact the treating provider and discuss applicant’s needs to see if less frequent treatment or monitoring can be arranged. For example, instead of monthly sessions with the psychiatrist, can it be every three months and allow applicant to go home and receive follow-up.

   o If center is unable to make arrangements, applicant may be considered for center closer to home where health support and insurance coverage is available. Documentation of efforts to arrange for less frequent treatment in home state and to secure community support near requested center should be included in Section 7 of the health care needs assessment. (i.e., name of organizations/facilities and specific individual contacted). **File is forwarded to Regional Office for final determination.**
• For applicants being considered for any center who wear orthodontic braces, applicant furnishes proof of suitable period of compliance with current treatment plan; a treatment plan is in place for continued care; a signed agreement that the cost of continued treatment and transportation related to treatment will be borne by the student, parent, or legal guardian; and a signed agreement that he/she will remain compliant with the care plan and schedule appointments such that he/she will not exceed authorized leave limits for elective treatment.

2. Health care needs exceed basic health care as defined in Exhibit 2-4.

• Applicant has health condition with current symptoms at a level that will interfere with successful participation in the program at this time. Deny entry and refer to other appropriate program/provider. File forwarded to Regional Office for final decision.

Accommodations or Modifications

If the individual is a person with a disability, the center’s RAC must convene and consider accommodations and/or modifications that the individual may need. In considering accommodations related to the symptoms and behaviors that are presenting the barriers to enrollment, the RAC may only need to be comprised of the center clinician and a Disability Coordinator and the accommodations could be discussed during the same phone call as the one in which the clinical assessment is being performed.

Once the accommodations and/or modifications have been identified, the qualified licensed professional who conducted the original assessment must review the previous findings giving consideration to the identified accommodations to determine whether or not the accommodations and/or modifications can remove the barriers to enrollment due to health care needs.

Center Director Reasonableness Determination

If there is a recommendation for an applicant to be enrolled with accommodations or modifications which you believe are not reasonable and/or pose an undue hardship, the Center Director is responsible for making that determination using the “Accommodation Recommendation of Denial Form” found on the Job Corps Disability website and including that form along with the applicant file that is being submitted to the Regional Office with a recommendation for denial. The final determination is made by the Regional Office.

Guidance on how to make this determination is available in the “Evaluating a Request and Denying a Request” sections of the Form 2-03. Please attach the completed “Accommodation Recommendation of Denial Form.”
FORM FOR INDIVIDUALIZED HEALTH CARE NEEDS ASSESSMENT

Applicant’s Name: ___________________________ Date of Review: ___________________________

Center Name: ___________________________ ID #: ___________________________

Interview Conducted By: □ Telephone □ In Person □ Videoconference

In determining whether, in your professional judgment, the above named individual’s health care needs are beyond what the Job Corps’ health and wellness program can provide as defined as basic health care in Exhibit 2-4: Job Corps Basic Health Care Responsibilities consider the following and respond accordingly.

If you determine that the individual’s health care needs are beyond Job Corps basic health care responsibilities and their condition rises to a level of a disability, consider whether any accommodations or modifications would remove the barrier to enrollment and list any suggested accommodations or modifications. Do not consider whether, in your view, a particular accommodation or modification is “reasonable.” That determination must be made by the center director or his/her designees.

1. What factors triggered review of the individual’s file for a health care needs assessment?  
   [Please mark all that apply]

   □ Within the past six months, two or more emergency room visits or one or more hospitalizations for medical, mental health, oral health, and/or substance abuse reasons.

   □ New diagnosis or recurrence of medical, mental health, extensive untreated oral health, and/or substance abuse condition that would require frequent medication adjustments, significant health resources and/or substantial change to the training day (e.g., daily dialysis; only able to attend Job Corps 3 hours per day; hourly medication or behavioral monitoring; daily assistance with activities of daily living; long-term weekly on-center therapy provided by the CMHC; complex full-mouth reconstruction/rehabilitation).

   □ Failure to follow previous treatment recommendations by licensed health providers that have adversely affected the applicant’s health, behavior, and/or adaptive functioning, and now requires significant health care management. (Note: Some students are non-adherent and experience adverse consequences but may still benefit from enrollment. Examples might include substance abuse relapse, poor diabetic control, poor asthma control, etc.).

   □ Applicant has followed treatment recommendations by licensed health providers with no improvement in applicant’s health, behavior, and/or adaptive functioning, which continue to place applicant in need of significant health care management.

   □ Applicant’s condition or behavior has not been successfully managed in a similar academic, work, or group environment in the past year.

   □ Applicant is in treatment for a condition that is not in the scope of Job Corps Basic Health Care Responsibilities (e.g., orthodontic braces for malocclusion).

2. What is the applicant’s history and present functioning to support statement of health care needs?  
   (Include information from ETA 6-53, file review, Chronic Care Management Plan (CCMP) Provider Form, and interview with applicant.)

   ETA 6-53: ________________________________________________________________
Applicant File Review Summary: ________________________________________________

CCMP Provider Form: Does provider recommend applicant to enter Job Corps? □ Yes □ No
If conflicting recommendation with treating provider, please indicate effort to contact treating provider for discussion in addition to summary of information on the CCMP.

Applicant Interview Summary: ________________________________________________

3. What are the functional limitations (specific symptoms/behaviors) of the applicant that are barriers to enrollment at this time?

☐ Avoidance of group situations and settings ☐ Difficulty with sleep patterns
☐ Impaired decision making/problem solving ☐ Difficulty with social behavior, including impairment in social cues and judgment
☐ Difficulty coping with panic attacks ☐ Difficulty with stamina
☐ Difficulty managing stress ☐ Interpersonal difficulties with authority figures and/or peers
☐ Difficulty regulating emotions ☐ Organizational difficulties
☐ Difficulty with communication ☐ Sensory impairments
☐ Difficulty with concentration ☐ Difficulty with communication
☐ Difficulty handling change ☐ Difficulty with concentration
☐ Difficulty with memory ☐ Difficulty with concentration
☐ Difficulty with self-care ☐ Difficulty with concentration
☐ Difficulty with memory

Please note: This list is not all inclusive. These are suggestions for your use and you may need to consider functional limitations and accommodations beyond this list.

4. What are the health-care management needs of the applicant that are barriers to enrollment at this time?

☐ Complex behavior management system beyond Job Corps current system ☐ Severe medication side effects
☐ Complex full mouth reconstruction/rehabilitation ☐ Therapeutic milieu required
☐ Daily assistance with activities of daily living ☐ Other (specify): ______________________
☐ Frequency and length of treatment ☐ Medical needs requiring specialized treatment
☐ Hourly monitoring required ☐ Out of state insurance impacting access to required and necessary health care
5. Reasonable Accommodation Consideration

Is this applicant a person with a disability?  □ Yes □ No  
(i.e., documentation of a mental health, medical, substance-abuse, cognitive, or other type of disability is present in the applicant file or the disability is obvious (i.e., blind, deaf). If no, please skip to Question #6.

If yes, convene the reasonable accommodation committee (RAC) along with the applicant and list below any accommodations and/ or modifications discussed with the applicant that could either remove or reduce the barriers to enrollment as documented in Question #4 above.

Note: Accommodations or modifications are not things that treat the impairment; they are things that will help the individual participate in the program. See Program Instruction 08-26 “Reasonable Accommodation and Case Management” for guidance.

Check one of the two options below.

□ The RAC has been unable to identify any accommodations appropriate to support this applicant.

□ The following accommodations/modifications listed below have been discussed with the applicant and considered as a part of this assessment:

Please avoid suggesting extreme accommodations already known to likely be unreasonable unless the applicant has requested a specific support (i.e., 24 hour supervision). If unsure if a support or modification is really an accommodation or is actually a case management support, please contact your regional health and disability consultants for assistance.

Based on functional limitation(s) checked in Section 3, please check the appropriate accommodations below discussed with the applicant. Please note: This list is not all inclusive. These are suggestions for your use and you may need to consider functional limitations and accommodations beyond this list which can be entered in the "Other" section.

<table>
<thead>
<tr>
<th>Avoidance of group situations and settings</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Allow student to arrive five minutes late for classes and leave five minutes early</td>
<td>□ Yes □ No</td>
</tr>
<tr>
<td>Excuse student from student assemblies and group activities</td>
<td>□ Yes □ No</td>
</tr>
<tr>
<td>Identify quiet area for student to eat meals in or near cafeteria</td>
<td>□ Yes □ No</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Difficulty coping with panic attacks</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Allow student to designate a place to go when anxiety increases in order to practice relaxation techniques or contact supportive person</td>
<td>□ Yes □ No</td>
</tr>
<tr>
<td>Provide flexible schedule to attend counseling and/or anxiety reduction group</td>
<td>□ Yes □ No</td>
</tr>
<tr>
<td>Allow student to select most comfortable area for them to work within the classroom trade site</td>
<td>□ Yes □ No</td>
</tr>
<tr>
<td>Provide peer mentor to shore up support</td>
<td>□ Yes □ No</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Difficulty handling change</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Provide regular meeting with counselor to discuss upcoming changes and coping</td>
<td>□ Yes □ No</td>
</tr>
<tr>
<td>Maintain open communication between student and new and old counselors and teachers</td>
<td>□ Yes □ No</td>
</tr>
<tr>
<td>Recognize change in environment/staff may be difficult and provide additional support</td>
<td>□ Yes □ No</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Difficulty managing stress</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Allow breaks as needed to practice stress reduction techniques</td>
<td>□ Yes □ No</td>
</tr>
<tr>
<td>Modify education/work schedule as needed</td>
<td>□ Yes □ No</td>
</tr>
<tr>
<td>Identify support person on center and allow student to reach out to person as needed</td>
<td>□ Yes □ No</td>
</tr>
<tr>
<td>Difficulty regulating emotions</td>
<td></td>
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<tr>
<td>--------------------------------</td>
<td>-------</td>
</tr>
<tr>
<td>Allow breaks as needed to cool down</td>
<td>Yes</td>
</tr>
<tr>
<td>Allow flexible schedule to attend counseling and/or emotion regulation support group</td>
<td>Yes</td>
</tr>
<tr>
<td>Teach staff to support student in using emotion regulation strategies</td>
<td>Yes</td>
</tr>
<tr>
<td>Provide peer mentor/support staff</td>
<td>Yes</td>
</tr>
<tr>
<td>Difficulty with communication</td>
<td></td>
</tr>
<tr>
<td>Allow student alternative form of communication (e.g. written in lieu of verbal)</td>
<td>Yes</td>
</tr>
<tr>
<td>Provide advance notice if student must present to group and opportunity to practice or alternative option (e.g. present to teacher only)</td>
<td>Yes</td>
</tr>
<tr>
<td>Difficulty with concentration</td>
<td></td>
</tr>
<tr>
<td>Allow use of noise canceling headset</td>
<td>Yes</td>
</tr>
<tr>
<td>Reduce distractions in learning/work environment</td>
<td>Yes</td>
</tr>
<tr>
<td>Provide student with space enclosure (cubicle walls)</td>
<td>Yes</td>
</tr>
<tr>
<td>Difficulty with memory</td>
<td></td>
</tr>
<tr>
<td>Provide written instructions</td>
<td>Yes</td>
</tr>
<tr>
<td>Allow additional training time for new tasks and hands-on learning opportunities</td>
<td>Yes</td>
</tr>
<tr>
<td>Offer training refreshers</td>
<td>Yes</td>
</tr>
<tr>
<td>Use flow-charts to indicate steps to complete task</td>
<td>Yes</td>
</tr>
<tr>
<td>Provide verbal or pictorial cues</td>
<td>Yes</td>
</tr>
<tr>
<td>Difficulty with organization</td>
<td></td>
</tr>
<tr>
<td>Use staff/peer coach to teach/reinforce organizational skills</td>
<td>Yes</td>
</tr>
<tr>
<td>Use weekly chart to identify and prioritize daily tasks</td>
<td>Yes</td>
</tr>
<tr>
<td>Difficulty with self-care</td>
<td></td>
</tr>
<tr>
<td>Provide environmental cues to prompt self-care</td>
<td>Yes</td>
</tr>
<tr>
<td>Assign staff/peer mentor to provide support</td>
<td>Yes</td>
</tr>
<tr>
<td>Allow flexible scheduling to attend counseling/supportive appointments</td>
<td>Yes</td>
</tr>
<tr>
<td>Difficulty with sleep patterns</td>
<td></td>
</tr>
<tr>
<td>Allow for a flexible start time</td>
<td>Yes</td>
</tr>
<tr>
<td>Provide more frequent breaks</td>
<td>Yes</td>
</tr>
<tr>
<td>Provide peer/dorm coach to assist with sleep routine/hygiene</td>
<td>Yes</td>
</tr>
<tr>
<td>Increase natural lighting/full spectrum light</td>
<td>Yes</td>
</tr>
<tr>
<td>Difficulty with social behavior, including impairment in social cues and judgment</td>
<td></td>
</tr>
<tr>
<td>Assign mentor to reinforce appropriate social skills</td>
<td>Yes</td>
</tr>
<tr>
<td>Allow daily pass to identified area to cool down</td>
<td>Yes</td>
</tr>
<tr>
<td>Provide concrete examples of accepted behaviors and teach staff to intervene early to shape positive behaviors</td>
<td>Yes</td>
</tr>
<tr>
<td>Adjust communication methods to meet students’ needs</td>
<td>Yes</td>
</tr>
<tr>
<td>Difficulty with stamina</td>
<td></td>
</tr>
<tr>
<td>Allow more frequent or longer breaks</td>
<td>Yes</td>
</tr>
<tr>
<td>Allow flexible scheduling</td>
<td>Yes</td>
</tr>
<tr>
<td>Provide additional time to learn new skills</td>
<td>Yes</td>
</tr>
<tr>
<td>Impaired decision making/problem solving</td>
<td></td>
</tr>
<tr>
<td>Utilize peer staff mentor to assist with problem solving/decision making</td>
<td>Yes</td>
</tr>
<tr>
<td>Provide picture diagrams of problem solving techniques (e.g., flow charts, social stories)</td>
<td>Yes</td>
</tr>
<tr>
<td>Interpersonal difficulties with authority figures and/or peers</td>
<td></td>
</tr>
<tr>
<td>Encourage student to take a break when angry</td>
<td>Yes</td>
</tr>
<tr>
<td>Provide flexible schedule to attend counseling and/or therapy group</td>
<td>Yes</td>
</tr>
<tr>
<td>Provide peer mentor for support and role modeling</td>
<td>Yes</td>
</tr>
<tr>
<td>Develop strategies to cope with problems before they arise</td>
<td>Yes</td>
</tr>
<tr>
<td>Provide clear, concrete descriptions of expectations and consequences</td>
<td>Yes</td>
</tr>
<tr>
<td>Allow student to designate staff member to check in with for support when overwhelmed</td>
<td>Yes</td>
</tr>
<tr>
<td>Sensory impairments</td>
<td></td>
</tr>
<tr>
<td>Modify learning/work environment to assist with sensitivities to sound, sight, and smells</td>
<td>Yes</td>
</tr>
<tr>
<td>Allow student breaks as needed</td>
<td>Yes</td>
</tr>
</tbody>
</table>
Uncontrolled symptoms/behaviors that interfere with functioning

<table>
<thead>
<tr>
<th>Alter training day to allow for treatment</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Allow passes for health and wellness center outside of open hours to monitor symptoms</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>Reduce tasks and activities during CPP to not aggravate symptoms/behaviors</td>
<td>Yes</td>
<td>No</td>
</tr>
</tbody>
</table>

Other

Summarize any special considerations and findings of the RAC as well as the applicant’s input:

Please Note: Job Corps cannot impose accommodations upon an individual. If the applicant does not accept or agree to a specific accommodation, there is no need to consider that specific accommodation in your determination of whether the accommodations listed will reduce the barriers to enrollment sufficiently or not nor is there a need to complete a reasonableness review related to that specific accommodation.

Reasonable Accommodation Considerations:

Did the applicant participate in the RAC meeting?  Yes  No
(Note: The applicant must be a part of the discussion for reasonable accommodation).

RAC Participants:

<table>
<thead>
<tr>
<th>Name:</th>
<th>Position:</th>
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<tbody>
<tr>
<td>Name:</td>
<td>Position:</td>
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<tr>
<td>Name:</td>
<td>Position:</td>
</tr>
</tbody>
</table>

If there is a recommendation for an applicant to be enrolled with the accommodations or modifications listed in Question #5 above which you believe are not reasonable and/or pose an undue hardship, the Center Director is responsible for making that determination using the “Accommodation Recommendation of Denial Form” found on the Job Corps Disability website and including that form along with the applicant file that is being submitted to the regional office with a recommendation for denial. The final determination is made by the regional office.

Guidance on how to make this determination is available in the “Evaluating a Request and Denying a Request” sections of the Form 2-03. Please attach the completed “Accommodation Recommendation of Denial Form.”

If there are agreed upon accommodations between the RAC and applicant listed in Question #5 then consider whether those accommodations reduce the barriers to enrollment sufficiently to allow for the applicant to be enrolled.

- If the accommodations would sufficiently reduce the barriers to enrollment, then you do not need to complete the remainder of this assessment and the center can assign the applicant a start date. Retain all the paperwork included in completing this assessment within the applicant’s Student Health Record.

- If the accommodations would NOT sufficiently reduce the barriers to enrollment for your center, please proceed to Question #6.
6. Based on your review of the applicant’s health care needs above, does the named individual have health care needs beyond what the Job Corps’ health and wellness program can provide as defined as basic health care in Exhibit 2-4: Job Corps Basic Health Care Responsibilities? [Please mark one below.]

☐ In my professional judgment, health care needs are manageable at Job Corps as defined by basic health care services in Exhibit 2-4, but require community support services which are not available near center. Documentation of efforts to arrange for less frequent treatment in home state and/or to secure community support near center can be found in Question #7 below. Applicant should be considered for center closer to home where health support and insurance coverage is available. **File is forwarded to Regional Office for final determination.**

☐ In my professional judgment, health care needs are not manageable at Job Corps as defined by basic health care services in Exhibit 2-4. Applicant has health condition with current symptoms at a level that will interfere with successful participation in the program at this time. Deny entry and refer to other appropriate program/provider. **File is forwarded to Regional Office for final determination.**

7. If recommending a different center, document efforts to arrange less frequent treatment in home state and/or secure community support near center in the space below. (Include name of organizations/facilities and specific individuals contacted and why access is not available.)

__________________________________________________________________________

__________________________________________________________________________

__________________________________________________________________________

__________________________________________________________________________

Printed or Typed Name and Title of Licensed Health Provider Completing Form

______________________________________________________________

Signature of Licensed Health Provider Completing Form

________________________

Date
# FORM 2-06

**MyPACE Career Plan Review Checklist**

## CAREER PREPARATION PERIOD

<table>
<thead>
<tr>
<th>Center Name:</th>
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<table>
<thead>
<tr>
<th>Student Name:</th>
<th>Student ID:</th>
<th>Date of Entry:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
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<table>
<thead>
<tr>
<th>Date of Birth:</th>
<th>Counselor:</th>
<th>CTT:</th>
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## SECTION 1 - CAREER PATHWAY PLAN SUMMARY

- Student’s summary connects the dots along his/her pathway, ties together all short-term, mid-term, and long-term SMART career goals, and defines in chronological order the steps from his/her current position to his/her ultimate long-term career goal.
### SECTION 2 - STUDENT PROFILE AND TRAITS

| ☐ | Desired Location Upon Exit: Student’s desired location upon exit aligns with student’s mid-term career goal (pathway placement goal). Student has a plan to address transitional concerns such as Housing, Transportation, etc. |
| ☐ | Age: Does the chosen pathway have a minimum age limitation for entry? If student is a minor, has the center received parental/guardian consent for the career plan? |
| ☐ | Date of Enrollment: The Career Management Team monitors the date of entry to ensure student has adequate time for completion prior to the two-year program limitation. If more than two years is anticipated to be needed due to special circumstances (e.g. low learning level), the center should apply for an extension at least 3 months prior to the two year period. If student is granted an extension, the pathway & transition plan will need to be adjusted. Some pathways (i.e. Advanced Training & post-secondary) have fixed enrollment dates. |
| ☐ | Education Background: How will a student’s level of education impact the CTT trade the student selected on their plan? How will a student’s level of education impact their mid-term career goal (Post-Job Corps)? *Ex. If a student’s mid-term goal is to go to college, but the student’s current reading level is 5th Grade”, the Career Management Team should ensure that the student is aware of what it will take to be ready for college upon exit and/or discuss other possible mid-term goals that may be more appropriate.* |
| ☐ | Favorite and Least Favorite Subjects: This is to help the Career Management Team get an idea of what a student perceives as their academic strengths and weaknesses. The academic manager could use this information along with other baseline assessments to help the student develop a viable academic plan. |
| ☐ | Self-Identified Traits: Do the “Self-Identified Traits” reasonably align with the traits assigned under the MyPACE Career Interest Profiler? |
Prior Employment History: Student has provided information related to their work experience prior to entering Job Corps. This information should be used by center staff to ensure a student’s previous work experience and wages are given consideration when staff are assisting a student with developing a career pathway that both aligns with the student’s individual career goals and interests; and increases the student’s earning potential and/or vocational skills.

## SECTION 3 – CHOSEN OCCUPATION (LONG-TERM CAREER GOAL)

- [ ] Student’s self-assigned traits (work style, work values, career priorities and interests), and skills reasonably match his/her chosen occupational profile.
- [ ] Student understands the relationship between their self-assigned traits and the education, training, and demands of their chosen occupation.
- [ ] Student is aware of the commitment it will take to achieve the level of education and related work experience required to achieve their long-term occupation.
- [ ] Student has chosen an in-demand occupation that provides a “living wage”; leads to a successful career; and is suited to the student’s interest, capabilities, and career goals.
- [ ] Student has provided a sound reason for how he/she identified their long-term goal (chosen occupation)
- [ ] Long-term goal is SMART (specific, measurable, attainable, recorded and time-based).
- [ ] Chosen Occupation “Occupation Traits” reasonably match student’s “My Assigned Traits”
### SECTION 4 – CHOSEN PLACEMENT PATHWAY ACHIEVEMENT RECORD (MID-TERM CAREER GOAL)

Please refer to appropriate pathway sub-section below: (4a) Advanced Training, (4b) Military, (4c) Apprenticeship, (4d) Post-secondary Education (ACT/College), and (4e) Entry-Level Job.

#### Sub-section 4a – Advanced Training Pathway

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<table>
<thead>
<tr>
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<tbody>
<tr>
<td>☐</td>
<td>The Career Management Team and student have reviewed the “Prerequisites for Entry to the Advanced Training Pathway”. The student understands these requirements and can likely meet these requirements upon completion of the Job Corps program (see Exhibit 2-5).</td>
</tr>
<tr>
<td>☐</td>
<td>Student has provided a sound reason for how he/she identified an Advanced Training Program that appropriately aligns with his/her ultimate long-term career goal.</td>
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<tr>
<td>☐</td>
<td>The e-TAR code, Advanced Training program and location are accurately recorded on the student’s MyPACE Career Plan.</td>
</tr>
<tr>
<td>☐</td>
<td>The mid-term Career SMART goal supports career progression and is specific, measurable, attainable, recorded and time-based.</td>
</tr>
<tr>
<td>☐</td>
<td>Career Management Team has assigned appropriate staff to support and monitor completion of the Advanced Training PAR and transitional tasks, and will inform Career Management Team of student progress throughout program.</td>
</tr>
<tr>
<td></td>
<td>- Timelines for pathway task completion and follow-up have been drafted.</td>
</tr>
<tr>
<td></td>
<td>- Short-term career planning goals have been developed for next 60 day ESP period (e.g. acquiring birth certificate for driver’s license if not already attained, developing a plan to pay fines if needed).</td>
</tr>
</tbody>
</table>
### Sub-section 4b – Military PAR

| ☐ | The Career Management Team and student have reviewed the “Prerequisites for Entry to the Military Pathway“, student understands the Placement Pathway entry requirements and can likely meet the entry requirements after completion of the Job Corps program (see Exhibit 2-5). |
| ☐ | Career Management Team has discussed with student the requirements for delayed enlistment and has reviewed the Center Enlistment Contract” |
| ☐ | Student has provided a sound reason for how he/she identified a Military branch and military related occupation that appropriately aligns with his/her long-term career goal. |
| ☐ | The mid-term Career SMART goal supports career progression and is specific, measurable, attainable, recorded and time-based. |
| ☐ | Student has provided the correct contact information for the nearest recruitment office to their location |
| ☐ | Career Management Team has assigned appropriate staff to support and monitor completion of the Military PAR and transitional tasks, and will inform Career Management Team of student progress throughout program. |
| ☐ | • Timelines for pathway task completion and follow-up have been drafted. |
| ☐ | • Short-term pathway goals have been developed for next 60 day ESP period. |

### Sub-section 4c - Apprenticeship PAR

| ☐ | The Career Management Team and student have reviewed the “Prerequisites for Entry to the Apprenticeship Pathway“, student understands the Placement Pathway entry requirements and can likely meet the entry requirements after completion of the Job Corps program (see Exhibit 2-5). |
| ☐ | Student has provided a sound reason for how he/she identified an Apprenticeship Program that appropriately aligns with his/her long-term career goal. |
| ☐ | • If student is unable to locate an apprenticeship program that aligns with their long-term or short-term career goal, Career Management Team may recommend the student choose another career pathway. |
| ☐ | • Career Management Team understands requirement differences between state-approved and federally approved apprenticeships. |
| ☐ | The e-TAR code and chosen Apprenticeship program are accurately recorded on MyPACE Career Plan. |
| ☐ | Student has identified a potential apprenticeship program that aligns with the student’s long-term career goal. |
| ☐ | The mid-term Career SMART goal supports career progression and is specific, measurable, attainable, recorded and time-based. |
| ☐ | The Career Management Team has assigned appropriate staff to support and monitor completion of the Apprenticeship PAR and transitional tasks, and will inform Career Management Team of student progress throughout program.  
  - Timelines for pathway task completion and follow-up have been drafted.  
  - Short-term pathway goals have been developed for next 60 day ESP period. |

**Sub-section 4d - Post-Secondary Education (ACT/College) PAR**

| ☐ | The Career Management Team and student have reviewed the “Prerequisites for Entry to the Post-Secondary (ACT/College) Pathway”, student understands the Placement Pathway entry requirements and Career Management Team has determined the student can likely meet the entry requirements after completion of the Job Corps program (see Exhibit 2-5). |
| ☐ | Student has explored financial aid and scholarship options, and developed a tentative plan to secure adequate funding. |
| ☐ | Student has provided a sound reason for how he/she identified a college that appropriately aligns with his/her long-term career goal. The chosen post-secondary institution is recorded on MyPACE Career Plan. |
| ☐ | The mid-term Career SMART goal supports career progression and is specific, measurable, attainable, recorded and time-based. |
| ☐ | The Career Management Team has assigned appropriate staff to support and monitor completion of the Post-secondary PAR and transitional tasks, and will inform Career Management Team of student progress throughout program.  
  - Timelines for pathway task completion and follow-up have been drafted.  
  - Short-term pathway goals have been developed for next 60 day ESP period. |
|☐ | If applicable, student intends to enroll in Advanced Career Training if student’s selected college is an Advanced Career Training partner? |

**Sub-section 4e – Entry-Level Job PAR**

|☐ | The Career Management Team and student have reviewed the “Prerequisites to Entry Level Job Pathway “, student understands the Placement Pathway entry requirements and can likely meet the entry requirements after completion of the Job Corps program (see Exhibit 2-5). |
|☐ | Student has provided a sound reason for how he/she identified an Entry-Level Job that appropriately aligns with his/her long-term career goal, in demand, and will make a living wage. |
|☐ | Student has identified three potential employers in area student is willing to relocate to and has identified a JTM entry-level which pays a living wage, and aligns with student’s long-term career goal. |
|☐ | The mid-term Career SMART goal supports career progression and is specific, measurable, attainable, recorded and time-based. |
|☐ | Career Management Team has assigned appropriate staff to support and monitor completion of the Entry-Level Job PAR and transitional tasks, and will inform Career Management Team of student progress throughout program. Timelines for pathway task completion and follow-up have been drafted. Short-term pathway goals have been developed for next 60 day ESP period. |

**SECTION 5 – CHOSEN JOB CORPS CAREER DEVELOPMENT EDUCATION AND TRAINING PROGRAM (SHORT-TERM CAREER GOALS)**

|☐ | Student is able to explain why his/her choice of CTT program area is the best choice for his/her career path, and can describe the similarity of knowledge and skills between the CTT and his/her mid- and long-term goals. |
|☐ | The e-TAR code and CTT program chosen by the student are accurately recorded on the student’s MyPACE Career Plan. |
|☐ | Student understands the projected length of time to complete the CTT training program and is willing to commit to the required timeframe. |
| ☐ | The CTT selection is reasonably based on work style, work values, skills, career priorities, academic scores and career interests expressed by the student. |
| ☐ | There’s slot availability in the chosen CTT selection.  
  ● If first choice is not available, assignment to a second choice CTT Program is compatible with the student’s long-term career goals. |
| ☐ | Short-term SMART career goals in academics and CTT have been identified, and are specific, measurable, attainable, recorded and time-based. |
| ☐ | Student has accurately recorded the Primary Credential and Credential Sponsor associated with their chosen CTT program. |
| ☐ | Career Management Team has collaborated with student to identify his/her schedule, individualized mix of classes and activities, including any additional needed support that will move the student toward meeting the Career Success Standards and his/her career goals.  
  ● Accommodations to achieve career goals are identified. (e.g., Staff will engage in conversations with Voc. Rehab. early on.)  
  ● Tutors, if needed, have been assigned.  
  ● Peer or staff mentors, if needed, have been assigned. Other on- or off-center resources, as needed, have been assigned. |
### SECTION 6 - TRANSITIONAL CONSIDERATIONS

|☐| Student has completed a Transitional Needs Assessment that identifies action items that will aid the student in successfully transitioning to his/her chosen Placement Pathway. The CTS Provider and student have worked closely to develop an initial transition plan based on chosen pathway. Considerations include (at a minimum):
|☐| - Housing
|☐| - Transportation
|☐| - Childcare
|☐| - Health care
|☐| - Work clothes/tools
|☐| - Food and nutrition
|☐| - Budgeting and money management
|☐| - Counseling/mentoring
|☐| - Job retention skills
|☐| - Legal services
|☐| - Other needs?

### SECTION 7 – INITIAL APPROVAL OF MyPACE CAREER PLAN (Transition from CPP to CDP)

|☐| Risk factors and barriers for a successful transition (e.g., drug & alcohol issues, low learning levels) have been identified from baseline assessments and the Career Management Team, as needed, has initiated multiple levels of intervention. Other on-center and off-center resources have also been identified to provide additional support to the student on an as-needed basis (e.g., Recreation Specialist, other dorm staff, Student Conduct Coordinator, Mental Health Consultant, AA/NA Groups, etc.). Career Management Team has developed an intervention support plan and will closely monitor student response to intervention. This support plan begins to shape the student’s individual career plan.
During initial (first 60 day) ESP meeting, the Career Management Team has determined student:
- Has a clear understanding of and commitment to completing the Job Corps program;
- Is adjusting to center life
- Is a positive influence on center culture
- Is progressing satisfactorily in all areas
- Completed all CPP requirements (including MyPACE career planning requirements for CPP) and is ready to transition from CPP to CDP.

The Career Management Team and student have reviewed the appropriate requirements on the “Pathway Prerequisites for Entry” documents (reference Exhibit 2-5). The student understands these requirements, and can likely meet these requirements upon completion of the Job Corps program.

(If student is under 18) The counselor has received parental/guardian consent for student’s MyPACE Career Plan and has notified parent/guardian of any major behavior or performance issues.

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<th>Date:</th>
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<tr>
<th>Center Director or Senior Management Designee:</th>
<th>Date:</th>
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Exhibit 3-2 New Educational Functioning Level Descriptors for Adult Basic Education (ABE)

APPENDICES

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Appendix 302  Guidelines for the Accreditation of Job Corps’ High School Programs
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FORMS

Form 3-01  Career Technical Training Change Request Guidelines
Form 3-02  Career Technical Training (CTT) Change Request Form
Form 3-02a Abbreviated CTT Change Request/Program/TAR Code Request Form
Form 3-03  Other Training Provider Program Code Request Form
Form 3-04  Career Technical Training Credential Request Guidelines
Form 3-05  Career Technical Training Credential Request Form
Form 3-06  Career Technical Training Credential Appeal Form
Form 3-07  Documentation of 60 Hours of Instruction
Chapter 3, Student Training Services, also referred broadly as career preparation period and career development period, provides a framework of requirements for center contractors in areas such as managing the training process at Job Corps centers, equipment and clothing, curriculum content, course structure and testing, coordination of services, advanced training programs and other training providers, high school diploma and high school equivalency, career technical training, job shadowing, work-based learning programs, relationships with national training contractors, as well as introducing students to center life, assessing students’ needs and interests, career success standards, conflict resolution, information technology training, and job search skills among others. Requirements are detailed in the following sections within the chapter: Training Management; Academics; Career Technical Training; and Career Success/Workplace Skills. Job Corps enrollees must be provided services consistent with the Workforce Innovation and Opportunity Act and Job Corps requirements contained herein. Center staff are tasked with welcoming students on center and introducing them to the center including dormitory life, dress code, student benefits, health and wellness, safety and security, and job search skills to name a few. Additionally, center staff prepare students for the labor force by providing them with social, academic, and career technical training in order to obtain secondary diplomas or recognized credentials leading to good jobs in in-demand industries, the U.S. Armed Forces, or postsecondary education, including apprenticeship programs.
3.1 TRAINING MANAGEMENT

REQUIREMENTS

R1. Managing the Learning Environment

Centers must ensure that learning environments facilitate and support each student’s career development as follows:

a. Training facilities are safe, clean, orderly, and well-maintained.

b. Career Technical Training (CTT) facilities simulate workplaces in their layout, furnishings, training equipment, and organization to the extent possible.

c. Current training-related materials and equipment are available to students in classrooms, CTT facilities, and other learning/study areas.

d. Programs provide students with the knowledge and skills needed to attain industry certification, credentials, licensing or pre-apprenticeship status.

e. Student-to-teacher ratio for academic instructional activities is 18:1 in accordance with Chapter 5, Section 5.2, R2 Staffing Ratios. CTT program ratios will remain unchanged and in accordance with revised Exhibit 5-5. For purposes of defining training slots, one full-time student is equivalent to two training slots. For example, a 15:1 student-to-teacher training ratio translates to a 30:1 slot-to-teacher ratio. Student-to-staff ratios for nationally contracted training programs must be in accordance with contract provisions.

f. CTT and academic instructors must:

1. Remain current and knowledgeable regarding available industry certifications, prerequisites, and examination requirements.

2. Provide instruction and remedial training support that will enable students to overcome barriers in obtaining industry certifications and participating in Advanced Training (AT) or Advanced Career Training (ACT).

3. Provide contextual learning opportunities for students to improve academic skills in the CTT learning environment.

4. Collaborate in developing lesson plans, activities, and other instructional supports to ensure that industry certification requirements are addressed in the academic and career technical training settings.

5. For CTT instructors, in particular, maintain a comprehensive working knowledge of current industry skills, safety, tool accountability and security, and health
requirements.

R2. Scheduling

Centers must:

a. In collaboration with each student, develop a flexible, individualized training schedule, including participation in evening/weekend studies, tailored to the student’s individualized learning needs and career goals. Interruptions to the regular schedule must be kept to a minimum.

b. Provide time for project-based learning activities, field trips, and work-based learning experiences.

c. Develop a schedule that ensures that prior to graduation, students receive substantial practice and experience in working an eight-hour day or working hours and conditions consistent with the anticipated workplace.

d. Allow time for instructor collaboration, lesson planning, and career technical/academic integration activities.

R3. Equipment/Clothing

Centers must:

a. Ensure that training equipment is equivalent and relevant to current industry standards, and is made available in the most cost-effective manner.

b. Maintain equipment in all learning environments in good repair.

c. Submit requests for equipment to the Regional Office (RO) for review and approval. USDA Forest Service Civilian Conservation Centers (CCCs) must submit such requests through agency protocol.

d. Ensure that students are provided with industry-standard clothing and safety equipment, in accordance with the guidelines in Chapter 6, Section 6.6, R1, Student Clothing.

R4. Personal Tools

Centers must:

a. Ensure that all CTT tools are accounted for and secured after each class and at the end of the training day. Assess inventory lists to ensure that all tools are accounted for, new tools are added, missing tools are reported and located before class is dismissed and properly secured, and broken tools are disposed of properly.
b. Provide tool kits to students who graduate from a contract or USDA Forest Service-operated center’s CTT program, and earn a job-training match upon initial placement. The tool kits will be provided and shipped at no cost to the student, to their home address on record.

c. Ensure that National Training Contractors (NTC) provide and ship tools at no cost to students who were enrolled in their programs and who successfully completed career technical training and obtained a job training match upon initial placement.

R5. **Student Certification and Licensing**

Centers must:

a. Provide testing, certification, and licensing at no cost to students for the following: high school diploma (HSD) or high school equivalency (HSE), learner’s permits and/or driver licenses, industry-specific career and technical, and/or postsecondary credentials. Students with disabilities must be informed of their right to request reasonable accommodation(s).

b. Ensure training provided will enable students to obtain a license or certification in the state where he or she will seek employment, if applicable.

R6. **Instructional Strategies and Materials**

Centers must:

a. Deliver career development services through a combination of center-based and work-based learning experiences designed to assist students to attain:

1. The academic, career and technical skills needed to achieve their short-term, mid-term, and long-term career goals

2. The career pathway preparation skills to obtain and retain employment that leads to economic self-sufficiency, to enter into apprenticeship programs, participate in advanced training, pursue a career in the armed forces, or to enroll in postsecondary education

3. The knowledge and skills to function as responsible citizens

b. Tailor instructional methods and expected rates of progress to the learning styles, abilities, and career goals of individual students.

c. Deliver training in the context of projects or workplace situations, using workplace materials, and employer and Workforce Council input, whenever possible.

d. Develop year-round, job-shadowing opportunities with community, industry, and
employers, which will enhance students’ educational and career technical skills training experiences.

e. Ensure instructor collaboration to identify instructional strategies and develop lessons, activities, and materials that integrate academic, career technical, Career Success Standards, social development, and career pathway readiness competencies to ensure that students meet the rigor of academic credentials, career technical training programs, and certification requirements.

f. Develop and deliver student career development activities using materials and equipment that support instructional delivery.

g. Develop techniques for assisting students to become independent learners.

R7. **Curriculum Content Areas and Competencies**

Centers must provide instruction in the following content areas. Specific required competencies are listed in the relevant sections of this chapter. Courses may be designed to include competencies from different content areas.

a. Reading

b. Mathematics

c. High School Diploma (HSD) and/or High School Equivalency (HSE) Certification

d. English Language Learning

e. Career Technical Training

f. Wellness

g. Information Technology

h. Driver Education

i. Financial Literacy

R8. **Course Structure**

All required content area competencies must be integrated into a variety of courses. Centers must incorporate the following course design components:

a. Content that includes, but is not limited to nationally required competencies

b. Prerequisite competency levels for placement into each academic course based on
diagnostic testing

c. Individual and group lessons or project assignments designed to help students master course competencies

d. Clearly established performance levels (standards of proficiency or passing scores) for competencies, tasks, assigned projects, and/or units of study

e. A definition of course completion stated in terms of demonstrated performance levels for each demonstrated competency

f. Methods to identify and diagnose the needs of students who have difficulty progressing

g. Methods to assess progress toward certification (when applicable)

h. A course guide for instructors that documents how the components above work together to create a course of study

i. Methods for evaluating the effectiveness of course design and delivery

**R9. Evening/Weekend Studies Program**

a. Centers must implement a structured evening/weekend studies (EWS) program for all students that:

1. Accelerates student learning

2. Supports struggling students defined as those who have not completed a high school diploma or equivalency, or attained an Educational Functioning Level (EFL) 5 in Reading and/or EFL 6 in Math on the Test of Adult and Basic Education (TABE) 11/12 (EFL 4 in Reading and/or Math for centers in Puerto Rico), or are not making adequate progress on Training Achievement Record completion

3. Teaches study skills

4. Prepares students for tests/assessments

5. Provides instruction in life skills such as digital literacy

6. Provides enrichment activities that directly impact training-day goals and prepare students for life-long learning

7. Prepares students for up-coming instruction or application such as reading in preparation for the next day’s lesson
8. Provides “make-up” time for instructional time lost during the training day

b. Centers must connect daytime instruction/feedback to EWS student assignments, extending the training day in order to maximize student hours spent on career goal advancement.

c. Centers must offer in any configuration of days and times which allow for every student to be able to complete a minimum of 7 hours per week.

d. Centers must enroll all struggling students as defined in Section 3.1 R9.a.2.

1. Non-residential students may complete after-hours assignments off-center.

2. Centers must provide non-residential students off-center access to equipment for assignments that require the use of technology.

e. Centers must submit to their Regional Offices an operator-approved EWS plan as part of the Career Development Services System (CDSS) Plan in accordance with Chapter 5, 5.1, R3.c and R8.

f. Centers must provide technology-based learning programs in reading and mathematics. Centers may also provide technology-based learning programs that provide instruction in life, social and behavioral skills.

g. Centers must provide staffing and monitoring during EWS hours to proctor and facilitate both group and individual assignments.

h. Centers must designate center facilities such as the learning resource center, computer labs and dorm areas for group work, quiet study and instruction.

i. Centers must include EWS in the Scheduling Module of CIS and follow student accountability/attendance procedures.

R10. Testing

Centers must:

a. Use formal testing procedures to evaluate the overall progress and mastery level a student has achieved in each content area, including the high school equivalency (HSE) tests, and other tests.

b. Administer the Tests of Adult Basic Education (TABE) in accordance with procedures specified in TABE requirements and instructions (Appendix 301) to assess:

1. The reading and mathematics capability of all students at entry in order to place
them at appropriate training levels and course of study

2. The achievements of students in reading and mathematics during their enrollment in Job Corps

c. Verify student mastery of career technical skills through trade-related certification testing, as applicable.

R11. Advanced Training (AT) Programs

The National Office has approved a variety of Advanced Training (AT) programs at certain Job Corps centers. Students enrolled in AT programs have the opportunity to extend their enrollment up to one full year beyond the current two-year enrollment limitation.

a. Eligibility

All students who are placed into an approved AT program must meet the AT program’s specific eligibility requirements. At a minimum, AT applicants must meet the following entry criteria:

1. Must have completed an approved prerequisite career technical training program and achieved all applicable certification requirements

2. Must hold a high school diploma or have obtained a HSE certificate

3. Must demonstrate the academic proficiency needed to succeed, as defined by the specific AT program

4. Must receive a written recommendation from the sending center and documented acceptance from the receiving center

b. Advanced Training (AT) Centers

1. To be designated an AT program, the training offered must clearly show that students will develop higher and more complex skills and competencies than those covered by the basic program. In addition, a center must either articulate an agreement with a postsecondary educational institution for students to receive college credit while enrolled in the AT program, or be supported by industry-specific partnerships. Requests for AT designation should be submitted to the Regional Office (RO) for initial evaluation and endorsement prior to being forwarded to the National Office, which will make the final determination of whether the proposed training program meets the criteria for AT designation.

2. The operator must develop outreach strategies to include enrollment, eligibility, and completion requirements to achieve and maintain the AT program’s design capacity.

3. The operator must provide reasonable accommodations to students with needs and
disabilities, as appropriate.

4. Regional and National Office staff will monitor performance of approved AT programs through the Career Technical Training Report Card (CTTRC), as outlined in Appendix 501d.

c. Transfer Credits

The sending center and the AT center will receive all credits related to placement accomplishments, as outlined in Appendix 501.

R12. Concurrent Training

Job Corps students enrolled in and receiving supplemental services from one or more additional workforce development programs or other training institutions will be designated as concurrently enrolled. Concurrent enrollment arrangements must be approved by the National Office of Job Corps (NOJC), with Regional Office (RO) endorsement, and formalized by a memorandum of understanding (MOU) or contract.

Such agreements must include language that describes how each of the following requirements will be met.

a. Students must complete all Career Preparation Period requirements, including health services requirements.

b. Students must receive the full range of Job Corps services, including career development and personal face-to-face assessment and counseling. Evaluations of Student Progress (ESPs) and Pathway Achievement Records (PAR) updates will be accomplished in the same manner as for other students by uploading information in the Center Information System (CIS) e-Folder.

c. Provisions must be made to ensure that concurrently enrolled students receive the full benefit of academic, employability, and social skills training.

d. Student breaks and holidays provided by other service providers must not result in concurrently enrolled students having more non-work days than other Job Corps students.

e. Provisions must be made to ensure that students are engaged in meaningful learning or enrichment activities during their downtime (e.g., non-class days/hours, semester breaks, etc.) from participating courses.

f. Determination for the provision of reasonable accommodation must be made for students with disabilities, as required and appropriate.

g. At a minimum, the center must receive, record, and maintain regular progress and
attendance reports from concurrent enrollment institutions.

h. Provisions must be made to ensure that concurrently enrolled students receive career transition readiness services prior to graduation and career transition services after graduation.

i. Concurrent enrollment arrangements must ensure that both programs are not paying for the same services. Center operating budgets/staffing must be adjusted to account for services provided by other concurrent enrollment institutions.

j. Resources from federal student aid, such as Pell Grants and Stafford Loans, can be applied to college credit, reduced credit, or non-credit remedial courses, in accordance with federal student financial aid guidelines. In addition, the courses must lead to a certificate of completion or an associate’s degree at accredited higher education institutions, and must be required by Advanced Career Training (ACT) programs approved by the National Office of Job Corps (NOJC).

k. MOUs or contracts must be reviewed and approved annually by the Regional Offices (ROs). MOU/contract renewals will be due on the contract center’s anniversary or the start of each program year for CCCs.

l. A description of the approved concurrent enrollment programs must be included as part of the center’s Career Development Services System (CDSS) plan. At a minimum, the narrative must address:

1. The name and location of the service provider
2. A description of the programs offered, O*NET codes, and approved slots
3. Methods for determining entry, progress, and completion
4. A description of how the programs will be evaluated

R13. Other Training Provider (OTP) Programs

Job Corps students enrolled in and receiving career technical training at an Other Training Provider (OTP) location or other educational institution will be designated as OTP students.

a. Program Approval

1. OTP arrangements, including contracted slots designations, must be approved, in writing, by the Regional Director and formalized by a Memorandum of Understanding (MOU) or contract between the center and the OTP provider confirming that the OTP program meets or exceeds the skill standards specified in Job Corps’ national Electronic Training Achievement Records (e-TARs). Centers
must verify if OTP providers’ completion and certification requirements are at least the same level as industry certification requirements and that they measure competency, not time in class. The Regional Office (RO) must include this as a criterion in evaluating and approving OTP programs.

Upon approval, the Regional Office (RO) must immediately submit a copy of the documented approval, contracted OTP slots, and MOU or contract to the National Office, Division of Educational Services. The National Office of Job Corps (NOJC) will inform the Job Corps Data Center (JCDC) of changes to programs, slots, codes, and other relevant information that need to be incorporated into the Center Information System (CIS).

To ensure timely processing of program codes in CIS, the Regional Office (RO) must submit an Other Training Provider (OTP) Program Code Request Form (Form 3-03) to the National Office, Attention: Division of Educational Services/Career Technical Training Team Leader. The National Office of Job Corps (NOJC) will inform the Job Corps Data Center (JCDC) of approved program codes and slots.

2. To ensure compliance with Chapter 3, Section 3.1, R13.a.1, the MOU must provide a description of the proposed training program(s), to include:
   (a) Training in an in-demand occupation in one of the identified 11 industry areas
   (b) Incorporation of current industry standards and requirements
   (c) Requirements for student completion, and requirements for student certification attainment
   (d) System for monitoring and documenting student progress
   (e) Cost by training slot, as well as cost for equipment, supplies, and clothing

   b. General Requirements

   Approved OTP programs must:

   1. Offer training at accredited institutions located within reasonable commuting distances of the center.

   2. Offer students the opportunity to obtain career technical certification in their chosen field of study.

   3. Align with the skill standards outlined in nationally developed and approved Training Achievement Records (TARs).

   4. Provide reasonable accommodation(s) for students with disabilities, as appropriate.

Centers must not pursue OTP programs for which on-center programs already exist at that center. This does not preclude centers from pursuing OTP programs that offer specialized training that enhances current center offerings.
c. Entry Requirements

All OTP applicants must meet the following entry criteria:

1. Must demonstrate the academic proficiency needed to succeed in the chosen OTP program
2. Must meet entry requirements and adhere to regulations required by the training provider
3. Written parental consent must be obtained for minors prior to participation in OTP programs

d. Continued Enrollment

Once enrolled in an OTP program, to continue to participate, students must:

1. Carry enough credits and/or complete all coursework necessary to maintain adequate progress toward completion of a certification within the enrollment period.
2. Maintain “student in good standing” status throughout their enrollment in the OTP program.

Centers must develop procedures for removal of students who do not meet the requirements of items 1 and 2 above.

e. OTP Completion Requirements

To complete OTP, students must receive a full professional or completion certificate equal to or exceeding the rigor of certifications outlined by corresponding national, on-center training programs, prior to separation from Job Corps.

R14. Advanced Career Training (ACT) Programs

Job Corps students pursuing an associate’s degree at accredited higher education institutions will be designated as Advanced Career Training (ACT) students.

a. Program Approval

Regional Offices (ROs) shall approve the establishment of ACT programs at Job Corps centers with contracted ACT slots designated. Programs must be approved by the Regional Director and formalized by a Memorandum of Understanding (MOU) or contract. Upon approval, the Regional Office (RO) must immediately submit a copy of the documented approval, contracted ACT slots, and MOU or contract to the National Office, Division of Educational Services. The National Office will inform the Job Corps Data Center (JCDC) of changes to programs, slots, codes, and other relevant information that need to be incorporated into the Center Information System (CIS).
b. General Requirements

ACT-approved Job Corps centers must:

1. Offer training at accredited postsecondary institutions located within reasonable commuting distances of the center.

2. Maintain total ACT enrollment at a single institution at a level not to exceed 5% of the institution’s total student population.

3. Ensure that the training offered to students is advanced beyond the skill levels taught in the basic career technical training and academic programs at the center.

4. Ensure that ACT programs are aligned with on-center or OTP career technical training programs to promote a student’s career development.

5. Develop a formal application and approval process to which all students have equal access, and utilize this process in selecting students for the ACT program. The application process must provide reasonable accommodation for students with disabilities, as appropriate.

6. Establish a system for regular monitoring of the performance of ACT students, via transcripts, attendance records, and other means (in accordance with Exhibit 6-1). This system should be documented in the center’s Career Development Period (CDP) Plan (see Chapter 5, Section 5.1, R8).

7. Provide opportunities for ACT students to continue to participate in center life.

8. Allow students enrolled in ACT programs to extend their enrollment up to one full year beyond the current two-year enrollment limitation.

c. Entry Requirements

All ACT applicants must meet the following entry criteria:

1. Must have completed an approved career technical training program

2. Must hold a high school diploma (HSD) or have obtained an HSE certificate

3. Must qualify on college placement tests to be eligible for the ACT entry requirements of the accredited postsecondary institution

d. Continued Enrollment

Once enrolled in an ACT program, to continue to participate, students must:
1. Carry enough credits to maintain full-time student status each quarter/semester.

2. Maintain “student in good standing” status throughout each quarter/semester.

3. Complete all course work and earn the associated number of credits each quarter/semester.

Centers must develop procedures for removal of students who do not meet the requirements of items 1 through 3 above.

e. ACT Completion Requirements

To complete ACT, students must meet the following requirements:

1. Attend the ACT institution for three academic quarters or two semesters.

2. Attain or receive one or more of the following:
   (a) An industry certification
   (b) A one-year certificate of completion
   (c) An associate’s degree in an approved program

R15. Career Technical Training Program Changes

a. Using state and local area labor market information and with the advice of employers and the center’s Workforce Council, regularly assess the labor market demand for workers in the occupations represented on center, and in areas where graduates will seek employment, and initiate career technical training change requests when needed.

b. All requests to add, delete, expand, or reduce training offerings must be requested using the Career Technical Training Change Request Form 3-02. Specific roles and responsibilities for the timely processing and approval of requests for Guidelines, Form 3-01.
3.2 ACADEMICS

REQUIREMENTS

R1. Required Instruction

Centers must provide students with instruction in the following reading and mathematical content domains to enable students to master the U.S. Department of Education National Reporting System’s Educational Functioning Level (EFL) Descriptors for Adult Education as shown in the Exhibit 3-2, and to meet standards for continuing education, training, and employment:

a. Reading
   1. Phonological Awareness
   2. Phonics and Word Recognition
   3. Key Ideas and Details
   4. Craft and Structure
   5. Integration of Knowledge and Ideas

b. Mathematics
   1. Number and Operations in Base Ten
   2. The Number System
   3. Number and Operations – Fractions
   4. Operations and Algebraic Thinking
   5. Geometry
   6. Measurement and Data
   7. Expressions and Equations
   8. Ratios and Proportional Relationships
   9. Statistics and Probability
   10. Number and Quantity
11. Algebra

12. Functions

13. Financial Literacy to include, at a minimum, the following subject areas:
   (a) Understanding paycheck information and income taxes
   (b) Managing money
   (c) Banking and credit
   (d) Becoming a wise consumer

14. Workplace mathematics related to the student’s specific career field

R2. Placement in Reading and Mathematics Instruction

Centers must:

a. Test each student’s reading and mathematics proficiency at the beginning of enrollment in Job Corps using the reading and mathematics component of the Test of Adult Basic Education (TABE) 11/12, in accordance with Appendices 301 and 303.

b. Provide each student who scores below EFL 5 in reading and EFL 6 in mathematics with instruction.

c. Continue instruction in reading as a part of the student’s overall academic and career technical skills training program and conduct follow-up TABE testing until the student achieves EFL 5 or higher on the TABE 11/12.

d. Continue mathematics instruction as a part of the student’s overall academic and career technical skills training programs, and conduct follow-up TABE testing until the student achieves EFL 6 on the TABE 11/12.

e. Consult Appendices 301 and 303 for exceptions that apply to students with cognitive disabilities, and specific requirements for TABE test administration.

f. Determine where targeted training in reading and mathematics will take place, for example, in separate reading and mathematics courses, high school equivalency classes, high school courses, or through applied academic skills training in career technical skills programs.

g. Determine where supplemental instruction in reading and mathematics will take place; for example, in evening and weekend studies.

h. Establish reading and mathematics scores for placement of students into reading and mathematics courses and programs.

i. Provide reading instruction and TABE testing for students as follows:
1. Administer follow-up TABE testing for students at Puerto Rico centers who test at EFL 4 or below on the TABE Español. (Initial Test Level – E-M; follow-up – Level M).

2. Administer follow-up TABE testing for students who test at EFL 4 or below on the initial TABE 11/12 Reading subtest and include these students in the pool for tracking learning gains as outlined in Chapter 5, Appendix 501a.

j. Provide mathematics instruction and TABE testing for students as follows:

1. Administer follow-up TABE testing for students who test at EFL 4 or below on the TABE Español. (Initial Test Level – E-M; follow-up – Level M.)

2. Administer follow-up TABE testing for students who test at EFL Level 5 or below on the initial TABE 11/12 mathematics subtest and include these students in the pool for tracking learning gains as outlined in Chapter 5, Appendix 501a.

k. Place students in English Language Learner (ELL) classes based on TABE scores as specified in “Initial Testing: All Students” in Appendix 301.

1. Administer the TABE within the first 90 days on center to Limited English Proficiency (LEP) students who test at the “Beginning ESL Literacy Level” as specified in the “National Reporting System for Adult Education (U.S. Department of Education): Test Benchmarks for Educational Functioning Levels” (see https://nrsweb.org/solr-search?search_api_views_fulltext=test+benchmarks&field_resource_type=All&field_tta_training_type=All, select Test Benchmarks for NRS Educational Functioning Levels).

2. Adhere to the protocols specified in Appendix 301, Tests of Adult Basic Education (TABE®) for students with disabilities.

R3. Center Requirements for Limited English Proficiency (LEP)

Centers must:

a. Develop strategies to meet the unique needs of LEP students in all phases of the Career Development Services System (CDSS).

b. Ensure the regular collaboration of English language instructors and career technical training instructors to develop strategies for working with LEP students in career technical training shops and classrooms.

c. Assign an English Language Learner (ELL)/LEP coordinator to oversee and monitor programs and services for LEP students.
**R4. English Language Skills**

Each center must develop the capability, and describe that capability in its Career Development Period (CDP) Plan (see Chapter 5, Section 5.1, R8), to provide instruction and training to LEP students aimed at enabling the students to demonstrate English proficiency.

**R5. High School Diploma (HSD)**

Centers must assist students in obtaining their high school diplomas, where feasible, during their enrollment.

Centers must implement a program to support student attainment of high school diplomas. At a minimum:

a. The program must be accredited by the state department of education in the state in which the program is located, or the General Council of Education of the Commonwealth of Puerto Rico, or a recognized accrediting body, if the state defers to a regional accreditation process. Acceptable accrediting bodies are listed in Appendix 302.

b. Centers must also ensure that all high school programs provided to students are recognized as public, private, charter, special purpose or other high schools by the states in which the programs are located.

c. The center must not require students to pay any high school program fees. In addition, if students are required to supplement high school diplomas with additional courses for acceptance into branches of the military, centers must provide courses to students at no cost to the student.

d. Centers that are degree-conferring high schools, Local Education Agencies (LEA), and/or receive funds from the U.S. Department of Education must assess students with disabilities who may be in need of special education services, and provide those services accordingly. Centers that meet these criteria must confirm with an appropriate local, state, or federal education agency official that its high school program is in compliance with Section 504 of the Rehabilitation Act of 1973 and/or the Individuals with Disabilities Education Improvement Act of 2004 (IDEA). The process for assessing students and providing special education services to those students with disabilities must be documented in the center plan (see Chapter 5, Section 5.1, R8).

**R6. High School Equivalency (HSE) Preparation and Testing**

Centers must implement programs to support student attainment of HSE certification as required by the states in which the centers are located or where students are sent for HSE testing.

a. Centers must provide instruction in content areas necessary for students to pass state-
designated high school equivalency assessments and achieve state high school equivalency certification.

b. Establish linkages with local HSE test sites to provide regularly scheduled testing dates. A center that cannot access local testing sites may submit a request to its Regional Office (RO) to obtain permission to apply to the appropriate state department overseeing HSE testing for approval to become a local testing site.

c. Ensure high school equivalency tests taken at test sites on the center be administered by a third party test administrator from a local educational or community institution (e.g., a community college or local school district). High school equivalency tests must not be given by an employee of the Job Corps Center.

d. Pay all fees associated with student HSE testing and certification.

**R7. Concurrent HSD/HSE Programs**

Centers are encouraged to develop concurrent HSD/HSE program opportunities through local or public educational agencies, private educational agencies, or online/virtual learning programs, whenever such entities provide education and training substantially equivalent in cost and quality to that which the center could provide.

Concurrent HSD/HSE arrangements must be approved by the Regional Director and formalized by a Memorandum of Understanding (MOU) or contract. At a minimum, HSD/HSE MOUs or contracts must include:

a. Job Corps program eligibility of all participants

b. Name and location of the HSD/HSE program provider

c. Description of how services will be delivered and coordinated throughout the student’s Career Development Period (CDP)

d. Methods for determining student enrollment, progress, and completion in the concurrent HSD/HSE program

e. Accrediting body certifying attainment of the HSD or HSE certificate

f. All associated costs to the center

g. Nondiscrimination clauses and commitments to adhere to all applicable state and federal laws with regard to students with disabilities (e.g., IDEA, Section 504 of the Rehabilitation Act, ADA, etc.)

Every effort should be made to reduce class size in approved HSD/HSE programs. However, concurrent arrangements must ensure that both programs are not paying for the same services. Center operating budgets/staffing must be adjusted to account for
services provided by other concurrent enrollment institutions.

**R8. Online High School Program Requirements**

Centers must adhere to the following requirements when implementing online high school diploma programs:

- a. All guidelines and requirements established by the online high school diploma program.

- b. Cell phones and other electronic devices, including cameras, must not be used for any purpose in the classroom.

- c. Online high school program or other approved Job Corps sites must be the only sites authorized on the classroom computers.

- d. Online high school teachers must create and maintain student passwords and usernames for the online program, and change them on a regular basis to prevent misuse.

- e. Students must not take exams outside of the online high school classroom or the academic building.

- f. Examinations must be administered in a controlled environment. Peers or other class activities must not interrupt students during exams. The teacher must closely monitor students during examinations to prevent disruptions or cheating.

- g. Students must not use online search engines (e.g., google, yahoo, etc.) to find test answers.

- h. Students must not leave the testing environment with examination codes or passwords.

- i. Teachers must enter the test code for each exam for every student, or students must be provided the test code and at the conclusion of the daily training time in the online high school program, the teacher must change the codes for any incomplete examinations.
3.3 CAREER TECHNICAL TRAINING

REQUIREMENTS

R1. Approved Programs

Centers must offer career technical training (CTT) approved by the National Director of Job Corps.

R2. Required Instruction

Centers must:

a. Provide instruction in the competencies listed on official Job Corps Electronic Training Achievement Records (e-TAR) of the career technical training programs approved for the center. Where training is offered through external providers such as accredited educational institutions utilizing Other Training Provider (OTP) programs, centers will use the Center Information System (CIS) 3G e-TAR for coding purposes only. Centers that have approved OTP programs must input final student accomplishments using the OTP e-TAR code in the CIS3G e-TAR using the Summary, Detail, and Credential Tab. However, all OTP programs must be endorsed by the Regional Office (RO) and recommended for final review and approval by the Office of Job Corps, to ensure that they are comparable to, or exceed, the rigor and relevance of national training programs. OTP programs must provide one or more of the following: a certificate of completion, a degree certificate, or an industry credential.

b. Provide instruction in additional competencies (beyond those listed on officially approved e-TARs) as necessary to equip students with the skills required in specific labor markets. Centers must not delete competencies from e-TARs without the approval of the National Director.

c. Provide instruction related to safety in all career technical training programs.

d. Credit students with acquisition of skills only after they have demonstrated competency in the skills at the level indicated on the approved e-TARs. When work sites are used to develop skills, employers must be involved in assessing student competency.

e. Develop work-based learning opportunities that are related to the career technical training program in which the student is enrolled and are appropriate to the student’s level of achievement, and where applicable, assist the student in meeting requirements of industry certifications.

R3. Assignment to a Career Technical Training Program

Centers must:
a. Schedule students to their first choice of career technical training program, if feasible, when they are determined to be ready for the Career Development Period (CDP), but no later than 60 days following center enrollment.

b. When the student’s first choice is not available, the student must be assigned to a career technical training program that is directly related to his or her first choice, or to his or her second choice, and that is compatible with the student’s long-term career goal.

c. Maintain a log of all students not assigned to career technical training programs within 60 days of enrollment, within the career technical training waitlist section of CIS. The list must show the students’ names, dates of arrival, dates of assignment to career technical training programs, and reasons for delay.

**R4. Employer Involvement**

Centers must utilize input from their Workforce Councils, and other sources such as employers and apprenticeship committees/councils, in determining the content of their training programs, and must adjust and supplement center training programs to meet industry needs.

**R5. Job Shadowing**

Centers must develop job shadowing opportunities for students that reflect occupations matching current center offerings or provide students with experiences in new and emerging occupations. Using relationships developed with local and national businesses, centers must coordinate opportunities for students to shadow employees at work sites in careers that are related to their career technical training, as well as careers that students are interested in exploring. These opportunities provide students with an understanding of the workplace, a chance to explore new careers, and an opportunity to apply their training.

**R6. Work-Based Learning Program**

Centers must:

a. Incorporate work-based learning (WBL) as a primary instructional approach into the delivery of applied and contextual training.

b. Implement a structured WBL program that:

1. Involves students in work experiences related to the career field for which they are preparing.

2. Occurs at employer work sites. To the extent, such sites are not accessible, structured on-center work sites and off-center Career Technical Skills Training (CTST) projects must be established.
3. Relates closely with center-based learning activities.

4. Provides reasonable accommodation(s) for students with disabilities, as appropriate.

c. Provide opportunities to ensure that each student participates in WBL:

1. WBL opportunities must consist of multiple assignments that progressively vary in duration and complexity as the student completes established benchmarks in their CTT (see Appendix 304).

2. Home-based WBL assignments are limited to a maximum of six weeks, unless the Regional Office (RO) grants a waiver, as specified in Chapter 6, Exhibit 6-1.

**R7. Work-Based Learning Employer Partnerships**

Centers must develop partnerships with private and public employers to secure work-based learning opportunities for students at employer work sites.

**R8. Work-Based Learning Agreements**

Centers must complete the WBL agreement (Exhibit 3-1) with the employer when a student is assigned to a work site.

**R9. On-Center Work-Based Learning**

Work-based learning may occur on center, with the center as the employer. On-center, WBL must be formally organized and must use the WBL agreement (Exhibit 3-1), the same used for off-center WBL.


a. Project-Based Training

Centers offering training in construction careers (and other career technical offerings that are eligible for CTST funding, as outlined in Appendix 508, Career Technical Skills Training) must provide training to students in the eligible career technical training areas through work on construction or manufacturing projects.

b. Project Planning

1. Centers with career technical training programs that are eligible for CTST funding must submit an annual CTST plan to the Regional Office (RO) for approval. Procedures for preparing and submitting the plan are outlined in Appendix 508.

2. Upon approval by the Regional Office (RO), centers must implement the approved CTST plan in accordance with the procedures contained in Appendix 508.
c. Safety

Before initiating a construction project, centers must ensure that:

1. The project has been evaluated and a job hazard analysis has been conducted by the Center Safety Officer for possible safety and environmental hazards and their remediation.

2. All students who work on the project are trained in the safety practices relevant to the hazards identified, prior to performing work on the project.

3. All appropriate safety equipment is provided to students and staff, and a copy of the job hazard analysis is posted on the work site.

R11. Monitoring of Work-Based Learning Sites

Centers are responsible for ensuring that students are placed in safe and healthful working conditions at work-based learning locations. However, Job Corps’ responsibility will not extend to reviewing or inspecting private employer businesses for compliance with OSHA standards because this authority rests only with OSHA.

To ensure the occupational safety and health of students at private employer work sites, appropriate career development staff and/or the center Safety Officer must:

a. Visually survey the work site for potential hazards and discuss safety and health requirements with the employer.

b. Ensure the employer signs a work-based learning agreement, Exhibit 3-1, that specifically states the employer will provide students with safety and health protection which shall be at least as effective as that which is required under the Occupational Safety and Health Act of 1970 (29 U.S.C.) and 29 CFR parts 1910, 1926, and 1960.

c. Monitor active work sites on a regular basis. If hazards are noted, the employer must be requested to take corrective action. Students should be removed from work sites where hazards exist that could cause personal injury or impairment of the health of students.

d. Document the injuries of students who are injured on work-based learning sites in the Employees’ Compensation Operations and Management Portal (ECOMP), as they are covered by the Federal Employees’ Compensation Act (FECA).

R12. Monitoring of Training at Work-Based Learning Sites

Centers must monitor all active WBL sites to ensure that students are receiving quality training in a safe environment. Center instructors and other staff must visit active work sites on a regular basis to:
a. Observe and counsel students.

b. Ensure that the training needs of the students are being met.

c. Determine, in collaboration with the work-site supervisor, what on-center activities might be needed to support training at the work site.

**R13. Relationships with National Training Contractors**

Relationships between center management and National Training Contractor (NTC) instructors must be governed by the Memorandum of Understanding (MOU) signed by each NTC and the involved center. A copy of the MOUs between the NTC and center contractors can be found on the Job Corps Community website through the CTT link.
3.4 CAREER SUCCESS/WORKPLACE SKILLS

REQUIREMENTS

R1. Introduction to Center Life

Centers must provide new students with an introduction to center life that includes:

a. Activities designed to make them feel welcome and safe upon arrival

b. Experiences and information leading students to understand the opportunities and benefits available and the expectations for participants including:

1. Job Corps’ mission

2. The Career Development Services System (CDSS)

3. The center’s basic schedule of training and activities

4. The Career Success Standards

5. Assessment testing

6. Evaluation of Student Progress (ESP)

7. Student rights and responsibilities:
   (a) Standards of conduct
   (b) Equal opportunity, civil, legal, and religious rights
   (c) Sexual harassment and anti-bullying policies

8. Student government and leadership programs

9. Student benefits:
   (a) Allowances and allotments
   (b) Accountability, leave, and absence policies
   (c) Support services (e.g., food services, mail, telephone, and voting rights)

10. Center and community life:
    (a) Dormitory life
    (b) Hands-on activities to practice the Career Success Standards
    (c) Recreation/leisure time activities
    (d) Information about the local community

11. Health and Wellness services, including:
    (a) Trainee Employee Assistance Program
    (b) Disability program (e.g., reasonable accommodation)
12. Safety pertaining to elimination or prevention of hazards that may result in injury, illness, or death. Topics must include at a minimum:
   (a) Overview of the Job Corps Occupational Safety and Health (OSH) program with emphasis on student roles and responsibilities, including but not limited to:
       (1) Occupational Safety and Health committee
       (2) Fire safety and prevention
       (3) Emergency action plan (including extreme/severe weather, evacuations, active shooter incidents, and terrorist threats)
       (4) Hazard communication, including Safety Data Sheets (SDS) and labeling
       (5) Applicable Occupational Safety and Health Administration (OSHA) standards (varies by work or training environment)
       (6) Safe work practices, including use of Personal Protective Equipment (PPE)
       (7) Reporting unsafe or unhealthful living and training conditions
       (8) Recreational safety, including water safety
   (b) Reporting accidents, injuries, and illnesses (with emphasis on timely reporting); OSHA 300 Log; and OSHA 300A
   (c) Work-based learning site safety, including reporting unsafe and unhealthy conditions, and accident and injury reporting requirements
   (d) Preventing the spread of flu and other illnesses, and personal hygiene

13. Security pertaining to center culture, personal comfort, and well-being. Topics must include at a minimum:
   (a) Center security procedures
   (b) Unauthorized goods and confiscation procedures
   (c) Zero Tolerance policy
   (d) Smoking policies on and off center
   (e) Off-limit areas on and off center
   (f) Protection of personal property and tort claims
   (g) Student vehicle policy
   (h) Security operations
   (i) Sign-in and sign-out procedures (passes and leave) and entry and exit procedures
   (j) Inventory of personal belongings
   (k) Identification cards
   (l) Phone calls
   (m) Mail procedures
   (n) Visiting procedures

c. An introduction to diversity to acquaint new students with the diversity represented on the center and in the community, and to familiarize them with the Multicultural Awareness Career Success Standard

d. A variety of opportunities for new students to meet and interact with:

   1. Center Managers
2. Career Development/Transition Managers and/or Specialists

3. Counselors

4. Disability Coordinator (DC)

5. Staff representatives from all major program and support areas

6. Student leaders

R2. Disability Program Introduction

a. Centers must provide new students with information that will lead to an understanding of the opportunities and benefits available as part of the center’s Disability Program (see Chapter 3, Section 3.4, R1.b.11).

b. Centers must provide a variety of opportunities for new students to meet and interact with the DCs (see Chapter 3, Section 3.4, R1.d.4).

c. The student handbook will include information about the Disability Program (see Chapter 3, Sections 3.4, R1.b.11 and 3.4, R3).

R3. Student Handbook

Centers must develop and distribute to all students a Student Handbook that, at a minimum, accurately reflects center standards of conduct, benefits, policies, and procedures in the areas included in Chapter 3, Section 3.4, R1.b above.

R4. Dress Code/Clothing Selection

Centers must develop a Student Dress Code that reflects center policy regarding appropriate dress as well as the Job Corps Student Dress Standards. Outreach and Admissions staff must include each center’s specific dress code and use of uniforms as part of the centers’ presentation to applicants on Job Corps policies and requirements. The dress code should be published in each center’s Student Handbook and the handbook should include the following minimum expectations for general student, academic classroom, career technical training (CTT) classroom, and off-center dress:

a. General Student Dress Standards:

1. Pants must be appropriate in size and worn at the waist at all times.

2. Clothing depicting violent or nude scenes; and clothing bearing profanity, references to drugs and alcohol, or otherwise provocative or inappropriate slogans, will not be permitted at any time.
b. Academic Classroom Dress Standards:

1. To allow students the opportunity to use the clothing in real work situations, the academic uniform should be without a center logo, a Job Corps logo, or any type of printing.

2. Shoes are to be neat, clean, properly fastened, and free of graffiti or markings.

c. Career Technical Training Classroom Dress Standards:

1. If CTT uniforms are issued, they should always be worn during career technical training class hours.

2. Uniforms will be neat and clean, without tears or holes, and free from markings.

3. Pants will be belted, properly fastened, and worn at the waist.

4. Clothing must be appropriate in size and worn at the proper body position. No undergarments of any kind may be visible.

5. Shoes will be issued with regard to safety issues. Where safety shoes are not required, the choice of shoes will be a standard that would appear in the workplace for that particular vocation. All footwear will be clean, polished, properly fastened, and free of graffiti or markings.

6. For business related CTT programs (e.g., business clerical, accounting technician, medical records assistant, computer repair, retail sales), either the academic outfit or career technical training uniform (if issued) may be worn. Acceptable business attire includes business dresses (knee length), dress pants (worn at the waist), business shirts, pantsuits, or skirts (knee length). Shoes are to be clean, polished and properly fastened, and free of graffiti or markings.

d. Off-Center Activities Dress Standards:

Students are expected to represent themselves as models and representatives of the center, the Job Corps program, and the Department of Labor. All elements of the dress standards will apply.

Centers must provide guidance to students regarding appropriate types of clothing for the workplace in their chosen fields, and centers must ensure that purchases made by students with their cash clothing allowance are for interview and work appropriate clothing.

R5. Student Leadership

Centers must develop and support leadership training that includes, at a minimum:
a. A formal leadership training curriculum

b. Ongoing in-service training for student leaders

**R6. Student Government Association (SGA)**

Centers must develop and support an SGA program that includes, at a minimum:

a. A designated staff coordinator

b. A written constitution

c. Elected SGA officers

d. Committees that provide input into policies affecting student life on and off center

e. Regular meetings between the Center Director and SGA officers

f. A training plan for SGA officers

**R7. Assessing New Students’ Needs and Interests**

Using formal and informal methods, centers must assess each new student’s needs and interests to include:

a. Level of academic achievement and competency for appropriate placement into academic classes, using high school transcripts; Individual Education Plan (IEP), if applicable and available; and the Tests of Adult Basic Education (TABE) in accordance with procedures in Chapter 3, Appendix 301 (TABE Requirements and Instructions)

b. Medical status (entrance physical and dental examinations) per Chapter 2, Section 2.3, R2-R4

c. Counseling intake needs/interests assessments using the student’s application as a foundation, counselors must identify at a minimum:

1. Personal history

2. Personal and career aspirations

3. Family status, living situation, and responsibilities

4. Legal issues

5. Personal issues
6. Post-center plans

d. Career aptitude and interests using the My Pathway to Achieving Career Excellence (MyPACE) web-based career planning tool (see Chapter 3, Section 3.4 R9, Career Exploration)

e. Knowledge of and ability to demonstrate the appropriate behaviors associated with the Career Success Standards

f. English language proficiency using: Test of Adult Basic Education Complete Language Assessment System – English (TABE CLAS-E), Basic English Skills Test (BEST), or CASAS (see (https://nrsweb.org/solr-search?search_api_views_fulltext=test+benchmarks&field_resource_type=All&field_type_all, select Test Benchmarks for NRS Educational Functioning Levels)

g. Basic information technology skills

h. Transitional needs

R8. Using Student Assessments

Results of student assessments must be used in collaboration with the student to:

a. Develop the student’s MyPACE Career Plan and assignment of Pathway Achievement Record (PAR)

b. Schedule appropriate career development classes and activities

c. Develop essential support systems to address each student’s personal and transitional needs

R9. Career Exploration

Centers must:

a. Provide all new students with a variety of career exploration and planning activities designed to provide information and practical experiences that assist students in understanding various factors to consider when making a career choice and setting career goals.

b. Assist each new student in exploring career pathway options using the My Pathway to Achieving Career Excellence (MyPACE) lessons and web-based tool to:

1. Learn about his/her skills, values, work styles, and aptitudes
2. Assess his/her career interests
3. Use regional Labor Market Information (LMI) to explore, identify, and assess potential career opportunities and career training pathway options

4. Investigate training and credentialing requirements for occupations of interest

5. Explore employment potential in the communities where the student may seek employment

6. Identify opportunities for career advancement and sources for post-center continuation of training/educational preparation

c. Provide an explanation of the options for placement or additional training available to each student, including:

   1. Entry-level job placement in an in-demand industry sector on the pathway to a student’s ultimate career

   2. Entry into an apprenticeship program

   3. Participation in advanced training

   4. Enlistment in the armed services to pursue a career in the military

   5. Enrollment in post-secondary education

d. Provide an overview of center career technical training offerings that align with a student’s individual career goals documented in his or her MyPACE Career Plan and must include:

   1. Wages

   2. Working conditions, including physical requirements

   3. Required technical training and academic proficiency requirements for the career and industry

   4. Advancement potential

   5. Related career technical training offerings, postsecondary training, and related careers in the industry

   6. Industry-recognized certifications and credential requirements

   7. Employment outlook, LMI, and available placement services offered in the geographical areas to which the student is likely to return
8. Practice of general safety

9. Use of hand tools

10. Equipment safety

e. Provide work-based learning experiences (see Chapter 3, Appendix 304), such as:

1. Structured hands-on experience for each career technical area in which the student expresses an interest; no hands-on experience with power tools or moving equipment will be allowed except under the direct supervision of the instructor

2. Workplace tours, employer presentations, and job shadowing to help students refine their career objectives and focus on a specific career technical area

f. Provide goal-setting activities and career counseling using the MyPACE career planning system to assist students in making informed choices on career technical areas to consider

g. Arrange a visit to the nearest One-Stop Career Center/American Job Center to acquaint students with career exploration, training, job placement, and other services and resources available to them; if a One-Stop Career Center/American Job Center is not available in the vicinity, centers must provide opportunities for students to access a virtual One-Stop Career Center/American Job Center via the Internet

**R10. Overview of Career Success Standards**

Centers must provide each student with an introduction to all eight Career Success Standards (Chapter 3, Section 3.4 R11) and an overview of the standards of conduct for the center. The center will review with each new student the Career Success Standards they will be expected to meet during enrollment in order to successfully participate in the program and become employable.

**R11. Required Career Success Standards**

Centers must ensure students leave Job Corps with proficiency in the following eight Standards and associated competencies:

a. Workplace Relationships and Ethics

1. Standard: The student will leave Job Corps with the ability to productively interact with co-workers and deal with problems and situations with honesty, integrity, and responsibility.

2. Competencies:
(a) Follows and promotes workplace policies and procedures, including good attendance, being on time, and dressing appropriately for the job.
(b) Understands and supports organizational goals and structure and follows the chain-of-command.
(c) Observes and practices safety standards.
(d) Develops productive relationships with members of his or her team.
(e) Responds well to supervision.
(f) Maintains confidentiality and personal trustworthiness.
(g) Understands and supports the concept of customer service.

b. Interpersonal Skills

1. Standard: The student will leave Job Corps with the ability to get along with others and adjust to a variety of social and professional situations.

2. Competencies:
   (a) Takes an active role when working in teams.
   (b) Exhibits friendly behaviors and works well within the culture of a group.
   (c) Recognizes and respects individual differences and viewpoints.
   (d) Manages and resolves conflict with varied negotiation techniques.
   (e) Demonstrates flexibility in adjusting to a variety of situations.
   (f) Recognizes and manages emotions such as sadness, depression, frustration, and anger.

c. Personal Growth and Development

1. Standard: The student will leave Job Corps with the personal skills, attributes, and behaviors that foster confidence and drive for life-long growth.

2. Competencies:
   (a) Uses knowledge of personal strengths, weaknesses, and values in decision-making.
   (b) Demonstrates resilience when receiving both positive and negative feedback.
   (c) Maintains a healthy lifestyle by managing physical, emotional, and social aspects of daily life.
   (d) Uses social networks when balancing work and personal life.
   (e) Exhibits self-respect and a positive self-esteem.
   (f) Takes initiative and uses opportunities for advancement.

d. Independent Living

1. Standard: The student will leave Job Corps capable of finding, managing, and using the resources needed to maintain employment, satisfy physical and emotional needs, and lead a productive life as an independent adult.

2. Competencies:
(a) Plans and manages time, money, and other resources to support independent living.
(b) Uses available resources to find housing, childcare, transportation, employment, and to make informed consumer decisions.
(c) Makes educated life choices concerning nutrition, fitness, health care, parenting, and sexual responsibility.
(d) Creates and maintains an appropriate support network.
(e) Uses creative problem-solving skills.

e. Career and Personal Planning

1. Standard: The student will leave Job Corps with his/her My Pathway to Achieving Career Excellence (MyPACE) Career Plan and a completed Pathway Achievement Record (PAR), fully prepared to transition to his/her mid-term goal and to effectively access resources and services that will assist him/her in making a successful transition.

2. Competencies:
   (a) Sets and redefines short-term, mid-term, and long-term goals.
   (b) Acquires, organizes, interprets, and evaluates information from MyPACE career assessments and work-based learning experiences.
   (c) Completes MyPACE PAR activities that support career planning in the student’s chosen career placement pathway (mid-term goal).

f. Communications

1. Standard: The student will leave Job Corps with the ability to listen actively, follow directions, and communicate with others to solve problems and accomplish tasks.

2. Competencies:
   (a) Expresses and supports ideas through oral, written, and nonverbal communication, such as body language, volume, and tone.
   (b) Responds to and acknowledges other people’s views.
   (c) Follows directions and asks for clarification.
   (d) Understands, uses, and explains procedures.
   (e) Uses appropriate language when addressing different audiences.
   (f) Demonstrates active listening skills.

g. Multicultural Awareness

1. Standard: The student will leave Job Corps valuing diversity, practicing cultural sensitivity, and able to work with people of different backgrounds and cultures.

2. Competencies:
   (a) Understands and appreciates a variety of cultural perspectives and how those enhance productivity.
(b) Demonstrates the ability to value diversity in the workplace.
(c) Understands cultural differences in communication styles.
(d) Positively interacts and fosters relationships with people of different backgrounds.

h. Information Management

1. Standard: The student will leave Job Corps with the ability to interpret and evaluate data, organize, and maintain information, and use technology to perform work.

2. Competencies:
   (a) Obtains information from existing sources, including the Internet.
   (b) Evaluates the relevancy, accuracy, and appropriate use of data.
   (c) Organizes, maintains, and uses information.
   (d) Demonstrates capacity to connect data to personal and professional success.

R12. Individualized Opportunities, Projects, and Activities

Centers must use the results of formal and informal assessments of the Career Success Standards conducted in the Career Preparation Period (CPP) to provide each new student with a personal and individualized plan for meeting all eight Standards. The individualized plan must be addressed in the initial student evaluation and uploaded into the Center Information System (CIS) e-Folder, and must include, at a minimum:

a. An overview of the Standards the student does and does not meet

b. Specific opportunities, projects, and activities the student may participate in on center to meet the Standards and how the student will be evaluated regarding his or her participation in these activities

c. Expectations for students to acquire and demonstrate the Career Success Standards in all phases of career development and career transition

R13. Adopting Career Success Standards

Centers must adopt strategies that provide diverse opportunities for each student to learn, practice, and develop competencies to meet all eight Career Success Standards.

Centers must not establish a stand-alone class to teach to the standards. The standards must be integrated into all training and center activities.

R14. Customer Service Training

Centers must conduct customer service skills training within the context of Career Success Standards in the areas of Workplace Relationships and Ethics, Communications, and Interpersonal Skills. At a minimum, the training must include:
a. Understanding the importance of customer service
b. Maintaining a professional attitude
c. Effective oral and written communication skills, including active listening to customers
d. Knowledge of business ethics
e. Understanding the value of establishing and maintaining positive customer relationships
f. Applying critical thinking skills to appropriately handle customer service situations

**R15. Conflict Resolution Skills**

Centers must provide each new student with instruction in basic conflict resolution techniques within the first 60 days of enrollment.

**R16. Introduction to Information Technology**

Centers must provide each new student with instruction in the following within the first 60 days of enrollment:

a. The role and impact of technology trends on business and society
b. Basic care and handling of computer hardware and software
c. Basic hardware and software components
d. Basic computer functions:
   1. Keyboarding
   2. Microsoft Word applications
   3. E-mailing
   4. Scanning
   5. Managing applications (apps)
   6. Video Messaging
   7. Electronic job searching
e. Use of the MyPACE career exploration tool to:
1. Research and analyze regional Labor Market Information (LMI); and

2. Conduct an occupation search related to student’s career interests/training program.

f. Use of the Internet for placement services:

   1. Identify community support service resources

   2. Access One-Stop Career Center/American Job Center services

   g. Appropriate use of the Internet and e-mail

**R17. Applied Information Technology**

Centers must provide students with instruction in the application of basic information technology to assist in the development of their academic skills, career technical skills, career pathway preparation activities, and opportunities to progress through their Pathway Achievement Records (PAR).

**R18. Computer-Based Learning**

Centers must provide and use information technology to teach academic and career technical skills.

**R19. Microsoft Office and Internet Proficiency**

Centers must provide students with instruction in the Microsoft Office software and the Internet.

**R20. Business Technology and Business Etiquette**

Centers must provide students with instruction in business technology and business etiquette (e.g. ethics, email, and Internet).

**R21. Required Instruction for Health and Well-Being**

Centers must provide instruction to all students in the following subjects:

a. Health education and good decision-making models

b. Emotional and social well-being

c. Depression, grief, and suicide

d. Relationships and sexuality, including relationship aggression
Chapter 3: Student Training Services

3.4 - 1

- Reproduction and birth control
- Sexually transmitted diseases
- Consumer health
- Nutrition and fitness
- Alcohol and other drugs of abuse
- Relationship of a healthy lifestyle to successful job retention
- Sexual assault
- Sleep hygiene (guidelines to achieve restful sleep)
- Oral disease prevention and oral hygiene instruction

R22. Activities for Driver's License Attainment

Centers must:

a. Provide driver education training, early in the students’ enrollment, to all eligible students who do not already possess a driver’s license. The training must include classroom and behind-the-wheel instruction that meets state-mandated requirements. Addressing driver’s license requirements on TARs specifically, attainment of a basic and/or commercial driver’s license (CDL) may be required as part of a specific program where vehicle operation is a critical component of employment.

b. Review with each student who does not already possess a license, the steps necessary to attain a license, document these steps in the student’s My Pathway to Achieving Career Excellence (MyPACE) Career Plan and Pathway Achievement Records (PAR) and upload in the e-Folder. These actions will take place during CPP.

c. Provide each new student who does not already possess a driver’s license with instruction that will enable him or her to attain a learner’s permit.

d. Initiate driver training during CPP as described in Chapter 3, Section 3.4 R22.a, e and f, whenever possible.

e. Identify students who are prohibited from attaining a driver’s license due to prior offenses and assist these students in re-establishing their eligibility and securing a license prior to completion.

f. Pay all learner’s permit, license, and related test fees.
R23. Job Search Skills

Centers must provide each student with an introduction to basic job search skills within the first 60 days of enrollment. At least 45 days prior to projected graduation, centers must assess and counsel students to determine their capabilities and review job search skills and strategies. The topics must include at a minimum:

a. Identifying personal skills and attributes
b. Identifying sources of employment
c. Inquiring about a job over the telephone
d. Conducting an Internet job search
e. Completing a paper or electronic job application
f. Writing and updating a resume
g. Writing and updating a cover letter
h. Developing job survival skills
i. Interviewing for a job
j. Obtaining information on the apprenticeship, Advanced Training, post-secondary, and military application processes
k. Understanding worker’s rights and responsibilities (e.g., including reasonable accommodation in the workplace)
l. Identifying strategies for succeeding during the first weeks on the job
m. Knowing about the availability and support of Career Transition Services through Job Corps and One-Stop Career Centers/American Job Centers

R24. Transitional Needs Assessment

Centers must ensure a student’s MyPACE Career Plan and PARs are complete and all of the following transitional support needs have been addressed:

a. Housing
b. Transportation
c. Child care  
d. Health care  
e. Work clothing and tools  
f. Food and nutrition  
g. Budgeting/money management  
h. Mentoring/assessment and counseling  
i. Job retention support  
j. Legal services  
k. Federal funding for advanced education, as appropriate

**R25. Job Search Credentials**

Centers must assist students in assembling documents necessary for obtaining placement in the student’s career pathway mid-term goal, including originals of personal documents and those credentials that document the student’s accomplishments.

**R26. Career Pathway Development**

As aligned with MyPACE PAR requirements, centers must provide career pathway development, referral, and placement services to graduates as appropriate. For those graduates who entered the program with an employer referral, centers must notify the referring employer of the student’s upcoming graduation.

**R27. Transition Resources and Services**

At a minimum, centers must connect graduates and former enrollees with career transition resources appropriate to their needs and in accordance with the center CDSS Plan. Centers must:

a. Establish a personal connection between the potential graduate and the career transition services provider.

b. Initiate or support pre-graduation job search efforts.

c. Develop resources to meet transitional support needs.
EXHIBIT 3-1  
WORK-BASED LEARNING PROGRAM AGREEMENT TEMPLATE

WORK-BASED LEARNING PROGRAM AGREEMENT BETWEEN

Center Name: ____________________________________________________________
Address: ________________________________________________________________

and

Employer: _______________________________________________________________
Address: ________________________________________________________________
Telephone: ______________________________________________________________
Name of Supervisor: ______________________________________________________
Hours of Work: __________________________________________________________
Length of Employment/Training: ____________________________________________
Rate of Pay if applicable: _________________________________________________

The above-mentioned organizations are entering into an AGREEMENT to establish a Work-based Learning (WBL) Program with the stipulations outlined below. This document is not a contract or a legally binding document; rather, it is a Memorandum of Understanding (MOU) between the above-mentioned parties.

Job Corps students are considered employees of the federal government for purposes of medical coverage under the Federal Employees’ Compensation Act (FECA). Section 8143 of FECA states, “While students are enrolled in Job Corps, students are considered employees of the federal government for purposes of medical coverage under FECA. The ‘performance of duty’ does not include an act of an enrollee while absent from his assigned post of duty, except while participating in an activity (including an activity while on pass or during travel to or from the post duty) authorized by or under the direction and supervision of Job Corps.” In paid WBL situations, an employment relationship exists between the employer and the student; therefore, the provisions of the Fair Labor Standards Act are applicable.

There is no provision stated or implied in the Act that employers must provide additional insurance coverage for federal employees. While students are assigned to the work site, the WBL Coordinator is responsible for monitoring and working closely with the students and employers to provide training, weekly evaluations, and conflict resolution. The WBL employer should be advised to report all accidents and injuries that occur during the “performance of duty” directly to the Center Director or WBL Coordinator. In the event a student is injured while assigned to a WBL site, the student is covered under FECA. WBL employer must record the incident in accordance with the established injury recordkeeping system, and report the incident to the WBL Coordinator.

There are no specific general industry Occupational Safety and Health Administration (OSHA) standards for accident investigation. However, Job Corps centers are required to conduct accident investigations in the event of a fatality, major property damage or injuries, including
serious injuries resulting in hospitalization in accordance with 29 CFR 1960.29, “Basic Program Elements for Federal Employees OSHA.” In the event of a student fatality or serious injury, the work site and the WBL Coordinator are responsible for contacting OSHA and the Job Corps center within 8 hours. Job Corps and the work site should conduct an accident investigation to determine the root cause in order to implement corrective actions to prevent future occurrences.

The work site employer, Job Corps center, or Sovereign Nation is required to provide immediate access to OSHA Inspectors after an incident (OSHA Act of 1970, Sec.8 Inspections, Investigations, and Recordkeeping).

**Work-Based Learning Agreements**

**Job Corps Center agrees to:**

1) Request a copy of the WBL site’s last federal and/or state OSHA inspection to review violations. WBL Coordinator will contact site to determine if the identified hazards have been abated.
   - If site refuses to provide copy of inspection results, center WBL Coordinator will obtain general inspection and/or accident inspection data from the federal OSHA website at [http://www.osha.gov/oshstats/index.html](http://www.osha.gov/oshstats/index.html) or the state OSHA website.

2) Monitor all active WBL sites at least monthly to ensure that students are receiving quality training in a safe environment. Center instructors, Safety Officers, and other staff must visit active work sites on a regular basis to:
   - Observe and counsel students.
   - Observe the working environment for potential hazards.
   - Observe students’ use of safety work practices including use of proper protective equipment.
   - Ensure tool inventory, accountability, and security procedures are being followed.
   - Ensure that the training needs of the students are being met.
   - Determine, in collaboration with the work-site supervisor, what on-center activities might be needed to support training at the work site.

3) Implement a process for notifying the employer in the case of student absence (i.e., winter break, unexpected student absence, center function).

4) Record all accidents and injuries in the Employee’s Compensation Operations and Management Portal (ECOMP) within 7 days of supervisor notification.

5) Record and report all serious injuries or illnesses within 24 hours to the ECOMP coordinator, local director and local safety manager.

6) Implement a process for notifying the employer of potential safety hazards identified by students and/or center staff.

7) Coordinate with the employer to conduct an investigation of accidents resulting in serious
injuries and/or fatalities involving Job Corps students.

8) Document and record student WBL assignments and progress.

9) Provide the competencies (or sections of the student’s Electronic Training Achievement Record [e-TAR] in which the student will be trained at the work site).

10) Provide a process for notifying the center in the case of student absence or injury.

11) Provide a schedule/format for providing feedback to the center and the student about the student’s performance.

WBL Employer agrees to:

1) Provide the center WBL Coordinator with a copy of the latest federal and/or state OSHA inspection results upon request.

2) Provide direct supervision and workplace mentors to students.

3) Assist students in achieving agreed upon career technical and academic skills.

4) Provide a safe environment.

5) Support industry-specific certification requirements, as applicable.

6) Not discriminate against students with disabilities and to provide reasonable accommodation, as determined by applicable state and federal law.

7) The student must not displace employees at the work site.

8) Document student achievements and competencies.

9) Provide Center Safety Officer or other staff with routine escorted or unescorted access to student work areas to observe working/training conditions.

10) Adhere to all federal and state laws and regulations regarding employment and applicable safety and occupational health.

11) Coordinate with__________________________, Center Safety Officer, to conduct an investigation of accidents resulting in serious injuries and/or fatalities involving Job Corps students.

12) Provide the Occupational Safety and Health Administration (OSHA) with immediate access to the work site in the event of a student fatality or serious injury.

13) Provide all equipment, tools, and personal protective equipment and clothing needed to
perform the assigned duties that would normally be provided to employees.

14) Ensure full tool inventory, accountability, and security, ensuring that all tools are accounted for before the end of each class, or the end of each day if off-site, and ensuring that all tools that are unaccounted for are found and all tools are secured.

15) Inform the Center Director, or designee, of all accidents and injuries within 24 hours.

16) Adhere to the provisions of the Fair Labor Standards Act if an employment relationship exists between the employer and the student.

17) Report all accidents, damage, loss or destruction of property, or emergency immediately to ________, Center Director /designee, at ________ in order to provide a detailed report.

Center Director: ________________________________________ Date: _________________

WBL Coordinator: ________________________________ Date: _________________

Center Safety Officer: ________________________________ Date: _________________

Company CEO/Designee: ________________________________ Date: _________________

Company WBL Supervisor: ________________________________ Date: _________________

Company Safety Officer: ________________________________ Date: _________________
**EXHIBIT 3-2**

**NEW EDUCATIONAL FUNCTIONING LEVEL DESCRIPTORS FOR ADULT BASIC EDUCATION (ABE)**

**LITERACY/ENGLISH LANGUAGE ARTS**

**Introduction**

The Educational Functioning Level (EFL) descriptors for Literacy/English Language Arts are intended to guide both teaching and assessment for adult learners. They are divided into six EFLs: Beginning Literacy; Beginning Basic; Low Intermediate; High Intermediate; Low Adult Secondary; and High Adult Secondary. The descriptors do not provide a complete or comprehensive delineation of all of the skills at any given level but provide examples of the most critical concepts and skills for the level. The descriptors use the College and Career Readiness (CCR) Standards for Adult Education (CCR) as the foundation.

While these narrative descriptors address the most critical concepts for assessment and instruction for adult learners, lesson plans and test items should be based on additional critical concepts from State instructional frameworks and standards, as appropriate for the learner and State requirements.

The EFLs for Literacy/English Language arts are organized into reading, writing, speaking and listening, and language domains. Emphasis was placed on reading and writing because most instruction and assessment attention will be paid to these domains for ABE students. In addition, the descriptors were further informed by Office of Career, Technical, and Adult Education’s (OCTAE) Framework for Employability Skills to ensure the levels paid adequate attention to workforce preparation.

**Reading**

The reading sections of the descriptors are consistently more comprehensive than the other domains. Reading is a critical area for college and career readiness. One of the elements in the reading descriptors that draws clear distinctions between competencies required at each level is the complexity of the text that students are to be reading. The EFLs specify a staircase of increasing text complexity for students to master from beginning basic reading through the college and career readiness level. The comprehension skills of reading are to be applied to level-appropriate complex text. The reading domain elements of the descriptors carry within it references to other key skills from the other domains and workforce preparation skills.

Examples of this include listening comprehension as a supplement to reading comprehension at levels 1 and 2 so students can work with the richer ideas adult students can handle intellectually, if not yet independently through their own reading. It also includes integrating and evaluating information from a variety of media, including translating quantitative or technical information presented visually or in words. Learning to work with diverse media is an important job skill as well as a critical applied academic skill. Another example is an emphasis on research that

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1 These descriptors are included in the AEFLA information collection (OMB control number 1830-0027) and were implemented with Federal Register notice 82 FR 42339.
includes a combination of reading, writing, and speaking and listening skills—again as a way to connect the domains in important ways and to create the EFLs as a focused and useful document.

**Writing**

Details about the level of writing proficiency required at each level have been pared to draw clear distinctions between competencies required at each level. The descriptors emphasize writing arguments and writing to inform and explain from Level 3 and beyond. Both writing types stress writing to sources, and asking students to draw evidence from texts is emphasized in the descriptors. With writing, many of the process standards were not included because process proficiency is hard to measure. In addition, reference is consistently made to research skills in both the reading and writing sections of each level, as these skills are important to writing.

**Speaking and Listening**

The speaking and listening descriptors at each level are connected closely to workforce preparation and the Employability Skills Framework. These skills have the benefit of both being measurable and clearly related to citizenship, work and life success. Collaborative conversations and teamwork are emphasized at every level, as is students’ use of evidence. In this context of speaking and listening, the descriptors reflect use of listening comprehension capacities (particularly in Levels 1 and 2 to augment students’ lower reading comprehension abilities), evidence in conversation, ability to evaluate what others are saying, and the capacity to share information effectively with others.

**Language**

In the language domain, descriptors consistent with workforce preparation from the Employability Skills Framework and are vital to attaining college and career readiness from each level such as a growth in students’ grammar and punctuation skills, as well as their growth in vocabulary.

**Level 1: Beginning Literacy**

*Reading:* Individuals ready to exit the Beginning Literacy Level comprehend how print corresponds to spoken language and are able to demonstrate understanding of spoken words, syllables, and sound-letter relationships (phonetic patterns), including consonant digraphs and blends. In particular, students at this level are able to recognize and produce rhyming words, blend and segment onsets and rhymes, isolate and pronounce initial, medial, and final sounds, add or substitute individual sounds, and blend and segment single syllable words. They are able to decode two-syllable words following basic patterns as well as recognize common high frequency words by sight. Individuals are able to read simple decodable texts with accuracy, appropriate rate, and expression. They are able to determine the meaning of words and phrases in texts with clear and explicit context.

Individuals ready to exit this level are also able to determine main ideas, retell key details, and ask and answer questions about key details in simple texts. Individuals are also able to use the illustrations in the text(s), whether print or digital, to describe its key ideas (e.g., maps, charts, photographs, cartoons). They also are able to use text features, both print and digital, to locate
key facts or information. When listening to text above their current independent reading level, they are able to identify the reasons an author gives to support points in a text, describe the connections between ideas within a text, and examine the basic similarities in and differences between two texts on the same topic.

Writing: Individuals ready to exit the Beginning Literacy Level are able to write basic sight words and familiar words and phrases as they compose simple sentences or phrases. This includes writing simple informative texts in which they supply some facts about a topic and narratives that include some details regarding what happened. They use simple transition and temporal words to signal event order (e.g., so, and, because, when, next, finally). With support, they are able to gather and use information from provided sources, both print and digital, to answer a simple research question.

Speaking and Listening: Individuals ready to exit this level are able to participate in conversations of short duration, collaborating with diverse partners and groups, while respecting individual differences. This includes following agreed upon rules for discussion and responding to the comments of others through multiple exchanges. Individuals are able to describe people, places, things, and events with relevant details, producing complete sentences when appropriate to task and situation. They can discuss what they have heard read aloud and ask and answer questions about it.

Language: When writing and speaking, individuals ready to exit this level are able to correctly use frequently occurring nouns, verbs (past, present, and future), adjectives, pronouns, prepositions and conjunctions. When writing sentences individuals correctly use capitalization, ending punctuation, and commas in dates and to separate single words in a series. They are able to spell words with common patterns and frequently occurring irregular words. Other words they spell phonetically. In response to prompts, they are able to produce and expand complete simple and compound declarative, interrogative, imperative, and exclamatory sentences orally. Individuals are able to determine the meaning of unknown and multiple-meaning words, by applying their knowledge of frequently occurring roots and affixes, as well as sentence-level context. They are able to distinguish shades of meaning among verbs (e.g., look, glance, stare, glare) and adjectives differing in intensity (e.g., large, gigantic) by choosing them or acting out their meanings.

Level 2: Beginning Basic

Reading: Individuals ready to exit the Beginning Basic Level are able to decode multisyllable words, distinguish long and short vowels when reading regularly spelled one-syllable words, and recognize the spelling-sound correspondences for common vowel teams. They also are able to identify and understand the meaning of the most common prefixes and suffixes. They can read common irregular sight words. Individuals are able to read level appropriate texts (e.g., texts with a Lexile Measure of between 420 and 820) with accuracy, appropriate rate, and expression. They are able to determine the meaning of words and phrases in level-appropriate complex texts. Individuals ready to exit this level are able to determine main ideas, ask and answer questions about key details in texts and show how those details support the main idea. Individuals also are able to explain how specific aspects of both digital and print illustrations contribute to what is conveyed by the words of a text. They are able to compare and contrast the

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2 Refer to the Text Complexity Chart at the end of this document for the CCR standards for adult education for the appropriate range of complexity for this level.
most important points and key details of two texts on the same topic. When listening to text above their current independent reading level, they are able to describe the relationship between ideas in a text in terms of time, sequence, and cause/effect, as well as use text features and search tools, both print and digital, to locate information relevant to a given topic efficiently. They also are able to describe how reasons support specific points an author makes in a text and identify the author’s main purpose or what the author wants to answer, explain or describe, as well as distinguish their own point of view from that of the author’s.

**Writing:** Individuals ready to exit the Beginning Basic Level are able to write opinion pieces on topics or texts, supporting a point of view with reasons. They are able to write simple informative texts in which they examine a topic and convey information clearly. They also are able to write narratives with details that describe actions, thoughts, and feelings. They use transition and temporal words (e.g., also, another, more, but) to link ideas and signal event order. Individuals ready to exit this level are able to use technology to produce and publish writing as well as to interact and collaborate with others. They are able to conduct short research projects and summarize their learning in print. This includes taking brief notes from both print and digital sources, and sorting evidence into provided categories.

**Speaking and Listening:** Individuals ready to exit this level are able to participate in a range of collaborative conversations with diverse partners and groups, respecting individual differences. This includes gaining the floor in respectful way, linking their comments to the remarks of others, and expressing their own ideas, clearly in light of the discussions. Individuals are able to report on a topic or text or recount an experience, with appropriate facts, and relevant, descriptive details. They are able to speak in complete sentences appropriate to task and situation in order to provide requested detail or clarification. They can discuss what they have heard read aloud and provide the main ideas and appropriate elaboration and detail about the information presented.

**Language:** When writing and speaking, individuals ready to exit this level are able to correctly use regular and irregular nouns and verbs, comparative and superlative adjectives and adverbs, and coordinating and subordinating conjunctions. When writing simple, compound and complex sentences, individuals use correct subject-verb and pronoun-antecedent agreement. They also use correct capitalization, ending punctuation, commas, and apostrophes to form contractions and possessives. They also are able to spell words with conventional patterns and suffixes. They are able to use spelling patterns and generalizations (e.g., word patterns, ending rules) in writing words. In response to prompts, they are able to produce, expand, and rearrange simple and compound sentences. Individuals are able to determine the meaning of unknown and multiple-meaning words in level-appropriate complex texts, including academic words, by applying their knowledge of roots and affixes, as well as sentence-level context. They are able to distinguish literal from non-literal meaning of words, and shades of meaning among related words that describe states of mind or degrees of certainty (e.g., knew, believed, wondered, suspected). They are able to demonstrate understanding of and use general academic words that signal spatial and temporal relationships.

**Level 3: Low Intermediate**

**Reading:** Individuals ready to exit the Low Intermediate Level are able to read fluently
They are able to use knowledge of letter-sound correspondences, syllabication patterns, and roots and affixes to accurately decode unfamiliar words. They are able to determine the meaning of words and phrases (e.g., metaphors and similes) in level-appropriate complex texts. Individuals ready to exit this level are able to make logical inferences, summarize central ideas or themes, and explain how they are supported by key details. They are able to explain events, procedures, or ideas in historical, scientific, or technical texts, including what happened and why. They are able to describe the overall structure of a text and compare and contrast the structures of two texts. Individuals ready to exit this level are also able to interpret information presented visually, orally or quantitatively to find an answer to a question or solve a problem. They display this facility with both print and digital media. Individuals are able to explain how authors use reasons and evidence to support particular points in a text and can integrate information from several texts, whether print, media, or a mix, on the same topic. They are able to describe how point of view influences how events are described. They are able to analyze multiple accounts of the same event or topic, noting similarities and differences. They are able to produce valid evidence for their findings and assertions.

**Writing:** Individuals ready to exit the Low Intermediate Level are able to write opinion pieces on topics or texts, supporting a point of view with facts and logically ordered reasons. They are able to produce informative texts in which they develop a topic with concrete facts and details. They convey information clearly with precise language and well-organized paragraphs. They link ideas, opinions and reasons with words, phrases, and clauses (e.g., another, specifically, consequently, because). They are also able to use technology (including the Internet) to produce and publish writing as well as to interact and collaborate with others. They are able to conduct short research projects, making frequent use of on-line as well as print sources. This includes the ability to draw evidence from several texts to support an analysis. They are able to summarize or paraphrase information from and provide a list of those sources.

**Speaking and Listening:** Individuals ready to exit this level are able to participate in a range of collaborative conversations with diverse partners and groups, respecting individual differences. This includes demonstrating an understanding of teamwork and working well with others by carrying out their assigned roles, and posing and responding to specific questions, and making comments that contribute to, and elaborate on the remarks of others. Individuals are able to report on a topic or text or present an opinion, sequencing ideas logically and providing appropriate facts, and relevant, descriptive details that support the main ideas or themes. They are able to differentiate between contexts that call for formal English and situations where informal discourse is appropriate. They also are able to paraphrase and summarize what they have heard aloud, and explain how each claim is supported by reasons and evidence.

**Language:** When writing and speaking, individuals ready to exit this level are able to use verb tenses to convey various times, sequences, states, and conditions correctly and recognize inappropriate shifts in verb tense. They use prepositions, conjunctions, and interjections properly. Individuals write simple, compound and complex sentences and use correct subject-verb and pronoun-antecedent agreement throughout a piece of writing. They also use correct capitalization, commas, and underlining, quotation marks, and italics to indicate titles of works.

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3 Refer to the Text Complexity Chart at the end of this document for the CCR standards for adult education for the appropriate range of complexity for this level.
They are able to correctly use frequently confused words (e.g., to, too, two; there, their) and spell correctly, consulting references as needed. They are able to produce complete sentences, recognizing and correcting inappropriate fragments and run-ons as well as expand, combine and reduce sentences for meaning, reader interest and style. Individuals are able to determine the meaning of unknown and multiple-meaning words in level-appropriate complex texts, including academic words, by applying their knowledge of roots and affixes, as well as sentence-level context. Individuals are able to interpret figurative language, including similes and metaphors. They also are able to recognize and explain the meaning of common idioms, adages, and proverbs. They are able to demonstrate understanding of and use general academic words that signal precise actions or emotions (e.g., whined, stammered), signal contrast (e.g., however, nevertheless), or other logical relationships (e.g., however, similarly), and are basic to a particular topic (e.g. endangered when discussing animal preservation).

**Level 4: High Intermediate**

*Reading:* Individuals who are ready to exit the High Intermediate Level are able to read fluently text of the complexity demanded of this level (e.g., a Lexile Measure of between 925 and 1185). They display increasing facility with academic vocabulary and are able to analyze the impact of a specific word choice on meaning and tone in level-appropriate complex texts.

Individuals are able to make logical inferences by offering several pieces of textual evidence. This includes citing evidence to support the analysis of primary and secondary sources in history, as well as analysis of science and technical texts. They are able to summarize and analyze central ideas, including how they are conveyed through particular details in the text. They also are able to analyze how a text makes connections among and distinctions between ideas or events and how major sections of a text contribute to the development of the ideas. They also are able to follow multistep procedures. Individuals are able to identify aspects of a text that reveal point of view and assess how point of view shapes style and content in texts. In addition, they are able to evaluate the validity of specific claims an author makes through the sufficiency of the reasoning and evidence supplied in the text. This includes analyzing how an author responds to conflicting evidence or viewpoints. They are able to analyze how multiple texts address similar themes, including how authors acknowledge and respond to conflicting evidence or viewpoints and include or avoid particular facts. Individuals are also able to analyze the purpose of information presented in diverse media as well as integrate and evaluate content from those sources, including quantitative or technical information presented visually and in words. They are able to produce valid evidence for their findings and assertions, make sound decisions, and solve problems.

*Writing:* Writing in response to one or more text(s), individuals ready to exit this level are able to compose arguments and informative texts (this includes the narration of historical events, scientific procedures/experiments, or technical processes). When writing arguments, they are able to introduce claims, acknowledge alternate or opposing claims, support claims with clear reasons and relevant evidence, and organize them logically in a manner that demonstrates an understanding of the topic. When writing informative texts, individuals are able to examine a topic through the selection, organization, and analysis of relevant facts, concrete details,

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4 Refer to the Text Complexity Chart at the end of this document for the CCR standards for adult education for the appropriate range of complexity for this level.
quotations and other information to aid comprehension. Individuals create cohesion in their writing by clarifying the relationships among ideas, reasons, and evidence; using appropriate transitions; and including a logical progression of ideas, and maintaining consistency in style and tone. Individuals are able to use specific word choices appropriate for the topic, purpose, and audience. They also are able to use technology to produce and publish writing and link to and cite sources. They conduct short research projects, drawing on several sources. This includes the ability to draw evidence from several texts to support an analysis. It also includes the ability to locate and organize information, assess the credibility and accuracy of each source, and communicate the data and conclusions of others while avoiding plagiarism.

Speaking and Listening: Individuals ready to exit the High Intermediate level collaborate well as a member of team by building on others’ ideas, expressing their own clearly and maintaining a positive attitude. This includes following the rules for collegial discussions and decision-making and tracking progress toward specific goals and deadlines. It also includes the ability to pose questions that connect the ideas of several speakers and respond to others’ questions and comments with relevant evidence and ideas. During these discussions, individuals are able to qualify, alter, or justify their own views in light of the evidence presented by others. Just as in writing, individuals are able to delineate a speaker’s argument, evaluating the soundness of the reasoning and relevance of the evidence. They are able to identify when irrelevant evidence is introduced. They also are able to present their own claims and findings that emphasize salient points in a focused and coherent manner, with relevant evidence, valid reasoning, and well-chosen details. Individuals adapt their speech to a variety of contexts and tasks, demonstrating a command of formal English when indicated or appropriate.

Language: When writing and speaking, individuals ready to exit the High Intermediate level are able to ensure pronouns are in the proper case, recognize and correct inappropriate shifts in pronoun number and person, and correct vague or unclear pronouns. They know how to form all verb tenses, and recognize and correct inappropriate shifts in verb voice and mood. They know how to recognize and correct misplaced and dangling modifiers. They are able to adapt their speech to a variety of contexts and tasks when indicated. They are able to choose language that expresses ideas precisely and concisely, recognizing and eliminating redundancy and wordiness as well as maintaining consistency in style and tone. Though errors may be present, the meaning of their written and oral communications is clear. Individuals are able to determine the meaning of unknown and multiple-meaning words and phrases as they are used in level-appropriate complex texts through context clues, knowledge of affixes and roots, and use of reference materials.

Level 5: Low Adult Secondary

Reading: Individuals who are ready to exit Low Adult Secondary Level are able to read fluently texts that measure at the secondary level of complexity (e.g., a Lexile Measure of between 1050 and 1335). This includes increasing facility with academic vocabulary and figurative language in level-appropriate complex texts. This includes determining the meaning of symbols and key terms used in a specific scientific or technical context. They are able to analyze the cumulative impact of specific word choices on meaning and tone. Individuals are able to make logical and well-supported inferences about those complex texts. They are able to analyze the development of central ideas over the course of a text and explain how they are

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5 Refer to the Text Complexity Chart at the end of this document for the CCR standards for adult education for the appropriate range of complexity for this level.
refined by particular sentences, paragraphs, or portions of text. They are able to provide an objective summary of a text. They are able to analyze in detail a series of events described in text and determine whether earlier events caused later ones or simply preceded them. They also are able to follow complex multistep directions or procedures. Individuals are able to compare the point of view of two or more authors writing about the same or similar topics. They are able to evaluate the validity of specific claims an author makes through the sufficiency and relevance of the reasoning and evidence supplied. They also are able to identify false statements and fallacious reasoning. They are able to analyze how multiple texts address related themes and concepts, including challenging texts, such as seminal U.S. documents of historical and literary significance (e.g., Washington’s Farewell Address, the Gettysburg Address). In addition, they are able to contrast the findings presented in a text, noting whether those findings support or contradict previous explanations or accounts. Individuals are also able to translate quantitative or technical information expressed in words in a text into visual form (e.g., a table or chart) and translate information expressed visually or mathematically into words. Through their reading and research, they are able to cite strong and thorough textual evidence for their findings and assertions to make informed decisions and solve problems.

Writing: Writing in response to one or more text(s), individuals ready to exit this level are able to compose arguments and informative texts (this includes the narration of historical events, scientific procedures/experiments, or technical processes). When writing arguments, they are able to introduce precise claims, distinguish the claims from alternate or opposing claims, and support claims with clear reasons and relevant and sufficient evidence. When writing informative texts, they are able to examine a topic through the effective selection, organization, and analysis of well chosen, relevant, and sufficient facts appropriate to the audience’s knowledge of the topic. They use appropriate and varied transitions as well as consistency in style and tone to link major sections of the text, create cohesion, and establish clear relationships among claims, reasons, and evidence. Individuals use precise language and domain-specific vocabulary to manage the complexity of the topic. They are also able to take advantage of technology’s capacity to link to other information and display information flexibly and dynamically. They conduct short research projects as well as more sustained research projects to make informed decisions and solve problems. This includes the ability to draw evidence from several texts to support an analysis. It also includes the ability to gather and organize information, assess the credibility, accuracy, and usefulness of each source, and communicate the data and conclusions of others while avoiding plagiarism.

Speaking and Listening: Individuals ready to exit the Low Adult Secondary level are able to participate in a thoughtful, respectful, and well-reasoned exchange of ideas as a member of a team. As they collaborate with peers, they are able to set rules for collegial discussions and decision-making, clear goals and deadlines. They are able to propel these conversations forward by clarifying, verifying or challenging ideas that are presented, actively incorporating others into the discussion, responding thoughtfully to diverse perspectives, and summarizing points of agreement and disagreement. They also are able to qualify, alter, or justify their own views and understanding in light of the evidence and reasoning presented by others. Just as in writing, individuals are able to evaluate a speaker’s point of view, and in particular, assess the links among ideas, word choice, and points of emphasis and tone used. They also are able to present their own findings and supporting evidence clearly, concisely, and logically such that listeners can follow the line of reasoning. Individuals adapt their speech to a variety of contexts and tasks, demonstrating a command of formal English when indicated or appropriate.
**Language:** Individuals ready to exit the Low Adult Secondary level demonstrate strong control of English grammar, usage, and mechanics and use these elements to enhance the presentation of ideas both in speech and writing. This includes the use of parallel structure and the correct use of various types of phrases and clauses to convey specific meanings. They are able to adapt their speech to a variety of contexts and tasks when indicated. Though some errors may be present, meaning of their written and oral communications is clear. Individuals are able to determine the meaning of unknown and multiple-meaning words and phrases as they are used in level- appropriate complex texts through context clues, knowledge of affixes and roots, and use of reference materials.

**Level 6: High Adult Secondary**

**Reading:** Individuals who are ready to exit High Adult Secondary Level are able to read fluently at the college and career readiness level of text complexity (e.g., a Lexile Measure between 1185 and 1385). This includes increasing facility with academic vocabulary and figurative language sufficient for reading, writing, speaking, and listening at the college and career readiness level. They are able to analyze the cumulative impact of specific word choices on meaning and tone. Individuals are able to make logical and well-supported inferences about those complex texts. They are able to summarize the challenging ideas, concepts or processes contained within them. They are able to paraphrase texts in simpler but still accurate terms. Whether they are conducting analyses of complex primary and secondary sources in history or in scientific and technical texts, they are able to analyze how the ideas and concepts within them develop and interact. Individuals are able to assess how points of view shape style and content in texts with particular attention to distinguishing what is directly stated in a text from what is really meant (e.g., satire, sarcasm, irony, or understatement). Individuals are able to analyze how multiple texts address related themes and concepts, including challenging texts such as U.S. founding documents (Declaration of Independence, the Bill of Rights). In addition, they are able to compare and contrast treatments of the same topic in several primary and secondary sources. Individuals are also able to integrate and evaluate multiple sources of information presented in diverse media in order to address a question. Through their reading and research at complex levels, they are able to cite strong and thorough textual evidence for their findings and assertions to make sound decisions and solve problems.

**Writing:** Writing in response to one or more text(s), individuals ready to exit this level are able to compose arguments and informative texts (this includes the narration of historical events, scientific procedures/experiments, or technical processes). When writing arguments, they are able to create an organization that establishes clear relationships among the claim(s), counterclaim(s), reasons and evidence. They fully develop claims and counterclaims, supplying evidence for each while pointing out the strengths and limitations of both in a manner that anticipates the audience’s knowledge level and concerns. When writing informative texts, they are able to organize complex ideas, concepts, and information to make important connections and distinctions through the effective selection and analysis of content. They use appropriate and varied transitions to clarify the relationships among complex ideas, create cohesion, and link major sections of the text. Individuals are able to maintain a formal style while they attend to the norms and conventions of the discipline in which they are writing. They are also able to take advantage of technology’s capacity to link to other information and display information flexibly and dynamically. They conduct short research projects as well as more sustained research.

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6 Refer to the Text Complexity Chart at the end of this document for the CCR standards for adult education for the appropriate range of complexity for this level.
projects that require the synthesis of multiple complex sources to make informed decisions and solve problems. This includes the ability to draw evidence from several texts to support an analysis. It also includes the ability to gather and organize information, assess the credibility, accuracy, and usefulness of each source in answering the research question, noting any discrepancies among the data collected.

**Speaking and Listening:** Individuals ready to exit the High Adult Secondary level demonstrate flexibility, integrity, and initiative when collaborating as an effective member of a team. They are able to manage their time and other resources wisely in order to contribute to the team’s overarching goal(s) and meet the agreed upon deadlines. This includes the ability to exercise leadership, resolve conflicts as they arise, and pose and respond to questions that relate the current discussion to broader themes or larger ideas. They are able to express alternative views clearly and persuasively, verify or challenge others’ ideas and conclusions, and think creatively and critically in light of the evidence and reasoning presented. Just as in writing, individuals are able to evaluate a speaker’s point of view, stance, premises, evidence, reasoning, rhetoric, and tone. They also are able to present their own findings and supporting evidence clearly, concisely, and logically such that listeners can follow the line of reasoning, making strategic use of digital media. Individuals adapt their speech to a variety of contexts and tasks, demonstrating a command of formal English when indicated or appropriate.

**Language:** Individuals ready to exit the High Adult Secondary level demonstrate strong control of English grammar, usage, and mechanics and use these elements to enhance the presentation of ideas both in speech and writing. This includes the use of parallel structure and the correct use of various types of phrases and clauses to convey specific meanings. They are able to adapt their speech to a variety of contexts and tasks when indicated. The meaning of their written and oral communications is clear. Individuals are able to determine the meaning of unknown and multiple-meaning words and phrases as they are used in level-appropriate complex texts through context clues, knowledge of affixes and roots, and use of reference materials.

### Exhibit B.1. Quantitative Analysis Chart for Determining Text Complexity

<table>
<thead>
<tr>
<th>CCR Levels of Learning</th>
<th>ATOS</th>
<th>Degrees of Reading Power</th>
<th>Flesch-Kincaid</th>
<th>The Lexile Framework</th>
<th>Reading Maturity</th>
</tr>
</thead>
<tbody>
<tr>
<td>B (Level 2)</td>
<td>2.75–5.14</td>
<td>42–54</td>
<td>1.98–5.34</td>
<td>420–820</td>
<td>3.53–6.13</td>
</tr>
<tr>
<td>C (Level 3)</td>
<td>4.97–7.03</td>
<td>52–60</td>
<td>4.51–7.73</td>
<td>740–1010</td>
<td>5.42–7.92</td>
</tr>
<tr>
<td>D (Level 4)</td>
<td>7.00–9.98</td>
<td>57–67</td>
<td>6.51–10.34</td>
<td>925–1185</td>
<td>7.04–9.57</td>
</tr>
<tr>
<td>E (Level 6)</td>
<td>11.20–4.10</td>
<td>67–74</td>
<td>10.34–14.2</td>
<td>1185–1385</td>
<td>9.57–12.00</td>
</tr>
</tbody>
</table>

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7 This chart only identifies text complexity for levels B through E. At level A, students are just learning how to read, so it is not appropriate to focus on the complexity of the text until level B.
The EFL Descriptors for Mathematics also use the CCR as the foundation. They are intended to guide both teaching and assessment for adult learners. While these narrative descriptors address the most critical concepts for adult learners (as defined in the Major Work of the Level), there are additional concepts found in the CCR standards that support the major work for each level, and that are included in these descriptors. Lesson plans and assessment items for adult learners should be based on the full text of the CCR standards for each level, using these critical concepts as the foundation for lesson development and assessment.

The mathematics descriptors are divided into six educational functioning levels. The levels are Beginning Literacy (corresponding to Level A of the CCR); Beginning Basic (corresponding to Level B of the CCR); Low Intermediate (corresponding to Level C of the CCR); Middle Intermediate (corresponding to part of the Level D CCR), High Intermediate (corresponding to the remainder of the Level D CCR); and Adult Secondary (corresponding to Level E of the CCR). Each of the levels corresponds roughly to two grade levels, in K-12 terms, except for Level E, which combines the critical concepts of all of grades 9 through 12. Within each level the descriptors are further divided by domain: The Mathematical Practices, Number Sense and Operations, Algebraic Thinking, Geometry (and Measurement), and Data Analysis (Statistics and Probability).

The descriptors do not provide a complete or comprehensive delineation of all of the skills at any given level but provide examples of the most critical concepts and skills for the level to guide assessment and instruction. Assessment of the Mathematical Practice descriptors are best performed in the classroom using assessments that could be formative or summative and may be informal. It should be noted that mathematics placement decisions should take into account the reading level of the adult student. Verbally presented application problems at all mathematics levels require a minimum reading level.

Level 1: Beginning Literacy

The Mathematical Practices: Students prepared to exit this level are able to decipher a simple problem presented in a context and reason about and apply correct units to the results. They can visualize a situation using manipulatives or drawings and explain their processes and results using mathematical terms and symbols appropriate for the level. They recognize errors in the work and reasoning of others. They are able to strategically select and use appropriate tools to aid in their work, such as pencil/paper, measuring devices, and/or manipulatives. They can see patterns and structure in sets of numbers and geometric shapes and use those insights to work more efficiently.

Number Sense and Operations: Students prepared to exit this level have an understanding of whole number place value for tens and ones and are able to use their understanding of place value to compare two-digit numbers. They are able to add whole
numbers within 100 and explain their reasoning, e.g., using concrete models or drawings and strategies based on place value and/or properties of operations. They are able to apply their knowledge of whole number addition and subtraction to represent and solve word problems that call for addition of three whole numbers whose sum is less than 20 by using such problem-solving tools as objects, drawings, and/or simple equations.

**Algebraic Thinking:** Students prepared to exit this level understand and apply the properties of operations to addition and subtraction problems. They understand the relationship between the two operations and can determine the unknown number in addition or subtraction equations.

**Geometry and Measurement:** Students prepared to exit this level can analyze and compare 2-dimensional and 3-dimensional shapes based on their attributes, such as their shape, size, orientation, the number of sides and/or vertices (angles), or the lengths of their sides. They can reason with two-dimensional shapes (e.g., quadrilaterals and half- and quarter-circles) and with three-dimensional shapes (e.g., right prisms, cones, and cylinders) to create composite shapes. They are able to measure the length of an object as a whole number of units, which are not necessarily standard units, for example measuring the length of a pencil using a paper clip as the length unit.

**Data Analysis:** Students prepared to exit this level are able to organize, represent, and interpret simple data sets (e.g., lists of numbers, shapes, or items) using up to three categories. They can answer basic questions related to the total number of data points in a set and the number of data points in each category, and can compare the number of data points in the different categories.

**Level 2: Beginning Basic**

**The Mathematical Practices:** Students prepared to exit this level are able to decipher two-step problems presented in a context, visualizing a situation using diagrams or sketches, and reasoning about and applying the correct units and the proper degree of precision to the results. They can explain their processes and results using mathematical terms and symbols appropriate for the level and recognize errors in the reasoning of others. They strategically select and use the appropriate tools to aid in their work, such as pencil/paper, measuring devices, manipulatives, and/or calculators. They are able to see patterns and structure in sets of numbers, including in multiplication or addition tables, and use those insights to work more efficiently.

**Number Sense and Operations:** Students prepared to exit this level understand place value for whole numbers to 1000 and can use that understanding to read, write, count, compare, and round three-digit whole numbers to the nearest 10 or 100. They are able to compute fluently with all four operations with whole numbers within 100. They use place value and properties of operations to explain why addition and subtraction strategies work, and can demonstrate an understanding of the inverse relationship between multiplication and division. They can solve one- and two-step word problems involving all four operations within 100 and identify and explain arithmetic patterns. They have an understanding of fractions, especially unit fractions, and can represent simple fractions on a number line. They understand
and can explain equivalence of fractions, can recognize and generate simple equivalent fractions, and can compare two fractions with the same numerator or denominator by reasoning about their size.

**Algebraic Thinking:** Students prepared to exit this level apply the properties of operations to multiplication and division of whole numbers. They understand the relationship between multiplication and division and can determine the unknown number in multiplication or division equations.

**Geometry and Measurement:** Students prepared to exit this level are able to reason about geometric shapes and their attributes. They can demonstrate an understanding that different shapes might share common attributes (e.g., four sides) and can compare and classify two-dimensional shapes, particularly quadrilaterals. They are able to partition shapes into parts with equal areas and express the area of each part as a unit fraction of the whole. They can use common U.S. Customary and metric units for linear measurements (e.g., inches, feet, centimeters, and meters) and solve problems involving measurement and estimation of intervals of time, liquid volumes, and masses of objects. They understand the concept of area and can relate it to addition and multiplication to solve real-world problems. They also understand, and can solve, real-world and mathematical problems involving perimeter of polygons.

**Data Analysis:** Students prepared to exit this level are able to draw and interpret simple graphs (e.g., bar graphs, picture graphs, and number line diagrams) including scaled bar and picture graphs. They can solve one- and two-step problems using scaled bar graphs. They can generate measurement data by measuring lengths to the nearest half- and quarter-inch and display that data by making a line plot marked off in appropriate units.

**Level 3: Low Intermediate**

**The Mathematical Practices:** Students prepared to exit this level are able to decipher multi-step problems presented in a context and reason about and apply the correct units and the proper degree of precision to the results. They can visualize a situation using diagrams or sketches, see multiple strategies for solving a problem, explain their processes and results, and recognize errors in the work and reasoning of others. They can express themselves using mathematical terms and notation appropriate for the level and can strategically select and use tools to aid in their work, such as pencil/paper, measuring devices, and/or technology. They are able to see patterns and structure in sets of numbers and geometric shapes and use those insights to work more efficiently.

**Number Sense and Operations:** Students prepared to exit this level understand place value for both multi-digit whole numbers and decimals to thousandths, and use their understanding to read, write, compare, and round decimals. They are able to use their place value understanding and properties of operations to fluently perform operations with multi-digit whole numbers and decimals. They can find common factors, common multiples, and understand fraction concepts, including fraction equivalence and comparison. They can add, subtract, multiply and divide with fractions and mixed numbers. They are able to solve multi-
step word problems posed with whole numbers and fractions, using the four operations. They also have an understanding of ratio concepts and can use ratio language to describe a relationship between two quantities, including the concept of a unit rate associated with a ratio.

**Algebraic Thinking:** Students prepared to exit this level are able to apply and extend their understanding of arithmetic to algebraic expressions, using a symbol to represent an unknown value. They can write, evaluate, and interpret expressions and equations, including expressions that arise from formulas used in real-world problems. They can solve real-world and mathematical problems by writing and solving simple one-variable equations and write a simple inequality that represents a constraint or condition in a real-world or mathematical problem. They can represent and analyze quantitative relationships between dependent and independent variables.

**Geometry and Measurement:** Students prepared to exit this level have a basic understanding of the coordinate plane and can plot points (i.e., ordered pairs) and place polygons in the coordinate plane to solve real-world and mathematical problems. They can classify two-dimensional shapes and use formulas to determine the area of two-dimensional shapes such as triangles and quadrilaterals. They can determine the surface area of three-dimensional shapes composed of rectangles and triangles, and find the volume of right rectangular prisms. They are able to convert like measurement units within a given measurement system (e.g., convert 5 cm to 0.05 m) and use these conversions to solve multi-step, real-world problems. They are also able to solve measurement word problems (such as those that involve area, perimeter, distance, time intervals, liquid volumes, mass, and money) that involve simple fractions or decimals.

**Data Analysis and Statistics:** Students prepared to exit this level have a basic conceptual understanding of statistical variability, including such concepts as center, spread, and the overall shape of a distribution of data. They can present data using displays such as dot plots, histograms, and box plots.

**Level 4: Middle Intermediate**

**The Mathematical Practices:** Students prepared to exit this level are able to think critically, determine an efficient strategy (from among multiple possible strategies) for solving a multi-step problem, and persevere in solving challenging problems. They can express themselves using the mathematical terms and notation appropriate to the level. They are able to defend their findings and critique the reasoning of others. They are accurate in their calculations and use estimation strategies to assess the reasonableness of their results. They can create algebraic and geometric models and use them to answer questions and solve problems. They can strategically select and use tools to aid in their work, such as pencil/paper, measuring devices, calculators, and/or spreadsheets. They are able to see patterns and structure in number sets, data, expressions and equations, and geometric figures.

**Number Sense and Operations:** Students prepared to exit this level have an understanding of the rational number system, including how rational numbers can be represented on a number line and pairs of rational numbers can be represented on a coordinate plane. They can apply the concept of absolute value to find horizontal and vertical distances.
They are able to apply the properties of integer exponents and evaluate, estimate, and compare simple square roots and cube roots. Individuals at this level also understand ratio, rate, and percent concepts, as well as proportional relationships.

**Algebraic Thinking:** Students prepared to exit this level understand the connections between proportional relationships, lines, and linear equations. They understand numerical and algebraic expressions, and equations and are able to use them to solve real-world and mathematical problems. They are able to analyze and solve linear equations and pairs of simultaneous linear equations. Individuals at this level are able to define, interpret, and compare linear functions.

**Geometry:** Students prepared to exit this level can solve real-world and mathematical problems that involve angle measure, circumference, and area of 2-dimensional figures. They are able to solve problems involving scale drawings of 2-dimensional geometric figures. They understand the concepts of congruence and similarity with respect to 2-dimensional figures. They understand the Pythagorean Theorem and can apply it to determine missing lengths in right triangles.

**Statistics and Probability:** Students prepared to exit this level can summarize and describe numerical data sets in relation to their context, including determining measures of center and variability and describing patterns and/or striking deviations from patterns. They understand and can apply the concept of chance, or probability. They are able to use scatter plots for bivariate measurement data to describe patterns of association between two quantities (such as clustering, outliers, positive or negative association, linear or non-linear association).

**Level 5: High Intermediate**

**The Mathematical Practices:** Students prepared to exit this level are able to think critically, determine an efficient strategy (from among multiple possible strategies) for solving a multi-step problem, and persevere in solving challenging problems. They can reason quantitatively, including using units as a way to solve problems. They are able to defend their findings and critique the reasoning of others. They are accurate in their calculations and use estimation strategies to assess the reasonableness of their results. They can create algebraic and geometric models and use them to answer questions and solve problems. They can strategically select and use tools to aid in their work, such as graphing calculators, spreadsheets, and/or computer software. They are able to make generalizations based on patterns and structure they discover in number sets, data, expressions and equations, and geometric figures and use these insights to work more efficiently.

**Number Sense and Operations:** Students prepared to exit this level can reason about and solve real-world and mathematical problems that involve the four operations with rational numbers. They can apply the concept of absolute value to demonstrate on a number line their understanding of addition and subtraction with negative and positive rational numbers. Individuals at this level can apply ratio and percent concepts, including using rates and proportional relationships to solve multi-step real-world and mathematical problems.
Algebraic Thinking: Students prepared to exit this level are able to use algebraic and graphical representations to solve real-world and mathematical problems, involving linear equations, inequalities, and pairs of simultaneous linear equations. Individuals at this level are able to use linear functions to describe, analyze, and model linear relationships between quantities.

Geometry: Students prepared to exit this level can solve real-world and mathematical problems that involve volume and surface area of 3-dimensional geometric figures. They can use informal arguments to establish facts about various angle relationships such as the relationships between angles created when parallel lines are cut by a transversal. They apply the Pythagorean Theorem to determine lengths in real-world contexts and distances in the coordinate plane.

Statistics and Probability: Students prepared to exit this level can use random sampling to draw inferences about a population and are able to draw informal comparative inferences about two populations using measures of center and measures of variability for numerical data from random samples. They can develop, use, and evaluate probability models. They are able to use scatter plots for bivariate measurement data to interpret patterns of association between two quantities (such as clustering, outliers, positive or negative association, linear or non-linear association) and a 2-way table to summarize and interpret bivariate categorical data.

Level 6: Adult Secondary

The Mathematical Practices: Students prepared to exit this level are able to think critically, make assumptions based on a situation, select an efficient strategy from multiple possible problem-solving strategies, plan a solution pathway, and make adjustments as needed when solving problems. They persevere in solving challenging problems, including considering analogous, simpler problems as a way to solving a more complex one. They can reason quantitatively, including through the use of units, and can express themselves using the precise definitions and mathematical terms and notation appropriate to the level. They are accurate in their calculations, use an appropriate level of precision in finding solutions and reporting results, and use estimation strategies to assess the reasonableness of their results. They are able to make conjectures, use logic to defend their conclusions, and can detect faulty thinking and errors caused by improper use of technology. They can create algebraic and geometric models and use them to answer questions, interpret data, make predictions, and solve problems. They can strategically select and use tools, such as measuring devices, calculators, spreadsheets, and/or computer software, to aid in their work. They are able to see patterns and structure in calculations, expressions, and equations and make connections to algebraic generalizations, which they use to work more efficiently.

Number Sense and Operations: Students prepared to exit this level have extended their number sense to include irrational numbers, radicals, and rational exponents and understand and use the set of real numbers. They are able to assess the reasonableness of calculation results based on the limitations of technology or given units and quantities and give results with the appropriate degree of precision.
**Algebraic Thinking:** Students prepared to exit this level understand the structure of expressions and can use that structure to rewrite linear, exponential, and quadratic expressions. They can add, subtract, and multiply polynomials that involve linear and/or quadratic expressions. They are also able to create linear equations and inequalities and quadratic and simple exponential equations to represent relationships between quantities and can represent constraints by linear equations or inequalities, or by systems of linear equations and/or inequalities. They can interpret the structure of polynomial and rational expressions and use that structure to identify ways to rewrite and operate accurately with them. They can add, subtract, and multiply polynomials that extend beyond quadratics. They are able to rearrange formulas to highlight a quantity of interest, for example rearranging Ohm’s Law, \( V = IR \), to highlight resistance \( R \). They are also able to create equations and inequalities representing relationships between quantities, including those that extend beyond equations or inequalities arising from linear, quadratic, and simple exponential functions to include those arising from simple rational functions. They are able to use these equations/inequalities to solve problems both algebraically and graphically. They can solve linear equations and inequalities; systems of linear equations; quadratic, simple rational, and radical equations in one variable; and recognize how and when extraneous solutions may arise.

Students prepared to exit this level also have a basic understanding of functions, can use function notation properly, and use such notation to write a function describing a relationship between two quantities. They are able to evaluate functions for inputs in their domains and interpret linear, quadratic, and exponential functions that arise in applications in terms of the context. They are able to construct, graph, compare, and interpret functions (including, but not limited to, linear, quadratic, and exponential). They can sketch graphs given a verbal description of the relationship and identify and interpret key features of the graphs of functions that arise in applications in a context. They are able to select or define a function that appropriately models a relationship and to compare properties of two functions each represented in a different way (algebraically, graphically, numerically in tables, or by verbal description).

**Geometry:** Students prepared to exit this level can solve problems involving similarity and congruence criteria for triangles and use volume formulas for cylinders, pyramids, cones, and spheres to solve problems. They can apply the concepts of density based on area and volume in modeling situations (e.g., persons per square mile, BTU’s per cubic foot).

**Data Analysis and Statistics:** Students prepared to exit this level can summarize, represent, and interpret data based on two categorical and quantitative variables, including by using frequency tables. They can compare data sets by looking at commonalities and differences in shape, center, and spread. They can recognize possible associations and trends in data, in particular in linear models, and distinguish between correlation and causation. They interpret one- and two-variable data, including those with linear and non-linear relationships. They interpret the slope (rate of change) and intercept (constant term) for a line of best fit and in the context of the data. They understand and account for extreme points of data in their analysis and interpret relative frequencies (joint, marginal, and conditional).
APPENDIX 301
TESTS OF ADULT BASIC EDUCATION (TABE®)8
REQUIREMENTS AND INSTRUCTIONS

Background and Purpose of Standardized Testing

The National Reporting System for Adult Education (NRS) is the performance accountability system for all federally-funded adult education programs under the Workforce Innovation and Opportunities Act (WIOA), the legislation that authorizes the Job Corps program. The Tests of Adult Basic Education (TABE) forms 11/12 is recognized as a valid instrument by NRS for reporting WIOA Measurable Skill Gains Rate for literacy and numeracy, two factors by which the program’s effectiveness in achieving positive outcomes for Job Corps participants is assessed.

The purpose of this appendix is to describe Job Corps’ policy for entry and follow-up online TABE testing and the use of Forms 11/12 edition of TABE. This appendix also clarifies related testing and record keeping issues.

General Guidelines

1. With the exception of Job Corps centers in Puerto Rico, all TABE subtests, including the locator test, all levels of the initial test, and all levels of all subsequent tests, will be administered in English. The use of supplemental translations in any language is prohibited.

2. With the exception of Job Corps centers in Puerto Rico, all TABE Reading and Math subtests, including the locator test and levels E, M, and D of the initial and subsequent tests, will be administered using the TABE 11/12 INSIGHT™ online testing platform. Puerto Rico centers will use the TABE Español Forms 7/8, Levels E and M, to be administered online through Student Testing System (STS). The use of paper/pencil tests is prohibited.

Please refer to the TABE 11/12 Administrator’s Guide for additional guidelines and procedures to follow in these instances.

Responsibility

It is the responsibility of the Center Director to ensure center compliance with all aspects of TABE policy, including the use of the INSIGHT™ online testing platform for testing, and that:

1. The center has a TABE 11/12 Administrator designated in writing. The TABE 11/12 Administrator must meet the minimum qualification and complete the certification requirements specified in Job Corps’ Guide for Test Administrators before administering the

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8 CTB’s Tests of Adult Basic Education (TABE®), hereinafter referred to as “TABE.”
TABE 11/12/ tests. All required certification documents must be signed as specified in the Guide and placed in the employee’s HR files;

2. The center has a certified back-up TABE 11/12 Administrator designated in writing. The back-up Administrator must meet the qualifications and certification requirements for TABE administration;

3. The center has written approval from the Regional Office if more than one TABE Administrator and one back-up Administrator are designated;

4. The TABE 11/12 Administrator and back-up Administrator must be certified annually by the beginning of each program year before administering any test. Annual certifications must be reported to the Regional Offices by July 1 each program year;

5. To maintain test integrity, no academic teacher is designated as TABE Administrator or back-up Administrator. The TABE Administrator and back-up Administrator are, under no circumstances, allowed to show the test to any staff or student other than the test taker.

6. The center has a designated facility for TABE testing, and all testing equipment, materials, access codes, and records are kept secure;

7. Tests are properly scheduled and administered;

8. Students are enrolled in CIS and their photos saved in the database prior to taking the TABE for proper verification and accountability. TABE Administrators verify and confirm each student’s identity prior to administering the tests; and

9. STS Testing Tickets are signed and dated by individual students and returned to the TABE Administrator at the end of each test; the staff person administering the test(s) signs/initials the STS Testing Tickets. STS testing tickets contain information regarding test accommodations and must be handled in confidence; signed STS Testing Tickets must be forwarded to the Records Department at the end of the testing day and filed in each student’s permanent record. Each center’s procedures for scheduling, administering, and documenting student tests should be included in the center’s Career Development Services System (CDSS) Plan as described in Chapter 5, Section 5.1, R3.c and R8.c.

The Center Director may delegate the responsibility to a center testing administrator/coordinator or to the center’s senior education administrator. The delegation must be in writing and the person delegated must ensure coordination with the supervisor of student records and all appropriate staff.

Requirements and Instructions

1. **Determining Test Level:** All centers are required to administer, during initial testing, the TABE 11/12 locator tests for reading and math to all students. The locator tests will be
scored automatically, and the appropriate Level (E, M, D, or A) of the TABE Reading and Math subtests will be assigned to each student (TABE Español Forms 7/8 Levels E and M for Puerto Rico centers).

2. **Initial Testing:** All students must be given the reading and math subtests of the TABE 11/12 (TABE Español Forms 7/8 for Puerto Rico centers) within the first 21 calendar days of enrollment except for a specific sub-group of Limited English Proficient (LEP) students. All students identified as LEP will take an initial English language assessment (CASAS, BEST, CLAS-E) within 14 days of enrollment. Students who score at the “Beginning ESL Literacy Level” on this language assessment (according to the “National Reporting System for Adult Education: Test Benchmarks for Educational Functioning Levels” [see https://nrsweb.org/solr-search?search_api_views_fulltext=test+benchmarks&field_resource_type=All&field_tta_training_type=All, select Test Benchmarks for NRS Educational Functioning Levels]) must be granted an extension of 90 days from enrollment to take the initial TABE. Those LEP students who score above the “Beginning ESL Literacy Level” must still take the TABE test within 21 days of enrollment.

Each subtest will be scored automatically as soon as the student completes it, and initial TABE scores of individual students can be accessed through CIS/STS.

3. **Follow-up Testing:** Current students will be identified automatically and scheduled for follow-up testing through CIS/STS. Each student’s Educational Functioning Level (EFL) for Reading and Math subtests will determine the level of TABE to be administered for follow-up testing. The center must provide a minimum of 60 hours of instruction in the content area before administering a follow-up test.
   - Required hours of instruction and follow-up testing must be completed within 90 days of the previous test date.
   - Certified teachers must provide at least 40 hours of the 60 hours of instruction that are required before a TABE follow-up test can be administered.
   - Non-certified teachers, and others under the direction or supervision of a certified teacher, may provide up to 20 hours of the required 60 hours of instruction. If academic instruction is delivered by a certified CTT instructor, the center may not claim both a CTT hour and an academic hour for the same hour of instruction.
   - All instruction must be targeted to the skill deficiencies identified in the student’s Individual Profile Report.
   - Recommended best practice is to provide uninterrupted and continuous learning so that learning loss is minimized.

The Test Administrator(s) will be responsible for maintaining a weekly list of students needing follow-up testing, using the CIS/STS-generated follow-up testing rosters. The names of students who fail to test when scheduled for follow-up testing will continue to be listed on the testing roster until follow-up testing has been completed or the student is separated.

The only students exempt from the required follow-up testing will be:
   - Students who, on an initial or follow-up TABE Level M or D, attained an EFL 5 for
Reading and an EFL 6 for Math. Centers have the discretion to continue testing students who have attained these levels.

- Puerto Rico center students who, on an initial or follow-up TABE Español Level E or M, attained EFL Level 5 for Reading and EFL Level 5 for Math. Centers have the discretion to continue testing students who have attained these levels;
- Students who have documentation of a cognitive disability, identified, for example, in a student’s most recent Individualized Education Plan (IEP), 504 plan, or medical psycho-educational documentation, who have failed to make progress as evidenced by results of three follow-up TABE Reading or Math subtests, and have participated in a formal process to waive further TABE testing (outlined in Section 5 below). Failure to progress on TABE subtest results is defined as not posting an EFL gain for three consecutive Math or Reading follow-up subtests with accommodations; or
- Students participating in research projects using alternative tests or test schedules that have been authorized by the National Director of Job Corps.

4. **Provisions for Students with Disabilities**: Students with documentation of disabilities may require accommodations for taking initial and subsequent Tests of Adult Basic Education. The provision of reasonable accommodation is mandated by applicable federal and state statutes and regulations for qualified individuals with a disability. Individuals with a disability are those who have a physical or mental impairment that substantially limits one or more of their major life activities.

The center’s Reasonable Accommodations Committee (RAC), which includes the student with a disability, will determine any necessary TABE testing accommodations for that student and input them into the student’s Accommodation Plan in CIS. The center disability coordinator and co-coordinator are the only center staff members who will have access to the Accommodation Plan Data Entry Screen to enter a student’s testing accommodations. TABE testing accommodations entered into the Accommodation Plan Data Entry Screen will automatically appear on the STS Test Accommodations Screen. The TABE test administrator will be responsible for completing the STS Test Accommodations window in the Testing module. The approved accommodations will automatically print on the student’s STS Testing Ticket.

Accommodations for assessments like TABE generally fall into the following categories: changes to the methods of presentation of the test (e.g., oral reading of test directions); changes to the methods of response to the test (e.g., use of paper/pencil test and answer sheet); test setting (e.g., taking the test in small rather than large groups); and test timing (e.g., extension of time to take a test). Testing accommodations must only be provided with documentation of the disability unless the disability is obvious (for example, a student is blind and needs either an oral administration or a Braille administration of the TABE, as appropriate). The documentation of the disability must contain information that supports the need for the testing accommodations.

See the “Reasonable Accommodation Guidelines” on the Disability website within the Job Corps Community website for more information about the types of documentation that are acceptable.
Provision of appropriate and reasonable accommodations should take into account the following:

- The TABE Administrator needs to plan for students receiving extended time to ensure that they have a reasonable amount of time to complete the test within the same day. Different parts of the test could be broken up into different days, if necessary, but an individual test section should be completed on the day of testing to avoid compromising the content and security of the test data.
- Centers must provide a designated area, preferably at a location that can be observed by staff, where students needing frequent breaks as an accommodation can be monitored to ensure test integrity.
- Centers must follow procedures specified in the “TABE 11/12 Student Testing System Administrator’s Guide” regarding the use of paper/pencil versions of the tests as an accommodation.
- Centers may decide to extend the time between follow-up TABE tests for students with documented cognitive disabilities beyond the scheduled 90-day test date.

5. Exemption of Students with Documented Cognitive Disabilities from Follow-Up TABE Testing: Centers must follow the guidelines below for waiving follow-up TABE testing for students with cognitive disabilities:

- The student must have documentation of a specific cognitive disability that could impact his or her learning/testing abilities, with or without accommodation.
- Each student’s case must be reviewed by the center’s reasonable accommodations committee. RAC participants are identified on the Job Corps Disability website located on Job Corps’ Community website. For the purposes of developing a request for the TABE test waiver, the team should include, at a minimum, the Center Disability Coordinator, Center Director, Special Education Supervisor or instructor (if available), representatives of departments directly impacted by the request, and center Health and Wellness staff.
- Criteria to be considered include a high school diploma earned prior to or during attendance on center; student’s EFL; specific cognitive disability and previous IEPs and/or 504 plans; student’s learning style; student’s attendance and participation in academic classes; instructional strategies and methods utilized by instructors; test preparation approaches utilized; testing and learning accommodations provided; and number of TABE tests taken and scores. Appendix 303 provides a checklist for student exemptions from follow-up TABE testing for students with documented cognitive disabilities and a “Sample Form for Disability Waiver From TABE Testing.”
- After all data have been gathered and a decision has been made to waive further TABE testing, a request must be sent to the Regional Office (RO) for final approval or disapproval. The request must be accompanied by supporting documentation as identified in Appendix 303.

NOTE: Students with documented disabilities who qualify for exemption from further TABE testing will remain in the pool for tracking literacy and numeracy gains for Outcome Measurement System (OMS) purposes. The students will also continue to receive instruction in reading and math unless they have earned a high school diploma or High School
Equivalency (HSE) certificate prior to or during enrollment in Job Corps. This instruction can occur in specific reading and math classes, in high school diploma or HSE programs, in evening/weekend studies programs, or through applied academic skills training in career technical programs. Instruction in reading and math will involve a minimum of 25% of the time in a student’s training week.

Instruction must be documented by academic and career technical training instructors and monitored by the center’s RAC, and copies of documented instruction must be kept in the student’s file. Students will continue in reading and math instruction until they complete the competency requirements for reading or math (as determined by the center and documented in the center’s CDSS Plan, in accordance with Chapter 5, Section 5.1, R8, Chapter 3, Section 3.2, R1-R2, and Chapter 6, Section 6.4, R9).

Completion of competency requirements must be documented by the instructors and approved by the RAC.

6. **Recording TABE Test Data and Other Recordkeeping:** All TABE test data are automatically recorded in CIS/STS. Validity ranges for TABE tests will only be used to determine appropriate follow-up TABE levels, not for re-testing. Validity ranges are programmed into STS and appropriate levels of follow-up tests are automatically assigned to students. The ranges are also listed in the Job Corps “TABE 11/12 Student Testing System Administrator’s Guide.”

The *Documentation of 60 Hours of Instruction* form (Form 3-07) must be submitted to the test administrator when a student’s 60 hours of instruction is completed. This form replaces the four documents that were previously required by JCDC Notice 19-039 (August 23, 2019). Centers must complete and file as directed on the form.
APPENDIX 302
GUIDELINES FOR THE ACCREDITATION OF
JOB CORPS HIGH SCHOOL PROGRAMS

Accreditation is defined as a process to assure that an entity meets standards established by a group of external experts. It refers to the recognition of an educational institution as maintaining certain standards.

In order to assure the quality of high school programs offered to students at Job Corps centers, these programs must be recognized by the following accrediting agencies:

1. State or commonwealth regulatory agency responsible for academic learning at the secondary level such as the state commissioner, the state board of education, the general council of education, or the state department of education in the state where the high school program is located; or

2. One of the following six regional accrediting bodies recognized by the U.S. Department of Education as reliable authorities as to the quality of education or training provided by institutions of higher education and the higher education programs they accredit. These regional bodies accredit nearly all secondary programs that are academic in nature:


   b. The Middle States Association of Schools and Colleges, which serves the District of Columbia, Delaware, Maryland, New Jersey, New York, Pennsylvania, Puerto Rico, and the Virgin Islands. Information on secondary accreditation can be found on the association’s Commission on Secondary Schools website at https://www.msa-cess.org/.  

   c. The North Central Association, an accreditation division of AdvancED, accredits high schools in all 50 states. Information on the association’s accreditation process can be found at www.advanc-ed.org.

   d. The Southern Association of Colleges and Schools, an accreditation division of AdvancED, accredits all high schools in all 50 states. Information on the association’s accreditation process can be found at www.advanc-ed.org.

   e. The Northwest Accreditation Commission, which serves Alaska, Idaho, Montana, Nevada, Oregon, Utah, and Washington. Information on the association’s accreditation process can be found on the Commission on Schools website at www.northwestaccreditation.org.
f. The Western Association of Schools and Colleges, which serves California and Hawaii. Information on accreditation can be found on the association’s Accrediting Commission for Schools website at www.acswasc.org.

3. If the high school is a charter school, it must be accredited according to the provisions of charter school legislation in the state where it is located.

4. If the high school is an online school and/or offers part or all of its program online, it must:
   a. Meet the requirements specified in 1 or 2 above
   b. Be accredited by the Distance Education Accrediting Commission (DEAC), http://www.deac.org.
APPENDIX 303
CHECKLIST FOR EXEMPTION FROM TESTS OF ADULT BASIC EDUCATION FOR STUDENTS WITH DOCUMENTED COGNITIVE DISABILITIES
AND
FORM FOR REQUEST FOR A DISABILITY WAIVER FROM TABE TESTING

Centers must use the following checklist prior to recommending that a student with a cognitive disability be exempt from follow-up Tests of Adult Basic Education (TABE) testing. Eligible students are those who have documentation of cognitive disabilities and who have not shown progress (at least one Educational Functioning Level (EFL) gain) in their last three TABE exams combined. The completed checklist and supporting documentation must accompany the request to the Regional Office (RO) for exemption from further TABE testing.

Review checklist:

☐ Student has documentation of a cognitive disability (identified, for example, in a student’s most recent Individualized Education Plan (IEP), 504 Plan, or medical psycho-educational documentation) that could impact his or her learning/testing with or without accommodations.

☐ Student’s case has been reviewed by center’s Reasonable Accommodation Committee (RAC).

Criteria considered:

☐ High school diploma earned prior to, or during, stay on the Job Corps center

☐ TABE history including patterns of score improvement, if applicable

☐ Previous IEPs, 504 Plans, or medical/psycho-educational documentation reviewed and included accommodations/strategies used, as appropriate

☐ General and testing accommodations that have been provided to the student while in Job Corps (i.e., review of effectiveness of accommodations, changes to accommodation plan, etc.)

☐ Instruction and support provided in consideration of student’s learning style (as one component of instructional delivery)

☐ Teaching techniques and strategy use utilized by instructors

☐ Student’s attendance and participation in academic classes

☐ Test preparation class completed

The center will prepare a summary statement showing how the criteria above were considered in making the request to waive further TABE testing. This summary will be included with the Disability Waiver Form to be sent to the Regional Office (RO) for final approval or disapproval.
FORM FOR REQUEST FOR A DISABILITY WAIVER FROM TABE TESTING

[Student’s name and I.D. number], has documentation indicating a specific cognitive disability. This student has been provided varied teaching techniques and reasonable accommodations to obtain the required Tests of Adult Basic Education (TABE) Educational Functioning Levels. Despite this assistance, the student has been unable to reach the required level after three subsequent TABE tests combined. TABE retesting is causing the student frustration and the student has requested that no further testing be done in the area(s) of [Reading or Math]. This is supported by the Center Director, Disability Coordinator(s), Academic Instructors, and the Career Development Counselor as indicated by the attached document. The attached documentation includes:

1. a copy of the cognitive disability documentation,
2. a copy of the accommodation plan,
3. minutes of the Reasonable Accommodation Committee meeting along with documentation of ongoing accommodation effectiveness monitoring,
4. the TABE Exemption for Students with Documented Cognitive Abilities Checklist (e.g., TABE Exemption Checklist),
5. a TABE test history printout, and
6. a statement indicating how criteria on the TABE Exemption Checklist were used in making the recommendation that the student be exempt from further TABE testing.

A waiver to exempt this student from further TABE testing is requested.

Center Director
Date

Disability Coordinator
Date

REGIONAL OFFICE REVIEW:

Regional Director or Designee
APPROVED
Date

Regional Director or Designee
NOT APPROVED
Date
This form will be sent from the center to the Regional Office (RO) for approval and returned to the center to be kept in the student’s file. Regional Offices (ROs) will have assistance from the National Office in approving waivers as needed.
APPENDIX 304
ACCEPTABLE WORK-BASED LEARNING ACTIVITIES

To meet the requirements for work-based learning (WBL), centers must assign students to acceptable WBL activities that meet or exceed the following:

Field Trips. Well-planned field trips to businesses and industries provide students with exposure to workplaces and are excellent opportunities for career exploration. In the Career Preparation Period (CPP), these trips must be structured so that students are not only able to observe workers and their activities, but also to discuss topics such as the knowledge and skills required by the jobs, the challenges faced by workers, and career pathways. In the Career Development Period (CDP), field trips must be planned around specific jobs/tasks that may not be readily available for students to experience, to provide students exposure to specialized technology or equipment being used in the industry (e.g., a field trip for students in cement masonry or heavy equipment operation to observe a concrete pour/finishing on a bridge construction or a field trip to an industry convention in the local area).

Job Shadowing. Students typically engage in job shadowing as part of their career exploration activities during CPP. The student “shadows” an employee or worker at a work site to learn more about a particular occupation or industry. Similar to field trips, job shadowing activities must be structured and supported by in-class preparation and follow-up to help students make the connection between the experience and their academic coursework, career technical choices, career success skills development, and/or future educational options. Students in CDP also benefit from job shadowing in helping them refine their My Pathway to Achieving Career Excellence (MyPACE) Career Plan goals, complete their Pathway Achievement Record (PAR) and prepare for other WBL engagements. Students can obtain WBL credit through participation in the Annual Groundhog Job Shadow Day.

Career Technical Skills Training. Students who are training in career technical areas eligible for Career Technical Skills Training (CTST) funding can be assigned to work on CTST projects, except for construction mock-ups, as part of their WBL assignment. Students must be involved in CTST project planning and evaluation, as feasible.

Service Learning and Special Projects. Students training in career technical areas that are not eligible for CTST funding can obtain WBL credit through service learning and special projects. For volunteer community service to qualify as a service learning project, the objectives of the community service must be linked with learning objectives. Centers must ensure that the activity focuses on the students’ acquisition and comprehension of values, skills, and knowledge content related to their PCDP goals during their participation in the project. Special projects are a means for centers to take advantage of opportunities to participate in special events in their local area. Students must be engaged in assignments that are directly related to their career technical training to obtain WBL credit. The staff assigned as project coordinator should evaluate the quality of student participation in these projects.

Center-Based Enterprises. A center-based enterprise engages students in producing goods and services as part of their Job Corps training. These experiences must be structured to provide
students with a venue to learn and practice not only their career technical skills, but also career success skills, customer service, and the basics of entrepreneurship. With staff assistance, students must be assigned to perform business functions such as planning, scheduling, budgeting, record keeping, and the production and distribution of goods and services. For instance, many centers operate an in-house restaurant as part of the Food Services or Culinary Arts program, while others offer in-house computer repair and maintenance as part of the center’s Technology program. A supervising staff member must provide guidance, feedback, and formal performance assessments to participating students.

Clinical Experiences. Clinical experiences are typically required in Health Occupations programs, with many states setting a minimum number of clinical hours as part of the requirement for completing a course of study. These assignments are generally supervised by a licensed professional, who provides direct oversight of student activities at the clinical site. In other career technical areas, such as Child Development, minimum practicum hours are also specific to the requirements of the state, or the college if the course is articulated. Centers that have child care facilities on site should take advantage of WBL assignments that may be available on center under the supervision of the child care center director.

Cooperative Education. Cooperative education focuses on job-specific assignments at employer sites, designed to provide highly individualized experiences for students, based on their career focus and the skills/competencies that they need to acquire. These assignments require formal memoranda of agreement between the center and the employer, a training plan that lists the Training Achievement Record (TAR) competencies that the student needs to acquire from the WBL assignment, and defined performance standards. The Job Corps career technical instructor or the center WBL staff must work in close coordination with the on-site WBL supervisor to evaluate student performance and deal with any issue that may arise in relation to the student’s assignment. Cooperative education assignments are local assignments (on or off center) appropriate at predetermined CTT completion benchmarks.

Internships. Internships are short-term placements, similar to cooperative education, that provide students with on-the-job experiences directly related to their career technical areas of training. However, unlike cooperative education, which focuses on the acquisition of skills and competencies, internships focus intensely on the actual practice of career technical, applied academic, and career success skills, and the demonstration of student readiness for actual job placement. These paid or unpaid assignments, whether on or off center, must be covered by formal memoranda of agreement. For the duration of the assignment, students must be treated the same way the employer treats any of its employees. Any internship assignment must be accompanied by specific job functions and responsibilities to which the student is held accountable by a work site supervisor who provides the center with each intern’s performance evaluation at the conclusion of the assignment. Internships are appropriate for local and home-based WBL assignments that help determine students’ career transition readiness. Centers must continuously identify internship opportunities that lead to permanent employment.
The Career Technical Training (CTT) Change Request process supports Job Corps efforts to provide training that meets industry workforce needs. Key components of the change process include:

- **Alignment of Job Corps Training with In-Demand Industry Sectors or Occupations:** Job Corps, in cooperation with the external business and education community, aligns its CTT programs with the requirements of in-demand industry sectors or occupations across the country (e.g., industry-based certifications, state licensure, and the Registered Apprenticeship system).

- **Clustering/Concentration of Training Offerings:** Where applicable, Job Corps clusters occupations related to particular in-demand industry sectors. Rather than each center offering training programs in multiple, generally unrelated occupations, centers offer “clusters” of training programs that focus on career pathways within a specific industry or across industries.

- **Increased Focus on Long-Term Student Outcomes:** Program performance includes an emphasis on long-term student outcomes. It is critical that Job Corps’ CTT programs prepare students not only for entry-level employment, but also for a career path that provides opportunities for continued advancement and learning, including postsecondary education.

**Roles and Responsibilities**

**Center/National Training Contractor (NTC) Management**

A. Center management staff will work closely with the Center Workforce Council members to regularly evaluate the performance of each CTT program and constantly monitor the local labor demand/supply in geographic areas where most students return for employment.

When performance and/or labor market factors justify the need to make changes in a center-operated CTT program, the Center Director will initiate a CTT Change Request Form (Form 3-02) and submit it to the Regional Director for review and initial approval. The Regional Director will forward requests that merit further consideration to the National Office of Job Corps (NOJC) for final approval.

When performance and/or labor market factors justify the need to make changes to an NTC CTT program, the Regional Director, Center Director/Operator, and NTC Administrator will collaborate to develop a CTT Change Request and submit it to the National Office of Job Corps (NOJC) for review and approval.

A CTT Change Request needs to be initiated for any change to an existing CTT program that may include, but is not limited to, one or more of the following:
• Closure of an existing program, including Other Training Provider (OTP), Advanced Training (AT), or Advanced Career Training (ACT)

• Establishment of a new program, including proposed Other Training Provider (OTP), Advanced Training (AT), or Advanced Career Training (ACT)

• Conversion of Center-Based Training to Other Training Provider or Center-Based Training to NTC Training

• Conversion of Other Training Provider to Center-Based Training or NTC Training to Center-Based Training

• Increase in Contracted Slots for Career Technical Training program

• Decrease in Contracted Training Slots for Career Technical Training program

• Re-allocation of CTT contract slots not resulting from closure of an existing program or establishment of a new program

All completed request forms and supporting documentation should be submitted to the National Office of Job Corps, to the attention of the Division of Educational Services, Career Technical Training & Certification and Apprenticeships Team Leader.

Operators/Agencies

A. Operators and agency offices that oversee the operation of Job Corps centers will work closely with the centers and NTCs to finalize recommendations on any proposed CTT program changes. They will also assist the centers and NTCs with filing the CTT Change Request and providing adequate and valid justifications and supporting data. The National Office of Job Corps (NOJC) staff in charge of safety will review and approve all safety-related aspects of the request, if applicable, before the centers or NTCs submit the final version to the Regional Director for review and sign-off. The Regional Director will forward the request and all supporting documentation to the National Office of Job Corps, to the attention of the Division of Educational Services, Career Technical Training & Certification and Apprenticeships Team Leader, for final approval.

DOL Regional Offices (RO)

A. Regional Directors will be involved, from the beginning, in the development of recommendations for center or NTC CTT program changes and should be knowledgeable about the rationale for the request.

B. Within 30 days of receipt of a CTT Change Request, the Regional Director will review the request and reach a decision after thorough examination of all the justifications and supporting documentation.
C. After the Regional Director reviews and approves the center and/or NTC CTT Change Request, a copy of the package will be forwarded to the National Office of Job Corps (Attention: Division of Program Educational Services, Career Technical Training & Certification and Apprenticeships Team Leader) for review and final approval.

D. The National Office of Job Corps (NOJC) has 30 days from receipt of completed CTT Change Request Forms and supplemental documentation to render a response or to notify the Regional Office (RO) of its decision. The Regional Office (RO) will, in turn, inform the center operator of the National Office’s decision. When NTC programs are affected, NTC officials will also be notified, concurrent with notification to the Regional Office (RO).

Following approval by the Regional Director and the National Office of Job Corps (NOJC), changes to center-operated career technical training programs must be written into contract requirements. In the case of federally operated centers, the federal operator and the Regional Director must mutually review and approve proposed changes prior to forwarding documents to the National Office of Job Corps (NOJC) for review and final approval.

E. The Regional Director will communicate the National Office decision to the requesting center.

National Office of Job Corps (NOJC)

A. For center CTT Change Requests approved and forwarded by the Regional Director, the National Office of Job Corps (NOJC) will work with the regional team to designate the precise TAR name, Occupational Information Network (O*NET)-Standard Occupational Classification (SOC) code, and training slots, and will coordinate with the Job Corps Data Center (JCDC) to incorporate these into the CIS and CTTRC. The National Office of Job Corps (NOJC) will notify the Regional Director and the requesting centers upon completion of such actions.

B. For NTC CTT Change Requests, the National Office NTC COR will review each request thoroughly and consult the National Office Team Leader before rendering a recommendation. Disapprovals will be communicated directly by the National Office to the NTC, with a copy to the Regional Director.

C. If the NTC proposal is approved, the National Office will work in collaboration with NTC representatives and Regional Offices (ROs) to update the center’s data and notify JCDC of approved TAR name, O*NET-SOC codes, and slots.

D. National Office of Job Corps (NOJC) staff will maintain an active database of all CTT Change Requests.

Job Corps Data Center

A. All changes to O*NET-SOC titles, codes, and training slots are processed and approved by the National Office of Job Corps (NOJC). Approval of CTT Change Requests will be communicated to JCDC to incorporate all approved changes in O*NET-SOC titles, codes,
and training slots into CIS and CTTRC. JCDC will inform the National Office of the completion of each request so that the National Office can inform the Regional Office (RO) and requesting center when changes become effective.
FORM 3-02
CAREER TECHNICAL TRAINING (CTT) CHANGE REQUEST FORM

This form is designed for review and approval by both the Regional and National Offices of Job Corps of Career Technical Training (CTT) program change requests. Regional Offices (ROs), however, may add sections that are of particular importance to their regional goals, priorities, or plans.

I. CONTACT INFORMATION

<table>
<thead>
<tr>
<th>Job Corps Center:</th>
<th>Operator:</th>
</tr>
</thead>
<tbody>
<tr>
<td>DOL Region:</td>
<td>NTC:</td>
</tr>
<tr>
<td>Contact Person:</td>
<td>Title:</td>
</tr>
<tr>
<td>Phone:</td>
<td>Fax:</td>
</tr>
<tr>
<td>E-mail:</td>
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</tbody>
</table>

II. NATURE OF CAREER TECHNICAL TRAINING CHANGE REQUEST

Please select all the changes that apply to your specific request.

<table>
<thead>
<tr>
<th>Changes in Career Technical Training Programs</th>
<th>□ Addition of Career Technical Training program, including Other Training Provider (OTP), Advanced Training (AT), or Advanced Career Training (ACT)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Name of program(s):</td>
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<tr>
<td></td>
<td>□ Deletion of Career Technical Training program, including Other Training Provider (OTP), Advanced Training (AT), or Advanced Career Training (ACT)</td>
</tr>
<tr>
<td></td>
<td>Name of program(s):</td>
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<tr>
<td></td>
<td>□ Conversion of Center-Based Training to Other Training Provider or Center-Based Training to NTC Training</td>
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<tr>
<td></td>
<td>Name of program(s):</td>
</tr>
<tr>
<td></td>
<td>□ Conversion of Other Training Provider to Center-Based Training or NTC Training to Center-Based Training</td>
</tr>
<tr>
<td></td>
<td>Name of program(s):</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Changes in Career Technical Training Slots</th>
<th>□ Increase in Contracted Slots for Career Technical Training program</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>□ Decrease in Contracted Training Slots</td>
</tr>
<tr>
<td></td>
<td>□ Reallocation of Contracted Training Slots</td>
</tr>
</tbody>
</table>
Provide the program title and brief description of how the program will be implemented. Please attach DRAFT TAR with this proposal for review if a new training program that is unique to an individual center is being proposed.

Will proposed change(s) impact CTST funding? If yes, attach revised CTST Plan.

III. **SPECIFIC DESCRIPTION OF THE CHANGE REQUEST**

Provide a clear description of the Career Technical Training (CTT) Change Request:

IV. **JUSTIFICATION FOR CHANGE REQUEST**

<table>
<thead>
<tr>
<th>Please provide information on the center’s OBS</th>
<th>Contracted OBS</th>
<th>Current OBS</th>
<th>% Utilization</th>
</tr>
</thead>
</table>

For each affected career technical training (CTT) program, submit adequate justifications that address the following elements, where applicable:

1. For the deletion of a career technical training program or decrease of contracted training slots, describe the specific reasons in one or more of the following areas:

   a. History of unsatisfactory performance in accordance with the Career Technical Training Rating and Improvement System (CTTRIS), including all CTTRC indicators.

   b. Significant changes in local or regional labor demands (where most Job Corps graduates return to seek employment) that have major negative impact on the placement of Job Corps graduates in the specific industry. Centers must (1) submit a copy of the local
Workforce Development Board (WDB) Plan and (2) explain how the requested change supports the WDB Plan.

c. Other reasons (such as contract modification initiated by Regional Office (RO), changes in training facilities, etc.).

d. Projected impact on capacity utilization/On-Board-Strength (OBS).

2. For the addition of a career technical training program or increase of training slots, describe the specific reasons in one or more of the following areas:

a. Projected positive impact of the addition or expansion of the career technical training program or cluster, in one or more of the following areas:
   (1) Capacity utilization/OBS
   (2) All applicable CTTRC indicators
   (3) Registered Apprenticeships, work-based learning, internship, and placement opportunities with local employers
   (4) Opportunities for Job Corps graduates to achieve industry-recognized certifications
   (5) Prospect to attract and enroll more female students into non-traditional occupations (provide an annual goal)
   (6) Suitability to Job Corps students’ academic profile, age, interest, and aptitude
b. Significant changes in local or regional labor demands (where most Job Corps graduates return to seek employment) which have major positive impact on the placement of Job Corps graduates in the specific industry. Centers must (1) submit a copy of the local Workforce Development Board (WDB) Plan, and (2) explain how the requested change supports the WDB Plan.

c. Other reasons (such as contract modification initiated by Regional Office (RO), changes in training facilities, etc.).

d. Letters in support of the above change request, from key local employers, trade organizations, and Center Workforce Council or Regional Workforce Council members who are experts in the specific industry or on Labor Market Information.

e. How does the requested change support the region’s career pathways strategy? Please identify how this request will advance standards-based training.
V. JUSTIFICATIONS FOR RESOURCES NEEDED TO IMPLEMENT THE CHANGE(S) REQUESTED

1. Instructor
   a. For each career technical training program that will be replaced or deleted, describe the manner in which the number of instructional staff will be reduced. Include the estimated cost savings due to change(s) in personnel.

   b. For each career technical training program that will be added or expanded, describe the manner in which additional instructional staff will be recruited, trained, and retained. Include the number of instructors required and the specific industry and/or teaching certifications required for such instructional staff to possess before or after hiring. If no instructor with the required certification is immediately available, describe how the center and/or NTC will recruit an uncertified instructor and assist him/her to obtain certification within a specific time frame.

2. Program Certification or Accreditation by Industries, if applicable
   a. Identify the available and applicable industry-based program certification(s) that the new or expanded career technical training program will achieve. Describe your major steps and time frame to achieve such industry-based program certification, if applicable.
3. Curriculum

   a. Identify the existing National Office-developed Training Achievement Record (TAR) that will be used for the new or expanded career technical training program. If an NTC program, please identify applicable NTC TAR(s).

   b. Attach TAR(s) developed by entities other than the National Office. Identify the skill standards and certification requirements with which the TAR(s) is aligned. Identify employers or industry representatives (name, job title, organizational affiliation) that have contributed to the development and/or reviewed the attached TAR(s).

4. Facility Availability

   a. Describe the classroom or shop space that is currently available, in terms of square footage, structure, and condition (including existing fixtures and equipment). For the addition of a career technical training program or increase of training slots, does the existing classroom or shop space meet the minimum required training space?
b. Describe any facility changes that will need to be made, through CTST and/or regular rehabilitation funds, to accommodate the career technical training change proposed, including cost estimates, safety requirements, and time frame for completion. Will these facility changes meet guidelines on space utilization (please refer to the center’s latest Facility Survey)?

5. Employer-Based Support System

a. Describe work-based learning and/or internship agreements that are established or will be established with local employers to maximize students’ opportunities for work experience and job placement. List each site, point of contact, phone number, negotiated slots, and schedule/frequency of assignments. A minimum of three sites is required.

b. Describe any other employer-based support systems, such as mentoring, job shadowing, scholarships, or contracted projects (e.g., students in Manufacturing program fabricate parts on center for a company as a form of hands-on practice) that you have established or will establish to enhance students’ exposure to the work world and their chances for employment.

6. Other Resources
VI. LABOR MARKET INFORMATION/EMPLOYMENT OUTLOOK

Instructions: The initiator of the CTT Change Request should complete this section in close collaboration with the Career Transition Services (CTS) providers for each career technical training program or specialty training area to be added, expanded, reduced, or deleted. Labor Market Information (LMI) and employment commitments should be obtained primarily in locations where students will be returning for employment after completion of Job Corps training. If the Change Request involves more than one geographic area where most students return for employment, provide the LMI/Employment Outlook for each location by duplicating the following tables.

LMI Employment Outlook

<table>
<thead>
<tr>
<th>Local Labor Market Information/Placement Outlook</th>
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<tbody>
<tr>
<td>Geographic Areas Covered:</td>
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<tr>
<td>Entry-Level Training Requirements:</td>
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<td>Certification Requirements, if Applicable:</td>
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<td>Entry-Level Wages:</td>
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<td>Demand for Entry-Level Workers:</td>
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<tr>
<td>Availability of Full-time Employment in Next 5 to 10 Years:</td>
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<td>Employment Commitment from Local Employers:</td>
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</tbody>
</table>

VII. CURRENT CENTER CAREER TECHNICAL TRAINING PROGRAMS

<table>
<thead>
<tr>
<th>Current Career Technical Training Programs Offered</th>
<th>Current Training Provider*</th>
<th>Number of Instructors</th>
<th>Current Number of Training Slots</th>
<th>Number of Contracted Training Slots</th>
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<tbody>
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<td>Current Total Approved CTT Slots</td>
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* If a training program is offered by the center, write “center.” If it is offered by an NTC, write the specific name of the NTC, such as HBI, NPIJATF, etc. If it is an Advanced Career Training (ACT) or Other Training Provider (OTP) program, write “ACT” or “OTP.”
Explain any discrepancies between the number of current training slots and the contracted number of training slots:

**VIII. CAREER TECHNICAL TRAINING CHANGE SUMMARY FORM**

<table>
<thead>
<tr>
<th>Center Name:</th>
<th>DOL Region:</th>
<th>Date:</th>
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<table>
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<tr>
<th>Proposed Programs</th>
<th>Center Costs</th>
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<tbody>
<tr>
<td>Provider¹</td>
<td>On/Off Center</td>
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<td>$</td>
<td>$</td>
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<tr>
<td>Deleted Programs</td>
<td>Center Costs</td>
</tr>
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<td>-------------------</td>
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</tr>
<tr>
<td>Provider¹</td>
<td>On/Off Center</td>
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</table>

¹ Identify providers. Choices are: Center, NTC, ACT, or OTP.

**IX. CAREER TECHNICAL TRAINING CHANGE – COST SHEET**

A. Provider: Center NTC Other (Specify) 
B. Career Technical Training Program: CTST: □ Yes □ No
C. Number of Instructors:
D. Training Slots (2 Training Slots Per Full-Time Student):
E. Start Date: / / 
F. Center Contract Cost:
   1. One-time Phase-in Costs (Equipment, Furniture, etc., by Center) $ 
   2. Other (Specify:______________) $ 
   3. Indirect Costs (Rate:_______%) $
2. Ongoing Center Operating Savings (Annualized) $__________
   Salaries, Wages, Benefits $__________
   Materials Replacements $__________
   Other Direct Costs (Attach List w/Prices) $__________
   Indirect Costs (Rate:__________ %) $__________
   Annual CTST Cost Reduction (SEs @ $1,500) $__________

G. NTC Costs and Savings (To Be Completed by NTC):
   1. One-time Phase-in Costs (Uniform, Curricula, Tool Kits, etc.) $__________
      Other (Specify:__________________________________) $__________
      Indirect Costs (Rate:__________ %) $__________

   2. Ongoing Center Operating Savings (Annualized) $__________
      Salaries, Wages, Benefits $__________
      Materials Replacements $__________
      Other Direct Costs (Attach List w/Prices) $__________
      Indirect Costs (Rate:__________ %) $__________

Comments:
REVIEW AND APPROVAL

I. REGIONAL OFFICE
   (Applicable to Changes in Career Technical Training Programs and/or Specialty Training Areas Proposed by Either Centers or NTCs)

1. For all career technical training changes proposed by the center, does the Regional Office (RO) concur with the specific justifications quoted by the center or NTC? If No, please explain.

2. Are the proposed changes consistent with other regional goals or priorities? Explain.

3. For programs or specialty training areas that will be expanded or added, provide estimated one-time and annual/ongoing costs. Also, explain the source(s) and manner of funding.

   One-Time Cost: $_________________  Funding Source: _________________________
   Annual/Ongoing: $_________________ Funding Source: _________________________
   Funding through PY: _________________________
   Will funds be re-directed to/from an NTC?*

   * If yes, the National Office NTC COR will complete NTC data.

   a. What is the budget variance from the most recent 2110? Can the center support the change without additional monies?
b. Will region use the regional equipment reserves to fund one-time start-up costs?


4. Regional Director Review and Decision

Approve: ___________________________ Disapprove: ___________________________


Regional Director Date

II. NATIONAL OFFICE OF JOB CORPS (NOJC)
(Applicable to Changes in Career Technical Training programs and/or Specialty Training Areas by Either Centers or NTCs)

1. For all the changes proposed, do the National Office of Job Corps (NOJC) and the Regional Director concur with the specific justifications quoted by the center or NTC (if applicable)? If no, please explain.


2. Are the proposed changes consistent with other national goals or priorities? Explain.


3. For the career technical training programs or specialty training areas that will be reduced or deleted, provide the estimated one-time and annual/ongoing savings.

One-time: $ ___________________________ Annual/Ongoing: $ ___________________________
4. For the career technical training programs or specialty training areas that will be expanded or added, provide the estimated one-time and annual/ongoing costs. Explain the source(s) and manner of funding.

<table>
<thead>
<tr>
<th></th>
<th>One-time Cost: $</th>
<th>Annual/Ongoing Cost: $</th>
</tr>
</thead>
<tbody>
<tr>
<td>Funding through PY:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Career Technical Training Funds Appropriated from National Office:</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>Funding through NTC Contract Modifications:</td>
<td>$</td>
<td></td>
</tr>
</tbody>
</table>

Further Explanation:

5. Recommendation by National NTC COR (For NTC Changes Only)

<table>
<thead>
<tr>
<th>Recommended for Approval:</th>
<th>Recommended for Disapproval:</th>
</tr>
</thead>
<tbody>
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National COR ___________________________ Date ____________

6. Final decision by National Office of Job Corps (NOJC)

<table>
<thead>
<tr>
<th>Approve:</th>
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</thead>
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</table>

Division of Educational Services/ CTT Team Leader ___________________________ Date ____________
National Office of Job Corps

Career Technical Training Program Code Request Form (Abbreviated Form 3-02) to be completed upon Regional Office (RO) permission (in lieu of Career Technical Training Change Request Process proposal) and submitted to the National Office for final approval.

Please provide a brief description of the request for training titles and codes for programs to be deleted and/or added, please complete the chart below. Note: This form is for CTT Changes that require no funding from the National Office.

Justification for change request:

Changes requested:

Action 1:  (Please insert a description of the change you are requesting here. Include the projected implementation date, student teacher ratio, total slots, and complete the chart below.)

<table>
<thead>
<tr>
<th>Operated by</th>
<th>Industry Area</th>
<th>TAR/Training Title</th>
<th>Occ. Code</th>
<th>TAR Code</th>
<th>TPA</th>
<th>Slots</th>
</tr>
</thead>
</table>

Approvals:

Center Director: ____________________________

Regional Office: ____________________________

National Office: ____________________________
Regional Office Program Managers will submit an Other Training Provider (OTP) Program Code Request Form to the National Office to ensure timely processing of OTP program codes in the data collection system. The form should be submitted to the National Office of Job Corps (NOJC), Attention: Division of Educational Services/Career Technical Training Team Leader. The National Office will inform the Job Corps Data Center (JCDC) of approved program codes and slots.

<table>
<thead>
<tr>
<th>Region</th>
<th>Center</th>
<th>Training Program</th>
<th>Training Title</th>
<th>Training O*NET Code</th>
<th>Compl. Level</th>
<th>CIP Code</th>
<th>Slot</th>
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<tr>
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<td>Automotive</td>
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<td>85302JT</td>
<td>C</td>
<td>47a</td>
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<tr>
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<th>Center</th>
<th>Training Program</th>
<th>Training Title</th>
<th>Training O*NET Code</th>
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</table>
The Career Technical Training (CTT) Credential Request process supports Job Corps’ efforts to provide training and credentials that meet the workforce needs of employers. The term “credential” is broad and includes a variety of validation measurements that employers recognize, such as industry-based certifications, state-approved licensures, pre-apprenticeship credentials, and National Training Contractor (NTC)-program completions.

The following types of requests apply to center-operated, NTC-operated, and center-specific programs:

- Addition of credentials to existing programs
- Modification of existing credentials, such as a change in title

Review and approval from both the Regional Office (RO) and National Office of Job Corps (NOJC) are required for the addition or modification of credentials in the CTT Credential Module in the Center Information System (CIS).

Criteria for approving credentials include, but are not limited to:

- **Standards-based**: The credential is based on a set of technical standards that the industry has developed, which reflect the work requirements for the occupation.
- **Industry-recognized**: Employers recognize that workers with the credential have a minimum level of competence to complete the required work in a satisfactory manner.
- **Identifiable sponsoring entity**: An organization or entity issues the standards and establishes the assessment tool used to evaluate whether or not students meet the standards.
- **Career pathway potential**: The credential supports building a career in the industry.
- **Program accreditation**: The certifying body has established a third-party process for awarding program accreditation (when applicable).

**Roles and Responsibilities**

A. **Center and NTC Management**

Center management staff will work closely with the Center Workforce Council members to identify credentials that are required by industries and relevant to training programs. Centers will identify the credential, the credential sponsor, and other relevant details, and provide justification for the need for the credential.

A CTT Credential Request must be initiated for one or more of the following: (1) the addition of a credential to an existing training program, and/or (2) a modification to a credential aligned with an existing training program(s).

All completed request forms and supporting documentation should be submitted to the Regional Office (RO) for initial review.
B. **Operators**

Operators and agency offices that oversee the operation of Job Corps centers will work closely with the centers and the NTCs to finalize recommendations on any proposed CTT credential requests. Operators and agencies will also assist the centers and the NTCs with filing the CTT Credential Requests and with providing adequate and valid justifications and supporting data.

C. **Job Corps Regional Offices (ROs)**

1. Regional Office Program Managers (PMs) should be knowledgeable about the rationale for a center’s credential request.

2. Within 30 days of receiving a CTT Credential Request, the Regional Office (RO) will review the request and reach a decision after examining all the justifications and supporting documentation.

3. After the Regional Office (RO) reviews and approves the CTT Credential Request, the Regional Director will send a copy of the package to the National Office of Job Corps (Attention: Division of Educational Services, Career Technical Training & Certification and Apprenticeships Team Leader) for approval.

4. The National Office will review the package, make a decision, and return the package to the Regional Director.

5. The Regional Director will communicate the National Office’s decision to the requesting center.

D. **National Office of Job Corps (NOJC)**

1. The National Office will review the submitted CTT Credential Request and render a response within 30 days of receipt. The National Office will adhere to the criteria listed above to issue an approval. Credentials that do not meet the criteria will be denied.

   The National Office will notify the Regional Office (RO) of its decision. In the event a request is denied, centers may appeal the decision by submitting the CTT Credential Appeal Form to the Regional Office (RO) for review and then the National Office for final approval.

2. For NTC CTT Credential Requests, the National Office NTC Contracting Officer’s Representative (COR) will review each request and will consult the National Office Team Leader before rendering a recommendation. The National Office will notify NTCs directly about the approval or disapproval of each request.

3. The National Office will notify the Job Corps Data Center (JCDC) of any approved
center CTT Credential Request and ask JCDC to incorporate approved credentials into the CTT Credential Module in CIS.

4. The National Office will maintain an active database of all CTT Credential Requests.

E. Job Corps Data Center (JCDC)

Approval of CTT Credential Requests will be communicated to JCDC, which will incorporate all approved changes into the CTT Credential Module. JCDC will inform the National Office upon completion of each request. The National Office will then notify the appropriate Regional Office (RO), which will, in turn, inform the requesting center and/or NTC.
This form is designed for review and approval by the Regional and National Offices of Job Corps of Career Technical Training (CTT) Credential Requests. Regional Offices (ROs), however, may add sections that are of particular importance to their goals, priorities, or plans.

I. CONTACT INFORMATION

Job Corps Center: ___________________________ Operator/NTC: ___________________________
DOL Region: ______________________________ Region Project Manager: ______________________
Center Director: ___________________________ Center Director Phone: ________________________
Center Director Email: ______________________ Center Fax: _________________________________

II. NATURE OF CTT CREDENTIAL REQUEST

<table>
<thead>
<tr>
<th>CTT Programs</th>
<th>Name of program(s):</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Addition of a credential to existing national center-operated, NTC-operated, or center-specific training program</td>
<td></td>
</tr>
<tr>
<td>□ Modification of an existing credential to an approved, NTC-operated or center-specific program</td>
<td></td>
</tr>
</tbody>
</table>

For the following indicators, please refer to the Glossary of Terms on page 4.

<table>
<thead>
<tr>
<th>ADDITION OF CREDENTIALS</th>
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<tbody>
<tr>
<td>(Please insert additional lines if more than one credential is being added.)</td>
</tr>
<tr>
<td>* Enter N/A if appropriate.</td>
</tr>
<tr>
<td>Industry Area:</td>
</tr>
<tr>
<td>Training Program Area (TPA):</td>
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<tr>
<td>Training Program:</td>
</tr>
<tr>
<td>Occupational Code:</td>
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<tr>
<td>e-TAR Code:</td>
</tr>
<tr>
<td>Credential Title:</td>
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<tr>
<td>Credential Sponsor:</td>
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<tr>
<td>Administrator(s):*</td>
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<tr>
<td>Version(s):*</td>
</tr>
<tr>
<td>Level(s):*</td>
</tr>
<tr>
<td>Effective Start Date:</td>
</tr>
</tbody>
</table>
### MODIFICATION OF CREDENTIALS

*(Please insert additional lines if more than one credential is being modified.)*

* Enter N/A if appropriate.

<table>
<thead>
<tr>
<th>Industry Area:</th>
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</thead>
<tbody>
<tr>
<td>Training Program Area (TPA):</td>
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<td>Training Program:</td>
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<td>Occupational Code:</td>
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<td>e-TAR Code:</td>
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<td>Credential Title:</td>
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<td>Credential Sponsor:</td>
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<td>Administrator(s):*</td>
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<td>Level(s):*</td>
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<tr>
<td>Effective Start Date:</td>
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</tr>
</tbody>
</table>

### III. JUSTIFICATION FOR ADDITION OF CREDENTIAL REQUEST(S)

**A. Student Credential(s)**

1. For the *addition* of student credential(s), please provide justification for how it meets the criteria listed in the CTT Credential Request Guidelines. Describe the process and the time frame in which you expect the student to achieve the credential.

2. What is the cost of the credential per student? How will it be funded?

**B. Instructor Credential(s)**

For each CTT program that will prepare students for the requested credential, indicate whether or not your instructor is already certified; if not, please explain how the instructor will achieve the credential.
C. Program Accreditation(s)

Identify the applicable industry-based program accreditation(s) that the approved CTT program will achieve. Describe the process and the time frame in which you expect to achieve the program credential, if applicable.

REGIONAL OFFICE REVIEW VERIFICATION

1. For all CTT credentials requested by the center, does the Regional Office (RO) concur with the specific justifications quoted by the center or NTC? If no, please explain:

2. Are the proposed requests consistent with other regional goals or priorities? Please explain:

3. Regional Director Determination

☐ Approve  ☐ Disapprove

Rationale:

Regional Director Date
GLOSSARY OF TERMS

Administrator: The organization that administers the test but does not set the credential’s standards and objectives. For example, the New Mexico State Health Department administers the OSHA 10-Hour Safety Training, but the underlying content and competency standards are established by the sponsor, the U.S. Department of Labor Occupational Safety and Health Administration.

Credential: Any of a variety of validation measurements that employers recognize, such as industry-based certifications, state-approved licensures, pre-apprenticeship credentials, and NTC program completions.

Credential Sponsor: The organization that develops and issues a credential based on its standards and objectives, such as the National Healthcareer Association.

Credential Title: The title the credential sponsor assigns to the certification, such as Certified Medical Administrative Assistant.

Industry Area: The industry the training program is aligned with, such as Health Care.

Level: Indicates the credential’s level in a hierarchy of credentials supported by a sponsor at the same time. An example of Levels for credentials is the Chain Saw credential from the U.S. Forest Service, with A - Apprentice Sawyer, B – Intermediate Sawyer, and C – Advanced Sawyer levels.

Occupational Code: Job Corps-assigned two-letter code for a training program. For example, the occupational code for Medical Office Support is OS.

Training Achievement Record (TAR) Code: Job Corps-assigned TAR code consisting of the Department of Labor’s classification system (O*NET) plus a two-letter suffix to indicate completion level. For example, the TAR code for Medical Office Support is 55328JA.

Training Program: The title assigned to the occupational requirements, for example, Medical Office Support. The training program may (1) have one or more Training Achievement Records (TARs) assigned to the program, such as the Medical Office Support program; and (2) share the same name, such as Clinical Medical Assistant, with the associated TAR.

Training Program Area (TPA): Grouping of training offerings with related content, without regard to level, provider, or specialization. For example, the TPA for the Medical Office Support program is MEDOS.

Version: Sometimes stated in the credential title, this could reflect the examination’s objectives in real time indicated by a date or version number. For example, OSHA 10-Hour Safety Training is available in Construction and General Industry versions.
**FORM 3-06**

**CAREER TECHNICAL TRAINING CREDENTIAL APPEAL FORM**

**INSTRUCTIONS FOR COMPLETION**

Please submit the appeal to the National Office of Job Corps, CTTCredentialAppeal@dol.gov, and copy the center’s regional program manager (PM).

The National Office will review the appeal request and respond to the center’s regional PM within 30 days. Approved credentials will be added quarterly to the Center Information System (CIS).

**CENTER INFORMATION**

<table>
<thead>
<tr>
<th>Region Name:</th>
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<tbody>
<tr>
<td>Center Name:</td>
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<tr>
<td>Center Director:</td>
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<td>Center Phone Number:</td>
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<tr>
<td>Primary Contact:</td>
<td></td>
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<td>Primary Contact Phone Number:</td>
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**CREDENTIAL INFORMATION**

<table>
<thead>
<tr>
<th>Industry Title:</th>
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<tbody>
<tr>
<td>Training Program Title:</td>
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<td>Credential Title:</td>
<td></td>
</tr>
<tr>
<td>Credential Sponsor:</td>
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</table>

**CREDENTIAL NARRATIVE**

Please utilize this space to explain, where applicable, how the credential meets the criteria. Please refer to Form 3-04 for additional guidance.

<table>
<thead>
<tr>
<th>CRITERIA</th>
<th>EXPLANATION</th>
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<tbody>
<tr>
<td>Standards-Based</td>
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<td>Industry-Recognized</td>
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<td>Identifiable Sponsoring Entity</td>
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<tr>
<td>Career-Pathway Potential</td>
<td></td>
</tr>
<tr>
<td>Program Accreditation</td>
<td></td>
</tr>
</tbody>
</table>
FORM 3-07
U.S DEPARTMENT OF LABOR – JOB CORPS
DOCUMENTATION OF 60 HOURS OF INSTRUCTION

Student Name: ________________________________

Student ID: ________________________________

Content Area (Check One): Reading ☐ Mathematics ☐

Name of Course(s) in which instruction took place9: ________________________________

Name of Instructor(s) that provided instruction: ________________________________

Date Range in which instruction in content area instruction took place:
Start Date: ________________________________ End Date: ________________________________

Total Number of Hours of Instruction: ________________________________

I hereby certify that the student named above has completed 60 hours of instruction in the content area indicated.

Print Name

Signature ___________________________ Date: ___________________________

Verifying Academic Manager’s Information

Processing Instructions
• Academic Manager: Provide form to the Test Administrator
• Test Administrator:
  o Attach this form to the signed CIS test ticket.
  o Forward ticket and attached form to the Records Department
• Records Department: Scan this form and CIS test ticket as a single PDF document into the TABE section under Training in E-Folder, with the hard copy of both maintained in a student’s personal record folder.

9 If academic instruction is delivered by a CTT instructor, the center may not claim both a CTT hour and an academic hour for the same hour of instruction.
CHAPTER 4: PLACEMENT SERVICES

APRIL 6, 2020
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<th>Section</th>
<th>Title</th>
<th>Page</th>
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</thead>
<tbody>
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<td>INTRODUCTION</td>
<td>4.0-1</td>
</tr>
<tr>
<td>4.1</td>
<td>ELIGIBILITY FOR SERVICES</td>
<td>4.1-1</td>
</tr>
<tr>
<td></td>
<td>Requirements</td>
<td>4.1-1</td>
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<tr>
<td></td>
<td>R1. Definitions</td>
<td>4.1-1</td>
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<tr>
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<td>R2. Eligibility for and Duration of the Career Transition Services Period</td>
<td>4.1-1</td>
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<tr>
<td>4.2</td>
<td>GRADUATE SERVICES</td>
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<td>R2. Needs Assessment</td>
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<td>R3. Placement Services</td>
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<td><strong>EXHIBITS</strong></td>
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<td>Exhibit 4-1</td>
<td>Placement Definitions</td>
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<td>Exhibit 4-2</td>
<td>Initial Placement Verification and Documentation Requirements</td>
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<td><strong>APPENDICES</strong></td>
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Chapter 4, Placement Services, formerly career transition period, provides a framework of requirements for career transition services that providers must deliver to Job Corps graduates and former enrollees. Requirements are detailed in the following sections within the chapter: Eligibility for Services; Graduate Services; Former Enrollee Services; and Transition Services. Graduates and former enrollees must be provided placement/transition services consistent with the requirements of the Workforce Innovation and Opportunity Act and Job Corps requirements contained herein. They must be afforded personalized career transition services with the goal of placement in full-time jobs, in in-demand industries that are related to their career technical training, and that lead to economic self-sufficiency; enrollment in postsecondary education; enrollment in advanced training programs, including registered apprenticeship programs; or placement in the U.S. Armed Forces. Support services are provided in order for graduates and former enrollees to succeed in the labor market by successfully transitioning from Job Corps to the workforce or higher education in preparation for transitioning into the workforce. They must also establish a network of employers and community service agencies to aide graduates and former enrollees in successfully connecting to and remaining in the workforce.
4.1 Eligibility for Services

R1. Definitions

a. Graduate – a student who has voluntarily applied for, been selected for, and enrolled in the Job Corps program and as a result of participation in the program has
   1. received a High School Diploma (HSD) or High School Equivalency (HSE), and/or
   2. completed the requirements of a career technical training (CTT) program.

b. Former Enrollee – a student who has voluntarily applied for, been selected for, and enrolled in the Job Corps program, but left the program prior to becoming a graduate.

c. Uncommitted Student – a student who has
   1. been enrolled in the Job Corps program, and remained in Job Corps for less than 60 calendar days (regardless of achievement), or
   2. who has exited for a Level 1 Zero Tolerance (ZT) infraction at any time (see Exhibit 2-1, Infraction Levels and Appropriate Center Sanctions, and Appendix 501, Introduction).

d. Placement Window – the period of time from when a graduate/former enrollee has graduated or separated from the Job Corps program, attained a valid job and/or education placement, and the placement was verified.
   1. For graduates – the placement window is 12 months from the student’s separation/graduation date.
   2. For former enrollees – the placement window is three months from the student’s separation date.

R2. Eligibility for and Duration of the Career Transition Services Period

a. Graduates must receive job placement and support services for up to 12 months following graduation.

b. Final transition payments are available to graduates for up to 12 months from the date of separation. The transition payment can be incentive-based to reflect a graduate’s completion of academic, career technical training, and/or attainment of postsecondary credentials. Graduates must be informed that transition payments will be forfeited if they do not cash received checks within 12 months of the date of separation, fail to report non-receipt of checks, or fail to update contact information to ensure proper
delivery of transition payments.

c. Regional Directors have the discretion to reissue checks to graduates who do not receive their final transition payment within 12 months following separation. Transition payments, however, may not be reissued beyond 24 months from the date of separation.

d. Former enrollees must be provided with initial placement services for a period of up to three months following separation.

e. Uncommitted students are not eligible for career transition services. Centers must provide uncommitted students with a referral to a One-Stop Career Center/American Job Center or other service provider.
4.2 GRADUATE SERVICES

REQUIREMENTS

R1. Contact

Career Transition Services (CTS) providers must:

a. Make direct contact with projected graduates prior to separation to assess their needs in accordance with Chapter 3, Section 3.4, R23 – R27 and Chapter 2, Section 2.1, R5. If a student separates as a former enrollee, see Chapter 4, Section 4.3, Former Enrollee Services.

b. Maintain direct contact with all graduates at least every 30 days during the service period to reassess their needs and document in the Career Transition System Case Notes module.

c. Provide or arrange for the following services:

1. Job placement assistance/job re-placement assistance
2. Job retention assistance
3. Additional placement services for those whose initial placement ended during the service period
4. Assistance with career advancement and certification and/or licensing attainment, where applicable
5. Other transition support services (housing, transportation, childcare, I-9 completion, etc.) as appropriate
6. For graduates that require accommodations, supplemental support resources for job placement, job re-placement, and job development efforts through One-Stop Career Center/American Job Center partners and the State vocational rehabilitation agency

d. Maintain case note documentation in the Job Corps Career Transition System web-based application of all services provided directly to, or on behalf of the student. All mandatory follow-up case note documentation must be entered within 24 hours in Career Transition System Case Notes module.

R2. Needs Assessment

Career Transition Services (CTS) providers must:

a. Provide each graduate with an assessment and counseling to determine their
capabilities, and based on their capabilities, provide assistance in updating resumes, improving interviewing skills, developing self-advocacy skills, and developing additional job search strategies throughout the Career Transition Period (CTP).

b. Continue to collaborate with each graduate throughout the Career Transition Period (CTP) to assess his or her transition needs and to ensure progress toward career goals, including certification or licensing, as outlined in the student’s My Pathway to Achieving Career Excellence (MyPACE) Career Plan and Pathway Achievement Record (PAR).

**R3. Placement Services**

Career Transition Services (CTS) providers must:

a. Use Labor Market Information (LMI) and other resources to work with each graduate to develop placement strategies customized to meet their individual needs and career goals.

b. For graduates who have not attained a postsecondary credential while enrolled, identify testing opportunities and collaborate with the graduate to ensure progress toward certification(s) and/or licensing during the post-center period.

c. Provide assistance to graduates in obtaining additional educational and training opportunities and federal funding, as appropriate, to meet certification and/or licensing requirements.

d. Identify job leads and/or educational and training opportunities for which the graduate qualifies, and which meet the placement definition as specified in Exhibit 4-1, Placement Definitions.

e. Promote training that leads to better-paying jobs (e.g., apprenticeships) and/or jobs with college tuition reimbursement programs.

f. Develop job leads through the use of Internet sources and direct contact with partners of local Workforce Development Boards; Center Workforce Councils; Youth Standing Committees, where established; One-Stop Career Centers/American Job Centers and community stakeholders such as employers, apprenticeship programs, unions, and industry organizations.

g. Provide direct referrals to suitable job opportunities and/or education and training opportunities for graduates in need of placement services.

**R4. Transitional Support**

Career Transition Services providers must:

a. In each locale to which graduates return upon separation, identify resources and provide
direct referrals to community employment and/or social services that provide assistance with the following, at a minimum:

1. Housing
2. Transportation
3. Childcare
4. Health care, including substance abuse support
5. Work clothing and tools
6. Food and nutrition
7. Financial planning
8. Counseling/mentoring
9. Job retention
10. Legal services

b. Provide ongoing counseling and transition support to resolve job-related issues and to support job retention throughout the Career Transition Period (CTP).
4.3 FORMER ENROLLEE SERVICES

REQUIREMENTS

R1. Contact

Career Transition Services (CTS) providers must contact all assigned former enrollees at least every 30 days during the service period to reassess their needs and maintain case note documentation in the Job Corps Career Transition System of all services provided directly to, or on behalf of former enrollees.

R2. Placement Services

Career Transition Services (CTS) providers must (in accordance with Chapter 4, Section 4.1, Eligibility for Services):

a. Use Labor Market Information (LMI) and other resources to work with each former enrollee to develop placement strategies customized to meet his or her individual needs and career goals.

b. Identify certification testing opportunities and collaborate with the former enrollee to support progress toward certification and/or licensing attainment.

c. Provide assistance to former enrollees in obtaining additional education and training opportunities and federal funding, as appropriate, that meet certification and/or licensing requirements.

d. Identify job leads and/or educational and training opportunities for which the former enrollee qualifies, and which meet the placement definition as specified in Exhibit 4-1, Placement Definitions.

e. Develop job leads and provide referrals through use of Internet sources and direct contact with partners of local Workforce Development Boards; Youth Standing Committees, where established; One-Stop Career Centers/American Job Centers, and community stakeholders such as, employers, apprenticeship programs, unions, and industry organizations.
4.4 TRANSITION SERVICES

REQUIREMENTS

R1. Documentation

Centers and other designated Career Transition Services (CTS) providers must:

a. Track and document placement status, including updating student contact information, referrals, employment data, career progress, and attainment of certification(s) and/or licensing, using the Career Transition System, the approved web-based application designed to record and track student placement information, manage student transition checks, and transfer students.

b. Release confidential graduate/former enrollee information only in accordance with procedures specified in Appendix 601, Student Rights to Privacy and Disclosure of Information.

c. Update information regarding outstanding transition payments. Notify students of the responsibility to cash the received check within 12 months of the date of separation or risk forfeiture of the payment.

d. Upon receipt of an undeliverable or returned check, make reasonable attempts to contact the graduate to obtain updated contact information necessary to reissue the check.

R2. Reporting

Centers and other designated Career Transition Services (CTS) providers must:

a. Report all graduate placements that meet the criteria specified in Exhibit 4-1, Placement Definitions and which occur within 12 months of separation from the Job Corps program. Once a graduate is placed within 12 months of separation, tracking and updating of placement status in the Career Transition System must continue throughout the service period and for three months following the close of the 12-month service period.

b. Report all certification and licensing attainment of graduates, in addition to job placement.

c. Report all former enrollee placements in the Career Transition System that meet the criteria specified in Exhibit 4-1, Placement Definitions and which occur within three months of separation from the Job Corps program.

d. Report placement data according to the following timelines (as specified in Appendix 501 Introduction):
1. **Date Reported** – the date the student first enters a placement during their initial placement window, regardless of whether they meet Job Corps’ placement definition, and regardless of when the CTS provider first learns of the student’s placement.

Exceptions to the “date reported” policy are currently allowed in the following circumstances:
(a) If the student enters a placement prior to their separation date, the “date reported” must be recorded as the date following the separation date.
(b) If the student transfers from one CTS provider to another, the “date reported” cannot be earlier than the transfer date; therefore, if the student is placed prior to the transfer date, the “date reported” must be recorded as the date of transfer.

For placement upgrades, the “date reported” is the first day the student starts the upgraded placement (whether this is the first day at a new, upgraded placement, or the first day the position, hours, wages or credits improved in an existing placement). A chart outlining the placement upgrade policy can be found in Appendix 501 Introduction, Attachment 2, Initial Placements and Allowable Upgrades. Note that all subsequent placements that occur after the initial placement, yet during the placement window, should be recorded for informational purposes, regardless of whether the placement is an upgrade.

2. **Date Placed** – the date the student meets the Job Corps definition for placement, and must be at least 7 calendar days after the date reported in order to ensure that the placement criteria have been met.

3. **Date Verified** – the date that documentation is received verifying the placement (including the hours, duration, and/or wage as appropriate).

   **Note:** In order to be considered a valid placement, the placement verification must be received and reported to the Job Corps Data Center (JCDC) via the Career Transition System within 90 days of date reported.

   **Note:** If the placement is not considered valid because the verification is not received and the information entered into the CTS System within the above-specified time frame, the CTS provider will not receive the initial placement credit for this student. However, if the student is a graduate, and responds to the follow-up surveys, the CTS agency may receive Quarter 2 and Quarter 4 placement credits.

e. Use the Job Corps Job Training Match (JTM) Crosswalk, located in the CTS system, to determine job training match placements.

f. Maintain case note documentation in the Job Corps Career Transition System Case Notes module of all services provided directly to, or on behalf of the student.
**R3. Verification**

Centers or other designated Career Transition Services (CTS) providers must:

a. Verify and document 100% of initial placements; these placements will also be verified by a third-party source through the Quarter 2 and Quarter 4 surveys.

b. Obtain placement verification documentation as specified in Exhibit 4-2, Initial Placement Verification and Documentation Requirements. Placements must be considered to be verified when such documentation is obtained.

c. Ensure that verification is obtained and the placement is reported in the Career Transition System within 90 days after the student reports to work.

d. Maintain documentation of all placement verification for three years.

e. Verify certification and licensure attainment by requesting copies of certificates, diplomas, or registry data.
## EXHIBIT 4-1
### PLACEMENT DEFINITIONS

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<thead>
<tr>
<th>Full-time</th>
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<th>Combination Job/College</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Job Placement</strong></td>
<td><strong>Educational Placement</strong></td>
<td><strong>Combination Job/College</strong></td>
</tr>
<tr>
<td>To be considered a valid job placement, employment must be:</td>
<td>1. High School/High School Equivalency: no less than 20 hours in class or online per week for an expected duration of one semester/trimester/quarter; or</td>
<td>1. A combination of work and university/college: a minimum of 16 hours work at one job in a seven-consecutive-day period and a minimum 6 credit hours per semester/trimester/quarter, or</td>
</tr>
<tr>
<td>i. paid;</td>
<td>2. Postsecondary career technical training or technical education program: no less than 20 hours in class per week at a program where it is expected that a certificate or certification will be achieved; or</td>
<td>2. A combination of work and online university/college: a minimum of 16 hours work at one job in a seven-consecutive-day period and a minimum 6 credit hours per semester/trimester/quarter, or</td>
</tr>
<tr>
<td>ii. unsubsidized; and</td>
<td>3. University/College: registered for no less than 9 credit hours per semester/trimester/quarter, or no less than 6 credit hours per summer session, at a two-year or four-year university/college accredited by an agency recognized by the U.S. Department of Education or the Council for Higher Education Accreditation as an accrediting agency for higher education and that offers, at minimum, an associate’s degree; or</td>
<td>3. A combination of work and online university/college: a minimum of 16 hours work at one job in a seven-consecutive-day period and a minimum 6 credit hours per semester/trimester/quarter, or</td>
</tr>
<tr>
<td>iii. within compliance of wage requirements of Fair Labor Standards Act, unless employment is in Puerto Rico, U.S. Virgin Islands, or the U.S. Trust Territories.</td>
<td>4. Online University/College: registered for no less than 9 credit hours per semester/trimester/quarter, or no less than 6 credit hours per summer session, at a two-year or four-year university/college accredited by an agency recognized by the U.S. Department of Education as an accrediting agency</td>
<td>4. A combination of work and online university/college accredited by an agency recognized by the U.S. Department of Education as an accrediting agency for distance education and that offers, at minimum, an associate’s degree.</td>
</tr>
<tr>
<td>1. 32 hours or more in one or two jobs in a seven consecutive-day period; or</td>
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<tr>
<td>2. An apprenticeship job registered by the Office of Apprenticeship or a State Apprenticeship Council that combines supervised, structured on-the-job training with related theoretical instruction leading to defined levels of skill and career advancement, and where the student receives a wage; or</td>
<td>2. A combination of work and online university/college: a minimum of 16 hours work at one job in a seven-consecutive-day period and a minimum 6 credit hours per semester/trimester/quarter, or</td>
<td></td>
</tr>
<tr>
<td>3. Armed Forces: 40 hours minimum per week of active duty. This includes initial Reserve Forces and National Guard training but does not include weekend and summer training sessions. Pre-enlistments are not considered placements.</td>
<td>3. A combination of work and online university/college: a minimum of 16 hours work at one job in a seven-consecutive-day period and a minimum 6 credit hours per semester/trimester/quarter, or</td>
<td></td>
</tr>
</tbody>
</table>

- **Full-time**
  - Paid
  - Unsubsidized
  - Within compliance of wage requirements of the Fair Labor Standards Act, unless employment is in Puerto Rico, U.S. Virgin Islands, or the U.S. Trust Territories.

- **Educational Placement**
  - High School/High School Equivalency: no less than 20 hours in class or online per week for an expected duration of one semester/trimester/quarter.
  - Postsecondary career technical training or technical education program: no less than 20 hours in class per week at a program where it is expected that a certificate or certification will be achieved.
  - University/College: registered for no less than 9 credit hours per semester/trimester/quarter, or no less than 6 credit hours per summer session.
  - Online University/College: registered for no less than 9 credit hours per semester/trimester/quarter, or no less than 6 credit hours per summer session.

- **Combination Job/College**
  - A combination of work and university/college: a minimum of 16 hours work at one job in a seven-consecutive-day period and a minimum 6 credit hours per semester/trimester/quarter.
  - Online University/College: accredited by an agency recognized by the U.S. Department of Education as an accrediting agency for distance education and that offers, at minimum, an associate’s degree.
### Part-time

<table>
<thead>
<tr>
<th>Job Placement</th>
<th>Educational Placement</th>
<th>Combination Job/College</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>for distance education and that offers, at minimum, an associate’s degree; or</td>
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<td></td>
<td>5. On-the-job-training or other subsidized employment: no less than 20 hours per week; or</td>
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<td></td>
<td>6. Other training program: no less than 20 hours in class per week for an expected duration of at least 90 calendar days.</td>
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<tr>
<td><strong>Part-time</strong></td>
<td>To be considered a valid job placement, employment must be:</td>
<td></td>
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<tr>
<td></td>
<td>i. paid;</td>
<td>1. University/college enrollment for a minimum of 6 credit hours per semester/quarter/trimester, or a minimum 3 credit hours per summer session, and a minimum of 10 hours work at one job per week.</td>
</tr>
<tr>
<td></td>
<td>ii. unsubsidized; and</td>
<td></td>
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<tr>
<td></td>
<td>iii. within compliance of wage requirements of Fair Labor Standards Act, unless employment is in Puerto Rico, U.S. Virgin Islands, or the U.S. Trust Territories.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>1. 20 hours or more, but less than 32, in one or two jobs in a seven-consecutive-day period</td>
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</table>

### International Placement

<table>
<thead>
<tr>
<th>Job Placement</th>
<th>Educational Placement</th>
<th>Combination Job/College</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>International Placement</strong></td>
<td>For a placement to be considered valid, the student must be placed in a job or education program located in the United States, Puerto Rico, the U.S. Virgin Islands, the U.S. Trust Territories, or in overseas locations in the following circumstances:</td>
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</tr>
<tr>
<td></td>
<td>a. the student is a member of the U.S. Armed Forces and is deployed overseas; or</td>
<td></td>
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<tr>
<td></td>
<td>b. the student is employed by the U.S. federal government and has an overseas assignment.</td>
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</tbody>
</table>
### EXHIBIT 4-2
**INITIAL PLACEMENT VERIFICATION AND DOCUMENTATION REQUIREMENTS**

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<th>Education Placement</th>
<th>Combination Job/College</th>
</tr>
</thead>
<tbody>
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<td><strong>Job Placement</strong></td>
<td>100 percent of initial placements must be verified and documented with the employer (or with student, if self-employed) or armed forces branch.</td>
<td>Verification of combination job and university/college (including online university/college) must comply with the requirements of each category.</td>
</tr>
<tr>
<td>To be considered a valid job placement, employment must be:</td>
<td></td>
<td></td>
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<tr>
<td>i. Paid</td>
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<td></td>
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<tr>
<td>ii. Unsubsidized, and</td>
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<tr>
<td>iii. Within compliance of wage requirements of Fair Labor Standards Act, unless employment is in Puerto Rico, U.S. Virgin Islands, or the U.S. Trust Territories.</td>
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<tr>
<td><strong>Education Placement</strong></td>
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<tr>
<td>100 percent of education placements must be verified and documented directly with the university/college (including online university/college) or other education training program.</td>
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<tr>
<td>Participation in a university/college (including online university/college) or other education training program is considered a placement only after actual continued enrollment of one week.</td>
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<tr>
<td><strong>Combination Job/College</strong></td>
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<tr>
<td>1. Verification of a university/college (including online university/college) or other education training program placement must include confirmation of 1 week’s continued enrollment as well as the following information:</td>
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<tr>
<td>i. Name of university/college or training institution;</td>
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<td>ii. Date the student actually enrolled or reported for class;</td>
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<td>iii. Credit hours for which enrolled, or hours attended class or hours worked at on-the-job training/subsidized employment for the week being verified;</td>
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<td>iv. Specific duration requirements, if applicable;</td>
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<tr>
<td>v. Name, title, and phone number of person at institution who provided information;</td>
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<tr>
<td>2. Placement verification documentation must be submitted via:</td>
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<td></td>
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<tr>
<td>i. U.S. mail</td>
<td></td>
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<tr>
<td>ii. Fax, or</td>
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<tr>
<td>iii. Electronic scan and e-mail</td>
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<tr>
<td>3. Acceptable verification documentation includes:</td>
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<td></td>
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<tr>
<td>i. A copy of an official</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Documentation Requirements</td>
<td>1. Verification of a university/college (including online university/college) or other education training program placement must include confirmation of 1 week’s continued enrollment as well as the following information:</td>
<td></td>
</tr>
<tr>
<td>1. Placement verification must include the following information:</td>
<td></td>
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<tr>
<td>i. Employer’s name;</td>
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<tr>
<td>ii. Date the student actually reported for employment;</td>
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<td></td>
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<tr>
<td>iii. Number of hours per week student actually worked;</td>
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<td>iv. Hourly wage;</td>
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<tr>
<td>v. Name, title, and phone number of person at the place of employment who provided information;</td>
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<td></td>
</tr>
<tr>
<td>vi. Date of verification.</td>
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<td></td>
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<tr>
<td>2. Placement verification documentation must be submitted via:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>i. U.S. mail</td>
<td></td>
<td></td>
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<tr>
<td>ii. Fax, or</td>
<td></td>
<td></td>
</tr>
<tr>
<td>iii. Electronic scan and e-mail</td>
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<tr>
<td>3. Acceptable verification documentation includes:</td>
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<td></td>
</tr>
<tr>
<td>i. A copy of an official</td>
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<tr>
<td>Job Placement</td>
<td>Education Placement</td>
<td>Combination Job/College</td>
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<tr>
<td>---------------</td>
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</tr>
<tr>
<td>pay stub or employer wage record indicating both hours per week worked and wages paid to the student (Note: For part-time placements where a biweekly pay stub indicates that the student worked between 40 and 63 hours during the two weeks, and the pay stub does not specify the actual number of hours worked for each seven-day period, it is acceptable to record half the hours reported on the pay stub as the hours worked per week); or</td>
<td>vi. Date of verification.</td>
<td>2. Placement verification documentation must be submitted via:</td>
</tr>
<tr>
<td>i. Direct written or electronic employer confirmation of placement on letterhead, indicating both hours per week worked and wages paid to the student; or</td>
<td></td>
<td>i. U.S. mail</td>
</tr>
<tr>
<td>ii. An employer verification form indicating both hours per week worked and wages paid to the student with a business card, fax band stating the employer’s name, or official stamp affixed; or</td>
<td></td>
<td>ii. Fax, or</td>
</tr>
<tr>
<td>iv. An electronic third party verification as approved by the Office of Job Corps (e.g., The Work Number).</td>
<td></td>
<td>iii. Electronic scan and e-mail</td>
</tr>
<tr>
<td>4. Verification of placements, which are combinations of two jobs, will include, for each job, the information as defined above. Where a placement</td>
<td></td>
<td>3. Acceptable verification documentation includes:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>i. A copy of an official school transcript indicating the date and number of hours enrolled;</td>
</tr>
<tr>
<td></td>
<td></td>
<td>ii. Direct written or electronic school confirmation of enrollment on letterhead indicating the date and number of hours enrolled;</td>
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<td></td>
<td></td>
<td>iii. A school verification form indicating the date and number of hours enrolled with a fax band stating the school’s name or official stamp affixed; or</td>
</tr>
<tr>
<td></td>
<td></td>
<td>iv. An electronic third party verification as approved by the Office of Job Corps (e.g., National Student Clearing House).</td>
</tr>
<tr>
<td>Job Placement</td>
<td>Education Placement</td>
<td>Combination Job/College</td>
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<tr>
<td>consists of a combination of two jobs, verification of both jobs must be for the same 7 consecutive-day period. Both verification dates will be reported.</td>
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</tr>
<tr>
<td>5. Verification for a student who is self-employed must include at least one of the following source documents:</td>
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<td></td>
</tr>
<tr>
<td>i. Business license;</td>
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<td></td>
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<tr>
<td>ii. Employer ID number;</td>
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<td></td>
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<tr>
<td>iii. Copies of income checks;</td>
<td></td>
<td></td>
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<tr>
<td>iv. Job materials and/or equipment;</td>
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<tr>
<td>v. Wage records;</td>
<td></td>
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<tr>
<td>vi. Newspaper and/or other advertising for business; or</td>
<td></td>
<td></td>
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<tr>
<td>vii. 1099 MISC Form.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Unverifiable placements identified through the “post-placement follow-up survey” will be determined as “questionable placements” until re-verification by the Career Transition Services provider is completed and placements are determined to be valid, invalid, or unverifiable.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. All re-verification information must be returned directly to the Regional Office within 30 days of notification.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Readmission to Job Corps is not considered a placement.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Verification forms may have an electronic signature.</td>
<td></td>
<td></td>
</tr>
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</table>
POLICY AND REQUIREMENTS HANDBOOK

CHAPTER 5: MANAGEMENT SERVICES

APRIL 6, 2020
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<td>R20. Recreational Safety Plan</td>
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<td>R24. Respiratory Protection Plan</td>
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<td>R25. Hearing Conservation Plan</td>
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<td>R27. Hexavalent Chromium Exposure Plan</td>
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**EXHIBITS**

- Exhibit 5-1: Standard Operating Procedures
- Exhibit 5-2: Plan and Report Submission Requirements
- Exhibit 5-3: Minimum Staff Qualifications
- Exhibit 5-4: Required Staff Training
- Exhibit 5-5: Job Corps Career Technical Training Programs Student/Teacher Ratios
- Exhibit 5-6: Center Health Services Staffing Requirements
- Exhibit 5-7: Proposal Outline for On-Site Child Development Centers and Residential Parent/Child Programs
- Exhibit 5-8: Use of Job Corps Funds for Child Development Centers and Parent/Child Programs
- Exhibit 5-9: Child Development Center Minimum Staff Qualifications
- Exhibit 5-10: Facility Requirements for Child Development Centers and Residential Parent/Child Programs

**APPENDICES**

- Appendix 502: Financial Management for Contract Centers
- Appendix 503: Job Corps Outreach/Admissions and Career Transition Services Cost Reporting and Budgeting Requirements
- Appendix 504: Taxation of Job Corps Contractors by States or Subdivisions Thereof
- Appendix 505: Administration and Management of Job Corps Contractor-Held Government-Furnished Property
- Appendix 505a: Administration and Management of Job Corps Contractor-Held Government-Furnished Property: Disposition of Excess Government-Furnished Property
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Chapter 5, Management Services, provides a framework of requirements for center, Outreach and Admissions (OA), and Career Transition Services (CTS) contractors in all areas of managing Job Corps center, OA, and CTS functions. Requirements are detailed in the following sections within the chapter: Program Management; Personnel; Safety and Security; Significant Incidents; Procurement; Property; Financial Management; Facility Operations and Maintenance; Environment and Occupational Safety and Health; Food Services; and Media. Job Corps enrollees must be provided services consistent with the Workforce Innovation and Opportunity Act and Job Corps requirements contained herein. Contractor management activities include, but are not limited to, implementing procedures, communicating to staff and students, holding staff accountable, developing staff, implementing quality assurance audits and self-evaluations, taking corrective actions proactively, establishing standard operating procedures and plans, ensuring facilities are safe, clean, well-maintained and are adequately furnished and equipped to meet students’ needs, maintaining records, developing mutually beneficial business and community relationships, establishing a Workforce Council, providing safe and secure centers, investigating significant incidents and initiating appropriate action, complying with occupational safety and health requirements, following applicable procurement regulations, adhering to applicable facility standards, complying with media policies, and providing services with integrity and in a cost-effective, financially responsible manner.
5.1 PROGRAM MANAGEMENT

REQUIREMENTS

R1. Goal Achievement

Centers operated by contractors and federal agencies, and Outreach and Admissions/Career Transition Services (OA/CTS) contractors, must establish:

a. Procedures to develop and clearly communicate goals to staff and students

b. Procedures to hold staff responsible for achieving communicated goals

R2. Quality Assurance

Centers operated by contractors and federal agencies, and OA/CTS contractors, must:

a. Establish procedures and conduct periodic self-evaluations and audits to ensure integrity, accountability, and prevention of fraud and program abuse. The Quality Assurance Plans and Procedures must be submitted annually to the Regional Office for approval, as outlined in Exhibit 5-2. Approval of the Quality Assurance Plan shall be based upon:

1. The extent to which the oversight, monitoring, and assessment plan will provide a reasonable assurance of contractor/agency compliance and quality.

2. The extent to which the contractor’s/agency’s data validation system ensures the accuracy and integrity of student outcomes and financial data.

3. The extent to which the contractor’s/agency’s quality assurance activities track and ensure that corrective action is taken to maintain outcomes and quality standards.

4. The degree to which the contractors/agencies document the results of inspections, tests, audits, and assessments.

b. Establish systems to ensure performance is accurately tracked and reported, and necessary corrective actions are taken to achieve the performance outcome goals and quality standards established by the National Director, Job Corps, and contained in Appendices 501a through 501d.

c. Conduct annual comprehensive assessments.

Job Corps Regional Offices shall:

a. Perform unannounced assessments on a risk management-based schedule. Regional Office Center Assessments (ROCAs) will occur twice during the life of a contract,
ideally during Year 2 and Year 4. ROCAs for Forest Service centers will occur every 2 years. Assessments of centers on a Performance Improvement Plan (PIP) will occur annually. Targeted assessments, which may also be unannounced, will focus on program areas that are deficient in the initial or full assessment, or identified as potential causes of concern based on a risk analysis.

Triggers that could initiate a targeted or full assessment:

1. Unfavorable results of performance, operational and/or financial audits
2. Issues with student safety, security, and culture
3. Constituent complaints (includes e-mails, telephone calls, and hotline report)
4. Unsatisfactory center progress in response to a PIP
5. Category of performance that is significantly below standard
6. Failure to address initially cited Regional Office Center Assessment (ROCA) weaknesses
7. Significant Incident Reports (either a very serious single incident or a negative trend)
8. Sharp decline in Student Satisfaction Survey results
9. Significant facility issues
10. Significant findings and concerns on operator annual assessments

b. Conduct audits of approximately 10 percent (contracted On-board Strength (OBS) or average CTS caseload) of all student records associated with performance measurements during center and CTS program assessments, using targeted samples generated by the National Office of Job Corps.

c. Document the results of program assessments and audits, and provide a copy of the completed report to the National Office and the contractor or federal agency. If excessive reporting problems are present, the extent of misreporting shall be brought to the immediate attention of the Office of Job Corps.

d. Assess liquidated damages from contractor’s base/incentive fee for instances of misreporting data based on the following schedule:
### Description | Liquidated Damages
---|---
Invalid HSD/HSE Credits | $200 each
Invalid CTT Completion Credits | $500 each
Artificially Extending Enrollment and/or Invalid Leave Days | 15% of the budgeted cost per day, per student. The cost per day, per student is calculated as follows: \((\text{approved center operations budget that is in place for the contract year in which the misreporting occurs}) \div (\text{duration of contract year in days}) \div (\text{contracted student slots for the contract year})\)
Invalid Placement Credit | $750 each

**e.** Require the operator to post the liquidated damages to the fee line applicable in the ETA 2110 form. The region will request an Annual Advanced Procurement Plan/Financial Operating Plan (AAPP/FOP) change to document the assessment or damages and recover the funds through a contract modification. Misreporting data by agency-operated centers shall be reported to the Office of Job Corps and the respective federal agency for corrective action.

Notify the Office of Job Corps and the Job Corps Data Center (JCDC) to remove invalid (High School Diploma/High School Equivalency (HSD/HSE), career technical training (CTT) completion, and Placement) credits from the Outcome Management System(s) (OMS).

**Note:** Invalid credits will be removed from each measure that is affected regardless of the report card (OMS, Outreach and Admissions Outcome Measurement System (OAOMS), Placement Outcome Measurement System (POMS), CTT Report Card (CTTRC)) or contractor responsible for the error (OA, Center, or CTS). For example, where verification of a HSD/HSE has been invalidated, credit would be removed for the HSD/HSE Attainment measure. In this instance, if the HSD/HSE was the sole determinant of his/her graduate status, the student would also be removed from the pool for any placement-related measure(s) in the OMS, POMS, and CTTRC report cards. Similarly, a credited placement that has been found to have been misreported by a CTS provider would lead to the removal of the student from the placement pool of both the CTS and the center OMS report cards. Both scenarios hold true whether the center operator and the CTS provider are separate, or one and the same.

**f.** Contracting Officers may exercise discretion when assessing liquidated damages in cases where an error or omission occurred, or when the action was determined to be careless or was the result of an innocent mistake.

**g.** When a Contracting Officer becomes aware of apparent fraud, the matter should be thoroughly investigated. If the investigation leads the Contracting Officer to conclude there is a likelihood of fraud, it should be referred to the Regional Office of the Office of Inspector General (OIG) by filing an incident report.

In addition to filing the report, the Contracting Officer will inform the OIG of the
actions intended to address the incident. The Contracting Officer will make it clear that he/she intend to take these actions, unless the OIG specifically instructs the Contracting Officer not to do so. The Contracting Officer will then proceed with contract enforcement, unless otherwise directed.

**R3. Standard Operating Procedures and Plans**

Center contractors, agencies, and OA/CTS contractors must:

a. Establish Standard Operating Procedures (SOPs), as shown in Exhibit 5-1 (Standard Operating Procedures), and submit them to the Regional Office for approval within 90 days of contract award. Updates and revisions must be submitted as changes occur.

b. For agency-operated centers, provide up-to-date SOPs, as shown in Exhibit 5-1, with annual plans and amendments to SOPs submitted to the Department of Labor (DOL) Regional Office for approval by June 1 for the upcoming program year.

c. Career Development Services System Plan

Each Job Corps Center and each OA/CTS contractor must:

1. Develop a Career Development Services System (CDSS) Plan. The Plan must include:
   (a) An overview of the contractor’s/federal agency’s role in each phase of CDSS, as appropriate for each contractor/agency.
   (b) A description of how services will be delivered and coordinated with other partners for Outreach/Admissions, Career Preparation, Career Development, and Career Transition Periods.
   (c) The requirements shown in Chapter 5, Section 5.1, R5 – R8 and R10, as applicable.

2. The CDSS Plan must be submitted to the Regional Office for approval within 90 days of contract award. Agency centers must submit the plan for approval when required by the DOL Regional Office. Approval shall be based on a determination that all required parts of the plan are in place, and that the plan is consistent with the overall Regional CDSS Plan.

3. The CDSS Plan must be kept current. Revisions must be submitted to the Regional Office for approval prior to implementation.

**R4. Reporting**

Center operators, federal agencies, and OA/CTS contractors must submit reports in accordance with Exhibit 5-2 (Plan and Report Submission Requirements).
R5. Outreach/Public Education Plan

Contractors providing enrollment and placement services must develop and implement outreach/public education plans. The plan must demonstrate collaboration and consultation between contractors and centers, and must comply with the outreach requirements of the Workforce Innovation and Opportunity Act (WIOA), Section 188 https://www.congress.gov/113/bills/hr803/BILLS-113hr803enr.pdf, nondiscrimination, and nondiscrimination requirements at 29 CFR 38.41. The plan must be submitted to the Regional Office for review and approval, in accordance with Chapter 5, Section 5.1, R3.c, Career Development Services System Plan, and must include, as applicable:

a. Outreach strategies to achieve and maintain overall design and capacity

b. Strategies to ensure coordination of efforts between contractors and centers, including the establishment and maintenance of relationships with community organizations that serve specific targeted groups referred to in c.4 below

c. A description of the public education and outreach methods, activities, events, and linkages that will be developed to:

1. Foster referrals of eligible youth from various targeted groups referred to in R5.c.4 below.

2. Promote positive public awareness of student and center achievements.

3. Respond to media and public inquiries with consistent and factual information.

4. Reach potential applicants who represent the diversity of the community in which the Job Corps center is located in terms of the following characteristics:
   (a) Gender
   (b) Race and ethnicity, including status as Limited English Proficient (LEP)/English Language Learner (ELL)
   (c) Disability status

5. Publicize the Job Corps program and the center in media that specifically target various populations referred to in Chapter 5, Section 5.1, R5.c.4, such as newspapers, television and radio programs, and websites with streaming audio and video. Ensure that the selected outreach tools include media in languages appropriate to the population served by the center.

d. A description of outreach methods and materials to be distributed to, and maintained at, One-Stop Career Centers/American Job Centers; youth standing committees, if established; schools; social service agencies including those that serve youth, foster care, and homeless youth; youth programs; organizations; communities; the general public; employers; other employment and training programs; vocational rehabilitation agencies; and other organizations or entities that serve specific targeted populations.
referred to in Chapter 5, Section 5.1, R5.c.4, such as members of both sexes, individuals with disabilities, or various racial or ethnic groups. Such materials must:

1. Include center-specific information including available career technical training, certification, credentialing, and licensure opportunities.

2. Be designed to reach a diverse audience. Selected materials should be translated into languages appropriate to the population served by the center.

3. Be available in alternate formats for persons with disabilities (e.g., large print, audio tape, open captioning, Braille).

4. Include the exact language of the following tag lines, as required by 29 CFR 38.38: “Equal opportunity employer/program. Auxiliary aids and services are available upon request to individuals with disabilities.”

5. Provide phone numbers for Text Telephone (TTY)/Telecommunication Device for the Deaf (TDD) or relay service contact for people whose disabilities prevent them from using voice telephones, where voice telephone numbers are provided for telephone contact.

6. Be distributed to schools; social service agencies, including those that serve foster care and homeless youth; youth programs; and other employment and training programs.

e. Be a direct referral system that provides unions, business/industry organizations, and individual employers a mechanism for referring youth who may be qualified to participate in Job Corps. Applicants recruited through direct referrals must meet all Job Corps eligibility and other requirements for enrollment, and fully participate in all career preparation activities. Direct referral applicants do not have priority over those waiting to enter Job Corps, nor do they have priority over those who are on a waiting list for a specific training program.

f. Provide a system to ensure timely follow-up on all referrals.

g. Have a system to document and monitor the effectiveness of outreach efforts, including efforts to collaborate with One-Stop Career Centers/American Job Centers.

R6. Limited English Proficiency (LEP)/English Language Learner (ELL) Plan

Outreach and Admissions contractors must have a LEP/ELL Readiness Plan that outlines the steps that will be taken to meet the needs of LEP/ELL applicants. This plan should comply with the U.S. Department of Labor Policy Guidance entitled “Policy Guidance to Federal Financial Assistance Recipients Regarding the Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons,” 68 Federal Register 32289 (May 29, 2003) (available at
R7. Career Preparation Period Plan

a. Centers must prepare and implement a center Career Preparation Period (CPP) Plan as part of the overall Career Development Services System (CDSS) Plan. The plan must be submitted for Regional Office approval in accordance with Chapter 5, Section 5.1, R3.c, Career Development Services System Plan.

b. Career preparation services reflected in the plan must be tailored to the individual needs of each student.

c. At a minimum, the CPP Plan must address:

1. The rationale for the center’s CPP design and how it will motivate student success and ensure the provision of individualized services to assist each student in preparing for full program participation, credential attainment, placement, and ongoing career progress and success

2. The organizational structure of CPP and detailed descriptions of how:
   (a) CPP will be staffed to deliver a full array of services to meet each student’s needs.
   (b) Staff will communicate across various departments to deliver the services.
   (c) Staff will coordinate with Outreach and Admissions (OA) staff to ensure that school records are obtained before or during the CPP.
   (d) Services and activities will be scheduled, paced, and delivered to ensure personalized learning opportunities are tailored to each student’s needs during the first 60 days of enrollment and are aligned with the student’s My Pathway to Achieving Career Excellence (MyPACE) Career Plan.
   (e) Student input and feedback will be encouraged and used.
   (f) The center will create an environment where students regard staff as supportive, caring, and dependable.
   (g) Career Preparation staff and an interdepartmental Career Management Team (CMT) will continuously monitor each student’s performance and progress in all areas including career planning efforts, and provide multiple levels of support that will assist the student to successfully create an individualized, viable career plan using the MyPACE system.
   (h) Career transitional staff will be involved in the student’s career planning phase and interact with the student, interdepartmental CMT, and other staff during the Career Preparation Period. All interactions will be documented in Case Notes.

3. Examples of methods and materials to be used to teach each of the required content areas (The MyPACE career planning curriculum is provided to centers. Centers will not need to provide examples for these lesson plans or activities.)

4. How MyPACE is scheduled and staffed
5. Methods to foster contact between students and employers to demonstrate the relevance of Job Corps career preparation and development activities to the workplace

6. The use of practical experiences to ensure students learn and practice skills

7. Methods and strategies to create student commitment to and ownership of his/her MyPACE Career Plan and Pathway Achievement Record (PAR)

8. A system to identify and address students’ personal needs and issues that may present barriers to full participation in the CPP

9. Approaches to involve Admissions Counselors in each students’ successful adjustment to Center Life

10. Methods to assist students in developing the confidence and motivation to achieve their career goals

11. Approaches that customize CPP to meet the needs of English Language Learners (ELL), as needed

12. Approaches that are aligned with MyPACE career planning results and progress to evaluate student readiness to participate in career development activities

13. Strategies to ensure a smooth transition from career preparation to career development for each student as he or she is determined to be ready

14. How centers will ensure MyPACE instructors have planning periods. Planning periods must be:
   (a) one block of time daily, i.e., between the beginning of the day and first break, between first break and lunch, etc.;
   (b) during the training day, not before classes have started or after classes have finished; and
   (c) at a time when the instructor is not responsible for any students and not expected to fulfill any other duties

R8. Career Development Period Plan

a. Centers must prepare and implement a Career Development Period (CDP) Plan as part of the overall Career Development Services System (CDSS) Plan. The plan must be submitted for Regional Office approval in accordance with Chapter 5, Section 5.1, R3.c, Career Development Services System Plan.

b. Career development services reflected in the plan must be tailored to the individual needs of each student, provided throughout enrollment, and coordinated with career
preparation and career transition services (CTS) to ensure continuity of services to students.

c. At a minimum, the CDP Plan must address:

1. The rationale for the design of career development services and how that design will ensure provision of individualized services to assist each student in meeting his or her career goals.

2. The organizational structure of CDP and a detailed description of how:
   (a) Each component will be staffed.
   (b) Center staff (instructional and other) will collaborate to ensure that training and services are effectively delivered in partnership with all staff involved.
   (c) The center will ensure that Tests of Adult Basic Education (TABE®) will be implemented using Data Recognition Corporation’s (DRC) INSIGHT® testing system in a designated testing facility, following procedures outlined in DRC’s TABE® 11/12 Test Administration Manual and Job Corps’ TABE® 11/12 Student Testing System Administrator’s Guide for securing test materials, scheduling tests, and administering the tests. The Manual and the Guide are posted on the Job Corps Community website.
   (d) The center will implement a structured evening/weekend studies (EWS) program for all students, including the structure, staffing and schedule for the program.
   (e) Center-based and work-based learning (WBL) will be scheduled and what interruptions to the schedule will be permitted.
   (f) Individualized services will assist each student in meeting all eight Career Success Standards (see Chapter 3, Section 3.4 R11).
   (g) The center will ensure the delivery of programs and services to English Language Learners (ELL).
   (h) An interdepartmental Career Management Team (CMT) will case manage and continuously monitor performance and progress in all areas (including career pathway preparation activities), and provide multiple levels of intervention and support to assist the student in completing CDP requirements.

3. Methods, materials, and activities to:
   (a) Teach each of the competencies required by Chapter 3:
      (1) Centers may use either materials and methods as outlined in Job Corps’ course guides or other materials and methods selected by centers to teach the required competencies. Centers must define specific requirements for student completion of coursework and competencies. Centers must also describe how students’ progress in training and career pathway preparation will be documented in case notes, through the Evaluation of Student Progress (ESP), and the Pathway Achievement Record (PAR).
      (2) If a center elects to use Job Corps materials and methods to teach a set of required skills, the plan need only reference the relevant course guide.
(3) Regional Offices may direct centers to use specified Job Corps materials and methods for given subject areas if training outcomes do not meet targeted levels.

(b) Integrate academic, career technical, and career success skills development, and practice to impart knowledge and to help students develop appropriate attitudes and behaviors in the context of the workplace.

(c) Integrate the use of technology in teaching and learning.

(d) Prepare students to attain industry-recognized academic, career technical and/or postsecondary credentials.

(e) Use center and employer work sites to teach required skills and competencies.

(f) Instruct students in workplace safety measures.

(g) Provide individual students with experiences and practices to help them meet each of the eight Career Success Standards (see Chapter 3, Section 3.4 R11).

(h) Acquaint students with diversity issues to promote respectful behavior and develop competencies in responding to diversity issues.

(i) Utilize My Pathway to Achieving Career Excellence (MyPACE) Career Plan, PAR, and student evaluation process to guide each student’s career progress toward achieving his/her mid-term career pathway goal and provide feedback on student achievements and goal planning.

(j) Evaluate student readiness for career transition services (CTS) and ensure a smooth transition from center to post-center services and mid-term career pathway goal.

(k) Provide students with options to achieve a high school diploma (HSD) or high school equivalency (HSE).

4. A description of the center’s student conduct system including: incentives, rules and sanctions; procedures for adjudication of infractions; appeal procedures; student rights; and how behavioral expectations are related to the workplace.

5. Documentation of all center high school programs and center partnerships with local high schools (including charter schools) or school districts that serve Job Corps students. This written documentation must include:

(a) Names of all high school programs and school districts

(b) Letters or certificates from the state department of education affirming that the center, and/or its high school partners, are accredited and recognized in the state in which the high school programs are located. The letter should indicate whether or not the schools are public, private, charter, special-purpose or other schools. If the state defers to a regional accrediting body for high school accreditation, a certificate or letter should be included from the regional accrediting body confirming accreditation for the high school programs (see Appendix 302).

(c) Memorandum of Understanding (MOU) with partner high schools

(d) Operator or center Standard Operating Procedures (SOP) outlining requirements for students to obtain a high school diploma

(e) Sample diplomas and transcripts
6. Documentation of the process for assessing students for disabilities, and programs for providing students with special education, if the center is subject to the requirements of the Individuals with Disabilities Education Improvement Act of 2004 (IDEA) or Section 504 of the Rehabilitation Act of 1973 (see Chapter 3, Section 3.2, R5.d).

7. Center-specific criteria and procedures for student participation in WBL and assigned staff to support the center’s WBL activities.

R9. **Performance Assessment**

Centers must:

a. Assess and monitor the delivery of the career development services to assure that it conforms with the approved center plan.

b. Monitor outcomes and take corrective action when career development outcomes fall below set goals. The National Office of Job Corps (NOJC), in collaboration with Regional Offices (ROs), must monitor and take corrective action when National Training Contractor (NTC) outcomes fall below set goals.

R10. **Career Transition Period (CTP) Plan**

a. Centers and Career Transition Services (CTS) providers must prepare and implement a Career Transition Plan (CTP), as part of the overall Career Development Services System (CDSS) Plan. The Plan must be submitted for Regional Office approval in accordance with Chapter 5, Section 5.1, R3.c, Career Development Services System (CDSS) Plan.

b. Career transition services reflected in the Plan must be customized to the individual needs of each graduate and former enrollee.

c. At a minimum, the Career Transition Period (CTP) Plan must include:

1. The rationale for the Career Transition Period (CTP) design and how it will ensure the provision of individualized services to assist each graduate and former enrollee.

2. The organizational structure of CTP and a detailed description of:
   (a) How the career transition services function will be staffed
   (b) Where staff will be deployed to provide center-based placement services
   (c) How career transition services staff will coordinate and collaborate with center career development staff to ensure the continuity of services to graduates and former enrollees, including assistance with post-center certification testing or work experience requirements to attain industry credentials
   (d) How career transition services staff will interact with students and other staff during the Career Preparation and Career Development Periods
(e) How career transition services staff will coordinate efforts with One-Stop Career Centers/American Job Centers, National Training Contractors (NTCs), and other post-center support providers to meet the post-center needs of graduates and former enrollees, including tracking and documenting student certification attainment

(f) How the My Pathway to Achieving Career Excellence (MyPACE) Career Plan and the Pathway Achievement Records (PAR) will be used to continue the development of a transition plan (including support services) for one of the following career pathways, based on students’ demonstrated skills attainment, and when applicable, students’ industry-recognized certification(s) and/or licensing:
   - Direct job placement
   - Apprenticeship
   - Advanced training
   - Military careers
   - Postsecondary education

(g) How career transition services staff will inform graduates that transition payments will be forfeited if they do not cash received checks within 12 months of the date of separation, fail to report non-receipt of checks, or fail to update contact information to ensure proper delivery of transition payments

(h) How career transition services staff will make and document reasonable efforts to locate graduates when mailed checks are returned or undeliverable

3. Methods, resources, and tools to accomplish the following:
   (a) Contacting graduates and former enrollees throughout CTP
   (b) Assessing placement and transition needs utilizing MyPACE Career Plan and PAR, including assessment and counseling to determine competencies, capabilities, and readiness for career transition services
   (c) Developing personalized job search skills and strategies
   (d) Assisting graduates in improving skills in resume preparation, interviewing techniques and job search strategies
   (e) Identifying and referring students to transition support services
   (f) Identifying job leads or education and training opportunities through coordination with partners of local Workforce Development Boards; Center Workforce Councils; Youth Standing Committees, where established; One-Stop Career Centers/American Job Centers and community stakeholders such as, employers, apprenticeship programs, unions, and industry organizations
   (g) Counseling to support job retention
   (h) Distributing and safeguarding payments, to include locating graduates when checks are returned and/or unclaimed to ensure that students receive payments
   (i) Informing graduates that failure to report non-receipt of transition payments or failure to cash a received transition payment check within 12 months of separation will result in forfeiture of the payment, and that graduates have the obligation to update their contact information with their CTS provider
   (j) Identification of and referral to postsecondary educational opportunities and
federal funding options, as appropriate

**R11. Equal Employment Opportunity/Civil Rights**

Center operators and OA/CTS contractors must:

a. Submit an affirmative-action plan to the Regional Office for approval within 90 days of contract award in accordance with Chapter 6, Appendix 602 (Civil Rights and Nondiscrimination).

b. Submit a plan to employ and advance the employment of veterans to the Regional Office for approval within 90 days of contract award in accordance with Public Law 107-228 HR 4015, Section 2. 4215 (priority of service for veterans in Department of Labor job training programs), Part b (Employment of Veterans with Respect to Federal Contracts).

**R12. Staff Training Plan**

Centers and Outreach and Admission (OA)/Career Transition Services (CTS) contractors must:

a. Submit for approval to the Regional Office an Annual Staff-Training Plan.

b. Include, at a minimum, the topics and frequencies specified in Exhibit 5-4 (Required Staff Training).

c. Submit a professional development plan for all staff on waivers.

**R13. Facility Maintenance Program**

Center Operators must maintain all buildings, grounds, roads, sidewalks, and equipment for which the center is responsible by implementing a center maintenance program that includes:

a. Written preventive maintenance procedures must be submitted to the Regional Office for approval within 90 days of contract award, in accordance with Exhibits 5-1 Standard Operating Procedures and 5-2 Plan and Report Submission Requirements. A report on the status of accomplishing preventive maintenance must be submitted annually to the Regional Office. The preventive maintenance program and procedures and reports must include at a minimum those items on the Center Preventive Maintenance Plan (PMP) Checklist, Form 5-05. Regional Offices shall use the PMP Checklist when reviewing the centers’ initial and annual submissions. These reports and reviews shall be submitted using the Construction, Rehabilitation, and Acquisition (CRA) website.
R14. Annual Occupational Safety and Health (OSH) Program Reviews

a. The annual Occupational Safety and Health (OSH) Program Review is conducted in accordance with Executive Order 12196, Title 29 Code of Federal Regulations (CFR) part 1960, Department of Labor Manual Series (DLMS) 4, Chapter 800, and the Job Corps Policy and Requirements Handbook (PRH). The review verifies that each center has implemented the Occupational Safety and Health program outlined in the PRH and identifies any outstanding occupational health and safety deficiencies. The review consists of an on-site visit including opening and closing conferences, walk-through of facilities, document verification, and student/staff interviews.

b. Documentation of Deficiencies and Abatement Activities

Job Corps centers are required to document and post deficiencies manually on the DOL Form DL1-2029. Blank forms can be obtained from the Job Corps Community website. Center abatement activities must be documented in ATS. The ATS website can be accessed at: https://ats.dol.gov/.

R15. Occupational Safety and Health Plan

a. Center operators must develop, implement, and maintain a center-specific Occupational Safety and Health Plan, which must be signed by the Center Director. Corporate plans or templates cannot be used and are not acceptable. Plans must be tailored to each center. Center plans must include the following, if applicable (see referenced section below for plan details):

1. Personal Protective Equipment (PPE) Plan (see Chapter 5, Section 5.1, R16)
2. Fire Safety and Prevention Plan (see Chapter 5, Section 5.1, R17)
3. Emergency Action Plan (see Chapter 5, Section 5.1, R18)
4. Hazard Communication Plan (see Chapter 5, Section 5.9, R13)
5. Recreational Safety Plan (see Chapter 5, Section 5.1, R20)
6. Asbestos Operations and Maintenance Plan (see Chapter 5, Section 5.9, R12)
7. Confined Space Entry Plan (see Chapter 5, Section 5.1, R22)
8. Bloodborne Pathogens Plan (see Chapter 5, Section 5.1, R23)
9. Respiratory Protection Plan (see Chapter 5, Section 5.1, R24)
10. Hearing Conservation Plan (see Chapter 5, Section 5.1, R25)
11. Lead Exposure Plan (see Chapter 5, Section 5.9, R11)

12. Hexavalent Chromium Exposure Plan (see Chapter 5, Section 5.9, R16)

13. Lockout/Tagout Plan (see Chapter 5, Section 5.1, R28)

14. Powered Industrial Vehicle Plan (see Chapter 5, Section 5.1, R29)

b. Plans must be evaluated annually by the center and revised when one of the following occurs:

   1. A new or revised PRH or regulatory standard necessitates revision of specific plan.
   2. The center introduces a new trade resulting in new potential hazards.
   3. New hazards exist due to changes in equipment or materials.

c. Plans must contain a revision tracking sheet that lists each component of the Plan and documents changes made to the Plan (i.e., specific change and date of revision).

d. Plans must remain on center when there is a change in center operator. Center operator policies and procedures regarding safety that are considered proprietary should not be part of center safety program plans. This information should be maintained separate from the PRH Occupational Safety and Health program requirements.

e. Each Occupational Safety and Health Plan must consist of the following components at a minimum, where applicable:

   1. Center Safety Orientation
   2. Staff Training
   3. Basic Equipment for Safety Officers

f. All center Safety Officers must possess the following equipment, at a minimum, to effectively perform their responsibilities:

   1. A quality camera
   2. Safety shoes
   3. Safety helmet/hardhat
   4. Safety goggles/glasses
5. Gloves

6. Use of computer with word processing, e-mail and Internet capability

7. Flashlight

8. Electrical circuit tester and Ground Fault Circuit Interrupter tester (GFCI)

Additional equipment may be required depending on the center’s hazard analysis.

g. The following items should be available when appropriate and necessary to identify and control hazards:

1. Lockout/tagout kits

2. Air flow meter (Alnor Velometer® Jr.)

3. Hearing protection

4. Swimming pool test kits

5. Low-volume air sampling pumps

6. High-volume air sampling pumps

7. Sound level meter with octave band analyzer

8. Noise Dosimeters

h. Regulations and Policies

All center operators, Center Directors, and Safety Officers must follow and refer to the following policy and regulatory information in performing their safety and health program review activities:


3. Department of Labor Manual Series (DLMS) 4, Chapter 800, DOL Safety and Health Program

4. Job Corps Policy and Requirements Handbook (PRH)

5. NFPA 70, National Electrical Code® (NEC)
i. Occupational Safety and Health Committee

1. Overview

Each center must establish an Occupational Safety and Health Committee in accordance with 29 CFR 1960.58 to:
(a) Review reported accidents, injuries, and illnesses.
(b) Consider the adequacy of action taken to prevent recurrence of such accidents, injuries, or illnesses.
(c) Plan, promote, and implement DOL and Job Corps occupational safety and health programs.

2. Committee Membership

The Center Director must actively participate on the Occupational Safety and Health Committee. In addition to the Center Director, committee membership must include:
(a) The center Safety Officer (facilitator)
(b) Manager of Residential Living
(c) A Maintenance Unit Supervisor
(d) A Health Services Supervisor
(e) A Career Technical Training (CTT) Supervisor
(f) A Recreation Supervisor
(g) A Food Service Supervisor
(h) A minimum of two students, selected by their peers
(i) Representatives from other organizational units, as appropriate

3. Duties of Committee Members

Committee member duties must include, but not be limited to, the following:
(a) Assist in safety inspections when requested by the Safety Officer. Student committee members must participate in safety inspections at least monthly.
(b) Observe and report infractions of safety rules and regulations.
(c) Review accident reports to determine if corrective action is necessary or if harmful trends exist.
(d) Review inspection reports prepared by the center Safety Officer identifying unsafe/unhealthful conditions, and suggest techniques or strategies for correction/abatement.
(e) Review all suggestions and concerns submitted by students and staff, and make recommendations for implementation to the Center Director.
(f) Develop and implement a safety awards and recognition program.

4. Training for Committee Members

In accordance with 29 CFR 1960.58, safety committee members must complete training commensurate with the scope of their assigned responsibilities within six
months of appointment. Such training must include:
(a) The center’s Occupational Safety and Health program (recommend training within 30 days of appointment)
(b) Section 19 of the OSH Act of 1970
(c) Executive Order 12196 – Occupational Safety and Health programs for federal employees
(d) General content contained in 29 CFR 1904, 1910, 1926, and 1960
(e) Center procedures for the reporting, evaluation, and abatement of hazards
(f) Center procedures for reporting and investigating allegations of reprisal, and the recognition of hazardous conditions and environments
(g) Identification and use of occupational safety and health standards, and other appropriate rules and regulations

5. Occupational Safety and Health Committee Meetings

Meetings must be held monthly and/or when called by the Center Director or Safety Officer. Copies of minutes must be maintained at the center for three years and made available upon request.

R16. Personal Protective Equipment (PPE) Plan

In accordance with 29 CFR 1910.132, centers are required to develop, implement, and maintain a written PPE plan and program that includes at least the following:

a. Perform hazard assessments for all trades and/or work areas to assess the need for PPE. Maintain hazard assessment records that identify hazards and risks, and document the type of PPE required. Develop procedures to notify the center Safety Officer when new processes are introduced or when existing processes change.

If a new career technical training (CTT) program is introduced, a hazard assessment must be performed to determine PPE needs according to training activities. Hazard assessment based upon other trades and activities cannot be the bases of the PPE requirements for a new trade.

b. Select PPE based on hazard assessments by the trade supervisor in conjunction with the center Safety Officer. Methods for selecting PPE are well-documented, appropriate, and properly implemented.

c. Develop a PPE training program that will address student and staff needs. The written training program includes:

1. When PPE use is necessary
2. What PPE is necessary
3. How to properly don (put on), doff (remove), adjust, and wear PPE
4. The proper care, maintenance, useful life, and disposal of PPE

d. Maintain PPE training records for students and staff for three years. Additional retention requirements are as follows:

1. Retain student training records for one year following completion of training or termination of enrollment.

2. Retain staff training records for one year following resignation or termination of employment.

e. Establish procedures to inspect, clean, and maintain PPE. Ensure that supervisors, staff, and students are trained in these procedures and follow the established criteria.

f. Establish procedures to remove damaged equipment from service.

R17. Fire Safety and Prevention Plan

All centers must develop and implement a Fire Safety and Prevention Plan that addresses the National Fire Protection Association (NFPA) Life Safety Code 101 requirements.

Centers will establish a policy, based upon the proximity to emergency response personnel (i.e., fire and/or hazardous materials response teams) that specifies full evacuation or incipient stage fire suppression by staff in response to a fire alarm.

a. Fire Prevention Plan

The center Safety Officer must perform the following activities to ensure that students and staff are familiar with all center fire alarms and evacuation procedures:

1. Center Safety Officers must perform and log monthly inspections of:
   (a) Fire alarm systems
   (b) Sprinkler systems
   (c) Illuminated exit signs
   (d) Emergency lighting
   (e) Fire extinguishers

2. Centers must conduct monthly fire drills during high student/staff activity levels and when students are in the dormitories. Center Safety Officers will document the accountability and timeliness of fire drills.

3. Centers must establish and implement a Fire Watch Plan, included as part of the Fire Prevention Plan, to be implemented when there is a nonfunctional alarm system. The Fire Watch Plan will include the following:
   (a) Establishment of fire warden and security staff duties and responsibilities
(b) Establishment of minimum required equipment for security staff
(c) Establishment of a process for reporting fires and notifying building occupants

b. Fire Safety Plan Elements

The center Safety Officer must ensure that at least the following elements are included in the Fire Safety Plan:

1. List of major fire hazards and proper handling and storage procedures for hazardous materials
2. List of all potential ignition sources, control procedures, and the type of fire protection equipment or suppression system used to control a fire
3. List of staff responsible for maintaining fire protection equipment or systems to prevent or control ignition fires
4. List of staff responsible for control of fuel source hazards
5. List of staff responsible for sounding alarms and contacting local fire department or other appropriate officials
6. Evacuation and accountability procedures, including the posting of evacuation maps, assembly areas, and maintaining safe distance from affected buildings until areas are cleared for occupancy
7. Emergency notification telephone numbers
8. List of temporary shelters and contacts
9. List of staff responsible for assessing damage to center and coordinating re-entry to center or affected buildings
10. List of staff responsible for communications with local media, Regional Office, and National Office of Job Corps

c. Training

All centers must provide ongoing fire prevention and fire extinguisher training for staff and students according to their roles and responsibilities within the Fire Prevention Plan. Training resources include state or local fire marshals, insurance companies, universities, or the Occupational Safety and Health Administration (OSHA). Training programs must be customized to center location, offerings, and programs, and must include the following elements, as appropriate:

1. Training for fire wardens and security staff
   (a) Fire classes and the appropriate extinguishing agent
(b) Proper maintenance and use of fire extinguishers
(c) Evacuation and accountability procedures, as defined by NFPA Life Safety Code
(d) Proper use of warning equipment/alarm systems
(e) Fire watch system and alternative warning systems
(f) Fire warden responsibilities
(g) Fire safety inspections, including housekeeping practices, flammable and combustible waste materials accumulation, and inspection and maintenance of fire equipment or systems

2. Training for students
   (a) Assigned evacuation routes and assembly areas
   (b) Procedures for reporting a fire or potential for fire
   (c) Procedures for disposal of combustible and flammable materials
   (d) Location of smoking areas and receptacles

3. Training for staff
   (a) Proper use of fire extinguishers
   (b) Assigned evacuation routes and assembly areas
   (c) Procedures for reporting a fire or potential for fire
   (d) Procedures for disposal of combustible and flammable materials
   (e) Location of smoking areas and receptacles
d. Required Documentation

   Documentation of fire safety training, drills, and inspections must be maintained on center for three years and made available for review upon request.

e. Incipient Fire Suppression and Portable Fire Extinguishers

1. OSHA defines incipient fire as a fire in the initial or beginning stage that can be controlled or extinguished using a portable fire extinguisher, Class II standpipe, or small hose system without the need for protective clothing or equipment (e.g., breathing apparatus). OSHA requires centers to provide fire extinguishing equipment for an incipient fire. However, OSHA allows centers to address incipient fires and building evacuation in one of the following two ways:
   (a) Evacuation of all or most of the building’s occupants to a safe area without attempting to fight the fire
   (b) Evacuation of all building occupants except those who are properly trained and designated to use portable fire extinguishers

2. Fire prevention plans must comply with 1910.157, Portable Fire Extinguishers, according to the center’s established policy concerning incipient fires.

3. Incipient firefighting should be based upon a thorough hazard assessment, and proximity and response time of local fire department or emergency response team.
R18. Emergency Action Plan

Centers are required to develop an Emergency Action Plan (EAP) in accordance with 29 CFR 1910.38 if fire extinguishers are located in each building and students and staff are required to evacuate the building in the event of a fire or other emergency.

a. Emergency Action Plan (EAP) Elements

1. Procedures to handle hazards and threats including:
   (a) Natural disasters typical for the geographic area in which the center is located; e.g., earthquakes, hurricanes, tornadoes, severe/extreme weather, blackouts, utility failures, and weather-related conditions
   (b) Criminal activity including arson/fire, bomb threats, suspicious packages, vandalism, civil disturbance, and weapons on center
   (c) Terrorist threats including radioactive, biological, or cyber attacks
   (d) Medical emergencies, such as pandemic influenza or food poisoning (E. coli or Salmonella) outbreaks
   (e) Other hazards specific to the surrounding area, such as hazardous materials spills or explosion

2. A list of emergency personnel and contact information (The Center Director or his or her designee must act as the responsible official during the emergency.)

3. The Center Director or his or her designee must ensure that an Emergency Coordinator and Area/Floor Monitors are identified, and ensure that assistance is available for the physically challenged

4. Procedures for emergency situations that require centers to shelter-in-place, evacuate, and provide for mass care of students and staff

5. Identification of assembly areas on and off center for students and staff to relocate depending upon the nature of the emergency

6. Evacuation route maps indicating emergency exits, primary and secondary evacuation routes, location of fire extinguishers and fire alarm pull stations, and assembly points must be posted in all center buildings, including but not limited to:
   (a) Residential facilities
   (b) Child development centers, if applicable
   (c) Academic facilities
   (d) Food service facilities
   (e) CTT facilities and classrooms
   (f) Recreation areas
   (g) Warehouse(s)
   (h) Center maintenance
   (i) Administration facilities
7. Procedures for staff required to remain on center after an evacuation to perform critical activities (e.g., shut down utilities) and secure the center

8. Procedures to account for students and staff after evacuation to assembly areas on and off center, including students training or working off center at the time of the emergency

9. Procedures for transporting students and staff to off-center assembly areas, including students training or working off center

10. Procedures for staff and students responsible for providing medical assistance (The plan should also provide for instances when individuals responsible for providing medical assistance are not available.)

11. An alarm system must be implemented that provides a distinctive sound or tone for each purpose (type of emergency and procedure) in accordance with 29 CFR 1910.165

12. Procedures for returning the center to normal operations following an emergency (Procedures should be emergency-specific.)

b. Emergency Response Drills

1. Centers must perform fire drills on a monthly basis. The drills should be performed during normal business hours and after hours.

2. Centers must participate in federal, state, and local emergency preparedness drills, including terrorist attack and pandemic outbreak response, when possible.

3. Centers must establish and maintain contact with federal, state, and local emergency response coordinators to ensure that current information regarding emergency response procedures is maintained.

4. All drill and post-drill activities designed to improve student and staff performance during drills must be documented and kept on file in the Safety Officer’s office and made available upon request.

5. Copies of drill performance and improvement reports must be maintained on the center for three years and made available for review upon request.

6. Centers must coordinate with the local emergency management authority, local health department, and local fire department to participate in federal, state, or local emergency response drills.
**R19. Hazard Communication Plan**

(See Chapter 5, Section 5.9, R13.)

**R20. Recreational Safety Plan**

a. Water Safety (See Chapter 2, Section 2.2, R7.)

1. Job Corps centers operating swimming pools must incorporate the Centers for Disease Control and Prevention (CDC) chlorine disinfection timetable for killing common germs.

2. Cleaning and disinfecting procedures must also include the area surrounding the pool, including chairs, towels, floors, etc., to prevent the onset of recreational water-related illnesses.

3. Provide staff and students with awareness training on the prevention of recreational water-related illnesses.

4. Ensure that swimming pool areas are secured after hours to prohibit unauthorized access.

5. Post proper warning signs, safety rules, and emergency response procedures.

6. Ensure that necessary rescue equipment is maintained in good working order and easily accessible.

b. General Recreational Safety

The Center Director must:

1. Ensure that students receive adequate training prior to engaging in recreational activities such as weight lifting, basketball, arts and crafts, etc.

2. Provide supervision during recreational activities to ensure that students follow proper techniques and are fit for the activity, thereby not placing the participant’s safety at above-normal risk and reducing the risk of injury at all times.

3. Advise students that “horseplay” is not tolerated during recreational activities.

4. Ensure that all recreational facilities and equipment are of safe design and free of known hazards.

5. Ensure that recreational and athletic equipment purchases meet safety guidelines established by agencies nationally recognized by the Consumer Product Safety Commission (CPSC).
c. Gymnasium and Recreational Equipment

1. Gym equipment must be positioned to allow for an unrestricted route of egress from the area during an emergency.

2. Personal protective equipment (PPE) such as helmets, padding, wrap-around eye protection, and gloves must be provided for students involved in recreational activities such as:
   (a) Bicycling
   (b) Skate boarding
   (c) Rollerblading
   (d) Racquet ball

3. Recreational facilities and equipment must be inspected daily. Damaged equipment must be immediately removed from use and repaired or replaced as soon as fiscally possible.

d. Competitive Sports

To ensure student and staff safety and security during on-center and off-center events, centers are encouraged to prepare plans that contain the following elements, at minimum:

1. Consider limiting participants and spectators to current Job Corps students and staff.

2. Ensure that there is sufficient supervision for off-center games and events. The recommended ratio is one staff member for every five students.

3. Coordinate security arrangements between visiting and host centers in advance of each activity. Visiting centers must provide a list of team members, Job Corps spectators, and player family members at least one week prior to the event. Limit entry into events to pre-approved spectators with proper identification.

4. Host centers are encouraged to arrange for additional security through local law enforcement when there is a history of past serious incidents.

5. Communicate safety and security procedures to athletes, staff, and spectators prior to the event.

R21. Asbestos Operations and Maintenance Plan

(See Chapter 5, Section 5.9, R12.)
R22. Confined Space Entry Plan

a. Overview

A confined space is one that is large enough and configured in a manner that would allow a person to enter the space to perform work. The space has limited or restricted means of entry or exit and is not designed for continuous human occupancy. Hazards may also exist in the space, such as combustible gases, toxic materials, or mechanical or electrical hazards, or the space may be oxygen deficient.

A Confined Space Entry Plan is not necessary if one of the following is in place:

1. There are no confined spaces on center.
2. Students and staff are prohibited from entering or performing work in any confined space.

b. Confined Space Inventory

1. Centers must conduct a survey to identify and label all confined spaces located on center. Each space must be identified as “non-permit required” or “permit required.”
   (a) A permit-required confined space is one that contains one or more of the following characteristics:
      (1) Contains or has the potential to contain a hazardous atmosphere
      (2) Contains a material that has the potential for engulfment or entrapment
      (3) Has an internal configuration such that an entrant could be trapped or asphyxiated
      (4) Contains any other serious safety hazard
   (b) Non-permit-required confined space does not contain or have the potential to contain a hazardous atmosphere or any other hazard capable of causing death or serious physical harm.
   (c) Re-evaluate all confined spaces annually and maintain documentation.
   (d) Permit-required confined spaces are marked as such with appropriate signage.
   (e) Ensure that permit-required confined spaces are locked or blocked to deter access (if possible).

2. Centers that require students, staff, or contractors to perform work in confined spaces are required to develop, implement, and maintain a Confined Space Entry program in accordance with 29 CFR 1910.146. The Plan must consist of the following elements:
   (a) Designated confined space entry coordinator
   (b) Entrant and supervisor responsibilities
   (c) Non-permit-required confined space entry procedures
   (d) Location-specific, permit-required confined space entry procedures
   (e) List of center departments and/or career technical training (CTT) programs that
require confined space entry
(f) Emergency procedures
(g) Training and documentation of training

3. Maintain confined-space-entry training records for students and staff for three years. Additional retention requirements are as follows:
   (a) Retain student training records for one year following completion of training or termination of enrollment.
   (b) Retain staff training records for one year following resignation or termination of employment.

4. Maintain cancelled entry permits for one year.

R23. Bloodborne Pathogens Plan

a. Centers must develop, implement, and maintain a Bloodborne Pathogens Control Plan that is in compliance with the OSHA Occupational Exposure to Bloodborne Pathogens; Needlestick and Other Sharp Injuries; Final Rule (29 CFR 1910.1030). The Plan, which is submitted to the Regional Office, must be reviewed and approved by the Regional Health Specialist. Once the Plan has been approved, the Plan does not need to be updated again until at least one of the following occurs:

1. New or revised PRH or regulatory standards necessitate revision of the Plan.

2. Center introduces a new trade resulting in new potential exposure to bloodborne pathogens.

3. New engineering controls, including safer equipment or procedures, are introduced.

b. The plan must contain the following minimum requirements:

1. Identification of job classifications where there is high, medium, or low risk of exposure to blood or other potentially infectious materials

2. Explanation of the protective measures in effect to prevent occupational exposure to blood or other potentially infectious materials and a schedule and methods of compliance to be implemented

3. Schedule and method of implementation for administering Hepatitis B vaccination and conducting post-exposure evaluation and follow-up
   (a) Job classifications with high risk of exposure will be provided the Hepatitis B vaccine.
   (b) Job classifications with medium risk of exposure should be offered the vaccine or administered the vaccine as needed (i.e., post-exposure vaccine). Staff trained in CPR and first aid and required to render aid in an emergency as part of their job duties must be offered the Hepatitis B vaccine or administered the
vaccine as stated above.
(c) Job classifications with low risk of exposure should be administered the vaccine as needed (i.e., post-exposure vaccine).

Regardless of job classification, staff who decline the Hepatitis B vaccine must sign a declination form in accordance with 29 CFR 1910.1030 Appendix A.

4. Schedule and method of implementation for communicating hazards to employees
5. Schedule and method of implementation for recordkeeping
6. Procedures for evaluating the circumstances of an exposure incident

R24. Respiratory Protection Plan

a. Centers must develop and implement a Respiratory Protection program, including a written plan in accordance with 29 CFR 1910.134, if any of the following conditions exist:

1. Center offers trades that could potentially expose students or staff to airborne contaminants that meet or exceed the OSHA eight-hour Permissible Exposure Limits (PELs) or action levels for known respiratory hazards.

2. Asbestos-containing building materials (ACBMs) are present and may be disturbed during routine maintenance, housekeeping, renovation, or demolition activities.

3. Lead-based paint or other materials are present and may be disturbed during renovation and demolition activities.

4. Students and staff are exposed or may be potentially exposed to airborne contaminants and disease through contact with individual(s) engaged in providing student and staff health services or engaged in allied health training.

b. Centers are not required to implement a written Respiratory Protection program if filtering face piece respirators (i.e., dust masks) are used on a voluntary basis and there is no potential for airborne particulate levels to meet or exceed the OSHA eight-hour PEL or action levels.

Voluntary use of tight-fitting, negative pressure air-purifying or powered air-purifying respirators requires a written plan, in accordance with 29 CFR 1910.134, Appendix D.

c. Centers must identify and evaluate respiratory hazards in the workplace through:

1. Air sampling and exposure monitoring;

2. National Institute for Occupational Safety and Health (NIOSH) trade-specific data
regarding airborne contaminants; or

3. General or construction industry accepted best practices.

d. The Respiratory Protection program must contain worksite-specific procedures and elements for required respirator use.

e. Center Director or his or her designee must select a Respiratory Protection Program Coordinator to manage the center’s Respiratory Protection program.

f. The written Respiratory Protection Plan must contain the following elements:

1. Respirator selection procedures and criteria that ensure that exposure to hazardous substances occurs at or below maximum use concentrations

2. Medical surveillance for staff and students required to wear respirators

3. Fit-testing procedures for tight-fitting respirators

4. Procedures for the proper use of respirators in routine and emergency situations

5. Procedures and timelines for cleaning, disinfecting, storing, inspecting, repairing, discarding, and general maintenance of respirators

6. Procedures for ensuring air quality, quantity, and flow of breathing air for atmosphere-supplying respirators if applicable

7. Student and staff initial and annual refresher training that includes:
   (a) Potential respiratory hazards during routine or emergency situations
   (b) Proper use, donning, removal of respirators
   (c) Limitations of respirator use
   (d) Regular maintenance of respirators

8. Procedures for evaluating the effectiveness of the program

9. Medical evaluations conducted by a licensed health care professional in accordance with 29 CFR 1910.134(e)(1) through (e)(7)(iv)

10. Maintenance of respiratory protection training records for students and staff for three years. Additional retention requirements are as follows:
    (a) Retain student training records for one year following completion of training or termination of enrollment.
    (b) Retain staff training records for one year following resignation or termination of employment.

g. Centers must establish a cartridge change-out schedule in accordance with OSHA and
manufacturers’ recommendations to ensure cartridge effectiveness.

h. Qualitative and quantitative fit-testing of tight-fitting respirators must be done in accordance with 29 CFR 1910.134 Appendix A, Fit Testing Procedures (Mandatory). Fit-testing of respirators used to protect against asbestos or lead exposure must be done in accordance with the appropriate OSHA standards.

**R25. Hearing Conservation Plan**

a. Centers must conduct noise monitoring at least every other year to identify potential sources of hazardous noise or whenever new noise sources are introduced into the working or training environment.

b. Centers must identify hazardous noise areas with warning signs or markings to ensure that hearing protection is used in those areas.

c. Centers must develop and implement a Hearing Conservation program in accordance with 29 CFR 1910.95 if the following conditions exist:

1. Results of noise measurements have identified hazardous noise sources that may result in staff or student exposures that exceed 85dB (decibels).

2. Exposure monitoring indicates that student and staff noise exposures equal or exceed an eight-hour time weighted average (TWA) of 85dB measured on the A-scale (slow response) or 50 percent dose.

d. The Hearing Conservation Program (HCP) must consist of the following elements:

1. Monitoring program that identifies students and/or staff for inclusion in the HCP and to facilitate selection of appropriate hearing protectors.

2. Audiometric testing to monitor staff and students whose exposures equal or exceed an eight-hour TWA of 85dB, including:
   (a) Baseline audiogram to be administered within six months of the initial exposure equal to or in excess of 85dB to be compared against subsequent audiograms.
   (b) Audiograms administered at least annually following the baseline audiogram.
   (c) Audiogram evaluation.
   (d) Purchase of audiometric testing equipment is not required. However, if the center conducts audiometric testing, equipment and the testing environment must meet the requirements set forth in 29 CFR 1910.95, Appendices C and D. If the center does not conduct audiometric testing, the center must ensure that individuals included in the Hearing Conservation program are administered audiograms in accordance with the OSHA standard.
   (e) Audiometric testing must be performed by a licensed or certified audiologist, otolaryngologist, or other physician, or by a technician certified by the Council of Accreditation in Occupational Hearing Conservation, or who has
demonstrated competence in administering audiometric examinations, obtaining valid audiograms, and properly using, maintaining, and checking calibration and proper function of the audiometers being used. A technician who performs audiometric tests using a microprocessor audiometer does not need to be certified but must be responsible to an audiologist, otolaryngologist, or physician.

(f) Centers located in rural areas that are not equipped to conduct audiometric testing on center or find it difficult to locate audiometric testing centers must contact the Job Corps Regional Office Project Manager who will contact the National Office of Job Corps safety representative.

3. Hearing protector evaluation for specific hazardous noise environments to ensure attenuation to below 85dB. Evaluation methods should be done in accordance with 29 CFR 1910.95 Appendix B, “Methods for Estimating the Adequacy of Hearing Protection Attenuation.”

4. Selection and distribution of hearing protectors:
   (a) Hearing protectors must be provided at no cost to staff or students.
   (b) The hearing protectors selected should be appropriate for the task and provide the required noise attenuation.
   (c) Students and staff who have not yet had a baseline audiogram should be issued hearing protection.
   (d) Students or staff who have experienced a standard threshold shift must be issued hearing protectors.

5. Training that will be administered annually that includes but is not limited to the following:
   (a) Effects of noise on hearing
   (b) Purpose of hearing protectors
   (c) Disadvantages, attenuation of various types of hearing protectors
   (d) Instructions on selection, fitting, use, and care of hearing protectors
   (e) Purpose of audiometric testing and an explanation of the test procedures

6. Staff and students, or their representatives, must have access to monitoring results, audiometric test results, and training materials in accordance with 29 CFR 1910.95.

7. Centers must maintain records as follows:
   (a) Exposure monitoring results are maintained for two years.
   (b) Audiometric testing results are maintained for the duration of the student’s enrollment and the duration of staff employment.
   (c) Student and staff hearing protection attenuation and selection, and training records are maintained for two years.

8. Centers must retain all records associated with the hearing conservation program, upon transfer of center operations to another operator.
R26. Lead Exposure Plan

(See Chapter 5, Section 5.9, R11.)

R27. Hexavalent Chromium Exposure Plan

(See Chapter 5, Section 5.9, R16.)

R28. Lockout/Tagout Plan

a. Centers must develop written procedures for the control of hazardous energy in accordance with 29 CFR 1910.147 if students and staff are responsible for servicing or performing maintenance of machines or equipment.

b. A Lockout/Tagout Plan is not required when one of the following scenarios exists:

1. Servicing equipment that is powered by plugging into an electrical outlet and is under complete control of the individual performing the work

2. Making normal adjustments, including minor tool changes and other minor servicing activities that take place during normal production operations which are routine, repetitive, and integral to the use of that production equipment, as long as workers are effectively protected by alternative measures that provide effective machine safeguarding protection.

c. When a written Lockout/Tagout Plan is required, the program must include the following minimum elements:

1. Name of the machines or equipment and its purpose

2. Compliance requirements (policy)

3. Type of compliance enforcement for violation of policy

4. Name of students or staff affected and method of communication

5. Name of students or staff authorized to perform lockout/tagout

6. Type and magnitude of energy, its hazards, and the methods to control the energy

7. Type and location of machine or equipment operating controls

8. Type and location of energy isolating devices; lockout/tagout devices are sufficient in number, uniform, legible, understandable, and durable

9. Types of stored energy—methods to dissipate or restrain
10. Methods of verifying the isolation of the equipment

11. Training for affected and authorized students and staff

12. Method for evaluating lockout/tagout procedures at least annually and documenting results

13. Procedures for removing locks/tags when the owner of the lock or tag is not available

14. Plan is updated when changes in process, equipment, procedures, or audit warrants revision

**R29. Powered Industrial Vehicle Plan**

a. Centers that own or provide access to gas-powered or electric-powered fork trucks, tractors, platform lift trucks, motorized hand trucks (pallet jacks) or other specialized vehicles must develop a written Powered Industrial Vehicle Plan.

b. The plan must address the requirements outlined in 29 CFR 1910.178.

c. The plan must also include:
   1. Complete list of covered vehicles
   2. Fuel handling and storage procedures (if applicable)
   3. Battery charging, changing, and storage procedures (if applicable)
   4. Spill response procedures and fire prevention
   5. Areas where trucks are used
   6. Operator training
   7. Daily inspection process
   8. Process for removing vehicles from service

d. Powered industrial truck operators must be at least 18 years of age in accordance with the Fair Labor Standards Act (FLSA) 29 CFR 570.58.

e. Operator training must be conducted by a certified trainer and the training must be in accordance with 29 CFR 1910.178(l).
R30. Center Culture

Centers must establish behavioral expectations that promote a safe, respectful, and goal-oriented culture that fosters personal responsibility and mutual growth.

R31. Delivery and Coordination of Services to Students

Center operators must:

a. Develop a structured process for sharing information between all center staff, departments, and community resources, as needed to ensure the coordinated delivery of services to students, especially in assisting them to meet the rigor of the training program.

b. Implement safeguards to assure that personal information about individual students, subject to the Privacy Act, is shared among staff only to the extent necessary to ensure the safety and effective provision of services to students, and no further, in accordance with Chapter 6, Appendix 601, Student Rights to Privacy and Disclosure of Information.

c. Obtain DOL authorization prior to releasing personal student information to outside entities and ensure any such release is in compliance with the Privacy Act and other federal and state information privacy laws, including obtaining any necessary waivers and releases from students.

R32. Business and Community Participation

a. The Center Director must establish and develop mutually beneficial business and community relationships and networks, including with local boards, to enhance the effectiveness of the center. Relationships must be established and maintained, at a minimum, with the following:

   1. Local and distant employers to the extent practicable (Outreach must be conducted in coordination with other Federal and non-Federal programs conducting similar outreach to employers.)

   2. Applicable One-Stop Career Centers/American Job Centers and local boards to:
      (a) Provide information on training opportunities available through Job Corps.
      (b) Encourage referral of appropriate potential students.
      (c) Identify job opportunities for Job Corps graduates.

   3. Entities offering apprenticeship opportunities and youth programs

   4. Labor-management organizations and local labor organizations

   5. Employers and contractors that support national training programs and initiatives
6. Community-based organizations, non-profit organizations, and intermediaries providing workforce development-related services

b. Centers must establish a Community Relations Council to serve as a liaison between the center and the surrounding communities. The Community Relations Council will be used as a forum to:

1. Inform the community about projects of the Job Corps.

2. Share information about changes in center rules, procedures, and activities that may affect the community.

3. Plan events of mutual interest to create and maintain community relations and community support.

c. The Community Relations Council must have the following features:

1. Be representative of business, civic, and educational organizations; elected officials; law enforcement agencies; and other service providers, including organizations and entities that serve targeted populations.

2. Include student and staff representatives.

3. Meet at least once per quarter to consider issues of mutual interest to the center and the community.

4. Maintain records of Community Relations Council meetings, documenting attendance and recommendations.

d. The business and community participation requirements outlined above should be carried out for Job Corps centers that are not yet operating at least 3 months prior to the date on which the center accepts its first enrollee.

R33. Workforce Councils

a. Each Job Corps center must establish a Workforce Council whose members are appointed by the Center Director.

b. The Workforce Council must include:

1. Non-governmental and private sector employers

2. Representatives of labor organizations, where present, and of employees

3. Job Corps students and graduates
4. In the case of the single State local area, a representative of the State Board

c. A majority of the Workforce Council members must be business owners; chief executives or chief operating officers of nongovernmental employers; or other private sector employers who have substantial management, hiring, or policy responsibility, and who represent businesses with employment opportunities in the local area and the areas in which students will seek employment.

d. The Workforce Council may include, or otherwise provide for consultation with, employers from outside the local area who are likely to hire a significant number of students from the Job Corps center.

e. The Workforce Council may also include members of applicable local boards, provided they meet the requirements described in b and c above.

f. Workforce Council Responsibilities

1. Work closely with all applicable local boards and review labor market information to determine and provide recommendations to the Regional Office regarding the center’s career technical training offerings, including identification of emerging occupations suitable for training.

2. Review all relevant labor market information, including related information in the State Plan or Local Plan, to:
   (a) Recommend in-demand industry sectors or occupations in the area where the center operates.
   (b) Determine employment opportunities in the areas in which students intend to seek employment.
   (c) Determine the skills and education necessary to obtain the identified employment.
   (d) Recommend to the Secretary the type of career and technical training that should be implemented at the center to enable students to obtain the employment opportunities identified.

3. Meet at least once every six months to reevaluate the labor market information and other relevant information, to determine and recommend to the center director any necessary changes in the career technical training provided at the center.

g. The responsibilities outlined above should be carried out for Job Corps centers that are not yet operating at least 3 months prior to the date on which the center accepts its first enrollee.

R34. Partnerships/Resources for Individuals with Disabilities

a. Each center must develop resources and partnerships with outside agencies and
programs that will assist the center in serving students with disabilities. Special focus should be given to developing resources and partnerships that can assist the center in identifying or providing accommodation support that promotes student independence and employability.

b. The Business and Community Liaison staff and other appropriate staff should be involved in this process.

c. Each center will document efforts to develop resources/partners by completing the Disability Partnership Tool available on the Job Corps Disability website or their customized Disability Partnership Tool available from their Regional Disability Coordinator.

R35. Monthly Meetings with Center Director

The Center Director must meet monthly with the Center Physician and Center Mental Health Consultant to discuss clinical and organizational issues.

R36. Readily Achievable Barrier Removal

Each center must consider readily achievable barrier removal—things center staff can do to make the center more accessible that are easily accomplishable and able to be carried out without much difficulty or expense. To accomplish this, centers must:

a. Determine potential improvements to the physical accessibility (e.g., ramps, elevators, adjustable work stations, restrooms, etc.) of the center, by completing the ADA Checklist for Readily Achievable Barrier Removal (a link to this checklist is available on the Job Corps Disability website).

b. Determine potential improvements to the programmatic accessibility (e.g., communication options such as audio tapes, large print, etc.; center’s public materials contain a statement that reflects a commitment to providing reasonable accommodations for all of their programs, etc.) of the center, staff by completing the program Center Accessibility Tool (this document is available on the Job Corps Disability website).

c. Develop an accessibility plan with priorities and next steps based on the results of the ADA Checklist for Readily Achievable Barrier Removal and Center Accessibility Tool. The plan will be used as a tool for center staff to think about the simple things that can be done to ensure that students with disabilities can access/participate in the program on an equal basis with students without disabilities. This plan is not intended to be used to determine compliance for new construction or facilities being altered and is separate from the center’s facility survey requirement in Chapter 5, Section 5.8, R5-R10.

d. The accessibility plan will be reviewed and updated annually by June 30 (see Exhibit 5-2).
e. The safety and facilities maintenance staff should play a primary role in completing the plan with support from managers in all areas.

**R37. Use of Students for Assistance during Emergencies**

a. Job Corps USDA Forest Service Civilian Conservation Centers (CCC) may, as necessary, provide emergency assistance, if requested by public officials, in response to national, state, and local disasters.

1. Such service shall be provided consistent with child labor laws.

2. Participating students must be properly trained, equipped, supervised, and dispatched consistent with the standards for the conservation and rehabilitation of wildlife established under the Fish and Wildlife Coordination Act (16 U.S.C. 661 *et seq*). [https://www.fws.gov/laws/lawsdigest/fwcoord.html](https://www.fws.gov/laws/lawsdigest/fwcoord.html).

b. The National Director may authorize a Job Corps center other than a Civilian Conservation Center to provide emergency assistance if requested by public officials, in response to disasters in their community.

c. All students deployed during these situations must be volunteers and be at least 18 years old or have parental consent to participate.

1. Except during community-wide relief activities, no student shall participate in emergency relief on private property or where the actions would result in private profit.

2. No student shall participate in emergency relief connected with labor shortages, strikes, riots, or civil disturbances.

**R38. Civilian Conservation Center Forest Fire Suppression Activities**

Civilian Conservation Centers shall allow students to participate in forest fire suppression and camp crews when the student:

a. Has completed the National Incident Management System IS-700 training camp crew or obtained the Firefighter Type 2 (FFT2) certification crew

b. Is at least 18 years old and in good physical and mental condition; however, minors may participate on camp crews with non-suppression duties in accordance with agency policies

c. Is paid by the benefiting organization at the same rate and provided with the same rotational schedule as other firefighters
d. Works in mopping-up no more than five days after the fire is controlled

e. Works no longer than a total of three consecutive weeks, without Regional Office approval

f. Is counted as present for duty

R39. Sexual Assault Prevention and Response

Centers must:

a. Establish a program for sexual assault prevention, counseling, treatment, and follow-up care.

b. Develop a team response to sexual assault and involve center staff and outside resources.

c. Report sexual assaults:
   1. To law enforcement authorities as required by state and local law
   2. As significant incidents (see Chapter 5, Section 5.4, Significant Incidents) in the SIR System

R40. FECA/OWCP

a. Students are considered federal employees for purposes of the Office of Workers’ Compensation Programs (OWCP). OWCP benefits do not begin to accrue until the day following a student’s separation from the program.

b. The center must complete the appropriate OWCP form(s) whenever a student is injured, develops an occupationally related illness, or dies while in the performance of duty. The center must immediately comply with the procedures set out in the regulations at 20 CFR Chapter 1. The CA form portion of the Employee’s Compensation Operations and Management Portal (ECOMP) form and a copy of the ETA Form 6-61 (Notice of Student Separation) must be filed with the OWCP district office only when the injury or illness results in separation and consent is received from the Office of Job Corps. When separation does not occur, such forms must be maintained in the student’s health record.

c. If the student dies while in Job Corps, the center must:
   1. Inform the next of kin of any possible FECA benefits if death occurred during the performance of duty. If the student did not die during the performance of duty, the government shall pay only for expenses involved in the preparation and transportation of the remains to a mortuary in the area selected by the next of kin,
within the United States and its possessions.

2. Arrange for burial at a site close to the center and at a cost not to exceed the amount authorized in Section 8134(a) of the Federal Employees’ Compensation Act (https://www.gpo.gov/fdsys/pkg/CFR-2011-title20-vol1/pdf/CFR-2011-title20-vol1-part10.pdf) in the event that the next of kin refuses to accept the remains.

3. Provide the Office of Job Corps with documentation authorizing OWCP eligibility. If next of kin is eligible for benefits, further review by the Office of Job Corps shall determine if any additional gratuity payments, not to exceed $10,000 in accordance with Section 651 of Public Law 104-208 (The Omnibus Consolidated Appropriations Act, https://www.gpo.gov/fdsys/pkg/PLAW-104publ208/pdf/PLAW-104publ208.pdf), shall be awarded.

**R41. Identification of Local Child Care Resources**

a. Centers must promote the development and implementation of local linkages for child care for students to the maximum extent feasible.

b. Centers located in areas where local child care programs cannot meet student needs, and which have space and non-Job Corps funding sources for child care, may request approval to establish on-center child development programs, in accordance with requirements in Appendix 509 (Job Corps Child Development Programs).
5.2 PERSONNEL

REQUIREMENTS

R1. Organization and Staffing

a. Center operators and Outreach and Admissions/Career Transition Services (OA/CTS) contractors must:

1. Develop and submit a staffing plan (organizational chart) to the Regional Office for approval within 90 days of contract award.

2. Submit current job position descriptions for Regional Office approval within 90 days of contract award.

b. Federal agency operators must develop and submit a staffing plan (organizational chart) to the Office of Job Corps and Regional Offices annually.

R2. Staffing Ratios

Center Operators must comply with the following staffing requirements:

a. The student-to-teacher ratio for academic instructional activities is 18:1. Career Technical Training (CTT) programs will remain unchanged and in accordance with revised Exhibit 5-5. Student-to-teacher ratios may not be changed except through the written approval of the Office of Job Corps. For purposes of defining CTT training slots, one full-time CTT student is equivalent to two CTT training slots. Therefore, a 15:1 student-to-teacher ratio is equivalent to a 30:1 slot-to-teacher ratio.

b. Student-to-staff ratios for National Training Contract (NTC) programs must be in accordance with contract provisions.

c. Minimum staffing levels for health programs are specified in Chapter 5, Exhibit 5-6 (Center Health Services Staffing Requirements).

d. All other staffing levels must be based on center configuration and must be approved by the Regional Office.

R3. Health Services Staffing

Centers must:

a. Ensure that health services staffing is in compliance with the staffing levels presented in Exhibit 5-6 (Center Health Services Staffing Requirements) and the minimum staff qualifications identified in Exhibit 5-3 (Minimum Staff Qualifications).
b. For contract centers, employ or subcontract with medical, dental, Trainee Employee Assistance Program (TEAP), and mental health professionals who are subject to the prior approval of the Regional Office, in consultation with the Regional Health Specialists.

c. For USDA Forest Service Civilian Conservation Centers (CCCs), employ or subcontract with medical, dental, TEAP, and mental health professionals that are subject to the prior approval of the National Office, in consultation with the Regional Health Specialists.

d. Ensure that a health professional cannot serve as a consultant to, or an employee of, two or more Job Corps-related entities concurrently, when one entity has review and/or oversight responsibilities over the other(s). (Entities include Job Corps centers, health support contractors, and center operators.)

### R4. Personnel Policies

Center Operators and OA/CTS contractors must:

a. Develop and implement personnel management policies to include hiring, supervision, evaluation, conduct, and disciplinary procedures.

b. Define standards for acceptable and unacceptable behavior between students and staff that protect individuals from exploitative, coercive, and traumatic experiences. Ensure that center rules for acceptable and unacceptable behavior are communicated equally, understood, and applied to all staff members. These rules, which should be included in the Employee Handbook, must provide a clear explanation and rationale for appropriate and inappropriate behavior, and clearly state the consequences for unacceptable staff behavior. Staff should know the legal consequences of unacceptable behavior, if applicable.

c. Establish labor management relations in accordance with agency guidelines for federally operated centers and in accordance with the provisions of the National Labor Relations Act for contractors. The U.S. Department of Labor will not undertake conciliation, mediation, or arbitration of organizations, nor will Job Corps pay legal or other fees generated by such disputes as direct costs against contracts.

d. Develop and implement volunteer management policies to include volunteer screening, training, and supervision. At a minimum, plans should include background checks for all volunteers in accordance with state laws, and plans to ensure volunteers are covered by the contractor’s liability insurance while they are performing their assigned tasks.

### R5. Staff Qualifications

a. Center and OA/CTS contractors must ensure that all staff that are hired meet the minimum qualifications specified in Exhibit 5-3 (Minimum Staff Qualifications).
b. Center and OA/CTS contractors must request written approval or waivers from the Regional Director for the following:

1. Hiring staff who do not meet minimum qualifications as specified in the approved position descriptions, in which case, a professional development plan must be submitted and updated annually.

2. Hiring relatives of current staff.

3. The appointment, continued assignment, or change in employment status of the Center Director, Project Director, or senior staff. This also includes all supervisory personnel who report directly to the Center Director.

c. Regional Offices will not grant a waiver if it would negatively impact the center’s eligibility for accreditation or its ability to produce high school graduates.

d. Federal agency operators must advise the Regional Office of changes in employment status of Center Directors and senior staff.

e. Centers must request a waiver from the National Office prior to filling the following health and wellness positions if the minimum requirements set forth in Exhibit 5-3 are not met:

1. Trainee Employee Assistance Program (TEAP) Specialist: A one-time, one-year waiver for the TEAP Specialist must include a professional development plan identifying the steps necessary to meet the minimum requirements within one year of employment. A copy of the license or certification must be shared with the National Office once obtained. If the license or certification is not achieved within one year, the waiver is terminated, and the staff member can no longer be employed as the TEAP Specialist.

2. Center Mental Health Consultant (CMHC): A one-time waiver may be issued for CMHCs with a master’s degree or higher in behavioral health, and a license to practice independently in the state. This one-time waiver will only be considered if there is unsuccessful recruitment for a clinical/counseling psychologist or clinical social worker. Those approved for the one-time waivers will not be required to have a professional development plan. Providers must meet all requirements for license renewal to maintain an active license as set forth by their state boards. The one-time waiver for the CMHC covers the hiring period and is automatically renewed on an annual basis.

f. Centers must request a waiver from the National Office prior to hiring the following health and wellness positions if the minimum coverage requirements set forth in Exhibit 5-6 are not met:
1. Nurse Practitioner (NP): A one-time waiver for the NP may be requested if an NP assumes more coverage than 2 hours/100 students/week with supervision. The supervising physician will be the center’s Medical Director. This supervision arrangement must be clearly defined as part of the NP contract and in the collaborative agreement.

An annual waiver for the NP may be requested in independent practice states, where an NP with an active Drug Enforcement Agency (DEA) registration could serve as Medical Director.

2. Physician Assistant (PA): A one-time waiver for the PA may be requested if a PA assumes more coverage than 2 hours/100 students/week. Supervision is always required, and the supervising physician will be the center’s Medical Director. This supervision arrangement must be clearly defined as part of the PA contract and in the collaborative agreement.

g. Regional Health Specialists will review all health and wellness staff waivers prior to National Office approval/denial of the request.

R6. Background Checks and Credential Verification for Employees of Job Corps Contractors

Center Operators and other Job Corps contractors, which include all subcontractors of a Job Corps contractor (collectively referred to below as “Job Corps Contractors”), must conduct a nationwide (to include all United States territories) criminal background check on all employees and all applicants for employment prior to making an offer of employment. Each background check and any employment decision based upon them must conform to the requirements set out below. Further, Job Corps Contractors must verify that all applicants receiving an employment offer and all employees have obtained all licenses, certifications, or other credentials required, and that the individual is in good standing with the licensing body for their profession.

a. All criminal background checks must be conducted by a third-party background checking company in accordance with the Fair Credit Reporting Act, and result in a written report from the third-party company.

b. All criminal background checks must include a review of the history of all state (including United States territories) and Federal criminal convictions.

1. Decisions on the employability of applicants and continued employment of employees must only be made on records of convictions, not arrest records or indictments.

2. Consideration must be given to any conviction or release from incarceration during the 7 years prior to the date of the applicant’s application for employment or the
c. Disqualifying convictions

1. If an employee or applicant for employment has been convicted or adjudicated responsible as a juvenile of any of the crimes listed below, regardless of when the conviction or adjudication occurred, that employee or applicant cannot be employed by a Job Corps Contractor:
   (a) Murder;
   (b) Child Abuse; and
   (c) Rape or Sexual Assault.

2. Health-and-wellness staff, or any other employee with access to prescription medications, cannot be employed by a Job Corps Contractor if they have been convicted of the following crimes:
   (a) Fraud, theft, larceny, and similar crimes involving deception; and
   (b) Drug-related offenses.

d. If an employee or applicant for employment has been convicted or released from incarceration for a crime not identified in c.1., the Job Corps Contractor must determine the relevance of the record of conviction or release from incarceration to the duties of the position of the employee or position for which the applicant is applying. In making an employment decision, the Job Corps Contractor must use an individualized assessment for each applicant and employee.

1. Individualized assessment means that the Job Corps Contractor must:
   (a) Inform the individual that he/she may be excluded because of past criminal conduct;
   (b) Provide an opportunity to the individual to present additional information regarding the criminal conduct and demonstrate that the exclusion does not properly apply to them; and
   (c) Consider whether the additional information provided by the individual shows that the policy as applied is not job-related and consistent with business necessity.

2. In doing an individualized assessment for an employee or applicant with criminal convictions, the Job Corps Contractor must consider the following:
   (a) Whether the conviction was a felony or a misdemeanor;
   (b) The nature of the conviction(s) and the facts underlying it, and whether they involve serious or repeated violence;
(c) The amount and frequency of contact the employee has, or the applicant will have with students;
(d) The age of the employee or applicant at the time of the conviction;
(e) Whether the employee or applicant has a history of multiple convictions that suggests a pattern of criminal behavior or bad judgment;
(f) The length of time between the conviction and/or completion of any sentence and the employee’s initial employment date or applicant’s application to work at the center; and
(g) Any evidence of rehabilitation in the time period since the conviction.

e. When asking questions about criminal records, inquiries must be consistent with business necessity.

   1. Questions must be limited to records for which exclusion or potential exclusion would be job related for the position in question.

   2. Information about applicants’ and employees’ criminal records must be kept confidential and used only for the purpose for which it was intended.

f. For each employee or applicant hired, the Job Corps Contractor must document:

   1. That he/she conducted a background check according to the requirements above;

   2. What, if any information from the background check, factored into the Job Corps Contractor’s employment decision; and

   3. Details of the individualized assessment the Job Corps Contractor undertook in accordance with the above before making an employment decision based on the information from the background check.

g. Job Corps Contractors must conduct a new background check for each employee every 3 years. The documentation requirements in f. above apply.

h. Job Corps Contractors also must verify that all employees and applicants for employment or other individuals providing services under an agreement or contract have obtained all licenses, certifications, or other credentials required under state and Federal law, and that the individual is in good standing with the licensing body or regulatory authority for his/her profession. Job Corps Contractors must verify this information every 3 years.

i. Requests for exceptions for the hiring or continuation of employment restrictions imposed by this policy must be made in writing to the National Director. The National
Director may waive the requirements in this policy for an employee or applicant for employment based on compelling evidence that such an exception is in the best interest of Job Corps, and Job Corps students and staff.

j. Job Corps Contractors may continue to use existing criminal background check procedures, provided those procedures are stricter than and do not conflict with the procedures outlined in the policy above. If a Job Corps Contractor’s existing policy is less stringent or inconsistent with the policy outlined above, the Job Corps Contractor must modify the policy so that it is in line with the requirements outlined above.

R7. **Staff Coverage**

Center operators must provide coverage for staff absences for those positions that have direct staff/student interaction. Use of students or volunteers for this purpose is prohibited. All substitutes must be trained in safety procedures.

R8. **Staff Performance Appraisal**

Center operators and OA/CTS contractors must develop a staff performance appraisal system that allows for documentation of staff competence, to include:

a. Maintaining staff requirements specified in Exhibit 5-3 (Minimum Staff Qualifications)

b. The areas listed in Exhibit 5-4 (Required Staff Training)

c. Staff’s modeling, mentoring, and monitoring each of the eight Career Success Standards (CSS)

d. Support of students’ career development goals, the zero-tolerance (ZT) policy, and student placement efforts

R9. **Staff Training Documentation**

Centers and OA/CTS contractors must maintain up-to-date records of training completed by each employee.

R10. **Center Occupational Safety and Health Orientation and Training**

In accordance with 29 CFR 1960.58 centers must provide the appropriate safety and occupational health training to students and staff including specialized training appropriate to the training or work tasks performed. Center safety and health orientation and training must be included in the following:

a. Introduction to Center Life (New Students) – Career Preparation Period (CPP) (see Chapter 3, Section 3.4, R1 and R3)
b. New Staff Training (see Exhibit 5-4)

c. Ongoing Staff Training

The center must provide required occupational safety and health training included as part of the center’s annual training plan. Each plan must include anticipated occupational safety and health training needs, such as the anticipated number of staff members to be trained, where and when the training is to be performed, and the estimated cost (see Exhibit 5-4).

d. Training Standards and Documentation

Safety training must be conducted in accordance with current Occupational Safety and Health Administration (OSHA) standards 29 CFR 1904, 1910, 1926, and 1960 and DOL guidance provided by the National Office of Job Corps. Center Safety Officers must have and maintain these references and regulations on hand at all times. Further, all completed training must be properly documented and maintained on center in the individual’s personnel or continuing education file for up to three years, and one year beyond employment. Training records must be available upon request.

e. Minimum Training Requirements for Center Safety Officers

1. Center Human Resources Manager must implement a professional development program (signed by the Center Director) for the Safety Officer that must include but is not limited to the courses listed in Exhibit 5-4.

2. Center Safety Officer must complete the Occupational Safety and Health for Other Federal Agencies course with expanded segment on accident/incident investigation training (OSHA 600) within the first 180 days of initial assignment.

3. Center Safety Officer must complete Asbestos Identification and Handling course, if applicable, within 90 days of initial assignment.

4. Center Safety Officer must complete Lead Based Paint Identification and Handling course, if applicable, within 90 days of initial assignment.

5. The center operator and Center Director must ensure that the Safety Officer receives training in the remaining safety-related courses referenced in Exhibit 5-4 within 18–24 months of appointment.

6. In accordance with Chapter 5, Section 5.1, R12, annual advanced or refresher training must be provided to the center Safety Officer after basic required courses are completed.

7. In accordance with Department of Labor Manual Series (DLMS) 4, Chapter 800, Paragraph 822, the Center Director must ensure that a written professional
development plan is developed and implemented for the center Safety Officer. Further, all completed courses must be properly documented and maintained on center in the individual’s personnel or professional development file for the duration of employment, and one year beyond employment. Training records must be available upon request.

**R11. Disability-Related Staff Training**

Centers will provide disability-related staff training in accordance with Chapter 5, Exhibit 5-4 (Required Staff Training).

Additional guidance and tools for meeting all Disability Program requirements are available on the Job Corps Disability website.
5.3 SAFETY AND SECURITY

REQUIREMENTS

R1. Center Safety and Security Standard Operating Procedures

a. Center operators must establish and comply with agreements with law enforcement, in accordance with the requirements of Sections R3 and R4 below. Center operators must submit these law enforcement agreements to the National Office of Job Corps (NOJC) and the Regional Offices (ROs) as required by Exhibit 5-2.

b. Center operators must establish and comply with their Center Safety and Security Standard Operating Procedure (SOP). This SOP will supplement a local law enforcement agreement, or when necessary, govern how the center operator will respond to center security issues that are not addressed in a local law enforcement agreement. Center operators must submit these SOPs to the NOJC and the ROs as required by Exhibit 5-2.

c. A Center Safety and Security SOP must address the following requirements:

1. How it will regulate the entry, exit, and conduct of any person who seeks access to the campus, including students, staff, family, and visitors. The SOPs must include language indicating that a map of the campus will be displayed in a prominent location and will also be made readily available to law enforcement and medical personnel in emergency situations.

2. How the center will handle, secure, and timely dispose of any illegal drugs and all weapons (as defined in Section R5 below) confiscated or otherwise found on center or in a center-sponsored activity that the local law enforcement agency will not retrieve. Disposal must be done in accordance with state and local law. Disposal of illegal drugs must be consistent with the center SOP on Medication Management.

3. How the center operator will address the confiscation and return of weapons and items that are barred from Job Corps centers under R5 below, but that students may legally possess off-center, such as licensed or registered firearms. This procedure must align with R6 (d) below.
   (a) Such weapons and items must always be confiscated and must not be returned to the student while the student is still on center.
   (b) The Center Safety and Security SOP also must include what steps the center operator, in conjunction with local law enforcement where possible, will take to verify if the student complied with any registration and licensing requirements to possess the confiscated weapons or items.
   (c) Even if the student can legally possess a weapon or other prohibited item off center, the center still must sanction any student determined to have brought a weapon or other unauthorized good on center,
according to Exhibit 2-1.

4. How the center operator will handle, secure, and, if necessary, timely dispose of other unauthorized goods prohibited from being on center in Section R5 below.

5. How the center will record and track all illegal drugs and all weapons confiscated or otherwise found on center. The SOP must include the date the illegal drugs or weapons were found, the date(s) they were secured and where they were secured, and the date on which they were disposed or returned and how they were disposed or returned. If illegal drugs or weapons are found or confiscated from a student or staff member, this record must include the student’s or staff member’s name and identification number, how the illegal drugs or weapons were located, and what disciplinary actions the student or staff member faced following the discovery.

6. The circumstances and process under which law enforcement will be contacted, consistent with Section R2 and any law enforcement agreement.

7. How the center operator will return stolen property to its rightful owner.

R2. Requirement to Contact Local Authorities

a. Center operators must report criminal incidents according to the terms of the law enforcement agreements described in R3 and R4.

b. Regardless of the terms of the law enforcement agreements described in R3 and R4, center operators must contact local law enforcement if a serious crime or emergency occurs on center or in a center-sponsored activity. A serious crime includes, but is not limited to:

   1. A homicide

   2. A theft of a vehicle or other significant government-owned property

   3. An assault or battery

   4. A riot or similarly violent incident involving five or more students or persons

   5. A sexual assault or rape as defined under state law. Center operators also must comply with the requirements of Chapter 5, Section 5.1, R39 in dealing with sexual assaults and rapes.

   6. Unauthorized distribution of a controlled substance

c. Center operators may not prohibit any center personnel or student from calling 911 or any law enforcement agency, and may not limit the personnel or students who may call 911 or law enforcement.
R3. Agreements with Local Law Enforcement Agencies

a. Pursuant to Job Corps’ regulations at 20 C.F.R. § 686.925(b), center operators must develop and maintain written cooperative agreements with its nearest local law enforcement agency. An up-to-date, unexpired agreement must be submitted to the NOJC and ROs on July 1 of each program year. The submitted agreement must reflect that the agreement will be in effect for that upcoming program year.

These agreements must contain, at a minimum:

1. The names of each center and of the local law enforcement agency

2. The roles and responsibilities of the center operator and the local law enforcement agency, including at a minimum:
   (a) The circumstances and process under which the center’s operator will contact the local law enforcement agency consistent with the requirements of Sections R1 and R2 above.
   (b) How the center operator will handle, secure, and timely dispose of illegal drugs and all weapons confiscated or otherwise found on center or in a center-sponsored activity, as well as the handling, securing, and, if necessary, disposal of any other unauthorized good prohibited from being on center in Section R5 below.
   This portion of the agreement also should address how the center operator will work with local law enforcement to ensure that students have met the licensing and registration of items, such as firearms, that are barred from Job Corps centers under R5 below, but that students may otherwise legally possess.
   (c) A statement that the local law enforcement agency will be contacted for searches as required at Section R9 below.

3. The expiration date for the agreement;

4. The process for renewing, altering, or terminating the agreement;

5. The signatures of the operator’s center director and an authorized signatory from the local law enforcement agency;

6. The date the operator and the local law enforcement agency signed the agreement

b. If the center operator is unable to reach an agreement with the local law enforcement agency, or the local law enforcement agency will not agree to address all of the topics described in subsection R3 (a) in its agreement, no later than the last day of each program year, the center must provide to the NOJC and ROs:

   1. Written evidence of the operator’s good faith effort to reach an agreement with the local law enforcement agency on all of these terms. For the purposes of this
requirement, a good faith effort is one in which the center operator makes a diligent and honest effort to obtain a local law enforcement agreement annually; and

2. What, if any, agreement the center operator was able to reach with the local law enforcement agency.

3. The portions of the SOP established as a supplement to or in place of a law enforcement agreement in accordance with R1.

**R4. Agreements with Federal and State Law Enforcement Agencies**

a. Pursuant to Job Corps’ regulations at 20 C.F.R. § 686.925(b), center operators must establish agreements with federal and state law enforcement agencies for criminal enforcement purposes by reaching out to the local offices of state law enforcement and the Federal Bureau of Investigation (for contract centers) or Forest Service Law Enforcement for Job Corps Civilian Conservation Centers (CCCs). An up-to-date, unexpired agreement must be submitted to the NOJC and ROs on July 1 of each program year. The submitted agreement must reflect that the agreement will be in effect for that program year. Such agreements must contain the same terms described in Section R3 (a)(1)-(6) above;

b. If the center operator is unable to reach an agreement with the local office of the federal or state law enforcement agency, or the local office of the federal or state law enforcement agency will not agree to address all of the topics described in subsection R3 (a)(1)–(6) in its agreement, no later than the last day of each program year, the center must provide to the NOJC and ROs:

1. Written evidence of the operator’s good faith effort to reach an agreement with the local office of the federal or state law enforcement agency on all of these terms. For the purposes of this requirement, a good faith effort is one in which the center operator makes a diligent and honest effort to obtain a local law enforcement agreement annually; and

2. What, if any, agreement the center operator was able to reach with the local office of the federal or state law enforcement agency.

**R5. Unauthorized Goods**

Center operators must ensure that the following unauthorized goods are not permitted on center:

a. Firearms and ammunition

b. Explosives and incendiaries

c. Knives
d. Homemade weapons

e. All other weapons and instruments for which the primary use is to inflict injury

f. Drugs, defined as any substance listed on any schedule of the Controlled Substances Act, including seeds and residue, except when the drug is possessed and used in accordance with a valid prescription; and drug paraphernalia

Note: Under the Controlled Substances Act, no valid prescription can be provided for Schedule I drugs, including marijuana.

g. Stolen property

h. Alcohol

i. Tobacco for minors

j. Any other items that are illegal under state law or that could pose a danger to safety and security

R6. Disposal of Unauthorized Goods

Center operators must dispose of unauthorized goods as follows:

a. Stolen property must be returned to its rightful owner.

b. Illegal drugs (as defined in R5.f, above) must be secured and disposed of according to agreements described in R3 and R4. Disposal of any substance not covered by an agreement described in R3 and R4 must be disposed of in accordance with state and local law, consistent with center SOPs on Medication Management and Center Safety and Security.

c. Confiscated weapons (including firearms) must be secured and reported to law enforcement and disposed of according to agreements described in R3 and R4 and in accordance with the center operator’s Center Safety and Security SOPs. The center must track and record all weapons and illegal drugs, including: the individual’s name and his or her staff or student identification number, the date the illegal drugs or weapons were found, how they were located, disciplinary actions faced following the discovery, disposition of the illegal drugs or weapons and the date of disposition.

d. When a student brings a weapon or potentially dangerous item that the student may legally own but is not permitted to possess on center, the item must be returned to the student according the student’s choice of either:

1. Returning the item after the student is sent home in accordance with the student disciplinary process, by arranging a date on which the student may safely pick up
the item; or

2. Mailing or shipping the item to an address where the student may later safely pick it up.

If a student does not want the item returned or does not provide an address for return, the item shall be considered abandoned property and the center operator must dispose of it according to the requirements of its local law enforcement agreement and Center Safety and Security SOP. Center operators must comply with all PRH recording and reporting requirements for these items before making arrangements for their return.

**R7. Prohibition of Firearms**

Center operators must not allow anyone to bring a firearm onto a Job Corps Center except for:

a. Licensed security personnel contracted by the center operator to provide security during distribution of student payroll; and

b. Law enforcement personnel when:

1. Providing security services to a Job Corps Center pursuant to a written agreement between the center operator and the relevant law enforcement agency outlining the terms and condition of the arrangement, which addresses licensure, firearms certification, type of firearm, and additional weapons requirements and verification requirements, including the law enforcement personnel’s duties at the center; or

2. Employed by a center operator as center security personnel and acting within the scope of their duty, provided that such employment, including the use of their official firearm or other licensed firearm, is permitted by the relevant law enforcement agency.

NOTE: Nothing in this policy prohibits law enforcement personnel from conducting official law enforcement activities, including the use of firearms in accordance with the established policy of that agency, in the line of duty, including when law enforcement personnel are in the line of duty and armed on a Job Corps center campus.

**R8. Active Shooter Response**

An active shooter is defined as an individual actively engaged in killing/injuring or attempting to kill/injure people in a confined and populated area; in most cases, active shooters use firearm(s), and there is no pattern or method to their selection of victims.

Upon discovery of an Active Shooter event, as soon as it is safe to do so, law enforcement (911) must be called, and communicate, “There is an Active Shooter event in progress.”
The 911 call (from a safely concealed area) should provide the following information:

a. Description and possible location of suspect(s) if known

b. Number and types of weapons

c. Suspect’s direction of travel

d. Location and condition of any victim(s)

Safety and Security Officers and/or the person in charge must be prepared to meet and guide law enforcement officers if possible. The goal of law enforcement is to locate, isolate, and neutralize the shooter as quickly as possible to prevent any deaths or injuries.

In response to an Active Shooter event, the guidelines listed below should be followed.

1. **Evacuate.** If there is an accessible escape path, attempt to leave the premises
   (a) Have an escape route and plan in mind
   (b) Evacuate regardless of whether others agree to follow
   (c) Leave belongings behind
   (d) Help others escape, if possible
   (e) Prevent individuals from entering an area where the active shooter may be
   (f) Do not attempt to move wounded people
   (g) Call 911 when you are safe
   (h) Follow the instructions of any law enforcement officials/authorities
   (i) Keep your hands visible in the presence of law enforcement officials/authorities

2. **Hide Out.** If evacuation is not an option, find a place to hide where the active shooter is less likely to go. Direct others into resident rooms or adjacent rooms, close the door and attempt to barricade the door. The hiding place should:
   (a) Be out of the active shooter’s view
   (b) Provide protection if shots are fired
   (c) Not entrap or restrict options for movement

If the active shooter is nearby:
   - Lock the door
   - Silence your cell phone and/or other electronic devices
   - Turn off any source of noise (i.e., radios, televisions)
   - Hide behind large items (i.e., cabinets, desks)
   - Blockade the door with heavy furniture
   - Stay as low to the floor as possible and remain quiet

If evacuation and hiding out are not options:
   - Remain calm
   - Call 911, if possible, to alert police to the active shooter’s location
• If you cannot speak, leave the line open and allow the dispatcher to listen

3. Take Action. If your life is in imminent danger, as a last resort, attempt to disrupt and/or incapacitate the active shooter by:
   (a) Acting as aggressively as possible against the active shooter
   (b) Throwing items and improvising weapons
   (c) Yelling
   (d) Committing to your actions

Announce an “All Clear” when the scene is declared safe by law enforcement officials/authorities.

R9. Search and Seizure

Center operators shall conduct searches and seizures only in the following circumstances:

a. General inspections of dorm rooms, lockers, and other center facilities may be conducted periodically.

b. Searches for unauthorized goods may be conducted only when the Center Director believes such goods are being hidden on center. The reasons for the search must be documented.

c. The scope of search may be no wider than what is necessary to accomplish the specific purpose of the search. Unauthorized goods found as a result of a search must be confiscated.

d. A search of a person of an entire group of Job Corps students is prohibited when the information in the possession of Job Corps officials indicates that only some members, or less than all members, of the group are in possession of contraband that is prohibited on center property.

e. Job Corps shall not conduct strip searches of students. If the Center Director believes a strip search of a student is necessary, local law enforcement authorities must be contacted and requested to perform the search.

f. Searches for evidence of crime may be conducted for evidence in criminal prosecution. These must always be done by a law enforcement officer with a search warrant, except when delay would endanger the physical well-being of students.

R10. Student Notification

Center operators must notify all students of the center’s policies and procedures regarding unauthorized goods, and search and seizures.
**R11. Use of Physical Restraint and Isolation**

Center operators must:

a. Limit use of physical restraint to only those situations that seriously threaten persons or property. Ensure that no student is restrained for more than one hour without at least verbal consultation and approval from a physician. Staff cannot use handcuffs, mace, pepper spray (or any derivatives) on students. Staff must be aware of and abide by any state laws regarding restraint and isolation.

b. Use on-center isolation facilities for temporary segregation of students from their peers only when behavior constitutes an immediate threat to themselves, other persons, or property.

A student placed in an isolation facility must be observed every 15 minutes and this observation must be documented on a signed log giving the exact time of observation and the signature of the staff member conducting the observation. Isolation cannot exceed 12 hours unless accompanied by a statement from the center physician that the isolation is not medically prohibited.

**R12. Student Vehicles**

Centers must not permit residential students to keep personal vehicles at Job Corps centers.
5.4 SIGNIFICANT INCIDENTS

REQUIREMENTS

R1. Management

Center and Outreach and Admission/Career Transition Services (OA/CTS) contractor management must:

a. Investigate each significant incident

b. Initiate appropriate action to resolve the incident

c. Identify and implement appropriate procedures to prevent recurrence, to the maximum extent possible

R2. Reportable Events

Centers and OA/CTS contractors must report the following types of significant incidents to the National and Regional Offices:

a. Death or work/training-related hospitalization of one or more active students or on-duty staff in one incident, work training related amputations, and work/training related losses of an eye

b. Serious illness, or serious injury** (e.g., epidemic, hospitalization, emergency room treatment requiring hospital admission or surgery, reaction to medication/immunization) to an active student and/or on-duty staff member

c. Physical assault

d. Inappropriate sexual behavior

e. Indication that a student is a danger to himself/herself or others

f. Incident requiring law-enforcement involvement

g. Incident involving illegal activity

h. Arrest of current student or on-duty staff member

i. Motor vehicle accident involving injuries, or damage to a center vehicle

j. Theft or damage to center, staff, or student property

k. Incident threatening to close down the center or disrupt the center’s operation
l. Incident involving a missing minor student

m. Any infraction identified in Exhibit 2-1, Infraction Levels, Definitions, and Appropriate Center Actions, requiring a(n) SIR

** Please see Chapter 5, Section 5.9, R20.b for a detailed classification of serious medical injuries.

R3. Incidents Requiring Immediate Contact with the Appropriate Regional Office

Centers must immediately report within 2 hours of center management becoming aware of the incident, described below, to the appropriate Regional Office, by either telephone or text messaging, and by e-mail. Regional Offices will notify centers and corporate offices of the appropriate points of contact for reporting these incidents.

a. Death of an active student. Job Corps centers must also immediately contact the Office of Workers’ Compensation Programs (OWCP) and send the entire student personnel record (including sealed health record) to the Office of Job Corps by signature-required mail or delivery within 10 days. The sealed health record must include OWCP forms and written notification of death, plus the death certificate and autopsy and toxicology reports if available.

b. Death of a staff member on-duty

c. Hospitalization of one or more active students and/or on-duty staff in one incident, work/training related amputations, and work/training related losses of an eye

d. Any incident:

   1. Requiring law enforcement involvement (If the incident involves a minor student and requires law enforcement involvement, then centers must notify his/her parents immediately.)

   2. Involving a missing minor student

   3. With the potential to garner negative media attention

   4. Where substantial property damage has occurred

R4. Incidents That Require Occupational Safety and Health Administration (OSHA) Notification

Centers must report incidents within 8 hours in accordance with 29 CFR 1904.39(a). Centers must contact Job Corps Division of Facilities, Acquisitions and Maintenance (DFAM) and OSHA within 6 hours of one or more of the following events:
a. Death of an active student as a result of a work-related incident.

b. Death of a staff member on-duty as a result of a work-related incident.

c. Hospitalization of one or more active students and/or on-duty staff, work/training related amputations, and work/training related losses of an eye

Centers must orally report the fatality/multiple hospitalization by telephone or in person to the OSHA Area Office nearest to the site of the incident, or use the OSHA toll-free central telephone number, 800-321-OSHA (800-321-6742). Should no one answer the National toll free number you must leave a telephone message with the following information:

1. Establishment name
2. The location of the incident
3. The time of the incident
4. The number of fatalities or hospitalized employees
5. The names of any injured employees
6. Your contact person and his or her phone number
7. A brief description of the incident

d. Centers must maintain a record of notification on center for a period of three years.

R5. Incidents Requiring Electronic Submission of Significant Incident Report

All incidents outlined in R2, above, must be reported through the web-based Significant Incident Reporting (SIR) System. Centers should contact the appropriate Regional Office if there is confusion as to whether a(n) SIR should be submitted.

Centers must:

a. Submit an initial SIR, even if a resolution has not yet been determined for the students/staff involved, according to the timelines below.

1. Within 6 hours of the center being made aware of an active student or on-duty staff death
2. Within 24 hours of the center being made aware of other incidents
b. Continue to submit supplemental reports monthly, or more frequently, if new information is obtained, until a final report has been submitted. Until a report is submitted as final, a supplemental report, at minimal, is required every 30 days following the submission of the initial report.

c. In the event that a student suffers an injury while on duty or on an authorized day pass, record the incident in Employees’ Compensation Operations and Management Portal (ECOMP) within seven calendar days (including weekends) of supervisor notification. ECOMP information, including Internal Control Number (ICN), must be added to victim and/or perpetrator information with the initial SIR, or as soon as it is available.

d. In the event that a staff member suffers a work-related injury or occupational illness/disease, record the incident in ECOMP (OSHA 301, and OSHA 300 if applicable, only) within seven calendar days of supervisor notification. ECOMP information, including ICN, must be included in the narrative portion of the report with the initial SIR, or as soon as it is available.

R6. Usernames and Passwords

Each staff member who is responsible for submitting SIRs through the web-based system is required to have a unique username and password.

a. Basic Users: These are users who may enter information but may not approve/submit a SIR to Job Corps National/Regional Offices.

b. Advanced Users: These are users who may enter, approve, and submit a SIR. Each center must have at least two Advanced Users. An Advanced User can enter and submit a SIR to Job Corps National/Regional Offices without approval from the Center Director or another staff member.

R7. Display of Student Identifying Information

To ensure student privacy, centers must not use student first and last names in the “Description of Incident” field in the SIR. Instead, centers should use the terms “Victim 1,” “Victim 2,” “Perpetrator 1,” “Perpetrator 2,” etc., when describing the incident.
**5.5 PROCUREMENT**

**REQUIREMENTS**

*R1. Procurement*

Center operators and Outreach and Admissions (OA)/Career Transition Services (CTS) contractors must follow all applicable procurement regulations, to include those contained in the Federal Acquisition Regulations (FAR), Department of Labor Acquisition Standards, Office of Management and Budget (OMB) Circulars, and Executive Orders (EO).

*R2. Internal Controls*

Center operators and OA/CTS contractors must:

a. Develop written procedures to procure materials and services on a timely basis and submit these procedures to the Contracting Officer as part of the standard operating procedures. Federal agency centers must use established agency procedures for procurement of materials and services (see Exhibit 5-1, Standard Operating Procedures).

b. Communicate procurement rules and procedures to all staff members involved in the purchase, receipt, custody, oversight, documentation, or inventory of materials and services.

c. Establish systems for the periodic self-evaluation of procurement activities to ensure integrity, accountability, and prevention of fraud, waste, and abuse.

*R3. Reporting*

Center operators and OA/CTS contractors must submit subcontracting reports in accordance with the reporting requirements and schedule described in Exhibit 5-2 (Plan and Report Submission Requirements).
5.6 PROPERTY

REQUIREMENTS

R1. Receipt and Control of Property

a. Ensure that all supplies and equipment are received and signed into custody by persons other than those who authorize purchases.

b. Receive Safety Data Sheets (SDS) for all potentially hazardous material and distribute them to appropriate departments.

c. Develop and maintain an inventory system to account for all expendable property in accordance with procedures in Employment Training Administration (ETA) 359, ETA Property Management Handbook.

d. Maintain an inventory system to account for all non-expendable property through the use of the Electronic Property Management System (EPMS).

e. Conduct a thorough inventory of non-expendable property annually and report the results to the Contracting Officer.

f. Conduct a thorough inventory of all expendable property quarterly.

R2. Medical Equipment and Supplies

The center must:

a. Provide necessary equipment and supplies for routine and emergency delivery of basic medical, dental, and mental health services. All such equipment must comply with federal and state requirements.

b. Purchase major dental equipment according to the current dental equipment list published periodically by the Office of Job Corps.

c. Purchase from government supply service centers (General Services Administration [GSA], Health and Human Services [HHS], Veteran Administration [VA]), whenever possible.
5.7 FINANCIAL MANAGEMENT

REQUIREMENTS

R1. Budgeting

Center operators and Outreach and Admission (OA)/Career Transition Services (CTS) contractors must assure that budgets are developed, prepared, revised, and submitted in accordance with the requirements contained in Appendices 502 (Financial Management for Center Contracts) and 503 (Job Corps Outreach/Admissions and Career Transition Services Cost Reporting and Budgeting Requirements).

R2. Financial Reporting

Center operators and OA/CTS contractors must assure that required monthly reports are prepared and submitted, on time, in accordance with the requirements contained in Appendices 502 and 503.

R3. Vouchering

Center operators and OA/CTS contractors must submit vouchers for the reimbursement of expenses, on time, in accordance with the procedures outlined in Appendices 502 and 503.

R4. Internal Controls

Center operators and OA/CTS contractors must:

a. Establish internal controls to provide reasonable assurance that:

   1. Management is made aware at an early stage of any situation in which available funding for contracted services is not adequate to secure the delivery of such services.

   2. The integrity of the funds provided by the government is not being compromised.

   3. Assets are properly safeguarded.

b. Submit written descriptions of control procedures to the Contracting Officer as part of the standard operating procedures in accordance with the schedule shown in Exhibit 5-1 (Standard Operating Procedures). Control procedures must include:

   1. Separation of duties

   2. Approval requirements

   3. Documentation requirements
c. Establish procedures to regularly communicate budgetary goals and rates of expenditure to management staff who have responsibility for authorizing expenditures.

**R5. Student Benefit Fund**

Conduct an annual audit of the Student Benefit Fund to assure that the requirements listed in Chapter 2, Section 2.2, R10 (Student Benefit Fund) are met.

**R6. Sale of Center-Produced Goods and Services**

The sale of goods produced or services rendered by students or at Job Corps centers is prohibited except in the following cases:

a. Objects or services may be sold at cost to students or center employees. For USDA Forest Service Civilian Conservation Centers (CCCs), sales to staff must be in accordance with approved agency policy.

b. Objects or services may be sold in the community when both of the following criteria are met:
   1. The sale of such products or services does not represent unfair competition with private sources in the area.
   2. The center has received approval from the Regional Office.

c. Vehicle repair services may be offered only when the following additional conditions are met:
   1. Such services are made available to staff and the general public on an equal basis.
   2. Vehicles serviced are selected on a first come, first served basis.
   3. Prior to acceptance for service of any vehicle, the owner signs an agreement relieving the Department of Labor of any responsibility for damage, and agreeing to pay for all parts and materials.
   4. Repair services shall not be provided to rebuild vehicles purchased for speculation or resale.
   5. Repair of vehicles owned by non-center Department of Labor and non-center federal employees of operating agencies is prohibited.

d. The proceeds from the sale of goods must be credited to the cost category for the purchase of the materials.

e. Students cannot sell arts and crafts objects made with center-provided materials for
personal profit.

f. The sale of objects made with materials purchased by the Student Benefit Fund is permitted only if proceeds are credited to the Student Benefit Fund.

R7. Taxation of Job Corps Contractors by States or Localities

If state or local taxes are levied on a center or OA/CTS contractor, the contractor must follow the procedures set forth in Appendix 504 (Taxation of Job Corps Contractors by States or Subdivisions Thereof).

R8. Use of Maintenance Funds

a. Centers must not use maintenance funds for capital improvement projects. Capital improvements are defined as:

1. The construction, installation, or assembly of a new asset (i.e. a building or structure), or the alteration, expansion, or extension of an existing asset to accommodate a change of function or un-met programmatic needs, or to incorporate new technology. This may include major renovation of an entire existing asset in order to properly restore and/or extend the life of the asset without change of function. This includes constructed asset deficiencies where there is non-compliance to codes (e.g., life safety, Americans with Disabilities Act, Occupational Safety and Health Administration [OSHA], environmental, etc.), and other regulatory or Executive Order compliance requirements.

2. Capital improvements include expenses for constructing or making long-lasting physical improvements to structures, utilities (e.g., heating and plumbing), roads and grounds, as well as the purchase and installation of major pieces of equipment, during a rehabilitation or construction project, that are permanently attached to structures such as air conditioners, walk-in freezers, refrigerators, ovens and stoves, and cafeteria dishwashers.

b. Centers are encouraged to use center maintenance and available under-run funds in excess of low on-board strength shortfall to address unfunded Operations and Maintenance (O&M) deficiencies. Priority must be given to unfunded life-safety O&M deficiencies.

O&M deficiencies are defined as: A minor maintenance and repair action that is normally accomplished as part of the Job Corps center operating funds. This includes the cost of maintenance to repair unscheduled and scheduled deficiencies during the time period in which they occur.

O&M includes:

1. Preventive maintenance for buildings, structures and Installed Building Equipment
(IBE), including but not limited to Heating, Ventilation, and Air Conditioning (HVAC) equipment, fuel-burning and electric appliances, food service equipment, boilers, and plumbing, as recommended by the manufacturer:

(a) Activities related to the normal functions intended for a facility or IBE, including costs for utilities (electricity, water, sewage), fuel, janitorial services, window cleaning, and pest control
(b) Upkeep of grounds, vehicle rentals, waste management, periodic condition assessments, roof inspections, specialized services, e.g., fire alarm/protection

2. Routine maintenance of center facilities, including painting, carpeting/flooring, curtains, etc., when such maintenance is not part of a classifiable construction and rehabilitation project

3. In-place management of asbestos-containing building materials and lead-based paint, in accordance with 40 CFR part 763 and 40 CFR part 745

**R9. Travel Costs**

Centers must develop a system to provide economical transportation to enrolled students that includes:

a. Using Federal credit cards or other means as prescribed by the Regional Office (RO) to ensure students arrive at their scheduled destinations using the safest, most economical mode of travel.

b. Providing students with meal money in the amount of $5 for each six hours of expected travel status. Reimbursement will be requested in accordance with procedures issued by the RO.

**R10. Monitoring and Reporting Travel Costs**

a. The ROs receive invoices from the travel creditor on a monthly basis. Statements should be reviewed immediately by designated RO staff for accuracy and proper use of the card. The RO will forward a copy of each center’s account statement to the center for review. Any discrepancies with their travel records must be reconciled by the center, and reported to the RO within 25 calendar days of receipt of the statement by the RO. The RO will authorize payment and file dispute forms when necessary, within 30 days of receipt. All documentation must be maintained by the RO.

b. ROs must review the 2110 Report for Center Contracts, Page 3 – Net Center Actual Expense – All Categories, Line 6 – Student Transportation/Meal Allowances to ensure costs are allowable and auditable. Note: Student transportation/meal allowance includes luggage fees.
**R11. Unused-Ticket Recovery**

Centers must develop a system to promptly identify and report any unused, lost, or stolen travel tickets in accordance with RO direction. Such tickets must be returned to the issuing agency for credit to the government travel account. When tickets are not returned or used, the center must document the amount of the ticket deducted from the student’s allowance.

**R12. Staff Travel**

Centers must ensure that staff travel expenses for escorts and other purposes are paid from center operating funds.
5.8 FACILITY OPERATIONS AND MAINTENANCE

REQUIREMENTS

R1. Legally Mandated Standards


2. Historical Preservation — 36 CFR Part 800


4. Disability/Accessibility — 28 CFR Part 36


b. Building codes used in the United States (U.S.):  

Centers in federal buildings should make efforts to adhere to the most recent applicable building codes adopted by the state or local jurisdiction in which the center is located. Unless the lease states otherwise, centers located in leased buildings must adhere to the most recent applicable building codes adopted by the state or local jurisdiction in which the center is located. The center must maintain a copy of the relevant local adopted building codes and have them available during assessments.

Applicable codes may include, but are not limited to:


2. Uniform Building Code (UBC)


4. International Building Code (IBC)

5. GSA P11, Facility Standards

6. NFPA and other National Consensus Standards

R2. Job Corps Standards

a. Bathrooms and showers must be adequate in number, clean, brightly lit, odor-free, well ventilated, and adequately supplied.
b. Facilities

1. Residential buildings must provide sleeping rooms, bath and lounge facilities, appropriate administrative spaces, and lockable storage space for student belongings.

2. Counselors must be provided with private, secured offices with easy student access.

3. Laundry facilities must be available for student use.

4. Recreational facilities must include access to a gymnasium, multi-purpose recreation areas, and sports fields.

5. Academic buildings must have adequate space for classrooms, computer labs, and learning resources.

6. Career Technical Training (CTT) areas must have classroom and training space to satisfy the needs of each training program, and resemble the workplace to the extent possible.

c. Dining and cafeteria facility must include a food preparation area, serving area, dining area, and storage areas.

d. Health services facilities must include private medical examining rooms; a nurse’s station; separate infirmary space for males and females; dental facilities; a secure drug storage area; and private space for mental health consultations, dentists, physicians, and Trainee Employee Assistance Program (TEAP) specialists.

e. Administrative areas must include general office and meeting space.

f. Storage areas must include adequate, lockable storage to safeguard confidential records, supplies, equipment, and hazardous materials, and to secure excess property.

g. Child development centers and residential parent/child programs must adhere to standards in Exhibit 5-10 (Facility Requirements for Child Development Centers and Residential Parent/Child Programs).

h. Furnishings must be in satisfactory condition, adequate in number, and appropriate for use.

R3. Facility Maintenance Program

Center Operators must maintain all buildings, grounds, roads, sidewalks, and equipment for which the center is responsible by implementing a center maintenance program that includes:
a. Qualified maintenance personnel must be available or on call 24 hours.

b. A tracking system that documents scheduled maintenance, work orders, Operations and Maintenance (O&M) deficiencies, and the amount of time taken to complete work.

c. Procedures for inspecting, repairing, encapsulating, and/or removing asbestos-containing materials and/or lead-based paint; procedures for updating and maintaining an asbestos and/or lead-based paint O&M plan that meets Federal, state, and local jurisdiction requirements; and assigning qualified staff to manage the asbestos and/or lead-based paint program.

d. Procedures for obtaining assistance to handle specialized emergency problems beyond the scope and/or expertise of maintenance personnel.

e. Procedures for maintenance staff to complete rehabilitation projects, provided that such projects are not subject to the prevailing wage provisions of the Davis-Bacon Act (https://www.dol.gov/whd/regs/statutes/dbra.htm). If the projects are subject to the Act, centers must ensure requirements of the Act are met. Centers must document the reasons the projects are exempt from the Act, and if they are not, centers must document actions taken to assure compliance.

f. Procedures for handling emergency maintenance problems at all times including weekends, holidays, and after-hours.

g. Provisions for qualified staff to supervise students performing maintenance work as part of an approved career technical training program or center support program.

R4. Center Sustainability

Job Corps centers must adhere closely to the following requirements and must implement sustainable practices wherever feasible and cost-effective on a life-cycle cost basis.

a. Centers must assign responsibility for each sustainability requirement and goal below to key individuals. Centers must educate and encourage staff and students on the importance of resource conservation.

b. Centers must develop and implement written policies and procedures that include:

1. Procedures to reduce energy and water consumption. Centers with advanced meters must review data at least monthly and implement changes in facility usage based on this data. In addition, with approval from the National Office, centers must investigate and participate in utility-sponsored programs for demand-response, advanced metering with load shedding, and incentives for the installation of energy conserving equipment.

2. Procedures to reduce fuel consumption by motor vehicles.
3. Solid Waste Management Policies that include reuse and recycling strategies to reduce the amount of solid waste and increase the waste diversion rate. Waste diversion means redirecting solid waste that might otherwise be placed in the waste stream to reuse, recycle, compost, or recover.

c. Greenhouse Gas (GHG) Emissions Reduction: Centers must reduce direct GHG emissions, primarily from building energy usage and fleet energy usage, by 2.5 percent per year or more. Centers must take steps to reduce indirect GHG emissions from business travel, waste disposed, commuting travel, student transportation, and from the increased use of renewable energy. Job Corps has been, and will continue to use the GSA Carbon Footprint Reduction Tool to assist in capturing and reporting carbon emissions for all Job Corps centers.

d. Centers must demonstrate compliance or consistent progress in addressing the following requirements.

1. Integrated Operations and Maintenance: Centers must use an integrated team to develop and implement policies regarding sustainable operations and maintenance. Centers must assess existing condition and operational procedures of the building and major building systems and identify areas for improvement. Centers must use a computerized maintenance management system with occupant feedback capabilities.

2. Retro-commissioning: Centers chosen to participate in the retro-commissioning process must cooperate fully with the commissioning agent in order to produce a comprehensive and effective retro-commissioning study. Retro-commissioning is an exercise to assess the existing facility and the associated building systems to ensure that all building components and systems are functioning as intended by the original design.

3. Environmental Purchasing: Centers must establish an environmentally preferred purchasing policy for ongoing consumables and durable goods that will protect the environment and public health, conserve natural resources, and minimize waste. The policy should include purchasing:
   (a) Energy Star and Federal Energy Management Program-designated energy efficient products
   (b) Environmental Protection Agency (EPA) WaterSense-labeled products or other water conserving products when available
   (c) Products meeting or exceeding EPA’s recycled content recommendations for building modifications, maintenance, and cleaning (For other products, use materials with recycled content where available at a reasonable cost. If EPA-designated products meet performance requirements and are available at a reasonable cost, a preference for purchasing them must be included in all solicitations relevant to construction, operation, maintenance of or use in the building.)
(d) Materials with low Volatile Organic Compounds (VOC) and other pollutants
(Consideration of using low-VOC material shall apply to composite wood
products, adhesives, sealants, interior paints and finishes, solvents, carpet
systems, janitorial supplies, and furnishings.)
(e) Products with the highest content level per United States Department of
Agriculture’s bio-based content recommendations (For other products, use bio-
based products made from rapidly renewable resources and certified sustainable
wood products. If these designated products meet performance requirements
and are available at a reasonable cost, a preference for purchasing them should
be included in all solicitations relevant to construction, operation, maintenance
of or use in center buildings.)

4. Products that have a lesser or reduced effect on human health and the environment
over their lifecycle when compared with competing products or services that serve
the same purpose.

5. Outdoor Water Irrigation: Centers identify ways to reduce potable water irrigation
use or to no longer use potable irrigation water through the use of water conserving
products such as EPA’s WaterSense-labeled products and to use landscape design
concepts that incorporate low impact design and native, drought resistant plants.

6. Storm-water Management: Centers identify ways to reduce storm-water runoff via a
storm-water runoff mitigation strategy and a storm water pollution plan.

7. Integrated Pest Management: Centers use integrated pest management techniques as
appropriate to minimize pesticide usage. Use EPA-registered pesticides only when
needed.

8. Ozone Depleting Compounds (ODC): Centers eliminate the use of ozone-depleting
compounds where alternative environmentally preferable products are available.
Lists of acceptable and unacceptable substitutes, which are updated several times a
year.

9. Tobacco Smoke Control: Centers prohibit smoking within buildings (as required by
Chapter 2, Section 2.3, R6), and within 25 feet of all building entrances, operable
windows, and building ventilation intakes.

10. Moisture Control: Centers provide policy and illustrate the use of an appropriate
moisture control strategy to prevent building damage, minimize mold
contamination, and reduce health risks related to moisture. Centers must investigate
and repair where possible, water leaks within 48 hours of their start.

e. Reporting: Centers must complete the following reports in accordance with Exhibit 5-2,
Plan and Report Submission Requirements.

1. Centers must upload energy and water consumption data into the designated online
system (currently Energy Watchdog) on a monthly basis. The system may be used to review performance data and trends.

2. Centers must submit Quarterly Waste Reports using the Construction, Rehabilitation, and Acquisition (CRA) funding website.

3. Centers must enter applicable Greenhouse Gas (GHG) information (other than energy and water data which will be entered by the ESC) into the GSA Carbon Footprint Reduction Tool annually.

4. Centers must submit updates on center sustainability measures implemented, and any new or modified procedures, using Form 5-07, Center Sustainability Measures Update, to the National Office through the Regional Office bi-annually.

**R5. Facility Survey**

a. Purpose

1. The Job Corps National Office will issue procedures to conduct periodic facility surveys of centers.

2. The purpose of the facility survey process is to assess and document the condition of facilities, identify deficient conditions, and provide recommendations and estimates for correction. These surveys serve as the primary method of assessing center conditions, and identifying future rehabilitation and repair projects.

3. All building deficiencies are classified and prioritized for rehabilitation and repair as follows (see R9 for sub-classifications and details):
   (a) Class I – Life safety and health (highest priority)
   (b) Class II – Code violation
   (c) Class III – Repair and replacement
   (d) Class IV – Programmatic needs

The center must:

1. Provide the facility survey team easy access to all on-site buildings and structures.

2. Participate in the facility survey process that includes:
   (a) Long-range planning
   (b) Review and discussion of the facility survey findings
   (c) Development and implementation of measures to meet Federal mandates such as, resource conservation, green initiatives and other environmental issues
R6. Project Implementation and Demolition

a. National Office Construction, Rehabilitation, and Acquisition (CRA) Projects:

   The center, when directed, must:

   1. Participate in the 15 percent (schematic) design review and provide programmatic input. The center will also be invited to participate in any subsequent design reviews that are conducted on center.

   2. Coordinate with the National Office Federal staff in requesting technical assistance from the Engineering Support Contractor (ESC) and the construction contractor during the construction phase to ensure a safe working environment.

   3. Provide sufficient access and space for the ESC.

   The Certificate of Substantial Completion performed for National Office of Job Corps projects will satisfy Office of Job Corps preoccupancy inspection requirements.

b. Center Construction or Rehabilitation Projects (Funded-Not-Corrected (FNC) Projects)

   1. Where the contracting responsibility for approved construction or rehabilitation has been delegated to a center, the center must ensure prompt completion in a cost effective manner.

   2. Centers must provide advanced notice or seek Contracting Officer consent through the region as outlined in the center’s operating contract and/or Contractor’s Purchasing System Review (CPSR).

   3. Before engaging in facility rehabilitation, including Career Technical Skills Training (CTST) projects, which meets either of the conditions listed below, centers must seek and receive approval from the National Office. Requests must be submitted through Regional Offices or agency headquarters for USDA Forest Service Civilian Conservation Centers (CCCs), which must forward the requests, with recommendations for modification or approval, to the Job Corps National Director designate responsible for the oversight of CRA projects:

   Approval must be obtained if the project involves
   (a) changes to any building’s structural system; or
   (b) changes to major mechanical, electrical, plumbing, egress, or fire and safety systems.

   4. Projects to construct new facilities, rehabilitate existing facilities, or repair or replace existing facilities, including CTST projects that involve student labor and cost more than $25,000 must be constructed in conformance with professionally prepared plans and specifications, in accordance with 48 CFR part 36.
c. Demolition Process

1. The center must prepare and submit Parts 1 and 2 of the Demolition Request Package located on the FNC/CRA/CTST website, to the region (or agency headquarters for CCCs).

2. The region or agency shall review and forward the Demolition Request Package to the National Office.

3. The National Office will review and approve the Demolition Request Package. The National Office review includes environmental, real estate, and historic preservation issues, all of which must be resolved before approval.

4. Following approval by the National Office, the center should obtain quotes for the demolition and submit a request for funding using the FNC/CRA/CTST website.

d. Career Technical Skills Training (CTST) projects

1. Projects are approved and funded by the Regional Office in accordance with Appendix 508.

2. Projects include major facility renovations such as new classrooms, remodeled bathrooms, or new dorm rooms.

3. CTST projects that include construction activities requiring professional design and installation by licensed contractors, including structural changes, installation of electrical wiring and/or utility upgrades, must be reviewed and approved by the National Office of Job Corps, Division of Facility and Asset Management in accordance with Appendix 508.

4. The center must ensure that all CTST projects that involve student labor and cost more than $25,000, or that involve significant facility alterations, are accomplished in accordance with a set of professionally prepared, sealed or stamped plans and specifications including a cost estimate. These plans, specifications, and cost estimates must be reviewed by the center Facility Maintenance Manager and must be submitted as part of the CTST project approval process for review and final approval by the Job Corps National Office, Division of Facilities and Asset Management.

5. The Certificate of Substantial Completion must be issued by the appropriate staff instructor.

6. Centers must coordinate with the state or local jurisdiction of authority to obtain all required construction permits and preoccupancy inspections, if applicable.
7. CTST projects that result in new facilities or significant renovations without professional approval may be directed to be removed.

(See Chapter 3, Section 3.3, R10 Career Technical Skills Training (CTST) Projects.)

**R7. CRA and Funded-Not-Corrected (FNC) Reporting**

a. The Job Corps Construction/Rehab Report must be submitted quarterly by each Job Corps center via the FNC/CRA/CTST website, reviewed by their Regional Offices (or agency headquarters for CCCs), and forwarded to the National Office. The updated Construction/Rehab Report must be updated and submitted with each CRA Funding Request.

b. Centers must update the status of each FNC deficiency at least monthly or more frequently as the status changes from receiving funds, procurement, construction award, construction progress, and completion. The updates must include:

1. CRA modification number, date, amount and CRA funding code
2. Project Status
3. Project Schedule
4. Comments on the progress
5. Reasons the project is delayed if applicable

c. Every effort must be made to complete the FNC within the time frames detailed in R9 – Timeframes for Completion of FNC Deficiencies.

d. Centers must ensure funds are used within the three-year period of obligation. When the three-year period of obligation has passed those funds will remain on the center operating contract. If not subsequently used before the end of the operating contract, the funds will be de-obligated by the region and returned to the U.S. Treasury.

**R8. CRA Furniture, Furnishings, and Equipment**

When a National Office project is performed for a dormitory, cafeteria, or new Job Corps center, the National Office will assist in the selection of the furniture and furnishings.

a. Approximately 6-9 months from completion, the ESC Interior Design Specialist will contact the center to arrange a presentation of the proposed furniture and furnishings.

b. The ESC will complete a furniture and furnishings binder that contains the specifications and quotes from three vendors, which includes delivery and installation. The ESC will provide the completed recommendation binder to the center.
c. The center must prepare a CRA funding request for the furniture and furnishings, and additional equipment needed for the initial fit out(s) of the building(s) (e.g., microwaves, common-area televisions, etc.). These requests must be submitted via the CRA Funding Request system.

d. The region will forward to the National Office for approval, the requests and prepare a Financial Operating Plan (FOP).

e. The CRA funds will be modified to the center operating contract.

f. The center must proceed with the purchases, and coordinate delivery and installation.

**R9. Timeframes for Completion of Funded-Not-Corrected (FNC) Deficiencies**

a. Class IA – Life Safety and Health (top priority)

   1. Depending on the complexity and potential harm associated with the deficiency, a Class IA deficiency may be corrected within a few days, but should be completed within 60 days of contract modification. Corrective actions, including engineering controls that require more than 60 days to complete will require an abatement plan documented on the FNC/CRA/CTST website.

   2. Class IA life safety and health violations include, but are not limited to:
      (a) Inadequate or deficient fire alarm systems in residential, classroom, instructional, or administration buildings
      (b) Inadequate or deficient emergency exit signage or lighting in a residential, classroom, or administration building
      (c) Inadequate or deficient sprinkler systems in a residential, administration, classroom, or instructional building

b. Class IIA, IIB, and IIC – Code Violations

   1. Depending on the complexity and possible results associated with the deficiency, a Class IIA deficiency (that may shut down center operations) may be corrected within a few days, but should be completed within 90 days after contract modification. Corrective actions, including engineering controls that require more than 90 days to complete will require an abatement plan documented on the FNC/CRA/CTST website.

   2. Class IIA deficiencies include, but are not limited to:
      (a) Lack of or deficient emergency shut-off switches for power tools or appliances
      (b) Large roof leaks in a residential, administration, classroom, or instructional building
      (c) Lack of portable fire extinguishers
3. A Class IIB deficiency (that would not result in interruption of center operations) would depend on complexity, but should be corrected within 90 days after contract modification. This would have a lower priority than Class IIA deficiencies.

4. Class IIB deficiencies include, but are not limited to:
   (a) Dryer ducts posing fire hazards in residential buildings
   (b) Kitchen hoods without fire suppression or power shut down
   (c) Ceiling panels not fire-rated in residential buildings

5. Class IIC deficiencies would be corrected when performing a significant renovation of that area. When funded, the deficiency should be completed within 270 days after contract modification.

6. Class IIC deficiencies include, but are not limited to accessibility in restrooms.

c. Class IIIA and IIIB – Repair and Replacement

1. Depending on the complexity of the correction and availability of funding, a Class IIIA deficiency (that may shut down center operations) may take up to two years or longer to get funded. When funded, the deficiency should be completed within 270 days after contract modification.

2. Class IIIB deficiencies (that would not result in interruption of center operations) may await funding through multiple CRA budget requests. When funded, the deficiency should be completed within 270 days after contract modification.

d. Class IVA and IVB – Programmatic Needs

1. Class IVA deficiencies for repairs that would improve the quality of instruction, may be completed within one year if funding is available, and longer if it has to await funding.

2. Class IVB deficiencies would be desirable enhancements that may take 10 years or more to complete, depending on available funding.

**R10. Preoccupancy Survey for Center Projects**

For National Office of Job Corps Projects, the Certificate of Substantial Completion will satisfy Office of Job Corps preoccupancy inspection requirements.

For center projects, centers are responsible for ensuring that all construction projects conducted on center meet Federal, state and local building code requirements, and must coordinate with the local building official and obtain any necessary permits and inspections. The National Office does not have the authority to inspect and approve a building, structure, or facility for occupancy. Therefore, centers should not contact the National Office for preoccupancy inspections.
Preoccupancy surveys must be arranged by the center, with the assistance of the architect, engineer, project manager, CTST project manager in charge of the project, or local jurisdiction (Fire Department).

a. A preoccupancy survey must be performed prior to moving students and staff into:

1. A new center, dormitory, administration, or other center facilities (e.g., temporary classroom buildings)
2. A facility that has been renovated so as to change any building structural system or major mechanical, electrical, plumbing, egress, or fire and safety system

b. Certificate of Substantial Completion must be issued to indicate that the project is ready, and approved for student and staff occupancy. A Punch List must be generated by the contractor performing the work and must contain those items that still need to be completed. Remaining Punch List, non-NFPA 101 Life Safety Code items must be completed within 30 days of occupancy.

c. Certificate of Substantial Completion will not be issued if the Punch List includes NFPA 101 Life Safety Code deficiencies. NFPA 101 deficiencies must be abated prior to occupancy.

d. Career Technical Skills Training (CTST) Projects

Centers must coordinate with the state or local authorities that have jurisdiction to obtain any required construction permits and preoccupancy inspections, if applicable. A preoccupancy inspection must be conducted by the center Safety Officer per Chapter 5, Section 5.9, R5.g. The Certificate of Substantial Completion must be issued by the applicable staff instructor.

e. Civilian Conservation Centers (CCCs)

Preoccupancy surveys or a substantial completion walk-through of new construction and/or renovation projects performed on CCCs, including CTST and projects funded through the CRA, must be performed by U.S. Department of Agriculture (USDA) Forest Service engineers.

f. Written inspection reports, including Certificates of Substantial Completion, Punch List of items completed, and inspections performed by jurisdiction of authority, must be maintained on center. Copies must be distributed to the National Office and the appropriate Regional Office Project Manager.

g. Centers are responsible for providing the Office of Job Corps Occupational Safety and Health (OSH) program contract reviewers with information about construction or renovation projects completed, and outstanding deficiencies since the last annual OSH
review. Copies of all inspection reports, including Certificates of Substantial Completion and inspections performed by jurisdiction of authority, must be made available to the reviewers at the time of the annual OSH program review.

**R11. Vacant or Closed Center Facilities**

a. The center is responsible for protecting a building or structure that is vacant or closed on an otherwise active Job Corps center.

b. The demolition of inactive center facilities will be accomplished in accordance with Chapter 5, Section 5.8 R6. Project Implementation and Demolition.
5.9 ENVIRONMENT AND OCCUPATIONAL SAFETY AND HEALTH (OSH)

REQUIREMENTS

R1. Governing Regulations and Policy

Centers must comply with federal, state, and local regulations and Job Corps policy regarding environmental safety and occupational health.

R2. Occupational Safety and Health Program

Center operators must establish and operate an Occupational Safety and Health program in accordance with the requirements established in this section. The center’s program must fully comply with current Occupational Safety and Health Administration (OSHA) standards at 29 CFR 1904, 1910, 1926, and 1960, and with U.S. Department of Labor (DOL) regulations, policies, and procedures. It must include, but not be limited by, the following features:

a. Appointment of a center Safety Officer who is properly trained in OSHA courses listed in Exhibit 5-4 (Required Staff Training)

b. Development of an Occupational Safety and Health Plan, which is updated as needed or as directed by OSHA or the Office of Job Corps (see Chapter 5, Section 5.1, R15).

c. Investigation and reporting of accidents and injuries

d. Enforcement of safety and health rules and regulations

e. Conduct of safety inspections and initiation of corrective actions

f. Development of a safety recognition program

g. Establishment of Occupational Safety and Health Committees

R3. Center Occupational Safety and Health Responsibilities and Duties

a. General Responsibilities

The center operator must be responsible for safeguarding the occupational safety and health of all students and staff and ensuring a safe and healthful environment in which to live, work, and train. This responsibility will be inherent in all aspects of the program, whether stated explicitly or implied.

b. Center Operating Contractors and Federal Agencies Center operating contractors and agencies must:
1. Ensure a training, living, and working environment that is free from recognized hazards.

2. Ensure that the Center Director is an active participant on the Occupational Safety and Health Committee.

3. Appoint a center Safety Officer and provide necessary training and equipment for the performance of those duties. The center Safety Officer will report directly to the Center Director on matters of safety.

4. Ensure that the Center Director or designee (e.g., Safety Officer) has the authority to terminate any activity where a hazard exists.

5. Enforce occupational health and safety rules, regulations, and standards.

6. Ensure that Personal Protective Equipment (PPE) is worn in the trade programs to comply with OSHA standards.

7. Report fatalities, injuries, and occupational illnesses to DOL in a timely manner and use the Significant Incident Reporting (SIR) System appropriately.

8. Instruct students and staff in safe practices and methods of operation.

9. Conduct required occupational health and safety inspections and surveys and take prompt corrective action to deal with hazards identified.

10. Provide medical and dental services and supplies for injured and occupationally ill students.

11. Investigate accidents, complete and submit appropriate reports.

12. Encourage and evaluate student suggestions on safety and health improvements.

13. Develop and implement a safety awards and recognition program.

14. Ensure that safety and health evaluation reports provided by the Office of Job Corps are responded to within 30 days of receipt and that corrective action is taken to abate hazards noted.

c. Center Director

The Center Director must:

1. Ensure that all occupational safety and health requirements are implemented.
2. Provide leadership, direction, enforcement, and accountability for the center safety program.

3. Develop a center Occupational Safety and Health program and plan.

4. Ensure that personal protective equipment (PPE) is worn in the trade programs to comply with OSHA standards.

5. Ensure a training, living, and working environment that is free from recognized hazards. Maintain facilities and grounds in a sanitary and healthful manner.

6. Participate in all Occupational Safety and Health Committee meetings.

7. Appoint a center Safety Officer and provide necessary training and equipment for the performance of those duties. The center Safety Officer will report directly to the Center Director on matters of safety.

8. Terminate any activity where a hazard exists; additionally, the authority to terminate any such hazardous activity may be given to a designee (e.g., Safety Officer).


10. Report fatalities, injuries, and occupational illnesses to OSHA and Division of Facilities and Maintenance (DFAM) in a timely manner and use the Significant Incident Reporting (SIR) System appropriately.

11. Instruct students and staff in safe practices and methods of operation.

12. Conduct required occupational health and safety inspections and surveys and take prompt corrective action to deal with hazards identified.

13. Ensure medical and dental services are provided and provide supplies for injured and occupationally ill students.

14. Investigate accidents and complete and submit appropriate reports.

15. Encourage and evaluate student suggestions on safety and health improvements.

16. Establish a formal written safety awards and recognition program, and use it to recognize students and staff who make significant contributions toward the prevention of injury or illness.

17. Review safety awards and recognition programs annually.

18. Ensure that safety and health evaluation reports provided by the Office of Job Corps are...
are responded to within 30 days of receipt and that corrective action is taken to abate hazards noted.

d. Center Safety Officer

The center Safety Officer must:

1. Assist the Center Director in preparing the center Occupational Safety and Health Plan and implement the plan.

2. Provide orientation and training to students and staff on the center Safety and Health Plan.

3. Promote safety campaigns on center to reduce accidents and injuries.

4. Inspect all center areas for safety and health violations.

5. Ensure that personal protective equipment (PPE) is worn in the trade programs to comply with OSHA standards.

6. Complete all required accident and injury reports in full compliance with the PRH and OSHA regulations.

7. Advise the Center Director of safety and health concerns.

8. Facilitate required Occupational Safety and Health Committee meetings; retain meeting minutes for three years and make them available upon request.

9. Coordinate with other safety and health staff (e.g., nurse, maintenance supervisor, CTT/education instructors, and others) to ensure a safe and healthful environment in which to live, work, and train.


R4. **Imminent Danger**

Imminent danger exists when a condition or practice presents a danger that could reasonably be expected to cause death or serious physical harm. If such a situation exists and is observed, the student(s) and staff in danger must be immediately removed from the area and not permitted to return until the problem is corrected. The center Safety Officer must immediately notify the Center Director of the hazard and recommend corrective action.

The Center Director must take appropriate action(s) to correct the hazard. If the hazard cannot be corrected promptly by the center, the Center Director must consult the
appropriate Regional Office and/or the Office of Job Corps for advice and assistance in correcting the hazard. The action recommended by the center Safety Officer and the resulting action taken must be documented in writing and maintained on center for a period of three years.

**R5. Required Inspections and Observations**

a. All occupational safety and health inspections and observations must be:

1. Conducted by appropriate center staff in accordance with 29 CFR 1960.25 and DLMS 4 Chapter 800, paragraph 825
2. Submitted according to Exhibit 5-2, Plan and Report Submission Requirements
3. The responsibility of the center Safety Officer, managers, supervisors, and instructors
4. Documented and maintained on center for a period of five years, then destroyed

b. Observations on a daily basis, and weekly or monthly inspections are required for all center:

1. Buildings
2. Facilities
3. Career Technical Training (CTT) facilities including tool inventory, accountability, and security procedures
4. Career Technical Skills Training (CTST) projects including tool inventory, accountability, and security procedures
5. Academic classrooms and other learning/study areas
6. Cafeterias
7. Dormitories
8. Health services
9. Administrative offices
10. Recreation areas
11. Gymnasiums
12. Swimming pools

13. Child development center facilities, including playgrounds

14. Equipment

15. Vehicles used to transport students

Identified deficiencies must be corrected promptly. Weekly and monthly inspections, and hazards identified during daily observations, must be documented. Records of inspections and actions taken to correct deficiencies must be maintained by the center Safety Officer for three years, and made available upon request.

c. Daily Observations

1. Food service supervisors must check food preparation and food serving areas of cafeterias.

2. Residential advisors/residential counselors must check dormitories, snack bars, and canteens and document their findings in a logbook.

3. The center Safety Officer, and CTT and academic managers/instructors, must check classrooms/shops (including CTST projects); tool inventory, accountability, and security procedures; motor vehicles; and all equipment to be used by students and staff.

4. Child development supervisors must check the child development center, equipment, toys, and the playground.

5. Recreation supervisors/staff must check the gymnasium, exercise equipment, and other frequently used recreation areas.

d. Weekly Inspections

The center Safety Officer must inspect:

1. All food service facilities, including cafeterias, culinary arts facilities, snack bars, and canteens

2. Gymnasiums, exercise equipment, and swimming pools during times of operation

3. CTT facilities and CTST projects including tool inventory, accountability, and security procedures

e. Monthly Inspections
The center Safety Officer must inspect:

1. Dormitories
2. Child development centers
3. CTT facilities and CTST projects (see Chapter 3, Section 3.1, R4) including tool inventory, accountability, and security procedures
4. Academic classrooms
5. Health services
6. Administrative offices, warehouses, and other buildings occupied by students and staff

f. Quarterly Inspections

Centers must arrange for quarterly environmental health inspections by qualified non-center personnel in the following areas:

1. Food service facilities
2. Residential facilities
3. Training facilities
4. Educational facilities, including offices, classrooms, recreational facilities, and wellness center
5. Water supply and distribution facilities, when not a part of municipal systems
6. Wastewater treatment facilities, except for septic systems, which must be inspected annually, and municipal sewer systems, which are exempt from this requirement
7. Child development centers, where appropriate

Centers must submit quarterly environmental health reports and necessary corrective action, simultaneously, to the Regional Office and the National Office of Job Corps within seven days of receipt (see Exhibit 5-2, Plan and Report Submission Requirements).

g. CTST and Other Projects

The center Safety Officer and appropriate instructor must inspect all CTST projects daily. The appropriate instructor must ensure full tool inventory, accountability, and
security, ensuring that all tools are accounted for before the end of each class, or the end of each day if off-site, and ensuring that all tools that are unaccounted for are found and all tools are secured. The center Safety Officer must inspect and approve all final CTST projects, on and off center, before site occupancy and project completion.

Inspection checklists, photographs, tool inventory lists, and associated corrective actions must be maintained on center indefinitely and made available upon request.

**R6. Occupational Safety and Health Facility Survey (Preoccupancy)**

a. An Occupational Safety and Health Environmental Facility Survey (Preoccupancy) must be conducted before moving students into

   1. a new center, dormitory, or classroom; or

   2. a facility that has been renovated so as to change any building structural system or major mechanical, electrical, plumbing, egress, or fire and safety system.

b. Centers must contact the Regional Office (RO) Project Manager to schedule a preoccupancy survey 30 days prior to project completion.

c. The preoccupancy survey must be coordinated through the RO and conducted by the center Safety Officer and/or the Office of Job Corps. The Office of Job Corps will determine who will conduct the preoccupancy inspection.

d. Major deficiencies must be corrected before occupancy.

e. The survey report will be distributed prior to occupancy, and it will be maintained by the National Office, Regional Office, and the center.

**R7. Abatement Plans for Violations Identified in Annual Occupational Safety and Health (OSH) Program Review**

a. Abatement Procedures and Time Frames

   The following procedures must be followed by centers and Regional Offices (ROs) in responding to safety and health violations cited during annual safety and health reviews:

   1. As part of the OSH Program Review, the center will receive a detailed verbal briefing regarding all hazards identified. The center must immediately begin to address those concerns. A log of the corrected item(s) must be maintained, indicating what action was taken, by whom, and the date of action.

   2. The center and the RO will receive a formal OSH Program Review report from the National Office of Job Corps within 60 days of a review. Violations must be
documented manually on Form DL1-2029, Notice of Unsafe or Unhealthful Condition, which is to be created by the center. The violations documented on the DL1-2029 are the same as those documented in the Abatement Tracking System (ATS), which is the web-based system designed to facilitate an information exchange between the reviewer, the RO, and the center, and to allow the National Office to monitor case status to ensure prompt and timely hazard abatement.

3. The roles of each ATS user are as follows:
   (a) At the national level, the National Office inputs DL1-2029 center violation data into ATS and transmits the data to the RO to initiate tracking.
   (b) At the regional level, both the Job Corps Regional Director and the center’s Project Manager are authorized to access ATS to view new cases transmitted from the National Office and centers’ abatement responses. Either individual in the RO can transmit the DL1-2029 in ATS to the Job Corps center. The RO can edit the center response and can close out the case only after all violations have been abated.
   (c) At the center level, the Center Director and the center Safety Officer are authorized to access ATS to view new cases transmitted from the RO and can respond to violations. Most violations should be abated within 30 days. If abatement is not completed, an abatement plan is required. Centers can indicate in ATS whether the response is a corrective action or an abatement plan. Funding requests can also be indicated. After the center transmits the response electronically to the RO, the RO will determine whether to accept the abatement response in part or in whole.

   ATS is not capable of providing users e-mail notification, so the system should be checked frequently.

b. Posting Requirements

   Official response to violations noted during the occupational safety and health review occurs via ATS. A copy of the paper DL1-2029, which must be created by the center, is to be posted by the center at or near each place where an unsafe or unhealthful condition is referred to in the notice. It must remain posted until all violations cited are abated, or for three training days, whichever is longer.


Centers must comply with federal, state, and local regulations and Job Corps policy regarding hazardous waste generation, storage, and disposal.

a. Centers that ship hazardous materials off center must comply with pre-transportation regulations.

b. Centers that store or handle hazardous materials, such as flammable/combustible materials, acids, caustics, compressed gases, oxidizers, etc., must comply with
Occupational Safety and Health Administration (OSHA) 1910 Subpart Z and all applicable substance-specific standards.


d. Centers must notify the Environmental Protection Agency (EPA), the Job Corps Regional Director, and the National Office of Job Corps Safety Team leader when a release of hazardous substance occurs. A release includes any discharge, spill, or leak into the air, water, or land, as required by 40 CFR 302.

e. Emergency response to a spill or leak of hazardous materials must be performed by the local hazardous materials response team or licensed contractor.

f. Chemical-specific information such as Safety Data Sheets (SDS) must be readily accessible to emergency response personnel.

R9. Polychlorinated Biphenyls (PCBs)

Centers must comply with federal, state, and local regulations and Job Corps policy regarding polychlorinated biphenyls (PCB) in electrical transformers.

a. Centers that are using electrical equipment known to contain PCBs must register with the EPA’s Transformer Registration and PCB Activity Database. A copy of the registration certificate can be obtained from EPA and must be maintained on center indefinitely, and must be available for review upon request.

b. Centers must prepare an inventory of all center equipment, including transformers, capacitors, fluorescent lights and ballasts, and hydraulic oils that may contain PCBs. The inventory must include the following:

1. Type of equipment, installation date, and manufacturer

2. Overall condition

3. Contact information for nearest PCB waste hauler

R10. Underground Storage Tanks (USTs)/Aboveground Storage Tanks (ASTs)

Centers must comply with federal, state, and local regulations and Job Corps policy regarding underground storage tanks (UST) and aboveground storage tanks (AST). Each center operator, Center Director, and Safety Officer must coordinate to ensure that all USTs and ASTs comply with 40 CFR Parts 112 and 280, and all applicable state requirements.
R11. Lead

Centers must comply with federal, state, and local regulations and Job Corps policy regarding lead-containing building materials.

Lead was a common paint additive used until 1978. Due to the age of many Job Corps center facilities, it is assumed that lead-based paint is present in buildings constructed prior to 1978 unless testing has proven otherwise. Centers that plan to disturb a surface that may contain lead must perform dust, air, and/or water sampling to determine the potential for exposure to lead. Centers in which there is a potential exposure to lead by students, staff, or young children (in child development or residential facilities) must develop a written lead-based paint compliance program that includes the following:

a. Designation and training of a competent person, capable of identifying existing and predictable lead hazards in the surroundings or working areas and having the authority to take prompt corrective action.

b. Procedures to ensure that all CTST projects involving construction work will be tested for lead prior to initiation. No student or staff may be exposed to lead at concentrations greater than 30 micrograms per cubic meter of air (30 ug/m³) averaged over an eight-hour period.

c. Procedures for notifying staff and students of the health hazards of lead-based paint. Inventory documentation must be maintained on center and with the Office of Job Corps and Regional Office Project Manager.

d. Appropriate hazard warning will be posted in all regulated areas.

e. Annual student and staff awareness training will be provided in accordance with the minimum requirements of 29 CFR 1926.62 and 1910.1025.

R12. Asbestos Operations and Maintenance

a. Centers must comply with federal, state, and local regulations and Job Corps policy regarding asbestos-containing building materials (ACBM).

b. ACBM not immediately removed must be managed as part of an Asbestos Operations and Maintenance (O&M) program, in conformance with 29 CFR 1910.1001 and 40 CFR Part 763. Centers in which there are buildings containing ACBM must have an O&M program that includes the following:

1. Documentation, including copies of the initial asbestos survey report, subsequent ACBM surveys or inspection reports, and all asbestos abatement records, and laboratory analysis and exposure monitoring results, which must be maintained on center in a centralized asbestos record depository indefinitely, and made available upon request.
2. Designation of an Asbestos Coordinator who must be responsible for ensuring compliance with all asbestos regulations and policies. The designated Asbestos Coordinator must receive initial training in asbestos O&M within one month of appointment. Training must consist of a minimum of 16 hours of both classroom and practical asbestos O&M training provided by an EPA-accredited training provider.

3. Annual asbestos awareness training for all employees (including custodial and maintenance employees) and contract employees. Initial training must consist of a minimum of two hours of training within one week of hire.

4. Annual refresher training for the Asbestos Coordinator and all custodial/maintenance employees. Documentation of training must be maintained in the central asbestos record depository as well as the individual’s personnel file.

5. Notification of the presence of asbestos within center buildings to all students and employees at least annually through a written notice or posting of a statement in the common areas of all buildings containing asbestos. The notice must contain a brief description of the location and type of ACBM, and the name and contact information for the designated Asbestos Coordinator.

6. Posting of asbestos warning signs in all mechanical rooms, crawlspaces, custodial closets, or other work areas where maintenance or custodial employees may come into contact with ACBM. Warning signs must meet the requirements specified in 29 CFR 1910.1001, and must be posted so as to be visible immediately upon entering the room or workspace.

7. Inclusion of employees and contract employees working in areas where ACBM is present in the center’s respiratory protection program and medical surveillance program.

8. Inspection of all ACBM in center buildings at least twice each year to verify the physical condition and identify any significant damage. Evidence of significant damage and/or other deterioration in physical condition that presents a potential health hazard must be reported to the Office of Job Corps and Regional Office via the Significant Incident Reporting (SIR) System.

9. Repair or abatement of ACBM must be performed by licensed asbestos abatement contractors. Clean-up of damaged ACBM by Job Corps personnel is strictly prohibited.

10. Review and documentation of all CTST or center-managed renovation projects by the Asbestos Coordinator to ensure that no ACBM will be affected by the proposed project. The center’s Asbestos Coordinator will ensure that the proper warning signs are posted in the work area per 29 CFR 1910.1001.
R13. Hazard Communication

Centers must comply with federal, state, and local regulations and Job Corps policy regarding chemical hazard communication. Each center operator, Center Director, and center Safety Officer must coordinate and ensure that hazards associated with the use of all chemicals produced or imported by chemical manufacturers or importers, and used by Job Corps students/staff, are evaluated. Such information concerning chemical hazards must be communicated to affected students and staff via a comprehensive written hazard communication program in accordance with 29 CFR 1910.1200. The Center Director must ensure that the center Safety Officer or other designee structures the hazard communication program to include:

a. A complete chemical inventory or master list of chemical products used on center and a process to keep the inventory current. The inventory must include the name of the product and the location(s) where it is used. Ensure that students and staff know the location of the chemical inventory.

b. Organization and ongoing maintenance of Safety Data Sheets (SDSs) for all chemical products purchased by or used at the center. SDSs for chemical products used in a given area must be available to and easily accessible by all staff and students. SDSs can be stored in a three-ring binder or be accessible via computer.

c. Clearly readable identifying labels securely placed on all incoming containers of hazardous chemical products, and such labels are not removed or defaced. Chemicals transferred to other approved containers must be labeled accordingly as well. Labels must contain at least:

1. Product identification

2. Hazard warning showing what parts of the body could be affected (Hazardous Materials Identification System (HMIS) or National Fire Protection Association (NFPA) system)

3. Name and address of the manufacturer or supplier

d. Supervisor/instructor maintenance of up-to-date SDS file for each hazardous chemical product used within their respective areas.

e. Proper training and instruction delivered to all users of hazardous chemical products, including:

1. Identification of such products

2. The specific hazards associated with such products
3. Measures that users can take to protect themselves (including PPE requirements)

4. Methods and observations that may be used to detect the presence or release of hazardous chemicals

5. Potential physical health effects of chemicals used in the workplace

f. Proper communication of chemical hazards associated with non-routine tasks.

g. An established and approved chemical products purchase list. SDSs must be reviewed by the center Safety Officer before the products are used in the workplace.

R14. Mercury


a. Each center operator, Center Director, and center Safety Officer must coordinate to ensure that all sources of mercury have been identified and are properly disposed of in accordance with the local/state health department or department of the environment regulations.

b. All mercury-containing paints, batteries, thermometers, blood pressure meters, thermostats, light ballasts, etc., currently in use should be replaced when it is fiscally possible. Center students/staff are strictly prohibited from attempting to clean up spills or releases. Clean-up must be performed by the local fire department, hazmat team, or a licensed contractor.

R15. Freon 113 and Other Ozone-Depleting Chemicals

a. Centers must comply with federal, state, and local regulations and Job Corps policy regarding Freon 113 and other ozone-depleting chemicals in the replacement and disposal of air conditioning and refrigeration units.

b. Air conditioning and refrigeration units installed before 1995 that may contain ozone-depleting chemicals must be disposed of in accordance with federal and state hazardous materials regulations.

R16. Hexavalent Chromium (Cr(VI))

Centers must comply with OSHA standard 29 CFR 1910.1026 and Job Corps policy regarding hexavalent chromium (Cr(VI)).

a. Centers that offer trades that may potentially expose students/staff to hexavalent
chromium (Cr(VI)) must develop, implement, and maintain an exposure control plan that contains the following minimum requirements:

1. Trade(s) or center functions that may result in exposure

2. Exposure-monitoring results for the affected trade(s) or center functions

3. Engineering controls used to maintain Cr(VI) concentrations below the permissible exposure limit (PEL) and action level

4. Personal protective equipment (PPE) required during performance of training or center function

5. Method(s) of communicating hazards associated with Cr(VI) (See hazard communication training, Chapter 5, Section 5.9, R13.)

b. Centers offering the welding trade must perform one round of initial and confirmation exposure monitoring no matter how often welding of stainless steel occurs. No additional monitoring is necessary if both the initial and confirmation sample results are below the PEL of 5.0 µg/m$^3$ and the action level of 2.5 µg/m$^3$ calculated as eight-hour time-weighted averages (TWA).


**R17. Pest Control**

Centers must:

a. Take adequate measures to control insects and rodents in all areas. Only pesticides that are safe for use in food service areas shall be used in food service facilities.

b. Ensure that pesticides are applied only by a licensed professional pest control contractor in food service, residential, and education facilities. The following information must be obtained from the contractor and kept on file for at least three years:

1. Name/type of chemicals used
2. Amount of chemical applied
3. Areas where applied and amount specific to location
4. Date when applied
5. Safety Data Sheets (SDSs) for the chemicals used
c. Ensure that pesticides are not stored for usage on centers except those that are approved by EPA for use in landscaping and gardening projects. Proper training on storage, use, and application of these chemicals must be provided to staff and students.

R18. Unsanitary Conditions

In accordance with Section 5(a) of the Occupational Safety and Health Act of 1970 (https://www.osha.gov/laws-reggs/oshact/completeoshact), centers must ensure that staff and students are not required or permitted to reside, work, be trained, or receive services in buildings or surroundings that are unsanitary, hazardous, or lack proper ventilation.

R19. Water Treatment

Centers must ensure that when non-municipal water and waste treatment facilities are used, the following requirements are met:

a. Water supplies meet center needs and satisfy National Primary Drinking Water Regulations (40 CFR 142).

b. Prior to construction or renovation, the standards or regulations of federal, state, and local health authorities are consulted. Renovation/building plans and specifications are sent to the National Office of Job Corps, with a copy to the Regional Office, for review.

c. Records are maintained showing the amount of water treated, amount of chlorine used, daily free chlorine residual, and other data pertaining to water treatment.

d. All required bacterial and chemical tests are performed by state or local health authorities, with the exception of the daily routine residual chlorine test that is to be conducted by center staff.

e. The center complies with the water quality and related standards of the state and with the standards established by the Federal Water Pollution Control Act 33 U.S.C. 1251 et seq (https://www.whistleblowers.gov/statutes/fw pca).

f. Records are maintained documenting the water/wastewater treatment pursuant to standards set by the Environmental Protection Agency (EPA) and local authorities and should be maintained on center indefinitely. Waste treatment problems are brought to the attention of the appropriate EPA coordinator and the Regional Office.

g. Records of influent and effluent monitoring data are maintained as required by the plant’s effluent discharge permit and other data pertaining to wastewater treatment.

h. The analysis of all influent and effluent, as required by the discharge permit, is performed by a certified laboratory.
**R20. Student Injury, Occupational Illness/Disease, and Fatality Recordkeeping**

Occupational illness/disease in this context is defined as illness or disease acquired from/due to the Job Corps training and/or living environment (e.g., hearing loss, coronary/vascular condition, asbestos-related illness, carpal tunnel syndrome, etc.).

Student injuries and occupational illnesses/diseases must be documented in the Department of Labor’s Employees’ Compensation Operations and Management Portal (ECOMP). ECOMP is an electronic database that assists the Department in meeting the reporting requirements of both the Occupational Safety and Health Administration (OSHA) and the Office of Workers’ Compensation Programs (OWCP). The only injuries and occupational illnesses/diseases that should not be documented in ECOMP are those that occur while the student is:

- at home on pass or leave
- engaged in leisure time employment, or
- in an Unauthorized Absence (UA) status.

Regardless of the severity of the injury or occupational illness/disease, an incident will minimally require the submission, in ECOMP, of the two documents below:

a. The OSHA 301, Injury and Illness Incident Report

b. One of the forms below:

   1. OWCP CA-1, Notice of Traumatic Injury and Claim for Compensation
   2. OWCP CA-2, Notice of Occupational Disease and Claim for Compensation (The CA-2 submission is rarely used; if you think a CA-2 is warranted, contact the National Office.)

Student fatalities are not documented in ECOMP. These incidents should be reported to the National Office of Job Corps immediately. Centers are required to complete the OWCP CA-6 manual form. The National Office will complete any other recordkeeping requirement.

A complete list of the OSHA and OWCP forms, and a description of their use, are below. Please note that all occupational safety and health records must be maintained for a five-year period, unless otherwise indicated by a specific OSHA standard, the Department of Labor Manual Series (DLMS), or the Program Requirements Handbook (PRH).

Electronic Forms Submitted in ECOMP

a. OSHA 301, Injury and Illness Incident Report, is completed in ECOMP for each student injury or occupational illness in accordance with 29 CFR 1904. The OSHA form is required to be retained for five years.
b. OSHA 300, Log of Work-Related Injuries and Illnesses: For OSHA 301 Incident Reports that are work-related (see definition in criterion 1, below), centers must maintain a single current log in ECOMP, known as the OSHA 300 Log. For an incident to be entered in the OSHA 300 Log, it must meet each of the three OSHA-recordable criteria below:

1. The injury or occupational illness is work-related. For Job Corps purposes, this means that the injury or occupational illness occurred while the student was involved in training or educational activities.

2. The injury or occupational illness represents a new case in the system.

3. The injury or occupational illness results in one or more of the following:
   (a) Days away from work (training or educational activities)
   (b) Restricted work or transfer to another job
   (c) Medical treatment beyond first aid
   (d) Loss of consciousness
   (e) A significant injury or illness diagnosed by a physician or other licensed health care professional
   (f) A needlestick or sharp injury exposing the student to another person’s blood or potentially infectious material
   (g) A Standard Threshold Shift (STS) in hearing in one or both ears where the employee’s total hearing level is at least 25 decibels above audiometric zero in the same ear(s) as the STS
   (h) Exposure to persons with a case of active tuberculosis (TB), where the student subsequently develops a TB infection.

Student injuries resulting from horseplay while engaged in training or educational activities must also be recorded. Any student injury must be recorded in the OSHA 300 Log in ECOMP.

The OSHA 300 Log is required to be retained for five years.

c. CA-1, Notice of Traumatic Injury and Claim for Compensation, is completed in ECOMP within seven calendar days of supervisor notification.

In the event that an injury requires separation from Job Corps, center staff must obtain Office of Job Corps approval prior to forwarding a CA-1 to OWCP. When the CA-1 is filed with OWCP at separation, a CA-16 manual form, Authorization for Examination and/or Medical Treatment, and an OWCP-1500 manual form, the Health Insurance Claim Form, are sent to the provider. If the injury is not severe enough to cause separation, the CA-1 should be completed, electronically filed in ECOMP within seven calendar days of supervisor notification, printed, signed by the student, and filed in the student’s health folder.
d. **CA-2, Notice of Occupational Disease and Claim for Compensation**, is rarely used in Job Corps because there are very few cases of occupational disease or illness related to enrollment in Job Corps. If such a situation does occur, contact the Office of Job Corps before filing a CA-2.

**Manual Forms**

a. **CA-6, Official Supervisor's Report of Employee’s Death**, is filed with the OWCP district office if a student dies as a result of an illness/injury incurred while in performance of duty. In the event of a student fatality, the Center Director must notify OWCP immediately by telephone, email, or fax, and mail the CA-6 to the OWCP district office. Do not document student fatalities in ECOMP.

The Center Director must report student fatalities to the National and Regional Offices within six hours of the event via Significant Incident Report (SIR).

b. **CA-7, Claim for Compensation Due to Traumatic Injury or Occupational Disease**: Unlike other federal employees, Job Corps students are not eligible for continuation of pay for 45 days. However, they may submit a CA-7 after medical separation to receive compensation for injury or illness. The center must complete the CA-7 and have the student sign and date Section 7 of the form. In the event that the student is unable to sign the CA-7, the Center Director may sign as his or her authorized representative.

Upon Office of Job Corps approval to forward a CA-1 or CA-2 to OWCP in ECOMP, the center must fax or e-mail a copy of the completed CA-7 to the Office of Job Corps for submission to OWCP. The Office of Job Corps must file the copy sent to them by the center with OWCP within five days of the student’s signature.

The original CA-7, signed by the student, should be filed in the student’s health folder.

c. **CA-16, Authorization for Examination and/or Treatment**: Whenever a student separates from Job Corps and needs examination and/or treatment as a result of traumatic injury, the Center Director should issue a CA-16 to the physician or medical facility. This form authorizes the injured student's examination and/or treatment from a physician or medical facility. When a CA-2 is electronically submitted to OWCP in cases of separation because of work-related illness or disease, a CA-16 is issued only if prior approval is obtained from OWCP. If a CA-16 is submitted, do not submit a CA-20.

This form provides OWCP with an initial medical report and the physician or medical facility with a billing form for emergency treatment. Issuance of the CA-16 authorizes OWCP to pay medical expenses incurred.

Part A is completed by the student's supervisor and submitted to the physician or medical facility within 48 hours of examination and/or treatment. Part B is completed by the attending physician as promptly as possible after initial examination and sent to the appropriate OWCP district office.
When there is a need for medical follow-up for the work-related injury by a second health-care provider, the center must email/mail the name and address of that provider to OWCP or instruct the student (in writing) to do so.

d. OWCP-1500 (also known as HCFA-1500)—Health Insurance Claim Form:

This form accompanies the CA-16 to all medical care providers, except hospitals.

It is issued by health-care providers to bill the OWCP district office for services rendered.

e. CA-20, Attending Physician’s Report: This report provides medical support of claims for compensation. It is submitted to OWCP upon completion of the medical examination and treatment. It is also used to provide additional medical information regarding the claim. If a CA-20 is submitted, do not submit a CA-16.

R21. Definitions and Procedures for Handling Job Corps Student Injuries

a. Job Corps Injuries Designated as First Aid

1. First aid is defined as any one-time treatment, and any follow-up visit for the purpose of observation, of minor scratches, cuts, burns, or splinters, which does not ordinarily require medical care. Such one-time treatment and follow-up visits are considered first aid even if they are provided by a physician or other registered professional personnel.

2. First aid injuries must be documented in ECOMP.

3. The following procedures are generally considered first aid treatment (e.g., one-time treatment and subsequent observation of minor injuries):
   (a) Application of antiseptics during initial visit to medical personnel
   (b) Treatment of first degree burn(s)
   (c) Application of bandage(s) during any visit to medical personnel
   (d) Use of elastic bandage(s) during initial visit to medical personnel
   (e) Removal of foreign bodies from wound if procedure is uncomplicated (e.g., by tweezers or other simple technique)
   (f) Use of nonprescription medications and administration of single dose of prescription medication on initial visit for minor injury or discomfort
   (g) Soaking therapy on initial visit to medical personnel or removal of bandages by soaking
   (h) Application of hot or cold compress(es) during first visit to medical personnel
   (i) Application of ointments to abrasions to prevent drying or cracking
   (j) Application of heat therapy during first visit to medical personnel
   (k) Negative x-ray diagnosis
   (l) Observation of injury during visit to medical personnel
4. The administration of a tetanus shot or booster by itself is not considered medical treatment. However, these shots are often given in conjunction with more serious injuries; consequently, injuries requiring these shots may be filed in ECOMP for other reasons.

b. More Serious Injuries Not Resulting in Student Separation

1. This category includes injuries that result in medical treatment beyond first aid, and are serious enough to need a specialized referral but do not require a medical separation.

2. An example of this type of injury would be a student sustained a strain or sprain, but is able to continue training with restrictions.

3. These injuries must be documented in ECOMP. Some examples of this category of injury are:
   (a) Fractures
   (b) Head injuries with loss of consciousness
   (c) Positive x-ray diagnosis
   (d) Lacerations with nerve or tendon damage
   (e) Injuries needing sutures/Steri-Strips/adhesive butterfly
   (f) Foreign body embedded in the eye
   (g) Jaw fractures/dental damage
   (h) Treatment of infection
   (i) Treatment of 2nd or 3rd-degree burns
   (j) Food poisoning
   (k) Dislocated shoulder

c. Injuries Resulting in Separation from Job Corps

1. This category includes injuries resulting in the student’s death or serious injuries that result in the student’s separation from Job Corps.

2. An example of this type of injury would be: a student sustained a traumatic injury in the performance of duty that requires surgery, additional medical treatment that cannot be provided on center, or the student can no longer perform in the program.

3. These injuries must be documented in ECOMP.

4. Section 8143 of the Federal Employees’ Compensation Act (FECA) states that while students are enrolled in Job Corps, students are considered employees of the federal government for purposes of medical coverage under FECA. The performance of duty does not include an act of an enrollee while absent from his or her assigned post of duty, except while participating in an activity (including an activity while on pass or during travel to or from the post of duty) authorized by or
under the direction and supervision of Job Corps.

**R22. Staff Injury, Occupational Illness/Disease, and Fatality Recordkeeping**

Staff is defined as all contractors, federal employees, and temporary employees who work at a Job Corps center.

All work-related staff injuries and occupational illnesses must be documented in the Department of Labor’s Employees’ Compensation Operations and Management Portal (ECOMP) in accordance with 29 CFR 1960 Subpart I and 29 CFR 1904. These regulations require that a single OSHA 300 Log be maintained for each federal agency establishment; each Job Corps center is classified as an establishment. As such, all work-related injuries and occupational illnesses suffered by federal employees and contractors at the establishment must be captured on the same OSHA 300 Log. This requirement has no bearing on the requirements of the corporate office or other federal agency.

Centers are only required to report the death of a staff member if the fatality occurs while in duty status on or off-center. The Center Director must report staff deaths to the National and Regional Offices within six hours of the event via Significant Incident Report (SIR).

A CA-1 or CA-2 is never completed for staff in the Department of Labor’s ECOMP.

a. OSHA 301, Injury and Illness Incident Report, is completed in ECOMP for each staff injury or occupational illness in accordance with 29 CFR 1904. The OSHA form is required to be retained for five years.

b. OSHA 300, Log of Work-Related Injuries and Illnesses: All centers must maintain a single current log in ECOMP of all work-related accidents, injuries, and occupational illnesses incurred by students and staff in accordance with 29 CFR 1904. The OSHA 300 Log is required to be retained for five years.

Staff injuries resulting from horseplay while engaged in work-related tasks or activities must also be recorded. Any staff injury must be recorded in ECOMP.

**R23. OSHA Form 300A, Summary of Work-Related Injuries and Illnesses**

Each year, Job Corps centers are required to post OSHA Form 300A, also called the OSHA 300A Summary, from February 1 through April 30 in accordance with 29 CFR 1904.32. OSHA Form 300A summarizes data contained in the OSHA 300 Log, which is maintained electronically in ECOMP, for the calendar year just prior to posting for the purpose of notifying students and staff of the injuries that have occurred on center. This report may be generated through ECOMP reports.

Following the required three-month posting period, the OSHA 300A must be retained for five years.
5.10 FOOD SERVICES

REQUIREMENTS

R1. Food Handling

Centers must ensure that food handling practices comply with the state, local, or U.S. Public Health Service Food Code, whichever is more stringent. Centers must develop and implement a Hazard Analysis and Critical Control Point (HACCP) program as outlined in Annex 4 of the U.S. Public Health Service Food Code. All meat products must meet U.S. Department of Agriculture standards.

R2. Food Storage

Centers must ensure that storage of food complies with the state, local, or U.S. Public Health Service Food Code, whichever is more stringent, and does the following:

a. Storage areas protect food from the elements, fire, rodents, insects, organisms, and other causes of spoilage.

b. Chemicals, soaps, and poisons are properly labeled and stored separately from food.

c. Storerooms are well ventilated and food items are stored a minimum of six inches above the floor in a manner that protects the food from splash, dust, and other contamination and permits easy cleaning of the area. Exceptions are:

1. Metal pressurized beverage containers and cased food packaged in cans, glass, or other waterproof containers, and milk containers in plastic crates

2. Containers stored on dollies, racks, or pallets, provided such equipment is easily mobile

d. Storerooms are free of exposed or unprotected sewer lines, water lines (except automatic fire protection sprinkler heads), uninsulated steam lines, water heaters, refrigeration condensing units, or other heat-producing devices.

e. All refrigeration equipment (refrigerators and freezers) must be monitored to ensure proper temperature ranges are maintained at all times.

f. Open food containers, cooked and fresh, are covered, labeled, and dated to ensure proper usage.

R3. Food and Nutrition

Centers must provide food services to students, to include:
a. Meal service

1. Provide three meals per day, except on holidays and weekends, when two meals are acceptable. In lieu of the third meal, healthy snacks must be available to students on weekends and holidays.

2. No more than 14 hours shall elapse between meal services.

3. Meals must be planned using a minimum of a 28-day cycle cafeteria menu.

4. Meal service must be consistent with schedules for work-based learning, off-center activities, late arrivals, etc.

b. Food options

1. Students must receive a minimum of two choices of meat or non-meat protein sources at both lunch and supper.

2. Students must receive a minimum of the following daily:
   (a) Five choices of fresh or frozen vegetables and/or fruits
   (b) Four servings of grain products
   (c) Low-fat and/or fat-free milk and dairy alternatives and water must be available at all meals

3. Centers must offer meals and food items that meet the dietary needs and desires of a diverse population, including ethnic, vegetarian, and low-fat alternatives.

c. Nutrition

1. Meals must reflect the definition of a “Healthy Diet” as described in The Dietary Guidelines for Americans. Meals must:
   (a) Emphasize fruits, vegetables, whole grains, fat-free or low-fat milk and milk products, and dairy alternatives.
   (b) Include lean meats, poultry, fish, beans, eggs, and nuts.
   (c) Be low in saturated fats, trans fats, cholesterol, salt (sodium), and added sugars.


3. Foods of Minimal Nutritional Value (FMNV) may be available to students in the cafeteria on a limited basis. FMNV include soda water/fountain drinks, water ices, hard candies, jellies and gums, marshmallow candies, licorice, spun candies, and candy coated popcorn. Students may be given the option to purchase FMNV through vending machines or the student store.
R4. *Dining Environment*

Centers must:

a. Operate a dining room and serving and meal preparation areas that are clean, attractive, and comply with state/local (whichever is stricter) food handling and sanitation standards for the food service industry.

b. Post the most recent environmental health inspection report at the serving line entrance.

R5. *Food Service Staff Health*

Centers must ensure that kitchen and dining hall staff comply with state or local food handling requirements, per Chapter 5, Section 5.10, R1.

R6. *Food Service Management and Control*

Centers must develop procedures for ordering, purchasing, receiving, storing, inventorying, and issuing food products that ensure:

a. Prevention of fraud and abuse

b. Protection of food and food preparation equipment and areas from contaminants, insects, and rodents

c. Safe and sanitary handling and storage of food

R7. *Student Input*

Centers must develop procedures to obtain student input into the planning and evaluation of the content and quality of the meals and service provided.

R8. *Staff/Visitor Meals*

Centers must provide staff and visitors access to meal service during regularly scheduled serving periods. All non-students must be charged (unless prior Regional Office approval is granted) a price, which covers cost of food and its preparation.
5.11 MEDIA

REQUIREMENTS

R1. Notification of Newsworthy Events

Job Corps center operators, as well as Outreach and Admissions (OA) and Career Transition Services (CTS) contractors, must immediately notify and consult with the Regional Office for guidance and approval of contractor news releases.

R2. Media Inquiries

Responsibilities of federal contractors and others operating Job Corps centers, as well as Outreach and Admission, and Career Transition Services contractors:

a. Notify the Job Corps Regional Office (RO) responsible for the region in which the center is located of all media inquiries, and provide a copy of each inquiry if it was submitted in writing. The notice must be provided as early as practicable and should include the date, time, location, and point of contact for the request.

b. Coordinate with the RO to receive guidance on responding to incoming media inquiries before issuing a response.

c. Maintain the confidentiality of student information. Personally Identifiable Information (PII) and any other information capable of identifying a student should not be released without the student’s written consent. If the student is a minor, the written consent of the student’s parent or guardian is required before releasing information to the media or otherwise making it publicly available.

Responsibilities of ROs:

a. Forward media inquiries they receive to their designated contact in the regional Office of Public Affairs (OPA) for review and guidance on responding.

b. Notify the National Office of Job Corps before, or simultaneous to, providing notice to OPA.

R3. Media Related Events

Responsibilities of federal contractors and others operating Job Corps centers concerning media related events are below.

a. Provide the RO advance written notice of planned events or activities where media interest or participation might exist. This notice should include information sufficient for Job Corps and others to assess the level of media interest (e.g., type of event, date,
location, participants, type of media interest or participation is anticipated, and any concerns related to the media’s interest or participation in the event).

b. Advise media organizations that Job Corps prefers they only interview students 18 years of age and older.

c. Provide written notice to students in advance of a media visit to their Job Corps center. The notice must inform students that they are not required to appear in media coverage or broadcasts, and can refuse to speak or be interviewed, filmed, photographed, or otherwise recorded during a media visit.

d. Refrain from taking disciplinary actions against students that limit the exercise of their First Amendment protections. These protections include, but are limited to, initiating media contact and publishing information about the Job Corps program.

R4. Center Visits by Elected Officials

Job Corps wants to be transparent about center operations while also ensuring student privacy, minimizing disruptions to normal center operations. From time to time, federal, state and local elected officials may request tours or visits to Job Corps centers. Job Corps seeks to accommodate those requests whenever possible.

Federal contractors and others operating Job Corps centers often extend or receive unsolicited requests for tours or visits of centers. These entities cannot grant elected officials or their staff permission to tour, visit, or speak at Job Corps centers without prior notice and approval by the Department of Labor (DOL). The notice and approval process is outlined below.

a. The center operator must submit a written approval request to the RO. When possible, the center operator must submit the request at least ten business days before the proposed visit by the elected official or his/her staff.

b. The approval request must describe the purpose of the visit or appearance, and what news media, if any, may attend or accompany the official.

c. Job Corps’ RO must notify the National Office of Job Corps of the requested visit. Job Corps’ National Office will coordinate review of the request with DOL’s Office of Congressional and Intergovernmental Affairs and OPA. The National Office of Job Corps will also ensure that the RO and center operator are advised of any DOL visit guidance and requirements.

d. Center operators must comply with all DOL guidance and requirements for requested and approved visits by elected officials or their staff, including but not limited to the general prohibitions below.
1. Declared candidates for public office, including incumbents who have declared their intent to seek re-election, may not visit a Job Corps center for the purpose of campaigning at a Job Corps center.

2. Declared candidates for public office may not use the center, its grounds and facilities, and its staff or students for a campaign event or an event that could affect the candidate’s campaign, including debates and forums.

3. Declared candidates for public office may not use the Job Corps logo or other intellectual property associated with the Job Corps program for campaign materials, media, or any other purpose.
## EXHIBIT 5-1
### STANDARD OPERATING PROCEDURES

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</tr>
<tr>
<td>Annual GSA Fleet Requirements</td>
<td>Annually</td>
<td>8/15</td>
<td>Regional Office National Office</td>
</tr>
<tr>
<td>Law Enforcement Agreements</td>
<td>Annually</td>
<td>7/1</td>
<td>Regional Office National Office (DROPI)</td>
</tr>
<tr>
<td>Center Safety and Security Standard Operating Procedures (SOPs)</td>
<td>Annually</td>
<td>7/1</td>
<td>Regional Office National Office (DROPI)</td>
</tr>
<tr>
<td>Job Corps Emergency Funding Request Report</td>
<td>As needed</td>
<td>1/20, 4/20, 7/20, 10/20</td>
<td>Regional Office National Office (via FNC/CRA/CTST website)</td>
</tr>
</tbody>
</table>

**Non-Recurring Reports**

- **Significant Incident Reports:**
  - Initial
  - Supplemental
  - Final
  - As needed
    - Initial
    - Supplemental
    - Final
  - Regional Office National Office
  - Chapter 5
  - X
  - X
  - X

- **HIV Notification**
  - As needed
  - Regional Office National Office
  - Chapter 2
  - X

- **SF 91, Motor Vehicle Accident Report**
  - As needed
    - Within 5 working days
  - Regional Office
  - Chapter 6
  - X
  - X
  - X
## EXHIBIT 5-3
### MINIMUM STAFF QUALIFICATIONS

<table>
<thead>
<tr>
<th>Position</th>
<th>Primary Duties</th>
<th>Minimum Qualifications</th>
</tr>
</thead>
<tbody>
<tr>
<td>Center Director</td>
<td>Provides direction, management, and supervision of the Job Corps campus and all related activities.</td>
<td>• Bachelor’s degree</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Five years’ experience in program management and direction</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Experience working with youth</td>
</tr>
<tr>
<td>Outreach and Admissions Counselor</td>
<td>Assists and provides guidance to prospective Job Corps enrollees by determining basic eligibility, conducting screening, and providing career-based counseling.</td>
<td>• Bachelor’s degree in human services, psychology, counseling, education, social science, communications, or closely related field; or</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Associate’s degree in human services, psychology, counseling, education, social science, communications, or closely related field, and two years related experience. Experience may include successful Job Corps outreach and admissions experience or successful outreach and admissions experience with other youth development programs.</td>
</tr>
<tr>
<td>Career Transition Services Specialist</td>
<td>Assists and provide guidance to separated or separating students during the prescribed service period, providing placement (employment, apprenticeship and post-secondary education enrollment), and transition services. Establishes robust relationships with employers, community colleges, and other entities to ensure successful placements with good career pathway opportunities for economic self-sufficiency. Establishes thorough knowledge of and referrals to community-based services available to assist Job Corps graduates with successful transition into independent living. Provides positive, individualized services to Job Corps graduates and former enrollees and documented case notes that reflect services provided.</td>
<td>• Bachelor’s degree in human services, psychology, counseling, education, social science, business, communications, or closely related field; or</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Associate’s degree in human services, psychology, counseling, education, social science, business, communications, or closely related field and two years related experience.</td>
</tr>
<tr>
<td>Career Preparation Period (CPP) Instructor (and designated backup)</td>
<td>Assists new students in acclimating to center; provides career exploration and career planning to all new students by delivering the My Pathway to Achieving Career Excellence (MyPACE) curriculum with fidelity; and facilitates the implementation of all other CPP requirements.</td>
<td>• High school diploma or high school equivalency</td>
</tr>
<tr>
<td>Position</td>
<td>Primary Duties</td>
<td>Minimum Qualifications</td>
</tr>
<tr>
<td>----------------------------------------------</td>
<td>--------------------------------------------------------------------------------</td>
<td>----------------------------------------------------------------------------------------</td>
</tr>
</tbody>
</table>
| Outreach and Admissions/Career Transition Services Project Director | Provides oversight and management of admissions office operations, or career transition services office operations, or both. | • Bachelor’s degree in human services, psychology, counseling, education, social science, business, communications, or closely related field, and two years related supervisory experience.  
• Associate’s degree in human services, psychology, counseling, education, social science, business, communications, or closely related field, and four years related supervisory experience. |
| Academic Instructor                           | Conducts and manages academic classes                                          | • Certified to teach in state in which center is located (RO may waive if center is unable to hire certified teachers, but the candidate must pursue certification) |
| Career Technical Training Instructor          | Conducts and manages career technical training                                  | • Certified, licensed, or accredited in the state in which the center is located, or is accredited by a professional trade organization  
• For NTC, instructor must be certified by union or trade organization, or by a national trade certifying organization (RO may waive if center is unable to hire certified or licensed instructors, but candidates must pursue certification) |
<p>| Residential Advisor                           | Manages dormitory living                                                        | • High School Diploma or High School Equivalency                                       |
| Residential Counselor                        | Manages dormitory living                                                        | • Bachelor’s degree (including 15 semester hours of instruction in social services-related instruction), and one year experience in counseling or related field |
| Senior Residential Advisor                   | Conducts social development training                                           | • High School Diploma or High School Equivalency, and one year experience working with youth and young adults |
| Senior Residential Counselor                 | Conducts social development training                                           | • Bachelor’s degree (including 15 semester hours of instruction in social services-related instruction), one year experience in counseling or related field, plus one year experience working with youth and young adults |
| Residential Manager                          | Supervises residential program                                                  | • Postsecondary degree and two years’ experience working with youth and young adults  |</p>
<table>
<thead>
<tr>
<th>Position</th>
<th>Primary Duties</th>
<th>Minimum Qualifications</th>
</tr>
</thead>
<tbody>
<tr>
<td>Residential Supervisor</td>
<td>Supervises residential program</td>
<td>• High School Diploma or High School Equivalency and two years’ experience working with youth and young adults</td>
</tr>
<tr>
<td>Counseling Manager</td>
<td>Supervises counseling supervisor, personal and career development programs, and residential programs</td>
<td>• Bachelor’s degree (including 15 semester hours of instruction in social services-related instruction), five years’ experience in counseling or related field, and two years’ experience working with youth and young adults</td>
</tr>
<tr>
<td>Counseling Supervisor</td>
<td>Supervises personal and career development programs, and residential programs</td>
<td>• Bachelor’s degree (including 15 semester hours of instruction in social services-related instruction), two years’ experience in counseling or related field, plus two years’ experience working with youth and young adults</td>
</tr>
<tr>
<td>Senior Counselor</td>
<td>Supervises center counselors</td>
<td>• Bachelor’s degree (including 15 semester hours of instruction in social services-related instruction), one year experience in counseling or related field, plus two years’ experience working with youth and young adults</td>
</tr>
<tr>
<td>Counselor</td>
<td>Conducts career technical/academic, social, and personal and career development counseling</td>
<td>• Bachelor’s degree (including 15 semester hours of instruction in social services-related instruction), and one year experience in counseling or related field</td>
</tr>
<tr>
<td>Recreation Specialist</td>
<td>Organizes, conducts, and supervises recreation and leisure time activities</td>
<td>• Associate of arts degree or one year of related experience working with youth</td>
</tr>
<tr>
<td>Health and Wellness Manager</td>
<td>Provides daily management and oversight of the Health and Wellness Center</td>
<td>• Registered Nurse</td>
</tr>
<tr>
<td></td>
<td>Provides nursing services</td>
<td>• Minimum of an Associate’s Degree in nursing</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Active, unrestricted license to practice in state where center is located</td>
</tr>
<tr>
<td>Staff Nurse</td>
<td>Provides nursing services</td>
<td>• Registered Nurse or Licensed Practical Nurse</td>
</tr>
<tr>
<td></td>
<td>Licensed Practical Nurse must be supervised by a Registered Nurse in accordance with state nurse practice act</td>
<td>• Active, unrestricted license to practice in state where center is located</td>
</tr>
<tr>
<td>Position</td>
<td>Primary Duties</td>
<td>Minimum Qualifications¹</td>
</tr>
<tr>
<td>----------------------------------</td>
<td>-------------------------------------------------------------------------------</td>
<td>-------------------------</td>
</tr>
</tbody>
</table>
| Center Mental Health Consultant  | Provides mental health services/consultation/training                         | • Active, unrestricted license to practice as an independent practitioner in state where center is located  
|                                  |                                                                                | • Clinical/Counseling Psychologist or Clinical Social Worker |
| Consulting Psychiatrist as needed and determined by center (not a required position) | Provides psychotropic medication services and monitoring as needed            | • Active, unrestricted license to practice in state where center is located  
|                                  |                                                                                | • Board certification preferred  
|                                  |                                                                                | • Current DEA registration |
| Physician                        | Provides medical services, serves as medical director, and provides supervision in accordance with state practice, rules and regulations | • Active, unrestricted license to practice in state where center is located  
|                                  |                                                                                | • Primary care physician preferred—family medicine, pediatrics, or internal medicine  
|                                  |                                                                                | • Board certification preferred  
|                                  |                                                                                | • Current DEA registration |
| Nurse Practitioner (NP)/Physician Assistant (PA) | Provides primary care services based on individual state practice acts | • Active, unrestricted license to practice in state where center is located  
|                                  |                                                                                | • NP only: certificate/license as a NP to meet individual state practice act  
|                                  |                                                                                | • Current DEA registration, if applicable |
| Dentist                          | Provides dental services and program supervision                              | • Active, unrestricted license to practice in state where center is located  
|                                  |                                                                                | • Current DEA registration |
| Dental Hygienist                 | Provides prophylactic dental services and promotes oral health and wellness    | • Active, unrestricted license to practice in state where center is located |
| Dental Assistant                 | Assists dentist in providing services and promotes oral health and wellness    | • Certified to take x-rays |
| TEAP Specialist                  | Active, unrestricted substance abuse license or certification that meets minimum state licensing or certification requirements to practice in the state where the center is located | • Active, unrestricted substance abuse license or certification that meets minimum state licensing or certification requirements to practice in the state where the center is located |

¹ For Civilian Conservation Centers, all federal positions must meet X-118 standards.
## EXHIBIT 5-4
### REQUIRED STAFF TRAINING

<table>
<thead>
<tr>
<th>Training Requirement</th>
<th>Frequency</th>
<th>Staff</th>
</tr>
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<tbody>
<tr>
<td></td>
<td>1st 90 Days</td>
<td>1st 180 Days</td>
</tr>
<tr>
<td>New Staff Orientation</td>
<td></td>
<td></td>
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<tr>
<td>• JC organization, purpose, policies, and outcomes</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>• Student characteristics and profile</td>
<td>X</td>
<td></td>
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<tr>
<td>• Student Conduct System and Zero Tolerance Policy</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>• Diversity Training</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>• EEO, Civil Rights, including Religious Rights and Ban on Sexual Harassment, Anti-Bullying Policies, Code of Conduct and Ethics</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>• Performance expectations</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>• Modeling, Mentoring, and Monitoring Appropriate Workplace Behavior</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>• CPR/First Aid</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>• HIV/AIDS</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>• Alcohol and Other Drugs of Abuse</td>
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<tr>
<td>• Department-Specific Orientation, including: – Duties and responsibilities</td>
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</tr>
<tr>
<td>• Relationship with other departments</td>
<td>X</td>
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<tr>
<td>• Hazardous materials recognition</td>
<td>X</td>
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<tr>
<td>• CDSS</td>
<td>X</td>
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<tr>
<td>• HIPAA</td>
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<tr>
<td>• Crisis Intervention</td>
<td>X</td>
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</tr>
<tr>
<td>• Reasonable Accommodation (must be completed via Job Corps Learning Management System)</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>Adolescent Growth and Development (5 hours)</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>Training Requirement</td>
<td>Frequency</td>
<td>Staff</td>
</tr>
<tr>
<td>----------------------------------------------------------</td>
<td>-----------</td>
<td>-----------------------</td>
</tr>
<tr>
<td>Modeling, Mentoring, and Monitoring</td>
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<td></td>
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<tr>
<td>Appropriate Workplace Behaviors</td>
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<tr>
<td>Safety Awareness Training (2 hours)</td>
<td>X X</td>
<td></td>
</tr>
<tr>
<td>CPR/First Aid (as needed for recertification)</td>
<td>X X</td>
<td></td>
</tr>
<tr>
<td>Disability Program or Disability-related topic</td>
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</tr>
<tr>
<td>SafetyNet Toolkit</td>
<td>X X</td>
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<tr>
<td>Nutrition, Exercise, and Weight Management</td>
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<tr>
<td>Professional Development (5 hours)</td>
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<tr>
<td>Bloodborne Pathogens</td>
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<td>Incident Report Writing</td>
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<tr>
<td>Environmental Health</td>
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<tr>
<td>• Air quality and environmental noise</td>
<td>X X</td>
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<tr>
<td>• Housing sanitation and safety</td>
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<tr>
<td>• Swimming pools and recreational facilities</td>
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</tr>
<tr>
<td>• Disaster sanitation</td>
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<tr>
<td>• Zoonosis, vectors, pests, and weeds</td>
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<td></td>
</tr>
<tr>
<td>• Wastewater</td>
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<tr>
<td>Small Group Facilitation Skills</td>
<td>X</td>
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<td>Water Safety/Recreation Safety</td>
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<tr>
<td>Proper Restraint Procedures</td>
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<tr>
<td>Treatment Guidelines for Health Staff</td>
<td>X</td>
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</tr>
<tr>
<td>Asbestos Identification and Handling (competent person)</td>
<td>X X</td>
<td>X X</td>
</tr>
<tr>
<td>Asbestos Awareness (if applicable)</td>
<td>X</td>
<td></td>
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<tr>
<td>Lead Based Paint Identification and Handling (competent person) (if applicable)</td>
<td>X X</td>
<td>X X</td>
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<tr>
<td>Lead Based Paint Awareness (if applicable)</td>
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<tr>
<td>Symptomatic Management Guidelines for Non-health Staff</td>
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<td>Interview Techniques</td>
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<tr>
<td>Eligibility Criteria</td>
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<tr>
<td>Job Development</td>
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<td></td>
</tr>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Training Requirement</td>
<td>Frequency</td>
<td>Staff</td>
</tr>
<tr>
<td>--------------------------------------------------------------------------------------</td>
<td>-----------</td>
<td>-------</td>
</tr>
<tr>
<td></td>
<td>1st 90 Days</td>
<td>1st 180 Days</td>
</tr>
<tr>
<td>Technology Training</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Occupational Safety and Health for Other Federal Agencies with expanded segment on accident/incident investigation (OSHA 600) *</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Fire Protection and Life Safety Course *1</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Machine Guarding Course *1</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Basic Electrical Course *1</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Construction Standards Course *1</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>General Industry Standards Course *1</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Introduction to Industrial Hygiene *1</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Principles of Scaffolding *1</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>• 20 hours for safety officers</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>• 32 hours for instructors using scaffolding</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Hazard Communication *1</td>
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<td>X</td>
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<tr>
<td>Welding *1 (if applicable)</td>
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<td>X</td>
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<tr>
<td>Lockout/Tagout *1</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Occupational Noise/Hearing Conservation *1</td>
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<td>X</td>
</tr>
<tr>
<td>Confined Space Entry *1 (if applicable)</td>
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<td>X</td>
</tr>
<tr>
<td>Instructor Professional Development</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>• Knowledge of academic, career technical, and social concepts and subject matter</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>• Meeting challenging learning standards</td>
<td>X</td>
<td>X</td>
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<tr>
<td>• Classroom management</td>
<td>X</td>
<td>X</td>
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<tr>
<td>• Using educational research to improve skills of teachers</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>• Using eLearning</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>• Methods of teaching students with special needs</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>• Using data and assessments to better address student needs</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Tests of Adult Basic Education (TABE) Certification, Administration and Use of Student Testing System (STS)</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Training Requirement</td>
<td>Frequency</td>
<td>Staff</td>
</tr>
<tr>
<td>----------------------</td>
<td>----------------</td>
<td>------------------------------------------</td>
</tr>
<tr>
<td></td>
<td>1st 90 Days</td>
<td>1st 180 Days</td>
</tr>
<tr>
<td>My Pathway to Achieving Career Excellence (MyPACE) career planning system Level I competency requirements</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>MyPACE career planning system Level II competency requirements:</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Designated staff members who assist and support students requiring additional time in completing Career Preparation Period lesson plans/activities, (i.e., evening studies support staff) or designated staff members who assist in Pathway Achievement Record (PAR) completion</td>
<td></td>
<td></td>
</tr>
<tr>
<td>MyPACE career planning system Level III competency requirements</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>MyPACE curriculum certifications</td>
<td>X</td>
<td>X</td>
</tr>
</tbody>
</table>

* Course requirement established by OSHA.
1 Courses that are included in safety officer’s professional development plan must be completed within the first 18-24 months of initial assignment.
EXHIBIT 5-5
JOB CORPS CAREER TECHNICAL TRAINING PROGRAMS
STUDENT/TEACHER RATIOS

Exhibit 5-5 represents the student/teacher ratios for all Career Technical Training (CTT), Academic and Career Preparation program areas. The program titles and E-TAR codes contained in this exhibit are the official titles and codes assigned to trades approved by the National Office and should not be modified or abbreviated under any condition. The student/teacher ratios represent what should be used when submitting a CTT Change Request. However, the National Office reserves the right to approve student/teacher ratios different than what is listed below if a proposed change is justified. Circumstances such as facility space or safety concerns may dictate a change from the student/teacher ratios listed below. Note: There are no student/teacher ratios for Advanced Career Training (ACT), or for Other Training Providers (OTP), formerly Off-Center Training (OCT) programs.

Approved student/teacher ratios:

All Academic Programs: 18:1 student-to-teacher ratio
All Career Prep Programs: 15:1 student-to-teacher ratio [E-TAR Code = JCCPP-100-OJC-15]
All Career Technical Training Programs: See chart below

<table>
<thead>
<tr>
<th>Industry Area</th>
<th>Training Program</th>
<th>E-TAR Code</th>
<th>Student/Teacher Ratio</th>
</tr>
</thead>
<tbody>
<tr>
<td>Advanced Manufacturing</td>
<td>Machining</td>
<td>MACHI-100-OJC-14</td>
<td>12:01</td>
</tr>
<tr>
<td></td>
<td>Advanced Machinist</td>
<td>MACHI-520-TCU-13</td>
<td>25:01:00</td>
</tr>
<tr>
<td></td>
<td>Manufacturing Technology</td>
<td>MANUT-100-OJC-14</td>
<td>10:01</td>
</tr>
<tr>
<td></td>
<td>Sign, Billboard and Display, Pre-Apprentice</td>
<td>SIGNB-100-IUP-18</td>
<td>10:01</td>
</tr>
<tr>
<td></td>
<td>Welding</td>
<td>WELDG-100-OJC-14</td>
<td>12:01</td>
</tr>
<tr>
<td></td>
<td>Advanced Welding</td>
<td>WELDG-500-OJC-11</td>
<td>12:01</td>
</tr>
<tr>
<td></td>
<td>OTP in Advanced Manufacturing Basic</td>
<td>INADM-100-OTP-18</td>
<td>N/A</td>
</tr>
<tr>
<td></td>
<td>OTP in Advanced Manufacturing Advanced</td>
<td>INADM-500-OTP-18</td>
<td>N/A</td>
</tr>
<tr>
<td></td>
<td>Advanced Career Training/College</td>
<td>COLLG-600-ACT-14</td>
<td>N/A</td>
</tr>
<tr>
<td></td>
<td>Advanced Career Training/Apprenticeship</td>
<td>APPRE-700-ACT-17</td>
<td>N/A</td>
</tr>
<tr>
<td>Automotive and Machine Repair</td>
<td>Maintenance and Light Repair</td>
<td>AUTOT-100-OJC-13</td>
<td>12:01</td>
</tr>
<tr>
<td></td>
<td>Maintenance and Light Repair, Pre-Apprentice</td>
<td>AUTOT-100-UAW-19</td>
<td>12:01</td>
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**Finance and Business**

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**Health Care**

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<td>E-TAR Code</td>
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<tr>
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<td><strong>Renewable Resources and Energy</strong></td>
<td>Forestry Conservation and Firefighting</td>
<td>FORCF-100-OJC-14</td>
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<td></td>
<td>Advanced Forestry Technician</td>
<td>FORCF-500-OJC-14</td>
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<td>Advanced Fire Management Wildland Firefighting</td>
<td>FORCF-510-OJC-14</td>
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<td>Advanced Wildland Fire Management</td>
<td>FORCF-521-OJC-18</td>
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<td>Landscaping Trainee, Pre-Apprentice</td>
<td>LANHB-100-HBI-15</td>
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<td>Landscaping - Ornamental Landscaping</td>
<td>LANDS-100-OJC-15</td>
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<td>Landscaping - Exterior Landscaping</td>
<td>LANDS-110-OJC-15</td>
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<td>Petroleum Service Technician</td>
<td>PETRO-100-OJC-15</td>
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<td></td>
<td>Advanced Solar PV and Thermal System Installer</td>
<td>SOLAR-500-OJC-10</td>
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<td>Advanced Solar Photovoltaic (PV) Technician, Pre-Apprentice</td>
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<td></td>
<td>Urban Forestry</td>
<td>URFOR-100-OJC-14</td>
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<td>Sewer and Waste Water Worker - Introduction to Water/Wastewater</td>
<td>WATER-100-OJC-12</td>
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<td></td>
<td>Water Treatment Operator</td>
<td>WATER-110-OJC-12</td>
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<td>Wastewater Treatment Operator</td>
<td>WATER-120-OJC-12</td>
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<td>Water Distribution Operator</td>
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<td>Wastewater Collection Operator</td>
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<td><strong>Retail Sales and Services</strong></td>
<td>Advanced Human Services Worker - Residential Advisor</td>
<td>RESAD-501-OJC-14</td>
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<tr>
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<td>Advanced Human Services Worker - Residential Advisor</td>
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<td>Retail Sales</td>
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<td>OTP in Retail Sales and Services</td>
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<td>OTP in Advanced Retail Sales and Services</td>
<td>INRSS-500-OTP-18</td>
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<td>Advanced Career Training/College</td>
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<td>Advanced Career Training/Apprenticeship</td>
<td>APPRE-700-ACT-17</td>
</tr>
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<td><strong>Transportation</strong></td>
<td>Asphalt Paving, Pre-Apprentice</td>
<td>ASPHA-100-IUO-15</td>
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<tr>
<td></td>
<td>Advanced Asphalt Paving, Pre-Apprentice</td>
<td>ASPHA-500-IUO-15</td>
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<tr>
<td></td>
<td>Heavy Equipment Operations</td>
<td>HEOPS-100-OJC-15</td>
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<td>Heavy Equipment Operations, Pre-Apprentice</td>
<td>HEOPS-100-IUO-19</td>
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<tr>
<td></td>
<td>Heavy Truck Driving - Tractor-Trailer</td>
<td>HTDRI-100-OJC-14</td>
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<td>Heavy Truck Driving - Straight</td>
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<td>Advanced Heavy Truck Driving</td>
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<td>Advanced Heavy Truck Driving, CDL</td>
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<td>Industry Area</td>
<td>Training Program</td>
<td>E-TAR Code</td>
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<tr>
<td>---------------------------------------------------</td>
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<tr>
<td>Material Handling and Distribution Operations</td>
<td>MDIST-100-OJC-10</td>
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<tr>
<td>Inland River Systems - Deckhand</td>
<td>RIVER-100-OJC-13</td>
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<tr>
<td>Roustabout Operator</td>
<td>ROUST-100-OJC-11</td>
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<td>Seamanship Deckhand - Ordinary Seaman</td>
<td>SEAMA-100-IBU-13</td>
<td></td>
</tr>
<tr>
<td>Seamanship Deckhand - Qualified Member of the Engineers</td>
<td>SEAMA-110-IBU-13</td>
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<td>Seamanship - Able Seaman</td>
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<tr>
<td>Advanced Transportation Service Worker</td>
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<td>Advanced Rail Mechanical Service Worker</td>
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<td>OTP in Transportation</td>
<td>INTRA-100-OTP-18</td>
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<td>OTP in Advanced Transportation</td>
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<tr>
<td>Advanced Career Training/College</td>
<td>COLLG-600-ACT-14</td>
<td></td>
</tr>
<tr>
<td>Advanced Career Training/Apprenticeship</td>
<td>APPRE-700-ACT-17</td>
<td></td>
</tr>
</tbody>
</table>
Centers will provide at least the minimum acceptable hours and types of health-services coverage delineated below.¹

Specific position requirements and required staffing patterns are described below. The center director must recruit and hire health professionals who are certified, licensed, or accredited. For contract centers, employment of full- or part-time physicians, nurse practitioners/physician assistants, health and wellness managers, staff nurses, dentists, dental hygienists, dental assistants, Trainee Employee Assistance Program (TEAP) specialists, and mental health professionals is subject to the prior approval of the Regional Office in consultation with regional health specialists. For civilian conservation centers, employment of full- or part-time physicians, nurse practitioners/physician assistants, health and wellness managers, staff nurses, dentists, dental hygienists, dental assistants, TEAP specialists, and mental health professionals is subject to the prior approval of the National Office in consultation with regional health specialists. Waivers for specific position requirements may be requested from the National Office and will be determined on a case-by-case basis. (See Chapter 5, Section 5.2, R5.)

1. **Physician:** Four hours/100 students/week is the minimum required level of physician coverage for centers with a capacity of 2,000 or fewer students. Centers with a capacity greater than 2,000 students are not required to have more than 80 hours of physician coverage. Up to 2 hours/100 students/week of required physician hours can be assumed by a Physician Assistant (PA) or Nurse Practitioner (NP) to provide routine medical services within the licensee’s scope of practice and supervision requirements. The terms of supervision, where applicable, must be outlined in a collaborative agreement and contracts for the Center Physician and the NP/PA. The Center Physician/PA/NP may not serve as both the Center Physician/PA/NP and the Health and Wellness Manager.

2. **Nursing Staff:** Minimum required nursing coverage (i.e., registered nurse, nurse practitioner, and licensed practical nurse) is 35 hours/100 students/week. Required hours are inclusive of coverage for all shifts (day, evening, night, and weekend/holiday); centers should stagger shift hours based on center needs. Required hours do not include relief coverage for annual, holiday, and sick leave because the number of such days varies by center operator.

   Centers with a capacity of fewer than 200 slots must fill the nurse position with a registered nurse. Centers with 200 or more slots must have the minimum of a registered nurse in the Health and Wellness Manager position. The Health and Wellness Manager may not serve as both the Health and Wellness Manager and the NP/PA.

3. **Dentist:** Three hours/100 students/week is the minimum required level of dentist coverage by a qualified licensed dentist.

¹ Minimum qualifications for health positions are contained in Exhibit 5-3.
Centers with a capacity of fewer than 400 slots may provide dental services at an off-site dental facility/clinic. Centers with a capacity of 400 or more slots must provide dental services on center.

4. **Dental Assistant**: Four hours/100 students/week is the minimum required level of dental assistant coverage when dental services are provided on center.

   In addition to assisting the dentist, the assistant may provide dental-health education, perform clerical work, and/or perform authorized duties under health-care guidelines and as allowed by the state practice act. The dental assistant can be employed by the dentist or the center.

5. **Dental Hygienist**: Three hours/100 students/week is the minimum required level of dental hygiene coverage by a qualified licensed dental hygienist or dentist. The dental hygienist can be an independent subcontractor, an employee of the dentist, or an employee of the center.

6. **Center Mental Health Consultant (CMHC)**: Nine hours/100 students/week is the minimum required level of mental health coverage by a qualified licensed mental-health professional. Except for emergencies or consults by a psychiatrist, all mental-health clinical services defined as basic health care in Exhibit 2-4 must be provided on center by the CMHC and/or designated intern, extern, or practicum graduate student.

7. **Optometrist**: The center must have a (sub)contract with a licensed optometrist (or ophthalmologist) to provide optometric services.

8. **Reproductive-Health Coordinator**: The center must designate a staff member to coordinate reproductive-health services. The individual who coordinates this activity does not have to be a member of the health and wellness staff. The hours required for this collateral assignment will vary by the needs of the student population. Although no minimum hours are required, the center must provide reproductive-health services as required in Chapter 2, Section 2.3, R7, Family Planning Program.

9. **Trainee Employee Assistance Program (TEAP) Specialist**: Fifteen hours/100 students/week is the minimum required level of TEAP coverage by a qualified TEAP specialist. Of the minimum required coverage per week, 50 percent must be used for a combination of the following activities: prevention and education for students and staff, consultation to center director, CMHC, and other staff, and annual trainings. All TEAP services defined as basic-health services in Exhibit 2-4 must be provided on center by the TEAP Specialist.

10. **Tobacco Use Prevention Program (TUPP) Coordinator**: The center must designate a staff member to coordinate tobacco use prevention program activities. The individual who coordinates this activity does not have to be a member of the health and wellness staff. The hours required for this collateral assignment will vary by the needs of the student population. Although no minimum hours are required, the center must provide tobacco use
prevention/cessation services as required in Chapter 2, Section 2.3, R6, Tobacco Use Prevention Program.

11. **Health-Services Administrator**: In addition to nursing coverage (Item 2 above), a full-time Health-Services Administrator is required for centers with a 700-plus student capacity. This individual does not have to be a nurse.

12. **Laboratory Personnel**: Centers that are certified under the Clinical Laboratory Improvement Act (CLIA) will require qualified laboratory personnel to perform procedures subject to CLIA classification. No minimum requirement is established for this category of staff; however, centers choosing to perform tests under CLIA must adhere to all pertinent staffing requirements.

   **Note**: Nursing staff are responsible for performing routine laboratory screening not subject to CLIA (e.g., dipstick urinalysis, hemoglobin). The number of hours allocated for such activities are included under nursing staff hours, as stated in Item 2 above.

13. **Clerical Staff**: Eight hours/100 students/week is the minimum required level of clerical support staff coverage. Centers with a capacity greater than 1,500 students are not required to have more than 120 hours of clerical support.
<table>
<thead>
<tr>
<th>Position</th>
<th>Hours/100/Students/Week</th>
<th>Center Size</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>200</td>
<td>300</td>
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<tr>
<td><strong>Physician</strong></td>
<td>4</td>
<td>8</td>
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<tr>
<td><strong>Nursing Staff</strong></td>
<td>35</td>
<td>70</td>
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<tr>
<td><strong>Dentist</strong></td>
<td>3</td>
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<tr>
<td><strong>Dental Assistant</strong></td>
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</tr>
<tr>
<td><strong>Dental Hygienist</strong></td>
<td>3</td>
<td>6</td>
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<td><strong>Center Mental Health Consultant</strong></td>
<td>9</td>
<td>18</td>
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<tr>
<td><strong>Optometrist</strong></td>
<td>Subcontract with licensed optometrist required</td>
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<tr>
<td><strong>Reproductive Health Coordinator</strong></td>
<td>Hours dependent on center need</td>
<td></td>
</tr>
<tr>
<td><strong>TEAP Specialist</strong></td>
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<td>30</td>
</tr>
<tr>
<td><strong>TUPP Coordinator</strong></td>
<td>Hours dependent on center need</td>
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<tr>
<td><strong>Health Services Administrator</strong></td>
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<td>0</td>
</tr>
<tr>
<td><strong>Laboratory Personnel</strong></td>
<td>Hours dependent on center need</td>
<td></td>
</tr>
<tr>
<td><strong>Clerical Support</strong></td>
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<td>16</td>
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<tr>
<td><strong>Total Hours</strong></td>
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<td>243</td>
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<tr>
<td><strong>Total FTE</strong></td>
<td>4.05</td>
<td>6.075</td>
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</table>
EXHIBIT 5-7
PROPOSAL OUTLINE FOR ON-SITE CHILD DEVELOPMENT CENTERS AND RESIDENTIAL PARENT/CHILD PROGRAMS

1. The following items must be included in proposals to operate on-site child development centers and residential parent/child programs.

2. Proposals must be submitted to the Regional Office, and if approved, forwarded to the National Director, Job Corps, for approval.

<table>
<thead>
<tr>
<th>Child Development Centers</th>
<th>Residential Parent/Child Programs</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. <strong>Statement of Need</strong>: An estimate of the number of students who need child care, and an explanation of why existing community resources are not adequate to meet students’ needs</td>
<td>Items a – g for child development centers, and</td>
</tr>
<tr>
<td>b. <strong>Program Description</strong>: Program design, including proposed ages and numbers of children to be served; proposed number of staff; and activities, services, and overall design of the child development program to be offered</td>
<td>h. <strong>Living Accommodations</strong>: Description of proposed living arrangements and facilities</td>
</tr>
<tr>
<td>c. <strong>Facility Description</strong>: Proposed facility to be used (or location and space for new construction), space layout, and square footage, including outdoor play areas</td>
<td>i. <strong>Staffing</strong>: Proposed residential staffing</td>
</tr>
<tr>
<td>d. <strong>Facility Condition</strong>: Condition and cost estimate for facility rehabilitation or rough cost estimate for new construction</td>
<td>j. <strong>Support Services</strong>: A description of how meals and health care will be provided for children</td>
</tr>
<tr>
<td>e. <strong>Funding</strong>: The source, type, and projected amount of state, local, and/or other funding or resource availability to support the program</td>
<td>k. <strong>Costs</strong>: The additional costs associated with the residential parent/child program must also be included, as well as non-Job Corps resources to cover costs of food and health care for the children</td>
</tr>
<tr>
<td>f. <strong>Cost Proposal</strong>: In ETA 2110 format, including an estimated breakdown of non-Job Corps funding sources</td>
<td></td>
</tr>
<tr>
<td>g. <strong>Availability of Non-Job Corps Support</strong>: Evidence such as a tentative letter of commitment, draft interagency agreement, etc.</td>
<td></td>
</tr>
</tbody>
</table>
EXHIBIT 5-8
USE OF JOB CORPS FUNDS FOR CHILD DEVELOPMENT CENTERS AND PARENT/CHILD PROGRAMS

1. Job Corps funds for on-center programs shall be used to cover one-time costs for items such as:
   a. Equipment
   b. Facility design
   c. Facility construction/rehab
   d. Start-up operating costs

2. Job Corps funds may be used to support operation child development centers and parent/child programs to cover costs such as:
   a. Maintenance
   b. Utilities

3. Non-Job Corps funds shall be used to support the ongoing operating costs of child development programs and the additional operating costs associated with housing children in residential parent/child programs. Such resources may include:
   a. Head Start
   b. Student child care grants made available under state child care and development block grant programs
   c. Alternative high schools
   d. Student allotments
   e. School lunch programs/child nutrition programs
   f. Supplemental Nutrition Assistance Program (SNAP) (for students in residential parent/child programs)
   g. Foundations
   h. Child welfare organizations
   i. YWCA/YMCA and other community groups
   j. Public programs for child immunizations, vision and hearing screening
   k. Medicaid (for health care for children)
## EXHIBIT 5-9
### CHILD DEVELOPMENT CENTER MINIMUM STAFF QUALIFICATIONS

<table>
<thead>
<tr>
<th>Position</th>
<th>Primary Duties</th>
<th>Minimum Qualifications</th>
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<tbody>
<tr>
<td>Child Development Center (CDC) Director</td>
<td>Provides direction, management, and supervision for the child development center</td>
<td>Bachelor’s degree in early childhood or related field</td>
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<tr>
<td></td>
<td></td>
<td>Minimum two years’ experience supervising CDC classroom activity</td>
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<tr>
<td></td>
<td></td>
<td><strong>OR</strong></td>
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<tr>
<td></td>
<td></td>
<td>AA degree in related field</td>
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<tr>
<td></td>
<td></td>
<td>Minimum three years’ experience supervising CDC classroom activity</td>
</tr>
<tr>
<td>CDC Lead Teacher</td>
<td>Implements developmentally appropriate program of activities based on age group</td>
<td>Bachelor’s degree in early childhood or related field</td>
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<tr>
<td></td>
<td></td>
<td>Minimum one year experience</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>OR</strong></td>
</tr>
<tr>
<td></td>
<td></td>
<td>AA degree in related field</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Minimum two years’ experience</td>
</tr>
<tr>
<td>CDC Assistant Teacher/Caregiver</td>
<td>Assists lead teacher in all aspects of care and development of children assigned</td>
<td>CDA credential, high school diploma, or equivalent</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>OR</strong></td>
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<tr>
<td></td>
<td></td>
<td>Minimum one year’s experience working with young children</td>
</tr>
<tr>
<td>CDC Teachers/Child Care Aide</td>
<td>Assists teacher/primary caregiver in care of children</td>
<td>High school diploma or equivalent</td>
</tr>
</tbody>
</table>
Child Development Center Facilities

To ensure that child development centers provide safe, clean, and well-maintained facilities, which are adequately furnished and equipped to meet the needs of students and their children, the following standards are established.

Child Development Centers (CDC) shall offer the following facilities:

1. Infant care area and crib space (if infants are enrolled).

2. Diaper changing area positioned to allow staff to maintain visual contact with the entire group at all times.

3. Activity rooms: space appropriate for each age group. Not less than 35 net square feet of usable floor space per child shall be provided in activity rooms used for care. Usable floor space does not include permanently fixed storage, hallways, kitchen, dining room, bathrooms, diaper changing areas, or administrative or support spaces.

4. Restrooms/toilet areas, with pediatric size fixtures, and handwashing facilities in infant areas and in diaper changing areas.

5. An isolation room for children who become ill (if a separate room is not available, a sick child may be kept in an office or other supervised space away from areas used by other children).

6. A kitchen (size and equipment should be determined by the size of the program and plans for meal preparation).

7. Laundry facilities.

8. Office space for administrative staff.

9. Space for storing:
   a. Food items
   b. Medications
   c. Consumable supplies
   d. Program materials
   e. Cribs/cots
   f. Toys/games
   g. Children’s and staff’s belongings
10. Fenced-in outdoor play areas adjacent to the CDC that meet state licensing requirements and include:
   a. Safe and suitable play surfaces
   b. Playground equipment appropriate for size, age, and developmental levels of children
   c. Outdoor storage areas for large toys

**Residential Parent/Child Program Facilities**

To ensure that residential parent/child programs provide safe, clean, and well-maintained facilities which support family development and are adequately furnished and equipped to meet the needs of students and their children, the following standards are established.

Residential parent/child programs shall provide facilities that include the following:

1. Adequate sleeping and restroom areas for each parent and his or her child(ren)
2. Indoor play areas for children where they can be observed by parents
3. Quiet lounge areas for parents and children
4. Laundry facilities
5. Kitchen facilities (at a minimum dormitories must have mini-kitchens, with refrigerator and microwave and sink, for use by parents in preparation of snacks and meals for children, storage of medicine, infants’ milks and food)
6. Storage areas for cribs, toys, juvenile beds, etc.

**Maintenance and Care of Facilities**

Operators of child development centers and residential parent/child programs shall ensure that:

1. Facilities are clean, orderly, and well maintained.
2. Equipment is properly installed and maintained.
3. Routine and preventive maintenance is provided to ensure that all facilities and equipment are operable.
APPENDIX 501
POLICIES AND PROCEDURES FOR JOB CORPS’
PROGRAM YEAR (PY) 2019
PERFORMANCE MANAGEMENT SYSTEM
INTRODUCTION

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Attachments
A. General

Job Corps has established a comprehensive performance management system to assess program effectiveness across multiple components of services and programs offered to Job Corps students. This system evaluates Outreach and Admissions (OA) contractors, Job Corps Center operators, Career Transition Services (CTS) contractors, and Career Technical Training (CTT) programs based on the outcomes of program participants. The performance management system serves three primary purposes:

1) To meet accountability requirements for establishing performance measures (also known as metrics) and reporting student outcomes for the Job Corps system under the program’s authorizing legislation (Workforce Innovation and Opportunity Act [WIOA] [https://www.congress.gov/113/bills/hr803/BILLS-113hr803enr.pdf]), and U.S. Department of Labor (DOL) priorities.

2) To assess centers’ and contractors’ accomplishments in meeting the level of performance expected by the Department of Labor, implementing program priorities and serving students effectively.

3) To have a Federal management tool that focuses on useful and relevant feedback on performance, while holding all centers, OA and CTS contractors accountable for continuous program improvement.

Job Corps has an interwoven set of performance metrics that meet multiple reporting requirements. The system is designed to answer four basic questions:

1) Are Job Corps students effectively recruited and retained in the program?

2) While enrolled in Job Corps, do students achieve fundamental qualifications and credentials that could lead to either a career path with opportunity for advancement and economic stability or higher education?

3) Are Job Corps students successfully transitioning into the workforce, enrolling in additional education or training, or entering the military after they leave the program?

4) How is each Job Corps contractor (Center, OA, and CTS) performing compared to the established annual performance goals and other contractors?

In short, Job Corps’ performance management system conveys the program’s effectiveness in executing the program’s mission. Additionally, the system meets the intent of Job Corps’ authorizing legislation and other reporting requirements.

Job Corps’ performance management system is comprised of four Outcome Measurement System (OMS) Report Cards, each of which is designed to reflect results in a specific area of student services and represents a discrete pool of students. At the same time, the
system is designed with intentional overlap of performance metrics across multiple Report Cards to represent a comprehensive picture of performance throughout all phases of students’ Job Corps experience. This overlap encourages collaboration across service contractors, as they strive to meet clearly defined program goals. The four Report Cards are:

- Outreach and Admissions Report Card (OAOMS)
- Center Report Card (Center OMS)
- Career Transition Services Report Card (POMS)
- Career Technical Training Report Card (CTT)

In addition to the above quantitative components of the performance management system, Job Corps uses a Center Quality Assessment process to provide a more comprehensive view of the program’s performance. This Center Quality Assessment has three components: an On-Board Strength (OBS) rating, a Quality Rating (QR), and a Student Satisfaction Survey (SSS) rating. More information regarding the quality assessment of centers can be found in Appendix 501a.

In PY 2016, Job Corps implemented the Performance Improvement Plan (PIP) System as an accountability and management tool to assist operators in improving their performance when it has not met the levels expected by the Office of Job Corps. Details on the PIP System can be found in Appendix 501e.

B. Background

Annual Revision of the Performance Management System

The Office of Job Corps annually reviews and revises its performance management system so it continues to support program goals and DOL priorities and meet Job Corps’ legislative reporting requirements. Over the years, Job Corps has made changes to its performance management system that reflect programmatic changes, account for current labor market conditions, and ensure effective delivery of services to students, while keeping the system relatively stable.

Job Corps’ performance management system has served the program well in focusing on key metrics, improving performance on measured outcomes, and meeting program management requirements. To further align with WIOA reporting requirements and strengthen focus on driving students’ long-term career success and upward economic mobility, Job Corps undertook a major reform initiative of its performance management system for PY 2018. The goals of the reform initiative were:

- Further align with and support reporting requirements of the primary WIOA measures;
- Simplify the system so it is easier for stakeholders to understand;
- Prioritize post-center outcomes that are central to Job Corps’ mission;
• Improve internal consistency of the OMS so that high overall performers also rank relatively high on key individual measures; and,
• Continue to meet program management needs to effectively oversee the program.

To meet these goals, Job Corps developed a streamlined performance management system with a reduced number of measures that are more closely aligned with the primary measures reported under WIOA and places more emphasis on measures that support Job Corps’ mission of placing students in meaningful jobs or further education. Job Corps has planned a two-year transition for meeting this goal, culminating in increased weights on long-term placement and wage measures for PY 2019. (Please see Attachment 1 of Appendix 501 Introduction for more information on the primary measures required under WIOA.)

**Workforce Innovation Opportunity Act (WIOA) Reporting Requirements**

The Workforce Innovation and Opportunity Act (WIOA) [https://www.congress.gov/113/bills/hr803/BILLS-113hr803enr.pdf](https://www.congress.gov/113/bills/hr803/BILLS-113hr803enr.pdf), requires Job Corps to report on the six primary indicators of performance, common to all WIOA programs, that provide key outcome information. This includes how many students attained employment or were placed in education or training, their median wages, whether they attained credentials, their measurable skills gains during training, and the effectiveness of the program in serving employers. Please see Attachment 1 of Appendix 501 Introduction for more details on the WIOA Reporting System, the definitions of the pools and credits for the six primary WIOA measures, and an overview of how the six primary measures differ from the way Job Corps has traditionally reported similar program outcomes under prior legislative reporting requirements and through OMS.

To collect the data necessary to report on the primary WIOA measures, in PY 2016 Job Corps replaced its post-placement surveys (13-week reverification and the 6- and 12-month follow up surveys for graduates) with two new surveys. The new surveys re-verify CTS placements and capture placement outcomes in the second quarter (Quarter 2) and fourth quarter (Quarter 4) after exit for a broader group of students. Job Corps implemented these post-separation surveys using a program-specific definition of the time period constituting the second quarter after exit and the fourth quarter after exit. Under this definition, Quarter 2 is the second three-month period and Quarter 4 is the fourth three-month period immediately following the student’s exit date. These Quarter 2 and Quarter 4 definitions, based on individual students’ exit date, were utilized in all surveys completed during PYs 2016 and 2017.

Beginning in PY 2018, Job Corps adopted the definition used by other DOL programs to define Quarter 2 as the second calendar quarter after the exit quarter, and Quarter 4 as the fourth calendar quarter after the exit quarter. For example, if the participant’s exit date is between January 1st and March 31st, the second quarter after exit would be July 1st through September 30th.

As described in Job Corps Data Center (JCDC) Notice 17-207, Job Corps effected a
gradual transition to the new Quarter 2 and Quarter 4 timeframes over the last two months of PY 2017. All surveys conducted as of July 1, 2018 use the new definitions of Quarter 2 and Quarter 4.

The implementation of WIOA requires Job Corps to also collect and report annually on the program performance and accountability measures outlined in Sections 116 and 159 of the authorizing legislation. The Job Corps WIOA Annual Report, with outcomes by center, CTS contractor, OA contractor and nationally, will be made available to Congress and the Office of Management and Budget (OMB).

National targets will not be set for the WIOA measures until sufficient annual data has been collected and any inconsistencies in the data collection have been resolved. Until the new WIOA performance system has been fully implemented, stabilized, and targets have been set, Job Corps will continue to use OMS reports for decision making in the areas of contract and option year awards, past effectiveness scores, incentive fees, performance assessments, and Performance Improvement Plan (PIP) placement and graduation evaluations.

C. Approach

For PY 2018, Job Corps undertook a major initiative to reform its performance management system. Job Corps conducted an extensive review of WIOA reporting requirements, program management needs, and lessons learned over the past 30 years to determine the essential elements of a reformed system. Based upon the identified needs, Job Corps developed a prototype system for PY 2018 intended to meet each of the reform goals. This prototype was refined, and goals and weights assigned based upon extensive analysis of historical and more recent data. The Secretary’s Office and ETA reviewed and approved the new design, which was implemented in PY 2018. For PY 2019, Job Corps is maintaining this new design, including the planned Center Report Card weight changes. Given the transition to the Test of Adult Basic Education (TABE) 11/12 being implemented in PY 2019, Job Corps modified the learning gains indicators under the Measurable Skills Gains measure. The specific changes made to each of the four report cards and to associated policies can be found in Sections E and F below.

D. Design of the PY 2019 Performance Management System

Job Corps has made changes to the PY 2019 performance management system from PY 2018. These changes affect only the Center Report Card and involve a shift in measure weights as announced for PY 2019 in the PY 2018 Appendix 501, modifications to the two learning gain indicators under the Measurable Skills Gains measure in light of the transition to the new TABE 11/12, and the addition of the new, unweighted measure of Employer Retention to further align with WIOA reporting requirements.

The design of the performance management system for PY 2019 is as follows:

1. Core Components
The Appendix 501 Introduction provides readers with an overall understanding of the performance management system. It includes an overview of the components and provides background information that applies to multiple report cards, such as basic definitions of student status, Zero Tolerance (ZT) policies and administrative status issues. The Appendix 501 Introduction also includes additional policies that apply to multiple report cards, such as the grace period for new centers, transfers to Advanced Training (AT) programs, placement upgrades, the Job Training Match (JTM) Crosswalk policy, and, the post-separation surveys.

The Appendix 501 Introduction includes the four OMS Report Cards for the program year and all attachments.

Following the Appendix 501 Introduction are five sections:

- Appendix 501a – Center Report Card
- Appendix 501b – Outreach and Admissions Report Card
- Appendix 501c – Career Transition Services Report Card
- Appendix 501d – Career Technical Training Report Card
- Appendix 501e – Performance Improvement Plan System

Each OMS Report Card consists of four basic components: results-oriented measures, goals, weights, and ratings, including an overall rating, described as follows:

- **Performance measures** (also known as metrics) are the categories of outcomes under evaluation that reflect the program priorities and objectives important to Job Corps’ mission and also align or support legislative reporting requirements.
  - Job Corps has used performance measures composed of a single indicator. For example, the PY 2019 Placement Rate measure is calculated based upon the number of graduates and former enrollees in the pool that entered a placement during the reporting period.
  - Beginning in PY 2018, Job Corps began using composite measures. These measures are calculated based upon the weighted performance of two or more indicators against their goals that produces a performance rating in the Center and CTS Report Cards. The term “indicator” is used to distinguish between the main measures and a metric that is used, in combination with other metrics, to calculate a composite measure. Goals for composite measures are set to 100%, indicating that the goal for the composite measure is to meet the individual goals for each of the indicators that combine to make up the measure.

- **Performance goals** are quantitative targets for each measure that are set to establish an expected level of performance. Goals are established to promote high expectations for student and operator/contractor
Performance.

- Relative weights are assigned to performance measures to indicate areas of emphasis among responsibilities for serving students. Each weight is expressed as a percentage with the sum of all weights in a Report Card totaling 100%.
- The rating is the performance (actual percent of goal achieved) on each measure, expressed as a percentage. The overall rating is the weighted aggregate of all individual performance measure ratings expressed as a percentage.

In addition to the OMS Report Cards, the performance management system contains a qualitative component to provide a more comprehensive review of performance. The Center Quality Assessment is composed of three discrete measures: On-Board Strength (OBS), which measures student capacity utilization on Job Corps centers; the Quality Rating (QR), which is issued during Regional Office Compliance Assessments (ROCA) of OA, center, and CTS contracts; and the Student Satisfaction Survey (SSS), which gauges students’ perception of center safety and security and overall satisfaction with the Job Corps program. Each of these elements is independent of the others and there is no aggregation of results across the measures.

These three measures are valuable management tools that complement the other systems by assessing the quality of services provided by Job Corps and capturing information on aspects of center life that are not reflected in the other management systems.

2. Performance Goals

As stated above, performance goals are the quantitative targets for each measure and indicator of the four Report Cards. Each outcome measure (and each indicator) is scored against its performance goal to report a percentage of the goal achieved.

*Example:* If the goal for the Placement Rate in the Center Report Card is 83%, and a center has Placement Rate of 70%, then its rating on that measure would be 84.3%, meaning that the center has reached 84.3% of the goal (70/83 = 84.3). The rating indicates there is room to grow in achieving the goal.

Performance goals may be national or model-based, as follows:

(a) National Goals: National goals are set and are generally applied to centers, OA, CTS, and CTT contractors equally for the same measure to maintain internal consistency and equitability. Where performance can reasonably be expected to be different for the same measure across report cards (e.g., due to differences in student pools), different national goals may be established.
(b) **Model-Based Goals:** Model-based goals are used for specific measures and indicators that require adjustments to ensure equity in making comparisons of performance across centers and contractors. Model-based goals are statistically adjusted for circumstances that are beyond the operator’s control and help to level the playing field in assessing performance. A model is calculated, based on regression analysis, by estimating the effect of various factors on the achievement of the measure using a regression model. The cumulative effect of these factors provides the amount by which the national goal should be adjusted (upward or downward) for each center and CTS contractor (the OA Report Card has only national goals). The model-based goals for one center can significantly differ from those of other centers in the same state or region, as the goals are calculated using a combination of center-specific and local county economic data.

For PY 2019, the model-based goals for the HSD/HSE Attainment Rate incorporates results of the 2019 OMS Factor Verification Survey. The model-based goals for the Average Hourly Wage at Placement indicator are based on student characteristics and local economic factors. Center-specific model-based goals are applied to the CTT report card for the average hourly wage measures and the average earnings measure in Quarter 2 after exit quarter.

For PY 2019, center- and CTS contractor-specific models are estimated for the Graduate and Former Enrollee Average Earnings in Quarter 2 measure based upon data from the Quarter 2 survey.

For the CTT Report Card, the center-specific goal adjustments will be applied to the national goal of $5,700 for the CTTCompleter Average Earnings in the Quarter 2 measure.

For PY 2019, Job Corps will not be applying model-based goals for the learning indicators under the Measurable Skills Gains Rating measure, as data are not available for TABE 11/12 outcomes for use in developing appropriate models. Model-based goals for these two indicators will be reintroduced in PY 2020 based upon the data collected for TABE 11/12 gains during PY 2019.

(1) **PY 2019 Model-Based Goals.** The following measures and indicators in the Center, CTS and/or CTT Report Cards, use model-based goals, as applicable:

- Credential Attainment Rating Measure
- HSD or HSE Attainment Rate
- Graduate and Former Enrollee Average Hourly Wage at
Placement
- JTM Average Hourly Wage
- Graduate and Former Enrollee Average Earnings in Quarter 2 after Exit Quarter
- CTT Completer Average Earnings in Quarter 2 after Exit Quarter

Model-based goals for all Center, CTS and CTT measures can be found in Appendix 501 Introduction, Attachments 9, 10, and 11, respectively.

(2) Appeal Process for Model-Based Goals: Model-based goals are developed using the most recent data available. As a result, the goals are meant to accurately represent the factors beyond the control of a center or CTS contractor that impact the achievement of the specific measure. An appeal of a model-based goal may be filed only if there are new or extenuating circumstances that cannot be resolved during the program year, and that have not already been factored into the model.

NOTE: For PY 2019, appeals can be filed and will be processed for the model-based goals for all the applicable measures and indicators. If approved, the changes will be applied to both the Center Report Card and the related measures/indicators on the CTS and CTT Report Cards as applicable.

Appeals for model-based goals must include, (a) a written request outlining the justification for the appeal, and, (b) supporting data and/or official documentation supporting the appeal. The Office of Job Corps will review the request and documentation and determine if the appeal will be granted.

Appeals for model-based goals are to be submitted no later than October 31, 2019. The written justification and supporting documentation can be scanned and emailed to:

Modelbasedgoalsappeals@dol.gov

3. Weights, Performance Ratings and Overall Rating

Weights are assigned to each measure of the four Report Cards to underscore the relative importance in accountability for achieving student outcomes. Each weight is expressed as a percentage with the sum of all weights in a Report Card totaling 100%. A weighted performance rating for a measure is the actual percent of goal achieved (the performance rating calculated by dividing actual performance by the goal) multiplied by the measure weight.
Results across each of the weighted measures are aggregated to create an overall rating. These ratings are reviewed to assess program effectiveness and play a key role in the procurement process. Overall ratings are also used to determine the performance ranges for performance-based service contracting.

Similarly, composite measures are comprised of indicators that are assigned weights that collectively sum to 100%. The performance rating for a composite measure is the sum of the weighted performance rating of each of the individual indicators. The table provided below is an illustration of how the performance rating of a composite measure is calculated.

**EXAMPLE: CALCULATING PERFORMANCE RATING OF A COMPOSITE MEASURE**

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Actual Performance</th>
<th>Indicator Goal</th>
<th>Performance Rating¹</th>
<th>Indicator Relative Weight</th>
<th>Weighted Performance Rating²</th>
</tr>
</thead>
<tbody>
<tr>
<td>Indicator 1</td>
<td>68.2%</td>
<td>65%</td>
<td>104.9%</td>
<td>37.5%</td>
<td>39.3%</td>
</tr>
<tr>
<td>Indicator 2</td>
<td>66.0%</td>
<td>70%</td>
<td>94.3%</td>
<td>25.0%</td>
<td>23.6%</td>
</tr>
<tr>
<td>Indicator 3</td>
<td>92.3%</td>
<td>90%</td>
<td>102.6%</td>
<td>25.0%</td>
<td>25.6%</td>
</tr>
<tr>
<td>Indicator 4</td>
<td>57.5%</td>
<td>60%</td>
<td>95.8%</td>
<td>12.5%</td>
<td>12.0%</td>
</tr>
</tbody>
</table>

**Composite Measure Performance Rating³**

100.5%

To calculate the performance rating for a composite measure:

1. Calculate the performance rating of each indicator by dividing the actual performance on the indicator by the indicator goal.
2. Calculate the weighted performance of each indicator by multiplying the performance rating by the indicator’s relative weight.
3. Sum the weighted performance ratings of the four indicators to produce the overall rating for the credential attainment measure.

The overall rating for a Report Card is then calculated by summing the weighted performance ratings of all weighted single and composite measures. The table below illustrates how the overall rating is calculated.

**SAMPLE OMS REPORT CARD**

<table>
<thead>
<tr>
<th>Measure</th>
<th>Actual Performance</th>
<th>Measure Goal</th>
<th>Performance Rating¹</th>
<th>Measure Weight</th>
<th>Weighted Performance Rating²</th>
</tr>
</thead>
<tbody>
<tr>
<td>Single Measure 1</td>
<td>79%</td>
<td>75%</td>
<td>105.3%</td>
<td>40%</td>
<td>42.1%</td>
</tr>
<tr>
<td>Single Measure 2</td>
<td>54%</td>
<td>60%</td>
<td>90.0%</td>
<td>25%</td>
<td>22.5%</td>
</tr>
<tr>
<td>Composite Measure 3</td>
<td>90%</td>
<td>100%</td>
<td>90.0%</td>
<td>35%</td>
<td>31.5%</td>
</tr>
</tbody>
</table>

**Overall Report Card Rating³**

96.1%

To calculate the Overall Report Card Rating:

1. Calculate the performance rating of each measure by dividing the actual performance on the measure by the measure goal (actual performance/Goal).
2. Calculate the weighted performance of each measure by multiplying the performance rating by the measure’s weight (Performance Rating x Weight).
3. Sum the weighted performance ratings of all the measures to produce the Overall Report Card Rating.
4. **Job Corps Students in the Performance Management System**

Provided below is a description of the pool of students included in and excluded from the performance management system:

(a) **Definitions of Student Separation Status:** The criteria for graduate, former enrollee, and uncommitted student status, as defined in the Job Corps Policy and Requirements Handbook (PRH), Chapter 4, Section 4.1, apply to the Performance Management System.

1. **Graduate:** One who has completed 60 or more calendar days of enrollment and has completed the requirements of CTT, or earned a HSD or HSE, or who completes both, while enrolled in Job Corps. Students who have exited for any Level 1 Zero Tolerance (ZT) infraction, at any time, do not qualify as graduates.

2. **Former Enrollee:** One who has completed 60 or more calendar days of enrollment, has not attained graduate status, and whose exit is for reasons other than any Level 1 ZT infraction.

3. **Uncommitted Student:** One who has remained in Job Corps less than 60 calendar days (regardless of achievement), or who has separated for a Level 1 ZT infraction at any time.

(b) **Zero Tolerance (ZT) for Purposes of Exclusion in OMS Pools:** The Job Corps program has a strict policy of Zero Tolerance (ZT) for drugs, alcohol, weapons possession, and violence, and requires that all students sign a commitment to remain drug- and violence-free. Students who violate Job Corps’ ZT Policy are automatically separated from the program and generally not allowed to re-enter the program. Exceptions can be found in Exhibit 2-1.

For the Center and CTT Report Cards, students who exit due to Level 1 ZT infractions within 30/45 days are not included in the pools and credits for the “direct center services” measures. Specifically, the pools for the on-center measures do not include students who remained in Job Corps:

- less than 30 days and exit under codes 5.1a or 5.2b;
- less than 30 days and exit under code 5.3c for an infraction that is categorized as alcohol abuse or alcohol possession, consumption or distribution while on center or under center supervision; or,
- less than 45 days and exit under code 5.2a.

Students who exit due to Level 1 ZT infractions after 30/45 days, however, are included in all pools of the direct center services measures,
and credit will be given for attainments earned prior to exit.

Since all students who exit due to Level 1 ZT infractions, regardless of timing (within or after 30/45 days), are not considered former enrollees or graduates, they are ineligible for post-center services, and are, therefore, excluded from all post-center pools in the Center, CTS, and CTT Report Cards.

The list of Level 1 ZT infractions can be found in the PRH, Chapter 2, Exhibit 2-1 (Infraction Levels and Appropriate Center Actions).

(c) **Students Who Are Medical Separations With Reinstatement Rights (MSWR).** Per the PRH, Chapter 6, Section 6.2, R6.a, students who are medically separated with reinstatement rights are allowed an expedited return within 180 days if the medical condition has been resolved.

The JCDC will report the student outcomes only at the time of final separation. Thus, OMS outcomes for an MSWR student will be recorded either at 180 days after MSWR, if the student does not return to the program, or at final separation, if the student resumes participation in the program.

The following summarizes how specific instances are recorded in the OMS:

1. **Student is reinstated back to the Job Corps center before the 180 days ends:** Student outcomes will be reported at the time of final separation.

2. **Student did not return to the Job Corps center within the 180 days:** In this case, at the end of the 180 days, the system will automatically separate the student under the “MSWR Final Closeout” (MSFC) status using the 180th day as the date of MSFC separation. At that time, the system will determine the student’s separation status and placement services eligibility status. If the student is eligible for placement services, he or she will be active for CTS contractors to enter placement data in CTS. The placement service period will start at the 181st day and the Quarter 2 and Quarter 4 survey timeframes will be based on the student’s MSFC date.

3. **Student notifies the center before the 180 days end that he or she does not intend to return to the Job Corps center:** In this case, the center will perform MSFC in the Center Information System (CIS). The MSFC date will be the date the student officially notified the center. At that time, the system will
determine the student’s separation status and placement service eligibility status. If the student is eligible for placement services, he or she will be active in the CTS system for CTS contractors to enter placement data. The Quarter 2 and Quarter 4 survey timeframes will be based on the student’s MSFC date.

(d) Students Who Are Administrative Separations with Reinstatement Rights (ASWR): Per the PRH, Chapter 6, Section 6.2, R6.a, administrative separations with reinstatement rights are allowed an expedited return within 12 months.

JCDC will report the student outcomes only at the time of final separation. Thus, OMS outcomes for an ASWR student will be recorded either at 12 months after ASWR if the student does not return to the program, or at final separation if the student resumes participation in the program.

The following summarizes how specific circumstances are recorded in the OMS:

(1) Student is reinstated back to the Job Corps center before the 12 months end: Student outcomes will be reported at the time of final separation.

(2) Student did not return to the Job Corps center within the 12 months: In this case, at the end of the 12 months, the system will automatically separate the student under the “ASWR Final Close-Out” (ASFC) status using the 365th day as the date of ASFC separation. At that time, the system will determine the student’s separation status and placement services eligibility status. If the student is eligible for placement services, he or she will be active for CTS contractors to enter placement data in CTS. The placement service period will start at the 366th day, and the Quarter 2 and Quarter 4 survey time frames will be based on the student’s ASFC date.

(3) Student notifies the center before the end of the 12 months that he or she does not intend to return to the Job Corps center: In this case, the center will separate the student under the ASFC status in CIS. The “close-out” date will be the date the student officially notified the center. At that time, the system will determine the student’s separation status and placement services eligibility status. If the student is eligible for placement services, he or she will be active in the CTS system for CTS contractors to enter placement data. The Quarter 2 and Quarter 4 survey time frames will be based on the student’s ASFC date.
(e) **Deceased Students:** In the event of a student’s death while enrolled in Job Corps, the student will be removed from all Center, CTS, and CTT Report Card outcome pools. The student will also be removed from all OA Report Card outcome pools with the exception of the pools of the Total Arrivals and, where appropriate, Female Arrivals measures.

In the event of death after separation and during the eligible placement window, the CTS contractor should enter the student’s death into the CTS system, and the student will be removed from all CTS placement measure pools and, when appropriate, from the Quarter 2 and Quarter 4 survey queues. Please note that center and CTS contractor staff must adhere to Job Corps policy and Federal reporting requirements regarding student deaths.

5. **Reporting Timeframes and Formats of Performance Reports**

Job Corps will continue to produce OMS Report Cards for two distinct reporting timeframes:

(a) **Rolling 12-Month Report:** The rolling 12-month report covers a 12-month reporting period and “rolls over” each month to a new 12-month period. This report is continuous and does not begin anew at the start of a new program year.

Data will continue to be reported using the 12-month rolling format when a new contractor takes over the operation of an existing center. New centers, however, will begin with one month of data and will build up to a rolling report by the 13th month.

In PY 2019, Job Corps will resume the production of the rolling 12-month OMS reports since the major changes implemented in PY 2018 have accumulated one full year’s data.

(b) **Program Year (PY) Cumulative Report:** The PY cumulative report begins with data for the first month of the PY (July 1) and continues to incorporate monthly data as the PY progresses, culminating with 12 months of data by June 30 of the following year. This report does begin anew at the start of a new program year.

Job Corps will also continue to produce Report Cards using two formats:

(a) **Performance Rating Report:** The performance rating report provides for each center, region and nationally, the actual performance on each measure, the performance rating (calculated by dividing actual performance by the measure goal), and the overall rating calculated by summing the weighted performance rating for each measure.
(b) **Performance Ranking Report:** The performance ranking report ranks centers, OA and CTS contractors in order of highest to lowest based upon their overall rating, and also includes their performance rating and the ranking for each measure. There is no ranking report for the CTT Report Card.

For PY 2019, Job Corps will continue producing a supplemental report for the Center and CTS Report Cards that provides information on the performance of each center and CTS contractor, respectively, on the composite measures and their indicators. These reports are intended for use by centers and CTS contractors to monitor attainments across all the indicators credited under a composite measure. These reports will be published according to the same schedule and using the same timeframes as the Center and CTS Report Cards.

6. **Performance Improvement Plan (PIP)**

   In PY 2016, Job Corps implemented the Performance Improvement Plan (PIP). The PIP is required by WIOA as part of DOL’s oversight responsibilities. The PIP system is a major performance accountability and management tool to assist operators whose performance is not at the level expected by the Office of Job Corps to improve their performance. Please see Appendix 501e for details.

7. **Effective Date**

   Data reporting under the PY 2019 system begins on July 1, 2019.

E. **Changes to the Outcome Measurement System Report Cards for PY 2019**

   **Center Report Card:**

   For PY 2019, Job Corps has made changes to the Center Report Card. The changes include a shift in the weighting scheme for PY 2019 presented in the PY 2018 Appendix 501, adjustments to the Average Literacy and Average Numeracy Gain indicators to account for transition to the new TABE 11/12 in effect as of July 1, 2019, and the addition of a new, unweighted measure of Employer Retention to further align with the WIOA performance measures.

   As indicated in the PY 2018 Appendix 501, Job Corps has shifted weights for the PY 2019 Center Report Card to place greater emphasis on the Quarter 2 and Quarter 4 measures. Weight on the Credential Attainment Rating measure is decreased from 30% to 15% to reduce the overall weight on Direct Center Services from 35% to 20%. Weights for the Quarter 2 and Quarter 4 Placement measures have increased from 13.75% to 20% each in PY 2019. Similarly, the weight for the Quarter 2 Earnings measure has increased from 7.5% and 10% in PY 2019, shifting the weight of the Q2/Q4 Placement Outcomes from 35% in PY 2018 to 50%. These increases support WIOA’s emphasis on long-term outcomes and ensure better internal consistency throughout the system so that overall
high performance includes high performance on these key metrics.

In addition to changes in the weighting scheme, Job Corps revised the two learning gains indicators to adjust for the transition to the new TABE 11/12 that is in effect as of July 1, 2019. The resulting TABE 11/12 scale scores are only translated to Educational Functioning Levels (EFLs) – not Grade Level Equivalents (GLEs) as available under the TABE 9/10 test. Furthermore, the criteria for determining if a student is “basic skills deficient” based upon initial TABE results has been revised for PY 2019. These two factors required Job Corps to modify the algorithms for calculating the Average Reading Gains and Average Math Gains indicators under the Measurable Skill Gains measure. For PY 2019, gains are calculated using EFLs instead of GLEs, and a national goal of 1 EFL will be used for all centers. Model-based goals will not be calculated for centers for PY 2019 due to the lack of TABE 11/12 test data to develop appropriate models. Models will be calculated for PY 2020 based upon the data collected in PY 2019. The change in the benchmark for determining “basic skills deficient” has also required Job Corps to modify the criteria for inclusion in the OMS learning gains pools. Students who score EFL 4 or below on the initial reading TABE 11/12 test and EFL 5 or below on the initial math TABE 11/12 test will respectively be included in the Average Literacy Gains and Average Numeracy Gains pools. Similarly, students taking the initial TABE Espanol tests beginning in PY 2019 will be in the appropriate learning gains pools if they score at or below EFL 4 on the reading or math tests.

In light of the scale of the modifications to the new learning gain indicators, Job Corps has implemented certain accommodations for crediting students who were on center prior to PY 2019 and who separate from Job Corps on or after July 1, 2019. Specifically:

- **Students who took a valid initial TABE 9/10 test or TABE Espanol test prior to July 1, 2019 and tested out of the Average Reading Gain and/or Average Math Gain OMS pools based upon the criteria in place at the time of testing (e.g., scored above 552 or 551 respectively on the TABE 9/10 initial reading or math tests, or above 751 or 764 respectively on the TABE Espanol initial reading or math tests), will not be included in the PY 2019 pools for the respective indicators.**

- **Students whose valid initial TABE 9/10 tests placed them in the OMS learning gain pools (e.g., scored at or below 552 or 551 respectively on the TABE 9/10 initial reading or math tests) but who scored 567 or above on a TABE 9/10 reading test, form M or D, and 566 or above on a TABE 9/10 math test, form M or D prior to July 1, 2019 will be included in the PY 2019 pools for the respective indicators, and will receive a credit for 1 or more EFL gains as applicable.**

- **Students who scored at or below 552 or 551 respectively on a valid TABE 9/10 initial reading or math test, but did not achieve scores of 567 or 566 or above on a follow-up TABE 9/10 (form M or D) reading or math test respectively are required to take an initial TABE 11/12 test as of July 1, 2019 as stipulated in Program Instruction Notice (PIN) 18-06, dated June 6, 2019. These students will be included in the PY 2019 OMS learning gains pools unless their initial TABE 11/12 test results are above EFL 4 for reading or EFL 5 for math. EFL gains for these students will be calculated as the higher of either their TABE 9/10 EFL gains (based upon their valid initial and follow up TABE 9/10 tests taken prior to July 1, 2019) or TABE 11/12 EFL gains (based
upon their valid initial and follow up TABE 11/12 tests taken on or after July 1, 2019), as long as an initial TABE 11/12 test is taken within the timeframes stipulated in PIN 18-06. If a student does not take the initial TABE 11/12 test, then the student is in the PY 2019 OMS pools but is not credited with any gains. NOTE: TABE 9/10 and TABE 11/12 EFL gains cannot be combined, therefore only the highest EFL gains from either the 9/10 or 11/12 versions will be credited.

- Students who did not take a valid TABE 9/10 initial reading and/or math test within the allotted 21-days are required to take an initial TABE 11/12 test as of July 1, 2019. These students will be included in the PY 2019 OMS learning gains pools unless their initial TABE 11/12 test results are above EFL 4 for reading or EFL 5 for math. EFL gains will be calculated solely on TABE 11/12 results, as long as an initial TABE 11/12 test is taken within the timeframes stipulated in PIN 18-06.

For PY 2019 Job Corps is introducing a new measure of Employer Retention for informational purposes only. This measure aligns with Measure 6 of the WIOA performance measures that reports on the proportion of students in a job during Quarter 2 after the exit quarter that are still with the same employer in Quarter 4 after the exit quarter. Based upon analysis of available data, a goal of 60% has been set for this measure for PY 2019.

**CTS, CTT and OA Report Cards**

For PY 2019, Job Corps made no changes to the measures, goals and weights of the CTS, CTT and OA Report Cards.

**F. Additional Policies**

Provided below are additional policies in effect for PY 2019:

1. **Grace Period for New Job Corps Centers**

   Prior to PY 2007, new centers were granted a one-year grace period during which they were not held accountable to the same degree as more established centers for outcomes and performance results. Effective PY 2007, the grace period for newly opened centers was increased from one year to two years, primarily to allow sufficient time for students to enroll and progress through all stages of Job Corps services, and to have student data populate in all performance pools. The grace period was extended to two years based upon the Average Length of Stay (ALOS) for all students and graduates, as well as time required for students to populate the longer-term placement pools. The two-year grace period is calculated from the start date of the contract, not when the center enrolls its first student. Performance outcome data will still be captured in the CIS as students enter and separate, but the center will not be held accountable for purposes of OMS.
2. Policy for Crediting Transfers to Advanced Training (AT) Programs

Job Corps offers both basic and advanced CTT programs. CTT completers are encouraged to enroll in a Job Corps Advanced Training (AT) program to earn additional and higher-level industry-recognized credentials and enhance their employability. The National Office has approved a variety of AT programs at certain Job Corps centers. Students enrolled in AT programs have the opportunity to extend their enrollment up to one full year beyond the current two-year enrollment limitation. All students who are placed in an approved AT program must meet the AT program’s specific eligibility criteria. Job Corps’ policy for crediting centers in situations where CTT completers are transferred to AT programs is outlined below. NOTE: This policy does not apply to Advanced Career Training (ACT) transfers.

(a) General: The crediting policy for AT transfers applies solely to those cases where a student physically transfers to a different center to enroll in that center’s AT program. The underlying philosophy behind the policy for AT credit is that sending and receiving centers should be held accountable for the specific outcome measures and accomplishments that are earned while the students are at their respective centers.

All placement accomplishments are credited to both the sending and the AT centers. The AT centers, however, are not credited for on-center accomplishments achieved at the sending center, nor can they obtain credit for the Measurable Skills Gains Rating measure nor the HSD/HSE indicator. AT centers can receive credit for the following indicators of the Credential Attainment measure, as applicable, when the student completes the AT program: CTT completion, and Primary Industry Recognized Credential (IRC) attainment.

In those cases where both the sending center and the AT center are credited for the same measure, the regional total and national total count the credit only once. In other words, the regional and national totals do not double-count credits for one student’s accomplishments.

NOTE: In situations where a student enters the AT program at the same center (that is, the sending center and the AT center are one and the same), the student is not considered an AT transfer, and the policy regarding crediting does not apply. Students who enter an AT program at the same center will be placed in the pools for direct center service measures (Credential Attainment Rating and Measurable Skills Gains Rating) upon separation from Job Corps.

A table summarizing the crediting policy can be found in Appendix 501 Introduction, Attachment 3: PY 2019 Center Report Card Pools and Credits for Graduates Transferred to Advanced Training (AT) Programs.
(b) Sending Centers:

(1) Credits for Transferring Students to AT Programs: At the time of the transfer:

- The sending center will receive an automatic education placement credit for the Placement Rate measure. If the student is subsequently placed in a job or education/training program after separating from the AT center, the automatic education placement credit will be replaced by a credit for the student’s actual placement.
- The sending center will receive applicable credits for all direct center services measures based upon the student’s attainment while at that center. For PY 2019, this includes:

  ✓ Credential Attainment Rating measure:
    • HSD or HSE Attainment Rate
    • CTT Completion Rate
    • Primary IRC Attainment Rate
  ✓ Measurable Skills Gains Rating measure:
    • Average Literacy Gain
    • Average Numeracy Gain

(2) Credits at Separation, CTS Placement, and Quarter 2 and Quarter 4 Placement: At the time of separation from the AT center:

- The sending center will not receive any credits for direct center services measures that are earned while the student is at the AT center.
- The sending center will receive “flow-back” credits as applicable for all placement measures. Specifically, if the student is placed in a job after separating from the AT center, the student will be placed in the sending center’s Placement Quality Rating pool for Quality Placement Rate and for Average Hourly Wage at Placement and will receive credit as applicable. If the placement is a job training match (JTM) to the student’s training received at the sending center, then the student will also be placed in the sending center’s CTT Completer JTM pool and will receive credit. If the job placement is not a JTM to the student’s training received at either the sending or receiving center, the student will be placed in the sending center’s CTT Completer JTM pool and receive a negative credit. If a student is placed in postsecondary education/training after
separating from the AT center, the student will be placed in the sending center’s Placement Quality Rating pool for one indicator (Quality Placement Rate), and will receive credit.

- For students who respond to the Quarter 2 survey, the student will be included in the sending center’s pool for the Graduate and Former Enrollee Placement Rate in Quarter 2 measure and will receive credit as applicable for that measure based on the answers to the survey questions. If the placement is a full- or part-time job, the student will be placed in the Graduate and Former Enrollee Average Earnings in Quarter 2 measure pool and the sending center will receive credit for the student’s earnings as calculated based on the information provided in the survey.

For students who respond to the Quarter 4 survey, the student will be placed in the sending center’s pool for the Graduate and Former Enrollee Placement Rate in Quarter 4 measure and will receive credit as applicable for that measure based on the answers to the survey questions.

(c) Receiving AT Centers: Credits at Separation, CTS Placement, and Quarter 2 and Quarter 4 Placement: At the time of separation from the AT center:

- The student will be entered in the AT center’s pool for two of the Credential Attainment Measure indicators (CTT Completion Rate and Primary IRC Attainment Rate). The credit for these indicators will only be based on the student’s accomplishments while at the AT center. For example, if the student completed his or her CTT program at the sending center but did not complete the trade at the AT center, the AT center would not receive credit for the CTT Completion Rate indicator. However, from the perspective of the Job Corps program, the student will be regarded as a CTT completer (having completed a CTT program at the sending center) and as noted below, will be included in the JTM pool if he or she is placed in a job after separation.

- The student will not be included in the pool for the HSD or HSE Attainment Rate indicator, or the Measurable Skills Gains Rating measure at the AT center.

- The AT center will receive credits as applicable for the CTS placement measures. Specifically, the student will be placed in the AT center’s pool for the Placement Rate measure and will receive credit as applicable. If the student is placed in a job, the student will be placed in the pools of both indicators of the AT center’s Placement Quality Rating measure (JTM Placement Rate and Quality Placement Rate) and for Average Hourly Wage at Placement and receive credit as applicable. If the job is a JTM for
the sending center but not the AT center, the student will not be in the pool for the JTM indicator of the AT center. If the student is placed in an education/training program, the student is placed in the Quality Placement Rate indicator of the AT center’s Placement Quality Rating measure.

- For students who respond to the Quarter 2 survey, the student will be put in the AT center’s pool for the Graduate and Former Enrollee Placement Rate in Quarter 2 measure and will receive credit as applicable for that measure based on the answers to the survey questions. If the placement is a full- or part-time job, the student will be placed in the Graduate and Former Enrollee Average Earnings in Quarter 2 measure pool and receive credits for the student’s earnings as calculated based on the information provided in the survey.

- For students who respond to the Quarter 2 survey, the student will be put in the AT center’s pool for the Graduate and Former Enrollee Placement Rate in Quarter 4 measure and will receive credit as applicable for that measure based on the answers to the survey questions.

(d) **Multiple AT Transfers: Credit at Transfer, Separation, CTS Placement, and Quarter 2 and Quarter 4 Placement:** If a student transfers from one center to another center to enroll in an AT program and then subsequently transfers either back to the sending center, or to another center, credit is assigned as follows:

- The center that first transfers a student to an AT program at another center is the only center that will receive an automatic education credit for the Placement Rate measure. No subsequent center that the student transfers from will receive an automatic placement education credit at the time of the transfer.

- The student will be placed in the pools of the following indicators of the Credential Attainment Rating measure at all subsequent new centers transferred to, regardless of whether a CTT program is completed or a primary IRC is attained while at that center: CTT Completion Rate and Primary IRC Rate Credit will only be granted for on-center accomplishments achieved at that specific center. **NOTE:** If the student transfers back to the sending center, the student will not be placed in that center’s CTT completion pool again.

- The first center and all subsequent centers are accountable for post-center measures, regardless from which center the student ultimately separates.
3. **Timelines for Reporting Placement Data**

Per the PRH, Chapter 4, Section 4.4, the timelines surrounding reporting, verifying, and entering placement data are as follows:

(a) **Date Reported:** This is the date the student first enters a placement during their initial placement window, regardless of whether they meet Job Corps’ placement definition, and regardless of when the CTS contractor first learns of the student’s placement. The Date Reported must occur within the placement service window time frame.

Exceptions to the Date Reported policy are currently allowed in the following circumstances:

- If the student enters a placement prior to their separation date, the Date Reported must be recorded as the date following the separation date.
- If the student transfers from one CTS contractor to another, the Date Reported cannot be earlier than the transfer date; therefore, if the student is placed prior to the transfer date, the Date Reported must be recorded as the date of transfer.

For placement upgrades, the Date Reported is the first day the student starts the upgraded placement - whether this is the first day at a new, upgraded placement or the first day the position, hours, wages or credits improved in an existing placement.

(b) **Date Placed:** This is the date the student meets the Job Corps definition for placement and must be at least 7 calendar days after the Date Reported in order to ensure that the placement criteria have been met. For example, if the Date Reported is April 1, then the Date Placed must be on or after April 8.

(c) **Date Verified:** This is the date that documentation is received verifying the placement, including the hours, duration, and/or wage as appropriate.

(d) **Date Approved:** This is the date, after all the placement and verification information is entered into the CTS System, that the placement is approved by either a CTS Manager or Coordinator.

**NOTE:** For crediting purposes, the placement verification must be received and reported to the JCDC via the CTS System within 90 calendar days of Date Reported. The Date Placed, Date Verified, and Date Approved are not required to be in the placement service window. However, the time from the Date Reported to the Date Approved must be
90 calendar days or less.

If the verification is not received and/or the information is not entered into the CTS system within the above-specified time frame, the CTS contractor and center will not receive credit for the Placement Rate measure for this student. The student will not be in the pool of any of the Placement Quality Rating indicators and Graduate and Former Enrollee Average Hourly Wage at Placement and the CTS contractor and center will not receive credit for these measures. JCDC will, however, include these placements in the National and Regional totals of the CTS placement measures if they otherwise meet placement requirements.

If the student responds to the post-separation surveys, the CTS contractor and center can receive credit for the Quarter 2 and Quarter 4 placement and Quarter 2 Average Earning measures as appropriate.

4. Placement Upgrades

Placement upgrades occurring while the student’s placement service window is active will continue to be credited in PY 2019. As in prior years, placement upgrades that occur during the placement window are credited for the Placement Rate measure, Graduate and Former Enrollee Average Hourly Wage at Placement, and Placement Quality Rating measure (and its two indicators as applicable: JTM Rate and Quality Placement Rate). All students (graduates and former enrollees) are eligible for upgrades that occur while their placement windows are active.

**Example:** A graduate separates from a center and is initially reported as placed in a $7.50 per hour, non-JTM, and full-time job. Credit for that student is given in the Center Report Card for the Placement Rate measure and for the following: Average Hourly Wage at Placement (at $7.50 per hour), and Placement Quality Rate (for a full-time job). During the placement window, the graduate obtains another full-time position that is a JTM and has an hourly wage of $8.00; a placement record for this job should be submitted since there has been a change in the placement information. The Date Reported is entered as the first date the wage increase is in effect. Upon submission of the verified increase, the higher wage and job information replaces the lower, thereby “upgrading” the result for the student. That is, credit for the Placement Rate, Average Hourly Wage at Placement and Placement Quality Rate indicators is replaced by the $8.00 per hour job, and credit is now also given for the JTM Rate.

**NOTE:** For upgraded placements, the Date Reported is the first day the student starts the upgraded placement (whether this is the first day at a new, upgraded placement or the first day the position, hours, wages or credits improved in an existing placement). From Date Reported, CTS contractors have 90 calendar days to verify and approve the upgraded placement in the CTS system. All subsequent
placements that occur after the initial placement, yet during the placement window, should be recorded for informational purposes, regardless of whether the placement is an upgrade. However, only those placements that are upgrades are credited for the CTS placement measures. A chart outlining the placement upgrade policy can be found in Appendix 501 Introduction, Attachment 2: PY 2019 Initial Placements and Allowable Upgrades. Upgrades are credited to align the systems with the CTT Report Card, emphasize the importance of continuous progress in the workforce, and encourage JTM and post-secondary education/training placements.

5. Filing a Request to Add a Placement Code to the Job Training Match (JTM) Crosswalk

The process created in PY 2007 for requesting the addition of an O*NET-SOC placement code to the JTM Crosswalk will continue to be available for PY 2019. A request should be submitted only if the current JTM Crosswalk does not already contain a specific placement code that: (a) is the most appropriate O*NET-SOC code to describe a placement outcome, and (b) is directly related to one of the Training Achievement Records (TARs), released in PY 2006 or thereafter, as they are aggregated into Training Program Areas (TPAs) within the crosswalk. If the request is approved, the proposed O*NET-SOC placement code will be added to the placement portion of the JTM Crosswalk, and JTM credit will be given to every student who completes any TAR in the same TPA and is placed in a position that is properly assigned the identified placement code. Please ensure that requests are filed using the PY 2019 version of the Request Form located in Appendix 501 Introduction, Attachment 6: PY 2019 Instructions for Filing a Request to Add a Placement Code to the JTM Crosswalk and Request Form.

6. Military Wage at Placement

Job Corps uses a standardized hourly wage rate to record the salary of Job Corps students placed in the military. This rate applies for all students who enter the military during their initial placement (or as a placement upgrade). Standardized weekly earnings rates are also used for students who are enlisted in the military in Quarter 2 after exit.

To develop the standardized military hourly wage at placement, the estimated gross annual earnings is calculated by summing the gross annual basic pay for E-1 enlistees at entry (<4 months), the annual initial clothing allowance (weighted by the most recent CY student placements across military branch and gender), the annual subsistence allowance, the estimated value of the house services received, plus the tax-value of the latter three benefits. The total adjusted gross amount is divided by 2,080 hours to yield an average hourly wage at placement.

The annual gross values for all components, except basic pay, remain the same in calculating the standardized military wage at placement and for the second quarter.
after exit. In calculating weekly earnings at Quarter 2 after exit, the value for basic pay for E-1 enlistees active for 4 or more months is used (since, depending on when the student separated from Job Corps during a particular calendar quarter, Quarter 2 can be 4 to 6 months, 5 to 7 months, or 6 to 8 months after exit).

Beginning January 2017, the standardized military hourly wage and weekly earnings at Quarter 2 after exit used by Job Corps is adjusted annually to reflect the most current military compensation levels typically updated at the start of the calendar year. The annual adjustments account for the military base pay percentage increases determined by the House Armed Services Committee as well as increases in the allowances rates. The annual military hourly wage at placement and Quarter 2 weekly earnings values are shared with the Job Corps community via a Program Information Notice at the beginning of each calendar year.

**NOTE:** While students who enlist in the military may be eligible for incentive/special pays, bonuses, retirement, vacation, and education assistance, these additional benefits are not included in the calculation of the standardized wage rate in order to ensure a degree of uniformity of measurement with the wages recorded for students who are in civilian positions (which do not include any benefits that may be received, such as free or subsidized medical coverage, subsidized transportation, retirement savings contributions, etc.).

7. **Second Quarter (Quarter 2) and Fourth Quarter (Quarter 4) after Exit Quarter Surveys**

In PY 2019, Job Corps will continue to report graduate and former enrollee placements in Quarter 2 and Quarter 4 after exit quarter and graduate and former enrollee average earnings in Quarter 2 after exit quarter. Job Corps’ post-separation surveys capture such information for all students who have demonstrated a commitment to the program (i.e., those who have completed the Career Preparation Period or have been enrolled for 60 or more days). Data from these surveys are used to calculate placement and earnings credits for the three Quarter 2 and Quarter 4 placement and earnings measures in the OMS.

Job Corps originally implemented the post-separation surveys using a program-specific definition of the time period constituting the second quarter after exit and the fourth quarter after exit. Under this definition, Quarter 2 is the second three-month period and Quarter 4 the fourth three-month period immediately following the student’s exit date. Beginning PY 2018, Job Corps adopted the definition used by other DOL programs to define Quarter 2 as the second calendar quarter after the exit quarter, and Quarter 4 as the fourth calendar quarter after the exit quarter. For example, if the participant’s date of exit is between January 1st and March 31st, the second quarter after exit would be July 1st through September 30th.
The transition from the previous to these new reporting timeframes was implemented in May and June 2018 as stated in JCDC Notice 17-207. As of July 1, 2018, all post-separation surveys use the new reporting timeframes.

Provided below is a description of the survey process and requirements:

(a) **Data Validity:** Job Corps is committed to the highest standards of data validity and integrity for all data collected and used in the performance management systems. In particular, this applies to all information obtained from students through the Quarter 2 and Quarter 4 after exit surveys. It is important that all Job Corps staff recognize the policies and procedures that need to be followed when collecting data from human subjects to ensure the validity and integrity of these data. Provided below is background on the information provided to students when the surveys are administered, followed by examples of behaviors that potentially threatens the validity and integrity of the data.

The Office of Job Corps and the survey contractors are committed to the ethical conduct of the Quarter 2 and Quarter 4 survey data collection to protect the rights of participants. This includes providing participants with information about the survey and their rights as participants so that they can make an informed decision about whether to participate. The survey is voluntary, which means that participants have the right to refuse to participate and must not be subject to coercion or otherwise made to feel that a benefit of the program will be denied to them if they do not participate.

(b) **Survey Protocol:** Before beginning the questionnaire, survey staff read each participant a statement of “informed consent” that includes the following guidelines and principles:

- Their participation in the survey is voluntary
- They have the right to refuse to participate in the survey or to refuse to answer any questions they do not wish to answer
- Their refusal to participate in the survey will not impact any benefit they are eligible to receive as participants in the Job Corps program
- The information they provide will be confidential and will only be used by Job Corps for purposes of program evaluation

(c) **Prohibited Activities:** To ensure that participation in Job Corps’ Second and Fourth Quarter after exit surveys remains voluntary and that Job Corps program staff does not engage in any practice that might be construed as coercion, the following practices should be avoided by all Job Corps staff:

- Linking the receipt of any payments, awards, or benefits that Job
Corps students are otherwise eligible to receive for their program participation to the conduct or result of the survey is inappropriate. Whether the student completes the surveys and whether answers result in a positive credit for the program, center, or CTS contractor, survey results should never be used to give or deny students any payments, awards, or benefits for which they are otherwise eligible.

- Instructing students that they should not participate in the surveys unless they are employed or in school is inappropriate and would lead to invalid measures of program performance.
- Coaching students on their responses, such as providing or suggesting “correct” or “incorrect” answers to questions is inappropriate.
- Listening in on the telephone while the student takes a confidential survey is inappropriate. If former students call the survey line from a counselor’s office, the counselor should leave the room so that the participant can answer the survey in private.
- Calling the survey line professing to be the student to complete the survey(s) in place of the student is inappropriate and leads to invalid outcome measures.
- Requesting students whose responses to the survey resulted in zero credit to call the survey line and take the survey over again is inappropriate. Moreover, if a student has already completed the survey, the survey contractor will not administer a second survey.

**NOTE:** If a student’s survey resulted in no credit and the center or CTS contractor believes the student was in a qualified placement, the appropriate step is to file an appeal.

- Withholding known contact information for students who do not currently have a Job Corps-valid job or educational placement is inappropriate and will lead to invalid outcome measures.
- Encouraging students in their survey windows to call the survey contractors instead of waiting to be contacted by the survey contractors is inappropriate. The Quarter 2 and Quarter 4 after exit quarter survey system is designed for out-bound calls by contractors to former students in their survey windows to sustain the third-party objectivity and data integrity. Encouraging and organizing student call-ins undermines the integrity of the system.

The Office of Job Corps actively responds to violations of this policy. Consequences for unethical or fraudulent contact could include:

- Dismissal of the responsible contractor staff;
- Invalidation of any credits received for the second and fourth quarter after exit surveys (as applicable) in the Center, CTS, and CTT Report Cards;
- Administrative movement of the contractor to the bottom of the
Report Cards, affecting their performance and incentive bonus, as well as their internal scores for earning future contracts; and/or

- Cancellation of the contract.

**NOTE:** These or similar repercussions may be imposed when such behavior is identified.

**(d) Appeal Process:**

For PY 2019, appeals will be accepted for both placement and earnings outcomes reported from the Quarter 2 survey and placement outcomes reported from the Quarter 4 survey for the following measures:

- Graduate and Former Enrollee Placement Rate in Quarter 2 after Exit;
- Graduate and Former Enrollee Average Earnings in Quarter 2 after Exit;
- Graduate and Former Enrollee Placement Rate in Quarter 4 after Exit; and
- Graduate Average Earnings in Quarter 4 after Exit (for verification of Quarter 4 placement).

Placement and earnings outcomes appeals are only approved if supporting documentation can validate that student’s placement was in the applicable survey quarter and met Job Corps’ placement definitions. Appeals will be accepted and processed only when all the four conditions below are met:

- a student is working, in education or training, or in the military during the survey quarter with adequate documentation,
- completed the Quarter 2 or Quarter 4 survey;
- received negative survey results, and
- the 8-week survey window has expired

All appeals for *job* placements, including active duty military, must include an appeal for earnings for the *same* quarter. Earnings only appeals are accepted where a positive job placement is credited (through data collected via the post-separation surveys). Please note that the survey appeals are not designed for verification of initial placement outcomes. It is also important to note that the required placement documentation must cover the survey quarter, not for the 8-week survey window.

The following table provides the Quarter 2 and Quarter 4 start and end dates according to a student’s separation date:
<table>
<thead>
<tr>
<th>Q2</th>
<th>Exit Quarter</th>
<th>Q2 Survey Reference Quarter</th>
<th>Q2 Survey Quarter Start of 8-Week Survey Window</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Q4</th>
<th>Exit Quarter</th>
<th>Q4 Survey Reference Quarter</th>
<th>Q4 Survey Quarter Start of 8-Week Survey Window</th>
</tr>
</thead>
</table>

**Documentation:** Appeals submitted for a placement must include a completed PY 2019 Job Corps Appeal Form for Quarter 2 or Quarter 4 Placement Outcomes using the template and instructions provided in Appendix 501-Introduction, Attachment 4.

Centers must submit supporting documentation to validate the placement and are required to provide justification for their appeal. Acceptable documentation for placement appeals includes:

- pay stubs,
- written statements from employer or educational entity on letterhead,
- business cards or office stamp from employer or educational entity on a center or CTS verification form,
- school/training institution transcript, or
- third-party employment verification documentation such as The Work Number, or the SCRA website (for military placement verification only).

Placement appeals are only considered if the supporting documentation can validate that the student’s placement was in the applicable survey quarter and met Job Corps’ placement requirements.

Earnings appeals must include a completed PY 2019 Job Corps Appeal
Form for Quarter 2 Earnings Outcomes using the template and instructions provided in Appendix 501-Introduction, Attachment 5.

All earnings appeals must include documentation to completely validate the earnings reported for the student for the entire quarter. For a complete list of the documentation required to validate total earnings received by the student in the quarter, please see the instructions in Attachment 5. Documentation is required to validate total earnings received for all hours worked in the quarter and for all jobs held by the student in the quarter. This includes:

- All part-time and full-time jobs the student held in the quarter even if some of the jobs do not meet the Job Corps placement criteria.
  - Earnings must be calculated for hours worked in the quarter at all jobs, plus any overtime, tips, and commissions earned from work conducted in the quarter. If a student receives income from bonuses, tips, or commissions earned during the appeal quarter and previous quarter(s), the earnings appeal should only include the portion earned and verified during the appeal quarter, some of which might be paid during the next quarter.
  - At least one job must meet Job Corps job placement criteria, and earnings included in the earnings appeal and the relevant verification documentation should be from all employers or approved third-party verifiers.
- Each job during the appeal quarter must have one of the following forms of documentation to verify all earnings for that entire quarter:
  - pay stubs;
  - proof of income for tax purposes (e.g., W-2); third party verification (e.g., The Work Number.);
  - earnings statement from the employer or payroll company; or timesheet record.

All verification documentation from an employer must include a signature from a point of contact. As specified in Table 1, Attachment 5 of Appendix 501 Introduction, Verification of Employment forms are required when other forms of documentation do not include enough information to fully verify students’ quarterly wage.

To protect students’ Personally Identifiable Information (PII), appeal submissions and supporting documentation should ONLY include students’ names and Student ID numbers issued by Job Corps for identification purposes. Under no circumstances should any appeal and/or
supporting documentation contain a student’s Social Security Number (SSN). Job Corps requires that SSNs be redacted from any supporting document before submission to the National Office.

**Submission of Appeals:** Completed appeal form(s), justification and required supporting documentation are to be scanned/e-mailed to:

surveyappeals@dol.gov

Appeals must be submitted and received by close of business (COB), two working days prior to the last working day of the month to be processed within that month.

The following timetable outlines the PY 2019 dates during which appeals must be received by the National Office, Program Performance Team:

<table>
<thead>
<tr>
<th>Appeals for</th>
<th>Received from</th>
<th>To COB</th>
</tr>
</thead>
<tbody>
<tr>
<td>July 2019</td>
<td>6/26/19</td>
<td>7/29/19</td>
</tr>
<tr>
<td>August 2019</td>
<td>7/30/19</td>
<td>8/28/19</td>
</tr>
<tr>
<td>September 2019</td>
<td>8/29/19</td>
<td>9/26/19</td>
</tr>
<tr>
<td>October 2019</td>
<td>9/27/19</td>
<td>10/29/19</td>
</tr>
<tr>
<td>November 2019</td>
<td>10/30/19</td>
<td>11/27/19</td>
</tr>
<tr>
<td>December 2019</td>
<td>11/28/19</td>
<td>12/27/19</td>
</tr>
<tr>
<td>January 2020</td>
<td>12/28/19</td>
<td>1/29/20</td>
</tr>
<tr>
<td>February 2020</td>
<td>1/30/20</td>
<td>2/26/20</td>
</tr>
<tr>
<td>April 2020</td>
<td>3/28/20</td>
<td>4/28/20</td>
</tr>
<tr>
<td>June 2020</td>
<td>5/28/20</td>
<td>6/26/20</td>
</tr>
</tbody>
</table>

Appeals must be filed within 90 calendar days from the date the first report was issued with the individual student’s outcomes (OMS-20, CTT-20, or CTS-20).

The Office of Job Corps will review (according to the timetable above) the appeal and all supporting documentation to determine if the justification supports granting an appeal. Documentation and decisions will be retained for future reference. Notification of outcomes (both approvals and denials) will be e-mailed by the Office of Job Corps to the appealing entity in the month following processing. For example, notifications of the outcomes of appeals processed in July will be e-mailed in August.
G. **Data Integrity**

Job Corps’ performance management system is also an integral tool for continuous program improvement and is a key factor for performance-based contracting. To maintain the highest level of data integrity, the Office of Job Corps has established a Data Integrity Audit (DIA) system to ensure the validity and reliability of the information supporting the performance management systems. Using random and targeted samples, DIAs identify and report on specific instances of improperly reported or anomalous data, as well as management practices that could potentially affect data integrity. The Office of Job Corps conducts DIAs on center records pertaining to HSD/HSE attainment, CTT completion, student leave, and on CTS contractor records pertaining to CTS placement results. All validated errors and discrepancies are corrected, and Regional Offices impose liquidated damages as appropriate. As a system, each level of program staff is responsible for the integrity of the data it generates, collects, or records. As a program, the Office of Job Corps continues to conduct rigorous DIAs and remains vigilant and responsive to all data integrity issues.

H. **PY 2019 OMS Report Cards**

Provided on the following pages are summary tables depicting the PY 2018 OMS Report Cards followed by the PY 2019 OMS Report Cards (and the supplemental report cards for calculating the composite measures). New measures/indicators and changes in goals and weights for PY 2019 compared to PY 2018, are represented in red font. See Appendices 501a, 501b, 501c, and 501d for specific information on the individual outcome measurement systems, including changes, for PY 2019.
<table>
<thead>
<tr>
<th>Measure</th>
<th>Definition</th>
<th>Goal</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>DIRECT CENTER SERVICES (35%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Credential Attainment Rating</td>
<td>Rating based on weighted performance on three indicators relative to goals: (1) HSD/HSE attainment rate*, (2) CTT completion rate, and (3) Primary IRC attainment rate</td>
<td>100%</td>
<td>30%</td>
</tr>
<tr>
<td>Measurable Skills Gains Rating*</td>
<td>Rating based on average performance of two indicators relative to goals: Average Literacy Gains and Average Numeracy Gains</td>
<td>100%</td>
<td>5%</td>
</tr>
<tr>
<td><strong>CTS PLACEMENT OUTCOMES (30%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Placement Rate</td>
<td>No. of graduates and former enrollees placed in a job, the military, an education/training program, or who transferred to an approved Advanced Training program at another center</td>
<td>83%</td>
<td>10%</td>
</tr>
<tr>
<td>Graduate and Former Enrollee Average Hourly Wage at Placement*</td>
<td>Sum of hourly wages of graduates and former enrollees placed in a job or the military</td>
<td>$12.00</td>
<td>7.5%</td>
</tr>
<tr>
<td>Placement Quality Rating</td>
<td>Rating based on weighted performance on two indicators relative to goals: (1) job-training match rate, and (2) quality placement rate (percentage of all initially placed graduates and former enrollees in apprenticeship programs, full-time jobs, the military, full-time college, full-time college/job combination, or full-time post-secondary training)</td>
<td>100%</td>
<td>12.5%</td>
</tr>
<tr>
<td><strong>Q2/Q4 PLACEMENT OUTCOMES (35%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Graduate and Former Enrollee Placement Rate in Quarter 2 After Exit Quarter</td>
<td>No. of graduates and former enrollees who report on the Quarter 2 survey they are in a job, the military, or an education/training program No. of graduates and former enrollees who complete the Quarter 2 survey</td>
<td>80%</td>
<td>13.75%</td>
</tr>
<tr>
<td>Graduate and Former Enrollee Placement Rate in Q4 After Exit Quarter</td>
<td>No. of graduates and former enrollees who report on the Quarter 4 survey they are in a job, the military, or an education/training program No. of graduates and former enrollees who complete the Quarter 4 survey</td>
<td>80%</td>
<td>13.75%</td>
</tr>
<tr>
<td>Graduate and Former Enrollee Average Earnings in Quarter 2 After Exit Quarter*</td>
<td>Sum of earnings of graduates and former enrollees who report they are in a job or the military on the Quarter 2 survey and report they are in a job or the military in the second quarter after exit quarter</td>
<td>$5,500</td>
<td>7.5%</td>
</tr>
</tbody>
</table>

*Model-based Goal
<table>
<thead>
<tr>
<th>Indicator</th>
<th>Definition</th>
<th>Goal</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CREDENTIAL ATTAINMENT RATING</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>HSD/HSE Attainment Rate*</td>
<td>No. of students who attain either an HSD or HSE No. of separated students without an HSD or HSE at entry</td>
<td>65%</td>
<td>40%</td>
</tr>
<tr>
<td>Career Technical Training (CTT) Completion Rate</td>
<td>No. of students who complete a CTT program No. of separated students</td>
<td>70%</td>
<td>30%</td>
</tr>
<tr>
<td>CTT Primary IRC Attainment Rate</td>
<td>No. of CTT students who attain an approved Primary industry-recognized credential or complete an NTC program No. of separated students assigned to a CTT program</td>
<td>90%</td>
<td>30%</td>
</tr>
<tr>
<td>*Model-based Goal</td>
<td></td>
<td></td>
<td>100%</td>
</tr>
<tr>
<td><strong>MEASURABLE SKILLS GAINS RATING</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Average Literacy Gains*</td>
<td>Sum of Grade Level Equivalent gains attained on the highest valid subsequent TABE reading test No. of students who score 552 or lower on the initial TABE reading test and students who do not take a valid initial reading test during the first 21 calendar days on center</td>
<td>3.00 GLE</td>
<td>50%</td>
</tr>
<tr>
<td>Average Numeracy Gains*</td>
<td>Sum of Grade Level Equivalent gains attained on the highest valid subsequent TABE math test No. of students who score 551 or lower on the initial TABE math test and students who do not take a valid initial math test during the first 21 calendar days on center</td>
<td>3.00 GLE</td>
<td>50%</td>
</tr>
<tr>
<td>*Model-based Goal</td>
<td></td>
<td></td>
<td>100%</td>
</tr>
<tr>
<td><strong>PLACEMENT QUALITY RATING</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Career Technical Training (CTT) Completer Job Training Match (JTM) Rate</td>
<td>No. of CTT completers placed in a training-related job or the military No. CTT completers placed in a job or the military</td>
<td>65%</td>
<td>35%</td>
</tr>
<tr>
<td>Full-Time Quality Placement Rate</td>
<td>No. of graduates and former enrollees placed in an apprenticeship program, a full-time job, the military, full-time college, full-time job/college combination or a full-time post-secondary training program No. of initially placed graduates and former enrollees</td>
<td>75%</td>
<td>65%</td>
</tr>
<tr>
<td>*Model-based Goal</td>
<td></td>
<td></td>
<td>100%</td>
</tr>
<tr>
<td>Measure</td>
<td>Definition</td>
<td>Goal</td>
<td>Weight</td>
</tr>
<tr>
<td>----------------------------------------------</td>
<td>-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td>------</td>
<td>--------</td>
</tr>
<tr>
<td><strong>DIRECT CENTER SERVICES (20%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Credential Attainment Rating</td>
<td>Rating based on weighted performance on three indicators relative to goals: (1) HSD/HSE attainment rate*, (2) CTT completion rate, and (3) Primary IRC attainment rate</td>
<td>100%</td>
<td>15%</td>
</tr>
<tr>
<td>Measurable Skills Gains Rating</td>
<td>Rating based on average performance of two indicators relative to goals: Average Literacy Gains and Average Numeracy Gains</td>
<td>100%</td>
<td>5%</td>
</tr>
<tr>
<td><strong>CTS PLACEMENT OUTCOMES (30%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Placement Rate</td>
<td>No. of graduates and former enrollees placed in a job, the military, an education/training program, or who transferred to an approved Advanced Training program at another center</td>
<td>83%</td>
<td>10%</td>
</tr>
<tr>
<td>Graduate and Former Enrollee Average Hourly</td>
<td>Sum of hourly wages of graduates and former enrollees placed in a job or the military</td>
<td>$12.00</td>
<td>7.5%</td>
</tr>
<tr>
<td>Wage at Placement*</td>
<td>No. of graduates and former enrollees placed in a job or the military</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Placement Quality Rating</td>
<td>Rating based on weighted performance on three indicators relative to goals: (1) job-training match rate, and (2) quality placement rate (percentage of all initially placed graduates and former enrollees in apprenticeship programs, full-time jobs, the military, full-time college, full-time college/job combination, or full-time post-secondary training)</td>
<td>100%</td>
<td>12.5%</td>
</tr>
<tr>
<td><strong>Q2/Q4 PLACEMENT OUTCOMES (50%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Graduate and Former Enrollee Placement Rate</td>
<td>No. of graduates and former enrollees who report on the Quarter 2 survey they are in a job, the military, or an education/training program</td>
<td>80%</td>
<td>20%</td>
</tr>
<tr>
<td>in Quarter 2 After Exit Quarter</td>
<td>No. of graduates and former enrollees who complete the Quarter 2 survey</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Graduate and Former Enrollee Placement Rate</td>
<td>No. of graduates and former enrollees who report on the Quarter 4 survey they are in a job, the military, or an education/training program</td>
<td>80%</td>
<td>20%</td>
</tr>
<tr>
<td>in Q4 After Exit Quarter</td>
<td>No. of graduates and former enrollees who complete the Quarter 4 survey</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Graduate and Former Enrollee Average Earnings</td>
<td>Sum of earnings of graduates and former enrollees who report they are in a job or the military on the Quarter 2 survey and report they are in a job or the military in the second quarter after exit quarter</td>
<td>$5,500</td>
<td>10%</td>
</tr>
<tr>
<td>in Quarter 2 After Exit Quarter*</td>
<td>No. of graduates and former enrollees who complete the Quarter 2 survey and were employed by the same employer in Quarter 2 and Quarter 4 after exit quarter</td>
<td>60%</td>
<td>0%</td>
</tr>
<tr>
<td>Employer Retention Rate</td>
<td>No. of graduates and former enrollees who were employed by the same employer in Quarter 2 and Quarter 4 after exit quarter</td>
<td></td>
<td>100%</td>
</tr>
</tbody>
</table>

*Model-based Goal
<table>
<thead>
<tr>
<th>Indicator</th>
<th>Definition</th>
<th>Goal</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>PY 2019 SUPPLEMENTAL CENTER REPORT CARD</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>CREDENTIAL ATTAINMENT RATING</strong></td>
<td></td>
<td></td>
<td></td>
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<td>30%</td>
</tr>
<tr>
<td>*Model-based Goal</td>
<td>100%</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>MEASURABLE SKILLS GAINS RATING</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Average Literacy Gains</td>
<td>Sum of Educational Functioning Level gains attained on the highest valid subsequent TABE reading test No. of students who score Educational Functioning Level 4 or below on the initial TABE reading test and students who do not take a valid initial reading test during the first 21 calendar days on center</td>
<td>1 EFL</td>
<td>50%</td>
</tr>
<tr>
<td>Average Numeracy Gains</td>
<td>Sum of Educational Functioning Level gains attained on the highest valid subsequent TABE math test No. of students who score Educational Functioning Level 5 or below on the initial TABE math test and students who do not take a valid initial math test during the first 21 calendar days on center</td>
<td>1 EFL</td>
<td>50%</td>
</tr>
<tr>
<td><strong>PLACEMENT QUALITY RATING</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Career Technical Training (CTT) Completer Job Training Match (JTM) Rate</td>
<td>No. of CTT completers placed in a training-related job or the military No. CTT completers placed in a job or the military</td>
<td>65%</td>
<td>35%</td>
</tr>
<tr>
<td>Full-Time Quality Placement Rate</td>
<td>No. of graduates and former enrollees placed in an apprenticeship program, a full-time job, the military, full-time college, full-time job/college combination or a full-time post-secondary training program No. of initially placed graduates and former enrollees</td>
<td>75%</td>
<td>65%</td>
</tr>
<tr>
<td></td>
<td></td>
<td>100%</td>
<td></td>
</tr>
<tr>
<td>Measure</td>
<td>Definition</td>
<td>Goal</td>
<td>Weight</td>
</tr>
<tr>
<td>---------</td>
<td>------------</td>
<td>------</td>
<td>--------</td>
</tr>
<tr>
<td><strong>QUANTITY/PRODUCTION (60%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Female Arrival Rate</td>
<td>No. of female arrivals</td>
<td>100%</td>
<td>30%</td>
</tr>
<tr>
<td></td>
<td>Total female contracted quota</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total Arrival Rate</td>
<td>No. of total arrivals</td>
<td>100%</td>
<td>30%</td>
</tr>
<tr>
<td></td>
<td>Total contracted quota</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>QUALITY/COMMITMENT (40%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Non-Level 1 Zero Tolerance (ZT) Separation Rate</td>
<td>No. of student arrivals who do not separate for a Level 1 ZT infraction under codes 5.1a, 5.2b or 5.3c within the first 30 calendar days or under code 5.2A within the first 45 calendar days</td>
<td>98%</td>
<td>5%</td>
</tr>
<tr>
<td></td>
<td>No. of student arrivals with the opportunity to stay in the program for at least 45 calendar days</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Arrivals With 90-Day Commitment Rate</td>
<td>No. of students in the pool who stay for 90 or more calendar days</td>
<td>85%</td>
<td>30%</td>
</tr>
<tr>
<td></td>
<td>No. of student arrivals with the opportunity to stay in the program for at least 90 calendar days</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Graduate Rate</td>
<td>No. of students who separate as graduates</td>
<td>65%</td>
<td>5%</td>
</tr>
<tr>
<td></td>
<td>No. of separated students</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>100%</td>
<td></td>
</tr>
<tr>
<td>Measure</td>
<td>Definition</td>
<td>Goal</td>
<td>Weight</td>
</tr>
<tr>
<td>----------------------------------------------</td>
<td>-----------------------------------------------------------------------------</td>
<td>-------</td>
<td>--------</td>
</tr>
<tr>
<td><strong>QUANTITY/PRODUCTION (60%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Female Arrival Rate</td>
<td>No. of female arrivals&lt;br&gt;Total female contracted quota</td>
<td>100%</td>
<td>30%</td>
</tr>
<tr>
<td>Total Arrival Rate</td>
<td>No. of total arrivals&lt;br&gt;Total contracted quota</td>
<td>100%</td>
<td>30%</td>
</tr>
<tr>
<td><strong>QUALITY/COMMITMENT (40%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Non-Level 1 Zero Tolerance (ZT) Separation Rate</td>
<td>No. of student arrivals who do not separate for a&lt;br&gt;Level 1 ZT infraction under codes 5.1a, 5.2b or 5.3c&lt;br&gt;within the first 30 calendar days or&lt;br&gt;under code 5.2A within the first 45 calendar days&lt;br&gt;No. of student arrivals with the opportunity to stay in the program for at least 45 calendar days</td>
<td>98%</td>
<td>5%</td>
</tr>
<tr>
<td>Arrivals With 90-Day Commitment Rate</td>
<td>No. of students in the pool who stay for&lt;br&gt;90 or more calendar days&lt;br&gt;No. of student arrivals with the opportunity to&lt;br&gt;stay in the program for at least 90 calendar days</td>
<td>85%</td>
<td>30%</td>
</tr>
<tr>
<td>Graduate Rate</td>
<td>No. of students who separate as graduates&lt;br&gt;No. of separated students</td>
<td>65%</td>
<td>5%</td>
</tr>
<tr>
<td></td>
<td></td>
<td>100%</td>
<td></td>
</tr>
<tr>
<td>Measure</td>
<td>Definition</td>
<td>Goal</td>
<td>Weight</td>
</tr>
<tr>
<td>---------</td>
<td>------------</td>
<td>-------</td>
<td>--------</td>
</tr>
<tr>
<td><strong>CTS PLACEMENT OUTCOMES (50%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Placement Rate</td>
<td>No. of graduates and former enrollees placed in a job, the military, an education/training program, or a job/college combination. No. of graduates and former enrollees whose placement records are due or received.</td>
<td>83%</td>
<td>20%</td>
</tr>
<tr>
<td>Placement Average Wage*</td>
<td>Sum of hourly wages of graduates and former enrollees placed in a job or the military. No. of graduates and former enrollees placed in a job or the military.</td>
<td>$12.00</td>
<td>10%</td>
</tr>
<tr>
<td>Placement Quality Rating</td>
<td>Rating based on weighted performance on two indicators relative to goals: (1) job-training match rate, and (2) quality placement rate (percentage of all initially placed graduate and former enrollees in apprenticeship programs, full-time jobs, the military, full-time college, full-time college/job combination, or full-time post-secondary training).</td>
<td>100%</td>
<td>20%</td>
</tr>
<tr>
<td><strong>Q2/Q4 PLACEMENT OUTCOMES (50%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Graduate and Former Enrollee Placement Rate in Quarter 2 After Exit Quarter</td>
<td>No. of graduates and former enrollees who report on the Quarter 2 survey they are in a job, the military, or an education/training program. No. of graduates and former enrollees who complete the Quarter 2 survey.</td>
<td>80%</td>
<td>20%</td>
</tr>
<tr>
<td>Graduate and Former Enrollee Placement Rate in Q4 After Exit Quarter</td>
<td>No. of graduates and former enrollees who report on the Quarter 4 survey they are in a job, the military, or an education/training program. No. of graduates and former enrollees who complete the Quarter 4 survey.</td>
<td>80%</td>
<td>20%</td>
</tr>
<tr>
<td>Graduate and Former Enrollee Average Earnings in Quarter 2 After Exit Quarter*</td>
<td>Sum of earnings of graduates and former enrollees who report they are in a job or the military on the Quarter 2 survey. No. of graduates and former enrollees who complete the Quarter 2 survey and report they are in a job or the military.</td>
<td>$5,500</td>
<td>10%</td>
</tr>
</tbody>
</table>

*Model-based Goal
<table>
<thead>
<tr>
<th>Indicator</th>
<th>Definition</th>
<th>Goal</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td>Career Technical Training (CTT) Completer Job Training Match (JTM) Rate</td>
<td>No. of CTT program completers placed in a training-related job or the military  No. CTT program completers placed in a job or the military</td>
<td>65%</td>
<td>35%</td>
</tr>
<tr>
<td>Full-Time Quality Placement Rate</td>
<td>No. of graduates and former enrollees placed in an apprenticeship program, a full-time job, the military, full-time college, full-time job/college combination or a full-time post-secondary training program  No. of placed graduates and former enrollees</td>
<td>75%</td>
<td>65%</td>
</tr>
</tbody>
</table>

100%
## PY 2019 CTS REPORT CARD

<table>
<thead>
<tr>
<th>Measure</th>
<th>Definition</th>
<th>Goal</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CTS PLACEMENT OUTCOMES (50%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Placement Rate</td>
<td>No. of graduates and former enrollees placed in a job, the military, an education/training program, or a job/college combination No. of graduates and former enrollees whose placement records are due or received</td>
<td>83%</td>
<td>20%</td>
</tr>
<tr>
<td>Placement Average Wage*</td>
<td>Sum of hourly wages of graduates and former enrollees placed in a job or the military No. of graduates and former enrollees placed in a job or the military</td>
<td>$12.00</td>
<td>10%</td>
</tr>
<tr>
<td>Placement Quality Rating</td>
<td>Rating based on weighted performance on two indicators relative to goals: (1) job-training match rate and (2) quality placement rate (percentage of all initially placed graduate and former enrollees in apprenticeship programs, full-time jobs, the military, full-time college, full-time college/job combination, or full-time post-secondary training)</td>
<td>100%</td>
<td>20%</td>
</tr>
<tr>
<td><strong>Q2/Q4 PLACEMENT OUTCOMES (50%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Graduate and Former Enrollee Placement Rate in Quarter 2 After Exit Quarter</td>
<td>No. of graduates and former enrollees who report on the Quarter 2 survey they are in a job, the military, or an education/training program No. of graduates and former enrollees who complete the Quarter 2 survey</td>
<td>80%</td>
<td>20%</td>
</tr>
<tr>
<td>Graduate and Former Enrollee Placement Rate in Q4 After Exit Quarter</td>
<td>No. of graduates and former enrollees who report on the Quarter 4 survey they are in a job, the military, or an education/training program No. of graduates and former enrollees who complete the Quarter 4 survey</td>
<td>80%</td>
<td>20%</td>
</tr>
<tr>
<td>Graduate and Former Enrollee Average Earnings in Quarter 2 After Exit Quarter*</td>
<td>Sum of earnings of graduates and former enrollees who report they are in a job or the military on the Quarter 2 survey No. of graduates and former enrollees who complete the Quarter 2 survey and report they are in a job or the military</td>
<td>$5,500</td>
<td>10%</td>
</tr>
</tbody>
</table>

*Model-based Goal
<table>
<thead>
<tr>
<th>Indicator</th>
<th>Definition</th>
<th>Goal</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td><strong>PLACEMENT QUALITY RATING</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Career Technical Training (CTT) Completer Job Training Match (JTM) Rate</td>
<td>No. of CTT program completers placed in a training-related job or the military</td>
<td>65%</td>
<td>35%</td>
</tr>
<tr>
<td></td>
<td>No. of CTT program completers placed in a job or the military</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Full-Time Quality Placement Rate</td>
<td>No. of graduates and former enrollees placed in an apprenticeship program, a full-time job, the military, full-time college, full-time job/college combination or a full-time post-secondary training program</td>
<td>75%</td>
<td>65%</td>
</tr>
<tr>
<td></td>
<td>No. of placed graduates and former enrollees</td>
<td>100%</td>
<td></td>
</tr>
<tr>
<td>Measure</td>
<td>Definition</td>
<td>Goal</td>
<td>Weight</td>
</tr>
<tr>
<td>---------</td>
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</tr>
<tr>
<td><strong>PY 2018 CTT REPORT CARD</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DIRECT CENTER SERVICES (20%)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Career Technical Training (CTT) Program Completion Rate</td>
<td>No. of students who complete a CTT program No. of separated students assigned to a CTT program</td>
<td>80%</td>
<td>10%</td>
</tr>
<tr>
<td>CTT Industry-Recognized Credential (IRC) I Attainment Rate</td>
<td>No. of CTT students who attain an approved Primary IRC or complete an NTC program No. of separated students assigned to a CTT program</td>
<td>90%</td>
<td>7.5%</td>
</tr>
<tr>
<td>CTT Industry-Recognized Credential (IRC) II Attainment Rate</td>
<td>No. of CTT students who attain an approved Secondary IRC or an approved second Primary IRC No. of separated students assigned to a CTT program</td>
<td>90%</td>
<td>2.5%</td>
</tr>
<tr>
<td>CTS PLACEMENT OUTCOMES (40%)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CTT Completer Placement Rate</td>
<td>No. of CTT completers placed in a job, the military, an education/training program, a job/college combination, or who transferred to an approved Advanced Training program at another center No. of CTT completers whose placement records are due or received or who transferred to an approved Advanced Training program at another center</td>
<td>92%</td>
<td>10%</td>
</tr>
<tr>
<td>CTT Completer Average Hourly Wage at Placement*</td>
<td>Sum of hourly wages of CTT completers placed in a job or the military No. of CTT completers placed in a job or the military</td>
<td>$12.25</td>
<td>5%</td>
</tr>
<tr>
<td>CTT Completer Full-Time Quality Placement Rate</td>
<td>No. of CTT completers placed in an apprenticeship program, a full-time job, the military, full-time college, full-time job/college combination or a full-time post-secondary training program No. of initially placed CTT completers</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CTT Completer Job Training Match (JTM) Placement Rate</td>
<td>No. of CTT completers placed in a training-related job or the military No. of CTT completers placed in a job or the military</td>
<td>65%</td>
<td>10%</td>
</tr>
<tr>
<td>CTT Completer Job Training Match (JTM) Average Hourly Wage*</td>
<td>Sum of hourly wages of CTT completers placed in a training-related job or the military No. of CTT completers placed in a training-related job or the military</td>
<td>$12.85</td>
<td>5%</td>
</tr>
<tr>
<td>Q2/Q4 PLACEMENT OUTCOMES (40%)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CTT Completer Placement Rate in Quarter 2 After Exit Quarter</td>
<td>No. of CTT completers who report on the Quarter 2 survey they are in a job, the military, or an education/training program No. of CTT completers who complete the Quarter 2 survey</td>
<td>83%</td>
<td>15%</td>
</tr>
<tr>
<td>CTT Completer Placement Rate in Q4 After Exit Quarter</td>
<td>No. of CTT completers who report on the Quarter 4 survey they are in a job, the military, or an education/training program No. of CTT completers who complete the Quarter 4 survey</td>
<td>83%</td>
<td>15%</td>
</tr>
<tr>
<td>CTT Completer Average Earnings in Quarter 2 After Exit Quarter*</td>
<td>Sum of earnings of CTT completers who report they are in a job or the military on the Quarter 2 survey No. of CTT completers who complete the Quarter 2 survey and report they are in a job or the military in the second quarter after exit quarter</td>
<td>$5,700</td>
<td>10%</td>
</tr>
</tbody>
</table>

*Model-based Goal (center level) 100%
## PY 2019 CTT REPORT CARD

<table>
<thead>
<tr>
<th>Measure</th>
<th>Definition</th>
<th>Goal</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Direct Center Services (20%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Career Technical Training (CTT) Program Completion Rate</td>
<td>No. of students who complete a CTT program</td>
<td>80%</td>
<td>10%</td>
</tr>
<tr>
<td></td>
<td>No. of separated students assigned to a CTT program</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CTT Industry-Recognized Credential (IRC) I Attainment Rate</td>
<td>No. of CTT students who attain an approved Primary IRC or complete an NTC program</td>
<td>90%</td>
<td>7.5%</td>
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<tr>
<td></td>
<td>No. of separated students assigned to a CTT program</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CTT Industry-Recognized Credential (IRC) II Attainment Rate</td>
<td>No. of CTT students who attain an approved Secondary IRC or an approved second Primary IRC</td>
<td>90%</td>
<td>2.5%</td>
</tr>
<tr>
<td></td>
<td>No. of separated students assigned to a CTT program</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>CTS Placement Outcomes (40%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CTT Completer Placement Rate</td>
<td>No. of CTT completers placed in a job, the military, an education/training program, or who transferred to an approved Advanced Training program at another center</td>
<td>92%</td>
<td>10%</td>
</tr>
<tr>
<td></td>
<td>No. of CTT completers whose placement records are due or received or who transferred to an approved Advanced Training program at another center</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CTT Completer Average Hourly Wage at Placement*</td>
<td>Sum of hourly wages of CTT completers placed in a job or the military</td>
<td>$12.25</td>
<td>5%</td>
</tr>
<tr>
<td></td>
<td>No. of CTT completers placed in a job or the military</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CTT Completer Full-Time Quality Placement Rate</td>
<td>No. of CTT completers placed in an apprenticeship program, a full-time job, the military, full-time college, full-time job/college combination or a full-time post-secondary training program</td>
<td>75%</td>
<td>10%</td>
</tr>
<tr>
<td></td>
<td>No. of initially placed CTT completers</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CTT Completer Job Training Match (JTM) Placement Rate</td>
<td>No. of CTT completers placed in a training-related job or the military</td>
<td>65%</td>
<td>10%</td>
</tr>
<tr>
<td></td>
<td>No. of CTT completers placed in a job or the military</td>
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<td>CTT Completer Job Training Match (JTM) Average Hourly Wage*</td>
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<tr>
<td></td>
<td>No. of CTT completers placed in a training-related job or the military</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Q2/Q4 Placement Outcomes (40%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CTT Completer Placement Rate in Quarter 2 After Exit Quarter</td>
<td>No. of CTT completers who report on the Quarter 2 survey they are in a job, the military, an education/training program, or a job/college combination</td>
<td>83%</td>
<td>15%</td>
</tr>
<tr>
<td></td>
<td>No. of CTT completers who complete the Quarter 2 survey</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CTT Completer Placement Rate in Q4 After Exit Quarter</td>
<td>No. of CTT completers who report on the Quarter 4 survey they are in a job, the military, an education/training program, or a job/college combination</td>
<td>83%</td>
<td>15%</td>
</tr>
<tr>
<td></td>
<td>No. of CTT completers who complete the Quarter 4 survey</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CTT Completer Average Earnings in Quarter 2 After Exit Quarter*</td>
<td>Sum of earnings of CTT completers who report they are in a job or the military on the Quarter 2 survey</td>
<td>$5,700</td>
<td>10%</td>
</tr>
<tr>
<td></td>
<td>No. of CTT completers who complete the Quarter 2 survey and report they are in a job or the military in the second quarter after exit quarter</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*Model-based Goal (center level)
APPENDIX 501 - Attachments

POLICIES AND PROCEDURES
FOR
PROGRAM YEAR (PY) 2019
OUTCOME MEASUREMENT SYSTEM
APPENDIX 501 - Attachments

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Attachment 1

Workforce Innovation Opportunity Act (WIOA) Performance Reporting System

Following guidance from the Office of Management and Budget (OMB) and the Employment and Training Administration (ETA) of Department of Labor (DOL), Job Corps (JC) has established a Workforce Innovation and Opportunity Act (WIOA) Reporting System, independent of the Performance Management System and the Outcome Measurement System (OMS) Report Cards.

While Job Corps began collecting data through this new reporting system in Program Year (PY) 2016, national targets will not be set for the WIOA measures until sufficient annual data has been collected and any inconsistencies in the data collection have been resolved. Until the new WIOA performance system has been fully implemented, stabilized, and targets have been set, Job Corps will continue to use OMS reports for decision making in the areas of contract and option year awards, past effectiveness scores, incentive fees, performance assessments, and Performance Improvement Plan (PIP) graduation evaluations.

Beginning PY 2018, Job Corps is developing a system to use quarterly wage records, as available, as the primary data source to report on the employment status and wages of Job Corps participants. This use of quarterly wage record data to assess participants’ outcomes is required under section 159(e) of WIOA. Job Corps will continue to use its post-separation survey to collect responses regarding employment, education and training status, and earnings at Quarter 2 and Quarter 4 after exit (OMB No. 1205-0426, *Placement Verification and Follow-up of Job Corps Participants*) as a supplemental data source to the quarterly wage records.

Additionally, as of PY 2018, Job Corps has adopted the definition used by other DOL programs to define Quarter 2 as the second calendar quarter after the exit quarter, and Quarter 4 as the fourth calendar quarter after the exit quarter. For example, if the participant’s date of exit is between January 1st and March 31st, the second quarter after exit would be July 1st through September 30th. This change in the definitions of the reporting quarters serves two goals:

- Alignment with other DOL employment and training programs that all employ this definition; and,
- Alignment with how quarterly wage records are reported, which will serve as the primary data source for reporting employment status and earnings for Job Corps (with the surveys serving as supplemental data sources) under WIOA.
Under Job Corps’ WIOA Reporting System, a new WIOA Quarterly Performance Report (QPR) is used to report Job Corps’ outcomes on the six primary WIOA measures detailed in Section 116 of the legislation. In PY 2018, Job Corps began transmitting data quarterly, including results from the post-separation surveys, to the DOL Workforce Integrated Performance System (WIPS). WIPS will utilize the transmitted data to obtain quarterly wage record matches through the Common Reporting Information System (CRIS) and subsequently produce the QPRs with results for the six primary performance measures. The QPR is designed in the same format as the other adult and youth programs administered by DOL/ETA, the U.S. Department of Education (ED), and the U.S. Department of Health and Human Services (HHS), to enable performance comparison across these programs.

In accordance with proposed regulations for implementing WIOA, as indicated in the DOL and U.S. Education Department joint regulations, Job Corps has developed the following specifications for the six primary measures.

<table>
<thead>
<tr>
<th>WIOA PRIMARY PERFORMANCE MEASURES</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Measure</strong></td>
</tr>
<tr>
<td>Measure 1: Employment or Education/Training Rate (Quarter 2 After Exit)</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>Measure 2: Employment or Education/Training Rate (Quarter 4 After Exit)</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>Measure 3: Median Earnings (Quarter 2 After Exit)</td>
</tr>
<tr>
<td>Measure 4: Credential Attainment Rate</td>
</tr>
<tr>
<td>-------------------------------------</td>
</tr>
<tr>
<td>The credential attainment measure includes two cohorts of participants.</td>
</tr>
</tbody>
</table>
| Cohort 1 Pool + Cohort 2 Pool | Below is the more detailed formula: Number without an HSD/HSE at entry who were in a secondary education program (≥ 9th grade) while in Job Corps and obtained an HSD/HSE during the program or within one year after exit AND who were also employed or enrolled in an education/training program leading to a postsecondary credential within one year after exit, PLUS the number with an HSD/HSE at entry, who were in a postsecondary education/training program while in Job Corps and obtained a postsecondary credential (i.e., completed CTT, completed AT, completed ACT, or obtained a primary training-related IRC) during the program or within one year after exit. | Number of committed participants without an HSD/HSE at entry who exited and were in a secondary education program while in Job Corps, PLUS the number of committed participants with an HSD/HSE at entry who exited and were in a postsecondary education/training program while in Job Corps.
### WIOA PRIMARY PERFORMANCE MEASURES

<table>
<thead>
<tr>
<th>Measure</th>
<th>Pool</th>
<th>Credit</th>
<th>Formula</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Measure 5: Measurable Skill Gains Rate</strong></td>
<td>The pool for this measure is based on participants served and is not restricted to exiters. &lt;br&gt;The participants served pool includes: &lt;br&gt;(1) All participants who demonstrated a commitment to Job Corps and exited during the program year; and &lt;br&gt;(2) All students who completed CPP or enrolled in the program at least 60 days prior to the end of the program year and did not exit.</td>
<td>A participant will be considered to have obtained a measurable skill gain if during the program year he/she obtained one (or more) of the following types of skill gains: &lt;br&gt;(1) Obtained at least 1 EFL gain on the last TABE reading and/or math test in the reporting period as compared to the initial test; &lt;br&gt;(2) Obtained an HSD/HSE; &lt;br&gt;(3) Completed a CTT program; &lt;br&gt;(4) Completed an AT program; &lt;br&gt;(5) Obtained a primary training-related industry recognized credential; &lt;br&gt;(6) Completed at least 12 credits in ACT; or &lt;br&gt;(7) Completed an approved industry foundations course.</td>
<td>Number who obtained one (or more) of the following during the program year: at least 1 GLE gain, an HSD/HSE, a CTT, an AT, or a training-related primary IRC &lt;br&gt;Number of committed participants served during the program year</td>
</tr>
<tr>
<td><strong>Measure 6: Effectiveness in Serving Employers Rate</strong></td>
<td>All JC participants who demonstrated a commitment to the program (completed CPP or remained in program at least 60 days) and exited and were employed in quarter 2 after the exit quarter</td>
<td>The number of committed participants who worked for the same employer in both quarter 2 and in quarter 4 after the exit quarter.</td>
<td>Number employed by the same employer in quarter 2 and quarter 4 after the exit quarter &lt;br&gt;Number of committed participants who exited and were employed in quarter 2 after the exit quarter</td>
</tr>
</tbody>
</table>

Although the broad description of several of the primary WIOA measures above may appear very similar to performance measures that Job Corps has used in the past, the definitions of the primary WIOA measures actually differ significantly on key elements.

1. **Broader Student Pools.** Job Corps has reported placement results for two groups of students – former enrollees and graduates – with all long-term placement-related measures focused on graduates. Under WIOA, specifically Measures 1-3, the pools for the placement measures include the broader group of students who demonstrate a commitment to the program (i.e., complete CPP or stay 60 or more days in Job Corps). Students who meet this definition are defined as “participants” for the purpose of WIOA reporting. As of PY 2018, Job Corps is required to use quarterly
wage record matches as the primary data sources for reporting on WIOA Measures 1-3, with survey data used as a supplemental data source. For this reason, Job Corps must include all committed participants, not just those who complete the appropriate post-separation survey, in its pools for reporting on Measures 1 and 2. Similarly, the pool for WIOA Measure 3, Median Earnings in Quarter 2, will include all committed participants who were in an unsubsidized job and had earnings in Quarter 2 as reported either through quarterly wage records or the post-separation surveys.

2. **Timing of Long-term Placement Outcomes.** Prior to PY 2016, Job Corps reported placements, for initially placed graduates, at the sixth month and twelfth month after their initial placement. For a placement to be considered, the student must have been in a job/military or in education/training during the week prior to the week the survey is conducted, and earnings are reported for that one-week period. In contrast, WIOA requires that placement results be reported, for all participants for the second and fourth quarters after the exit quarter. WIOA also credits a placement that occurs at any point during the quarter, and reports the earnings obtained during the entire quarter.

3. **Calculation of Long-Term Earnings.** WIOA requires reporting of median earnings over the quarter, whereas Job Corps has historically reported average earnings over the week prior to the survey.

4. **Attainment of Multiple Accomplishments.** Job Corps has traditionally reported the attainment of various credentials and skill gains as separate measures. In contrast, WIOA Measures 4 and 5 consider the attainment of participants’ accomplishments (e.g., learning gains, attainment of HSD/HSE, CTT, and primary IRCs) collectively and credit a participant’s attainment of any one of these.

5. **Credential/Skill Attainments Pool.** Job Corps has always reported student accomplishments for a cohort of separated students. In contrast, the pool for the WIOA Measure 5, Measurable Skill Gains, is composed of participants served that includes both active/enrolled and separated participants (i.e., all participants who are in the program at the beginning of the reporting period and all participants who enroll during the reporting period). Credit is then given for any skill gain(s) obtained during the program year by these active/enrolled and separated participants.
Since credit is given for attainments made by participants while they are active/enrolled, it is important that these accomplishments be reported in real-time as they occur. Delays in reporting when students enter or complete CTT programs or complete other accomplishments in a timely manner will result in underreporting and will negatively impact Job Corps’ performance on this measure.

6. **Effectiveness in Serving Employers.** WIOA added a measure of effectiveness in serving employers. Following guidance from ETA, Job Corps interprets this measure as working for the same employer in the second and fourth quarters after the exit quarter.
<table>
<thead>
<tr>
<th>INITIAL PLACEMENT CATEGORY</th>
<th>ALLOWABLE UPGRADES</th>
</tr>
</thead>
<tbody>
<tr>
<td>A.  Full-time JTM Job Placement</td>
<td>• Full-time JTM with wage increase</td>
</tr>
<tr>
<td></td>
<td>• Registered Apprenticeship</td>
</tr>
<tr>
<td>B.  Post-secondary School/Training, College Placement or Full-time Job/College Combination (PSC)</td>
<td>• Full-time JTM Job Placement</td>
</tr>
<tr>
<td></td>
<td>• Registered Apprenticeship</td>
</tr>
<tr>
<td>C.  Full-time Non-JTM Job Placement</td>
<td>• Full-time JTM with same or higher wage</td>
</tr>
<tr>
<td></td>
<td>• Post-secondary School/Training, College Placement or Full-time Job/College Combination (PSC)</td>
</tr>
<tr>
<td></td>
<td>• Full-time Non-JTM Job with wage increase</td>
</tr>
<tr>
<td></td>
<td>• Registered Apprenticeship</td>
</tr>
<tr>
<td>D.  Part-time JTM Job Placement</td>
<td>• Full-time JTM Job Placement with same or higher wage</td>
</tr>
<tr>
<td></td>
<td>• Post-secondary School/Training, College Placement or Full-time Job/College Combination (PSC)</td>
</tr>
<tr>
<td></td>
<td>• Part-time JTM Job with higher wage</td>
</tr>
<tr>
<td></td>
<td>• Registered Apprenticeship</td>
</tr>
<tr>
<td>E.  Part-time Job/College Combination (PSC)</td>
<td>• Full-time JTM Job Placement</td>
</tr>
<tr>
<td></td>
<td>• Post-secondary School/Training, College Placement or Full-time Job/College Combination (PSC)</td>
</tr>
<tr>
<td></td>
<td>• Part-time JTM Job Placement</td>
</tr>
<tr>
<td></td>
<td>• Registered Apprenticeship</td>
</tr>
<tr>
<td>F.  Part-time Non-JTM Job Placement</td>
<td>• Full-time JTM with same or higher wage</td>
</tr>
<tr>
<td></td>
<td>• Post-secondary School/Training, College Placement or Full-time Job/College Combination (PSC)</td>
</tr>
<tr>
<td></td>
<td>• Full-time Non-JTM Job Placement with same or higher wage</td>
</tr>
<tr>
<td></td>
<td>• Part-time JTM Job with same or higher wage</td>
</tr>
<tr>
<td></td>
<td>• Part-time Job/College Combination (PSC)</td>
</tr>
<tr>
<td></td>
<td>• Part-time Non-JTM Job with wage increase</td>
</tr>
<tr>
<td></td>
<td>• Registered Apprenticeship</td>
</tr>
</tbody>
</table>
| G. High School, Other Training Program, On the Job Training (OJT)/Subsidized Employment | • Full-time JTM Job Placement  
• Post-secondary School/Training, College Placement or Full-time Job/College Combination (PSC)  
• Full-time Non-JTM Job Placement  
• Part-time JTM Job Placement  
• Part-time Job/College Combination (PSC)  
• Part-time Non-JTM Job Placement  
• Registered Apprenticeship |
|---|---|
| H. Registered Apprenticeship | • Full-time JTM Job Placement with same or higher wage  
• Full-time Non-JTM Job with wage increase  
• Post-secondary School/Training, College Placement or Full-time Job/College Combination (PSC) |
## Attachment 3

### PY 2019 Center Report Card Pools and Credits for Students Transferred to Advanced Training (AT) Programs at Another Center

<table>
<thead>
<tr>
<th>Event</th>
<th>Measure(s)</th>
<th>Indicator</th>
<th>Sending Center</th>
<th>AT Center</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Pool</td>
<td>Credit</td>
</tr>
<tr>
<td></td>
<td></td>
<td>HSD/HSE</td>
<td>As applicable</td>
<td>As applicable</td>
</tr>
<tr>
<td></td>
<td></td>
<td>CTT Completion</td>
<td>As applicable</td>
<td>As applicable</td>
</tr>
<tr>
<td>Student Transfers to AT Center</td>
<td>Primary IRC/NTC Completion</td>
<td>As applicable</td>
<td>As applicable</td>
<td>n/a</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Combination HSD/HSE, CTT and Primary IRC/NTC Completion</td>
<td>As applicable</td>
<td>As applicable</td>
</tr>
<tr>
<td></td>
<td>Measurable Skill Gains Rating</td>
<td>Literacy Gains</td>
<td>As applicable</td>
<td>As applicable</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Numeracy Gains</td>
<td>As applicable</td>
<td>As applicable</td>
</tr>
<tr>
<td></td>
<td>Placement Rate (placement code updated based upon placement status after separation)</td>
<td>1</td>
<td>1</td>
<td>n/a</td>
</tr>
<tr>
<td></td>
<td>Placement Quality Rating</td>
<td>JTM Rate</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Average Hourly Wage</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Full-time Quality Placement</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Graduate Separates from AT Center</td>
<td>Credential Attainment Rating</td>
<td>CTT Completion</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Primary IRC/NTC Completion</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Combination HSD/HSE, CTT and Primary IRC/NTC Completion</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td></td>
<td>Placement Rate</td>
<td>n/a</td>
<td>n/a</td>
<td>1</td>
</tr>
</tbody>
</table>

### Graduate Placed or Placement Window Closes

#### Placed in Job/Military

<table>
<thead>
<tr>
<th>Placement Quality Rating</th>
<th>JTM Rate:</th>
</tr>
</thead>
<tbody>
<tr>
<td>if JTM for both centers</td>
<td>1</td>
</tr>
<tr>
<td>if JTM for neither center</td>
<td>1</td>
</tr>
<tr>
<td>if JTM for sending center only</td>
<td>1</td>
</tr>
<tr>
<td>if JTM for receiving center only</td>
<td>0</td>
</tr>
</tbody>
</table>

| Average Hourly Wage | add wage to total | 1 | add wage to total |
| Full-time Quality Placement | 1 | 1/0 | 1 | 1/0 |

#### Placed in School

| Placement Rate | n/a | n/a | 1 | 1 |
| Placement Rate | JTM Rate | n/a | n/a | n/a | n/a |
## PY 2019 Center Report Card Pools and Credits for Students Transferred to Advanced Training (AT) Programs at Another Center

<table>
<thead>
<tr>
<th>Event</th>
<th>Measure(s)</th>
<th>Indicator</th>
<th>Sending Center</th>
<th>AT Center</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Pool</td>
<td>Credit</td>
</tr>
<tr>
<td>Quality Rating</td>
<td>Average Hourly Wage</td>
<td>n/a</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td></td>
<td>Full-time Quality Placement</td>
<td>1</td>
<td>1/0</td>
<td>1</td>
</tr>
<tr>
<td>Placed in combination of School &amp; Job</td>
<td>Placement Rate</td>
<td>n/a</td>
<td>n/a</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>JTM Rate</td>
<td>n/a</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td></td>
<td>Average Hourly Wage</td>
<td>n/a</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td></td>
<td>Full-time Quality Placement</td>
<td>1</td>
<td>1/0</td>
<td>1</td>
</tr>
<tr>
<td>Not Placed</td>
<td>Placement Rate</td>
<td>n/a</td>
<td>n/a</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>JTM Rate</td>
<td>n/a</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td></td>
<td>Average Hourly Wage</td>
<td>n/a</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td></td>
<td>Full-time Quality Placement</td>
<td>n/a</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>Second and Fourth Quarter After Exit Surveys</td>
<td>Graduate and Former Enrollee Placement in Quarter 2</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>If Q2/Q4 survey not completed</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>If Q2/Q4 survey completed</td>
<td>Graduate and Former Enrollee Placement in Quarter 2</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>If Q2/Q4 survey completed</td>
<td>1</td>
<td>1/0</td>
<td>1</td>
<td>1/0</td>
</tr>
<tr>
<td>If Q2 survey completed and student is working in a job or in the military</td>
<td>Graduate and Former Enrollee Average Earnings in Quarter 2</td>
<td>1</td>
<td>add earnings to total</td>
<td>1</td>
</tr>
</tbody>
</table>

**Note:** This does not apply to ACT transfers
Attachment 4

PY 2019 Instructions for Filing an Appeal of Second or Fourth Quarter After Exit Quarter Survey Data – Placement Outcomes

GENERAL INSTRUCTIONS
1. Use this form to file an appeal for Q2 and Q4 survey placement outcomes only.
2. The appeal must be filed within 90 days of the month in which the student’s record first appears on the Center OMS-20, CTT-20, or CTS OMS-20.
3. Job Corps Centers, CTS contractors, and National Training Contractors (NTCs) may file an appeal.
4. Appeals must be submitted with supporting documentation.
   Note: Do not include the student’s Social Security Number on any documentation.
5. Submit the completed and signed fillable appeal form(s) with scanned supplemental documentation by e-mail only to:
   surveyappeals@dol.gov
6. See the timetable in Appendix 501 Introduction, 8(d) Appeal Process for dates during which appeals must be received by the National Office in order to be processed for each month in PY 2019.

INSTRUCTIONS FOR COMPLETING THE APPEAL FORM

Check Box for Appeal
1. Check the appropriate box(es) to indicate which survey (Quarter 2 or Quarter 4) and which placement outcome you are appealing.
2. This form may be used to file an appeal for a job, education, or training placement. To file an earnings appeal, use the “PY 2019 Job Corps Appeal Form Quarter 2 and Quarter 4 Survey Earnings Outcomes” found in PRH, Appendix 501 Introduction Attachment 5.
   Note: All appeals for job placements must also include an appeal for earnings for the same quarter

Student Information
1. Enter the student’s Job Corps-assigned student Identification Number.
2. Enter the student’s last name, followed by middle initial, and first name.
3. Enter the name of the center from which the student separated.
4. Enter the month, day, and year that the student exited the program.
5-6. You must determine the survey reference quarter for which you are filing an appeal based on the student’s separation date. The table below shows the Quarter 2 and Quarter 4 periods based upon the quarter the student separated.

<table>
<thead>
<tr>
<th>Q2 Exit Quarter</th>
<th>Q2 Survey Reference Quarter</th>
<th>Q2 Survey Quarter Start of 8-Week Survey Window</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Q4 Exit Quarter</th>
<th>Q4 Survey Reference Quarter</th>
<th>Q4 Survey Quarter Start of 8-Week Survey Window</th>
</tr>
</thead>
</table>

Note: If no survey record appears in CIS, then an appeal cannot be filed.

Use the table below to determine which sections to complete for different types of placements:

<table>
<thead>
<tr>
<th>Type of Placement:</th>
<th>If Appealing:</th>
<th>Then Complete:</th>
</tr>
</thead>
<tbody>
<tr>
<td>One part-time or full-time job</td>
<td>Same</td>
<td>Section A</td>
</tr>
<tr>
<td>School or training placement</td>
<td>Same</td>
<td>Section B</td>
</tr>
</tbody>
</table>

Section A: Employment
If you are appealing data on employment status, complete Section A.
1. Enter the employer’s name.
2. Enter the total number of hours that the student worked during a 1-week period, and
also enter the start and end dates of the reference week. The student must have worked the minimum number of hours required to meet Job Corps’ placement definition, during a 7-day consecutive period at any time in the applicable quarter for the job(s), to qualify for credit. That is, for full-time employment, the student must have worked 32 hours in 1 or more unsubsidized job(s), or 40 hours in the Armed Forces, or be in a paid, registered apprenticeship job during a 7-day consecutive period at any time in the quarter. For part-time employment, the student must have worked 20 or more hours but less than 32 hours in one or more unsubsidized job(s) during a 7-day consecutive period at any time in the quarter. Additionally, the job placement must meet any other criteria stipulated in Exhibit 4-1.

3. Check the appropriate box to indicate the student’s earnings unit (i.e., if the student was paid hourly, weekly, monthly, or daily) as indicated on the student’s pay stub.

4. Enter the dollar amount of earnings on the line that corresponds with the earnings unit selected as indicated on the student’s pay stub. Note: The student must have earned at least the Federal Minimum Wage (FMW) for this to qualify as a valid Job Corps Job Placement.

5. If the student earned other payments from this job during the 7-day consecutive period identified in Item 2 above (e.g., bonus, tips, commission, etc.), enter the dollar amount on the appropriate line of Item 4.

You must attach written documentation of employment information. Pay information must at a minimum, (1) include the complete 7-day consecutive period (identified in Item 2 above) that occurs within the applicable quarter and (2) show that the student worked a minimum of 20 hours during the 7-day consecutive period. For example: The quarter is from January 1 to April 1. The student is paid by the week and the pay stub covers February 6th to February 12th when the student worked 22 hours. The overlap in dates and the documentation of the minimum required hours will serve as valid documentation. Written documentation may include: a pay stub, a written statement from the employer on letterhead, or a business card/official stamp affixed to an Employer Verification Form. Documentation through a third-party verifier such as The Work Number, detailing the student’s employment information (such as employment verification, hours, and payment) in such a way as to meet Job Corps’ placement requirements, will also be accepted for verification. The Employer Verification Form should be completed and signed by the employer and submitted to the National Office as part of the appeal package. Please see Exhibit 4-2 for further information regarding documentation requirements.

Section B: Education

If appealing data on education status, complete Section B.

1. Enter the name of the school or training institution.

2. Check the appropriate box to indicate the type of school, college, or training program the student attends or attended. The student must attend or have attended school/training for the minimum number of hours required, or be enrolled for the minimum number of credits, to meet Job Corps’ educational placement definition during a 7-day consecutive period at any time in the applicable quarter for the school/training to qualify for credit. Additionally, the educational placement must meet
3. Enter information on attendance/enrollment in this column if the student:
   a. is enrolled in high school, enter the grade level and the number of hours the student attended during a 7-day consecutive period at any time in the applicable quarter. The student must be enrolled in 9th grade or higher to qualify.
   b. was enrolled in a post-secondary CTT or technical school, enter the number of hours the student attended during a 7-day consecutive period at any time in the applicable quarter.
   c. was enrolled in college, record the number of course credit hours the student was registered to take for the period that includes the dates of a 7-day consecutive period at any time in the applicable quarter.
   d. was enrolled in an on-the-job training program or was working in a subsidized job, enter the number of hours the student worked during a 7-day consecutive period at any time in the applicable quarter.
   e. was enrolled in an “other” program (e.g., a program to obtain a High School Equivalency (HSE), etc.), enter the number of hours the student attended during a 7-day consecutive period at any time in the applicable quarter.

4. If other training was completed during the survey week, specify the type of program and training.

You must attach written documentation from the school, training program, college (on official letterhead or with an official stamp) or third-party verification such as the National Student Clearinghouse, documenting that the student was enrolled or attended during the 7-day consecutive period at any time in the applicable quarter. Please see Exhibit 4-2 for further information regarding documentation requirements.

**Information on Person Completing the Form**

1. Enter your last name and first name.
2. Enter the name of the center or placement contractor where you are located and the appropriate six-digit identification code for your center/contractor.
3. Enter the telephone number at which you may be reached.
4. Enter the e-mail address at which you may be reached.
5. Sign your name.
6. Enter the date you are submitting the form.
## PY 2019 JOB CORPS APPEAL FORM QUARTER 2 AND QUARTER 4 SURVEY PLACEMENT OUTCOMES

### Student Information (Please Print):

<table>
<thead>
<tr>
<th>Check Box for Appeal:</th>
<th>Q2 Placement</th>
<th>Q4 Placement</th>
</tr>
</thead>
</table>

1. Student Identification Number: 

2. Last Name: 
   - MI: 
   - First Name: 

3. Center Attended: 

4. Date of Separation: 
   - Month: 
   - Day: 
   - Year: 

### Correct Start and End Dates for the Appropriate Quarter (Quarter 2 or Quarter 4 after Exit Quarter)

<table>
<thead>
<tr>
<th>Start Date of Quarter:</th>
<th>Month:</th>
<th>Day:</th>
<th>Year:</th>
</tr>
</thead>
<tbody>
<tr>
<td>End Date of Quarter:</td>
<td>Month:</td>
<td>Day:</td>
<td>Year:</td>
</tr>
</tbody>
</table>

### Complete Section A and/or Section B Below:

#### Section A: Complete this section if appeal is for employment during the quarter. Attach a pay stub which shows start and end dates for (minimally) a one week period that occurred at any time during the appropriate quarter after exit.

1. Employer’s Name: 

2. Reference Week Start/End Dates (7-day Consecutive Period of Employment): Total Hours (worked 7-day reference week during the quarter):

3. Earnings* Unit (check one): 
   - Hourly: $ 
   - Weekly: $ 
   - Monthly: $ 
   - Daily: $ 

4. Dollar Amount (enter earnings for unit selected): $ 

5. Other weekly payments (e.g., bonuses, tips, commission, etc.): $ 

* Earnings per hour must equal or exceed the Federal Minimum Wage to qualify as a valid placement.

#### Section B: Complete this section if the appeal is for education data. Attach a letter from the institution stating student attended or was enrolled for the minimum hours required for a valid Job Corps placement for, minimally, a one-week period at any point during the quarter.

1. Enter Name of School/Training Institution: 

2. Type of School/Training Program (check one): 
   - High School 
   - Post-secondary CTT/Technical School 
   - College 
   - On-the-Job Training or Subsidized Employment 
   - Other Training 

3. Enter Information on School/Training Below: 
   - Grade: 
   - Hours attended in one week: 
   - No. of hours attended in one week: 
   - No. of credit hours enrolled: 
   - No. of hours attended in one week: 
   - No. of hours attended in one week: 

4. If Other Training, specify type: 

---

**U.S. Department of Labor**

**PY 2019 JOB CORPS APPEAL FORM QUARTER 2 AND QUARTER 4 SURVEY PLACEMENT OUTCOMES**
**INFORMATION OF PERSON COMPLETING THE FORM:**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Print Your Name:</td>
<td>2. Contractor Name/Code (six-digit ID Code):</td>
</tr>
<tr>
<td>3. Your Telephone:</td>
<td>4. Your e-mail address:</td>
</tr>
<tr>
<td>5. Signature:</td>
<td>6. Date form submitted:</td>
</tr>
</tbody>
</table>

**National Office Use Only**

Reviewed by: Date:

- Approved
- Not Approved

Reason for Denial:
Attachment 5

PY 2019 Instructions for Filing an Appeal of Second Quarter or Fourth Quarter After Exit Quarter Survey Data – Earnings Outcomes

GENERAL INSTRUCTIONS
1. Use this form to file an appeal for Q2 survey earnings outcomes only. One summary sheet must be submitted along with one completed worksheet for each job the student held during the quarter.
2. The appeal must be filed within 90 days of the month in which the student’s record first appears on the Center OMS-20, CTT-20, or CTS OMS-20.
3. Job Corps Centers, CTS contractors, and National Training Contractors (NTCs) may file an appeal.
4. Appeals must be submitted with supporting documentation.
   Note: Do not include the student’s Social Security Number on any documentation.
5. Submit the completed and signed fillable appeal form(s) with scanned supplemental documentation by e-mail only, to:

   surveyappeals@dol.gov

6. See the timetable in Appendix 501 Introduction, 9(d) Appeal Process for dates during which appeals must be received by the National Office in order to be processed for each month in PY 2019.

INSTRUCTIONS FOR COMPLETING THE APPEAL FORM

All appeals require a completed Summary Page. For the earnings appeal that you are submitting, please do the following:

Check Box for Appeal
1. Check the appropriate box(es) to indicate whether you are appealing earnings outcomes for Quarter 2 or Quarter 4.
2. All earnings appeals must be either for a job placement that has been credited for the appropriate quarter (student took the survey and received a positive result), or be accompanied by a job placement appeal.

Student Information
1. Enter the student’s Job Corps-assigned student Identification Number.
2. Enter the student’s last name, followed by middle initial, and first name.
3. Enter the name of the center from which the student separated.
4. Enter the month, day, and year that the student exited the program.

5-6. You must determine the service reference period for which you are filing an appeal based on the student’s separation date. The table below shows the Quarter 2 period based upon the quarter the student separated.

<table>
<thead>
<tr>
<th>Q2 Exit Quarter</th>
<th>Q2 Survey Reference Quarter</th>
<th>Q2 Survey Quarter Start of 8-Week Survey Window</th>
</tr>
</thead>
</table>

Note: If no survey record appears in CIS, then an appeal cannot be filed.

Information on Person Completing the Form

1. Enter your last name and first name.
2. Enter your full position title with no abbreviations.
3. Enter the telephone number at which you may be reached.
4. Enter the e-mail address at which you may be reached.
5. Enter the name of the center or placement contractor where you are located and the appropriate six-digit identification code for your center/contractor.
6. Enter the date you are submitting the form.
7. Sign your name.

Summary Job Placement Information

1. Enter the number of total jobs held during the appealed quarter for which verification documentation is being submitted with this appeal. Enter all jobs regardless of the duration, hours, and pay of that job. A separate job worksheet must be completed for each of these jobs. Please note that placements in AmeriCorps VISTA, National Civilian Community Corps (NCCC) and Public Allies are classified as training placements and are not included in the pools of the earnings measures.

2. Enter the total quarterly earnings for all jobs held in the appealed quarter for which documentation is being submitted with this appeal. These total earnings must equal the sum of the total quarterly earnings of each job for which a Job Worksheet has been completed as part of this appeal.

3. Enter the number of total pages included in the appeal paperwork; this includes the completed form, completed worksheets for each job, and all supporting documentation.
of the earnings received through each job for hours worked in the quarter.

**INSTRUCTIONS FOR COMPLETING THE JOB WORKSHEET**

Complete one worksheet per job held by the student during the quarter.

1. Enter the name of the employer.
2. Enter the start date of employment. The start date can be before or during the appeal quarter.
3. Enter the end date of employment. The end date can be within or after the appeal quarter. If the student is still employed enter “Active.”
4. Enter the employer’s business address.
5. Select the type of documentation submitted to verify the earnings for this job. Multiple types of documentation may need to be submitted for one job dependent upon the type of documentation obtained and the information it contains. For a list of acceptable documentation, including what specifically the documentation must include, and how to submit the documentation to NOJC, please see Table 1 below.

The following section contains the worksheet to determine the quarter’s pay for that job. The worksheet is comprised of rows and columns to ultimately calculate the total pay the student received from this job for hours worked during the quarter.

Each row should contain information for one pay period worked during the quarter as shown on the earnings documentation. A pay period could be for the entire month, bi-monthly, bi-weekly, or weekly. A quarter is comprised of 13 weeks; however, this may not correspond perfectly with the student’s work weeks, and the pay periods, for the quarter, may actually fall across 15 work weeks. Only those hours worked during the quarter and the corresponding earnings should be entered in the worksheet.

The columns show for each pay period the start- and end-date of the pay period, the details of wages, hours and earnings to calculate base pay, the details for calculating extra pay, and the total pay.

Enter the begin-date and end-date of the period; the begin date must be no earlier than the start of the quarter and the end date can be no later than the end of the quarter.

For the columns under “Standard Pay” and “Extra Pay”, only the relevant columns in the worksheet need to be completed; determining the relevant columns is dependent upon the information supplied through the documentation validating the earnings for this job. For example, if a pay stub is obtained that indicates the number of hours worked in the pay period, hourly wage and earnings made in the pay period, then this information needs to be entered into the “Number of hours worked per period,” “Wage per hour,” and “Gross earnings for the period,” respectively. Similarly, under the “Extra Pay” columns only enter information on Overtime, Tips, and Commissions earned from hours worked during
the quarter that are shown in the documentation. If there is information for “Other Pay,” include an explanation in the area indicated of the type of payment.

The “Base Pay Subtotal,” is to be calculated based upon information entered in the previous five columns. The following formulas can be used to calculate Base Pay:

- “Number of days worked” x “Number of hours worked per day” x “Wage per hour”
- “Number of hours worked per period” x “Wage per hour”
- “Gross Earnings per the period”

The “Extra Pay Subtotal” is to be calculated based upon information entered in the previous six columns. The following formula can be used to calculate Extra Pay:

- “Overtime Pay”* + “Tips” + “Commissions” + “Other”
  *“Overtime Pay” is calculated by multiplying “Overtime Wage” by “Overtime Hours”

For each pay period, sum the “Base Pay Subtotal” with the “Extra Pay Subtotal” to calculate the “Total Pay.”

When information has been entered for all pay periods, sum the “Total Pay” to obtain the “Quarter Total Pay” for that job.

When you have entered all information for all jobs and earnings during the quarter under appeal, add the total earnings from each individual job together. This number must be the total for the summary sheet.
## Table 1. Acceptable Documentation for Earnings Appeals for Each Job

<table>
<thead>
<tr>
<th>Type of Documentation</th>
<th>Required Information</th>
<th>Additional Documentation Required</th>
<th>Must be scanned and emailed to NOJC</th>
</tr>
</thead>
<tbody>
<tr>
<td>All pay stubs for earnings received from work conducted in the quarter</td>
<td>All pay stubs from all employers in the quarter must be included in the appeal.</td>
<td>If any criteria listed under required information is missing then a Verification of Employment form is required. Verification of Employment form must include:</td>
<td>Only if Verification of Employment form is included</td>
</tr>
<tr>
<td></td>
<td>All pay stubs must include:</td>
<td>1) Dates of employment;</td>
<td></td>
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<tr>
<td></td>
<td>1) Earnings for the period or the hourly pay rate in the pay period;</td>
<td>2) Company name;</td>
<td></td>
</tr>
<tr>
<td></td>
<td>2) Hours per pay period;</td>
<td>3) Employer address;</td>
<td></td>
</tr>
<tr>
<td></td>
<td>3) Start and end dates for each pay period;</td>
<td>4) Employer phone number;</td>
<td></td>
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<tr>
<td></td>
<td>4) Overtime and commission if included on the Earnings Appeal Form; and,</td>
<td>5) Employer point of contact including their title, phone number, and signature with date.</td>
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</tr>
<tr>
<td></td>
<td>5) The company name and address.</td>
<td>6) Business card, stamp, or proof of company location;</td>
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<tr>
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<td></td>
<td>7) Hours worked by pay rate throughout the quarter for each unique pay rate, and timeline for any raises; and,</td>
<td></td>
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<tr>
<td></td>
<td></td>
<td>8) Overtime, tips, and commission earned during the quarter, if any.</td>
<td></td>
</tr>
</tbody>
</table>

| Proof of income for tax purposes (e.g., W-2) and Verification of Employment form        | Documentation showing all income received from the job worked during the quarter that minimally covers the entire period identified on the Earnings Appeal Form for each job. | Verification of Employment form must include:                                                                                                                      | Yes; Verification of Employment form must be an original or have proof that it was faxed or emailed from employer |
|                                                                                       |                                                                                                                                                       | 1) Dates of employment;                                                                                                                                         |                                     |
|                                                                                       |                                                                                                                                                       | 2) Company name;                                                                                                                                             |                                     |
|                                                                                       |                                                                                                                                                       | 3) Employer address;                                                                                                                                         |                                     |
|                                                                                       |                                                                                                                                                       | 4) Employer phone number;                                                                                                                                     |                                     |
|                                                                                       |                                                                                                                                                       | 5) Employer point of contact including their title, phone number, and signature with date.                                                                   |                                     |
|                                                                                       |                                                                                                                                                       | 6) Business card, stamp, or proof of company location;                                                                                                           |                                     |
|                                                                                       |                                                                                                                                                       | 7) Hours worked by pay rate throughout the quarter for each unique pay rate, and timeline for any raises; and,                                                    |                                     |
|                                                                                       |                                                                                                                                                       | 8) Overtime, tips, and commission earned during the quarter, if any.                                                                                           |                                     |

<p>| Third Party (e.g., The Work Number,) Documentation                                    | Third-party documentation is an acceptable form of verification. Any earnings based on tips will need to be documented on the third party documentation or an alternative from of documentation will be needed. Third-party documentation must include: | If any criteria listed under required information is missing then a Verification of Employment form is required. Verification of Employment form must include: | Only if Verification of Employment form is included |
|                                                                                       |                                                                 1) Each pay period as a separate line with the pay            | 1) Dates of employment;                                                                                                                                         |                                     |
|                                                                                       |                                                                                                                         | 2) Company name;                                                                                                                                             |                                     |
|                                                                                       |                                                                                                                         | 3) Employer address;                                                                                                                                         |                                     |
|                                                                                       |                                                                                                                         | 4) Employer phone number;                                                                                                                                     |                                     |
|                                                                                       |                                                                                                                         | 5) Employer point of contact including their title, phone number, and signature with date.                                                                   |                                     |</p>
<table>
<thead>
<tr>
<th>Type of Documentation</th>
<th>Required Information</th>
<th>Additional Documentation Required</th>
<th>Must be scanned and emailed to NOJC</th>
</tr>
</thead>
<tbody>
<tr>
<td>Earnings Statement from employer or payroll company</td>
<td>period start or end date, hours worked, and gross earnings. 2) Overtime, tips and commission if included on the Earnings Appeal Form; and, 3) Employer company name and address.</td>
<td>6) Business card, stamp, or proof of company location; 7) Hours worked by pay rate throughout the quarter for each unique pay rate, and timeline for any raises; and, 8) Overtime, tips, and commission earned during the quarter, if any.</td>
<td>Only if Verification of Employment for each job worked is included</td>
</tr>
<tr>
<td>Earnings Statement from employer or payroll company</td>
<td>Printed pay summary from the employer or payroll company (e.g., ADP) showing the earnings for the entire period within the quarter included in the appeal for this job. The Earnings Statement must include: 1) Earnings for the pay period or hourly pay rate in the pay period; 2) Hours per pay period; 3) Start and end date of the earnings; 4) Overtime, tips, and commission if included on the Earnings Appeal Form; and 5) Employer company name and address.</td>
<td>If any criteria listed under required information is missing, then a Verification of Employment form is required. Verification of Employment form must include: 1) Dates of employment; 2) Company name; 3) Employer address; 4) Employer phone number; 5) Employer point of contact including their title, phone number, and signature with date. 6) Business card, stamp, or proof of company location; 7) Hours worked by pay rate throughout the quarter for each unique pay rate, and timeline for any raises; and, 8) Overtime, tips, and commission earned during the quarter, if any.</td>
<td>Only if Verification of Employment for each job worked is included</td>
</tr>
<tr>
<td>Timesheet record AND Verification of Employment Form</td>
<td>Printed (not handwritten) timesheet(s) for the entire appeal period showing the hours worked at each job in the quarter. The timesheets must contain employer’s company name, the printed name (as applicable) and signature of the person signing the timesheets.</td>
<td>Verification of Employment Form for each job worked in the quarter must include: 1) Dates of employment; 2) Company name; 3) Employer address; 4) Employer phone number; 5) Point of contact at the employer including their title, phone number, and signature with date. 6) Business card, stamp, or proof of company location; 7) Hours worked by pay rate throughout the quarter for each unique pay rate, and timeline for any raises; and, 8) Overtime, tips, and commission earned during the quarter, if any.</td>
<td>Yes: Verification of Employment form must be original or have proof that it was faxed or emailed from employer</td>
</tr>
</tbody>
</table>
U.S. Department of Labor
PY 2019 JOB CORPS APPEAL FORM QUARTER 2 AND QUARTER 4 SURVEY EARNINGS OUTCOMES

<table>
<thead>
<tr>
<th>Student Information (Please Print):</th>
<th>Check Box for Appeal:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Student Identification Number:</td>
<td>Q2 Earnings</td>
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<tr>
<td></td>
<td>Q4 Earnings</td>
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<tr>
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<td>Job Placement Appeal</td>
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<td>Submitted</td>
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<td></td>
<td>YES</td>
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<td>NO</td>
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</tbody>
</table>

| 2. Last Name: MI                    | First Name            |

| 3. Center Attended:                | 4. Date of Separation: |
|                                     | Month                  |
|                                     | Day                   |
|                                     | Year                  |

Correct Start and End Dates for the Appropriate Quarter (Quarter 2 after Exit Quarter)

<table>
<thead>
<tr>
<th>5. Start Date of Quarter: Month</th>
<th>Day</th>
<th>Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>6. End Date of Quarter: Month</td>
<td>Day</td>
<td>Year</td>
</tr>
</tbody>
</table>

Information of Person Completing the Form:

<table>
<thead>
<tr>
<th>1. Print Your Name:</th>
<th>2. Your Position Title:</th>
</tr>
</thead>
</table>

| 3. Your Telephone: ( )             | 4. Your e-mail address: |

| 5. Contractor Name/Code (six-digit ID Code): | 6. Date form submitted: |

| 7. Signature:                        |

Summary Job Placement Information:

<table>
<thead>
<tr>
<th>1. Number of Jobs Held During Quarter:</th>
<th>2. Total Earnings from All Jobs in the Quarter:</th>
<th>3. Number of Pages Included in Appeal Paperwork:</th>
</tr>
</thead>
</table>

National Office Use Only

Reviewed by: Date:

☑ Approved Reason for Denial:

☑ Not Approved

FOR EACH JOB HELD BY THE STUDENT DURING THE QUARTER, PLEASE COMPLETE A JOB WORKSHEET USING THE TEMPLATE ON THE FOLLOWING PAGE. COMPLETE ONE WORKSHEET FOR EACH JOB.
## JOB WORKSHEET

1. Employer’s Name:  
2. Employment Start Date:  
3. Employment End Date:  
4. Employer’s Address  
5. Type of Documentation Submitted to Verify Earnings:  
   - Pay Stub: _____  
   - Timesheets: _____  
   - Earnings Statement: _____  
   - Third Party: _____  
   - Proof of Income for Tax Purposes: _____  

Complete the following worksheet for each pay period in documentation*. Note, the quarter period is comprised of 13 weeks; however, this may not correspond perfectly with the work weeks, and the quarter period may actually fall across 15 work weeks. Record quarter total for all employment in section “Summary Job Placement Information” box 2.

<table>
<thead>
<tr>
<th>Period</th>
<th>Begin Date</th>
<th>End Date</th>
<th>Number of days worked</th>
<th>Number of hours worked per day</th>
<th>Wage per hour</th>
<th>Gross Earnings for the period</th>
<th>Base Pay Subtotal</th>
<th>Extra Pay</th>
<th>Standard Pay</th>
<th>Overtime</th>
<th>Extra Pay Subtotal</th>
<th>Total Pay</th>
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<td>QUARTER TOTAL</td>
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</tbody>
</table>

*Explain any values entered under “Extra Pay” or “Other”:

___________________________________________________________________________________________________________________
Attachment 6

PY 2019 Instructions for Filing a Request to Add a Placement Code to the Job Training Match (JTM) Crosswalk

GENERAL INSTRUCTIONS
1. This form is to be used to request the addition of a Placement Code to the Job Training Match (JTM) Crosswalk. This form should be submitted only if the current JTM Crosswalk does not already contain an appropriate placement job code that: (a) is the most appropriate O*NET-SOC code to describe a specific placement outcome and (b) is directly related to one of the new Training Achievement Records (TARs), released in PY 2006 or thereafter, as they are aggregated into Training Program Areas (TPAs) within the crosswalk. If the request is approved, the proposed O*NET-SOC placement code will be added to the placement portion of the JTM Crosswalk, and JTM credit will be given to every student who completes any TAR in the same TPA and is placed in a position that is properly assigned the identified placement code.

2. The request must be filed within 90 days of the month in which the student’s record first appears on the Center OMS-20, CTT-20, or CTS OMS-20.

3. Job Corps Centers, CTS contractors, and National Training Contractors (NTCs) may file a request.

4. Submit the request with documentation to:

   U.S. Department of Labor/National Office of Job Corps
   200 Constitution Avenue, NW, Room N-4507
   Washington, DC 20210
   Attention: Career Technical Training Team

INSTRUCTIONS FOR COMPLETING THE REQUEST FORM

Student Information
1. Enter the student’s Job Corps Student Identification Number in the box.
2. Enter the student’s last name, followed by middle initial, and first name.
3. Enter the name of the center from which the student separated.
4. Enter the month, day, and year that the student reported to work.

Proposed Job Training Match Codes
1. Enter the proposed official placement code, as found in O*NET-SOC, Example: 31-1011.00
2. Enter the proposed official job title as found in O*NET-SOC, Example: Home Health Aides
3. Enter the proposed Training Program Area(s) (TPAs) that should receive a JTM credit whenever a student placement outcome is assigned the proposed placement code, Example: BRICK and CEMENT (Do not list a TAR code or title here).

4. Provide rationale for the proposed placement code/title addition to the JTM Crosswalk. Appropriate JTM placement codes/titles must correspond to the training received by the student.

Information About You (Bottom of Form)

1-2. Enter your name and sign the form in the appropriate boxes.

3. Enter the name of the center or placement contractor where you are located and the six-digit identification code for your center/contractor.

4. Enter the telephone number at which you may be reached.

5. Enter the e-mail address at which you may be reached.

6. Enter the date you are submitting the request form.

7. Sign your name.
# NATIONAL OFFICE OF JOB CORPS
PY 2019 FORM TO REQUEST ADDITION OF A PLACEMENT CODE TO THE JOB TRAINING MATCH CROSSWALK

## Student Information (Please Print):

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Student ID #</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Last Name</td>
<td>First Name</td>
<td>MI</td>
</tr>
<tr>
<td>3. Center Attended</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Date Reported to Initial Placement:</td>
<td>Month</td>
<td>Day</td>
</tr>
</tbody>
</table>

## Proposed Job Training Match Code:

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td>1. O*NET-SOC Job Code</td>
<td>2. O*NET-SOC Job Title</td>
<td></td>
</tr>
<tr>
<td>3. Training Program Area(s)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Rationale for JTM Placement</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

## INFORMATION OF PERSON COMPLETING THE FORM:

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td>1. Print Your Name:</td>
<td>2. Signature:</td>
</tr>
<tr>
<td>3. Contractor/Center Name and Six-Digit ID Code:</td>
<td>4. Your Telephone: (   )</td>
</tr>
</tbody>
</table>

**National Office Use Only:**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
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</thead>
<tbody>
<tr>
<td>Reviewed by:</td>
<td>5. Your E-mail Address:</td>
</tr>
<tr>
<td>Date:</td>
<td>6. Date Form Submitted:</td>
</tr>
</tbody>
</table>

- □ Approved
- □ Not Approved
Attachment 7

PY 2019 Career Technical Training (CTT) Continuous Improvement Plan (CIP)

CTT-CIP Documentation for Low Performing CTT Offerings

In acknowledgement of standardized language in the Policy and Requirements Handbook (PRH) regarding the performance improvement process, the current documentation process is inconsistent across Job Corps’ regions and centers. As a result, the Office of Job Corps has created a standardized template which can be easily completed, regularly monitored and fairly evaluated. The Office of Job Corps and regional offices have joint responsibility in the oversight of CTT Continuous Improvement Plans (CTT-CIPs).

Included here is a model for CTT-CIPs that the PRH states are required for CTT programs with a “D” grade. The key components are an annual specific, measurable, achievable, realistic, and time-based (SMART) goal for the program (see definition below), as well as quarterly benchmarks identified by the center and monitored by the Regional and National offices. A completed sample model is included for your review and feedback.

Proposed Model for a CTT Continuous Improvement Plan (CTT-CIP)

Root-Cause Analysis – to be completed by the operator’s center staff, and reviewed and approved by Regional Office staff

The primary aim of Root-Cause Analysis (RCA) is to identify what behaviors, actions, inactions, or conditions exist and need to be changed to improve a program’s performance. To be effective, an RCA must be performed systematically, usually as part of an investigation, with conclusions and root causes that are endorsed by documented evidence. A team effort is required. There may be more than one root cause for an event or a problem. The challenge is demonstrating the persistence, and sustaining the effort required to determine them. When reviewing the center’s RCA submission, it is important for the Project Manager to ask questions, look beyond the superficial, and dig deeper to uncover the underlying cause(s).

Root causes that are identified depend on the way in which the problem or event is defined. It is important to be as detailed as possible when defining a root cause. How does the identified cause impact desired outcomes? The purpose of identifying a problem’s solutions is to prevent recurrence at the lowest cost, and in the simplest way. If there are alternatives that are equally effective, then the simplest or lowest cost approach is preferred.

To be effective, the analysis should establish a sequence of events or a timeline to understand the relationships between contributing
factors, root cause(s) and the desired outcomes. RCA can help transform a reactive culture (that reacts to problems) into a forward-looking culture that solves problems before they occur or escalate. More importantly, it reduces the frequency of problems occurring over time within the environment where the RCA process is used.

Once the root causes for the program’s low performance are identified, the center should generate a SMART goal. A simple example of conducting a root cause analysis can be found at:


A SMART goal should meet the following criteria: **SMART**

- **S** - is specific, and also stretching, systematic, synergistic, significant and shifting.
- **M** - means measurable, but also recommend meaningful, memorable, and motivating.
- **A** - is an achievable goal but A also needs to stand for action plans, accountability, acumen and agreed-upon.
- **R** - means relevant, but it also stands for realistic, reasonable, resonating, results-oriented, rewarding, responsible, reliable, rooted in facts and remarkable.
- **T** - means time-based and it also represents timely, tangible and thoughtful.

**For Example:**

The center will improve graduate initial placement rates for **ABC Trade** from 70 percent to 90 percent by the end of PY 2019.

- **Specific:** Demonstrates shared ownership of issue
- **Relevant:** Impacts OMS
- **Specific:** Identifies program
- **Measurable:** Uses CTT 10 data
- **Achievable:** Realistic goal
- **Time based:** Has an end point
Directions for review and management of goals:

1. Center completes shaded areas of the CTT-CIP template, and indicates acceptance by typing its name in the appropriate boxes.

2. Center sends the completed plan to the Regional Director, Division Chief, Program Manager, and Unit Chief of Career Technical Training (CTT) at the National Office.

3. Region reviews the document and works with the center until the plan is approved. Once approved, the Regional Director and Program Manager will type their names into the approval section, forward the updated/approved plan to the National Office of Job Corps.

4. Region sends e-mail to center and Unit Chief of Career Technical Training at the National Office, confirming the region has approved the plan.

5. At the end of each quarter, the center updates the plan with the prior quarter’s results and the prior version of the form as outlined above, then sends an e-mail notifying the Regional and National Offices that the plan has been updated.
Sample CTT-CIP Form Completed:

<table>
<thead>
<tr>
<th>Center name:</th>
<th>ABC Job Corps</th>
<th>Past performance ratings:</th>
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<tr>
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<td>PY 2016</td>
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</tr>
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<tr>
<td>Baseline CTT-10 data:</td>
<td>70 percent graduate initial placement rate based on CTT-10 report card dated 6/30/2019, not meeting 90 percent goal and main contributing factor to Overall “D” Rating</td>
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<tr>
<td>Root cause: Why is the program struggling?</td>
<td>Why are students not being placed?</td>
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<tr>
<td></td>
<td>Why are students entering the trade, yet struggle to be successful?</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Why are students not receiving proper guidance about the trade?</td>
<td></td>
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<tr>
<td></td>
<td>Why do instructors lack the capacity to modify instruction for students attracted to the trade? Why do students struggle to read and complete applications correctly?</td>
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<tr>
<td>Annual SMART Goal:</td>
<td>The center will improve graduate placement rates for <strong>ABC Trade</strong> from 70 percent to 90 percent by the end of PY 2019. Annual Overall Rating to increase from a “D” to “C” or higher.</td>
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<tr>
<td>Quarterly SMART goals:</td>
<td></td>
<td>Quarterly results: (completed after each quarter)</td>
</tr>
<tr>
<td>Quarter 1 Benchmark:</td>
<td>By the end of the quarter, all dorms will increase the amount of time students practice completing online applications by 10 percent, with emphasis on supporting <strong>ABC Trade</strong> students.</td>
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<tr>
<td></td>
<td>Results: 80 percent of the students were able to meet this goal.</td>
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<tr>
<td></td>
<td>Comments: Issues with computers in Aspen Dorm prevented 100 percent of the students from practicing.</td>
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</table>

### Quarter 2 Benchmark: Dec.31
By the end of the quarter, all students in academics will read three articles on their desired trade and be able to articulate what the workers do on the job. **ABC Trade** students will receive remedial support from Reading teachers.

**Results:** 100 percent of the students met this goal.

**Comments:** Academic team excited about the TABE increases, and has expanded remediation to **XYZ Trade** students.

### Quarter 3 Benchmark: March 31
By the end of the quarter, each Monday meeting will feature a 10-minute talk by a different tradesperson corresponding to the trades taught on center, specifically **ABC Trade**.

**Results:** 8 out of 10 Monday meetings had speakers.

**Comments:** Two of the speakers were no shows. Four of the speakers returned to develop WBL agreements with the center.

### Quarter 4 Benchmark: June 30
By the end of the quarter, all of the students at 75 percent complete or greater will meet with a mentor for an hour weekly to discuss transition and placement goal plans. Emphasis will be put on supporting **ABC Trade** student’s goals.

**Results:** 60 percent of the students were able to meet with a mentor on a weekly basis.

**Comments:** A lack of mentors resulted in the BCL developing different partnerships with the Chamber of Commerce and recently 25 new mentors completed training.

### Year-end Results
Based on the CTT-10 Report Card dated 06/30/2019, the centers **ABC Trade** initial placement rate was 92 percent and is exceeding the DOL goal.

### Year-end Comments
Overall rating improved from “D” to high “C”. Trade results show marked improvement and solid effort made by Instructor and good support from center staff.
The goals have been reviewed and approved

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<thead>
<tr>
<th></th>
<th>Name</th>
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Contracting Officer’s Representative (COR) Comments

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### CTT Continuous Improvement Plan (CTT-CIP) Form for low performing CTT offerings

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<td>Quarterly (completed after each quarter)</td>
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<tr>
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<td>Results:</td>
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<td>Second Quarter Benchmark: Dec. 31</td>
<td>Results:</td>
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<td>Third Quarter Benchmark: March 31</td>
<td>Results:</td>
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<td>Fourth Quarter Benchmark: June 30</td>
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<td>Year-end Results</td>
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<td>Year-end Comments</td>
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The goals have been reviewed and approved

<table>
<thead>
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<th>Date</th>
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<tbody>
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<td>Project Manager:</td>
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<td>Regional Director:</td>
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COR Comments

| Quarter 1 | |
| Quarter 2 | |
| Quarter 3 | |
| Quarter 4 | |
DIRECTIONS FOR REVIEW AND MANAGEMENT OF GOALS

6. Center completes shaded areas of the CTT-CIP template, and indicates acceptance by typing its name in the appropriate boxes.

7. Center sends the completed plan to the Regional Director, Division Chief, Program Manager, and Unit Chief of Career Technical Training (CTT) at the National Office.

8. Region reviews the document and works with the center until the plan is approved. Once approved, the Regional Director and Program Manager will type their names to the approval section, and forward the updated/approved plan to the National Office of Job Corps.

9. Region sends an email to the center and Unit Chief of Career Technical Training at the National Office, confirming the region has approved the plan.

10. At the end of each quarter, the center updates the plan with the prior quarter’s results and the prior version of the form as outlined above, then sends an email notifying the Regional and National Offices that the plan has been updated.
Attachment 8
PY 2019 JOB CORPS CTT REPORT CARD
REGIONAL OFFICE APPEAL FORM

I. Program Information

<table>
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<tr>
<th>CTT Program:</th>
<th>Slots:</th>
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<th>□ NTC</th>
<th>□ OTP</th>
<th>□ ACT</th>
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II. Program Performance Status

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<th>Performance Status in Most Immediately Completed PY:</th>
<th>Performance Status in Preceding PY:</th>
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<tr>
<td>□ C □ D Overall Score</td>
<td>□ A □ B □ C □ D Overall Score:</td>
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</table>

Program was on CTT Performance Improvement Plan (CTT-PIP) in Most Immediately Completed PY: □ Yes □ No

Substantial Improvement from Preceding PY: □ Yes □ No □ N/A

III. Mitigating Circumstance(s) Supporting This Appeal (only essential documents should be attached)
IV. **Support for This Appeal**

Operator/Contractor Official: Name ___________________ Title_________________ Date ___________

NTC Official (if applicable): Name ___________________ Title_________________ Date ___________

V. **Final Decisions**

- [ ] Neither CTT-PIP nor Probation
- [ ] CTT-PIP Only, no Probation
- [ ] CTT-PIP and Probation
- [ ] Recommend Closure or Training Slot Reduction
# Attachment 9

**PY 2019 Center Report Card Model-Based Goals for HSD/HSE Rate, Graduate and Former Enrollee Average Hourly Wage at Placement, and Average Earnings in Quarter 2 After Exit Quarter**

<table>
<thead>
<tr>
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<th>HSD/HSE</th>
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<th>Average Earnings in Quarter 2 After Exit Quarter</th>
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<td>HSD/HSE</td>
<td>Graduate and Former Enrollee Average Hourly Wage at Placement</td>
<td>Average Earnings in Quarter 2 After Exit Quarter</td>
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<td>6 San Francisco Region</td>
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## Center Model

### PY 2019 HSD/HSE Attainment Rate Model Worksheet

#### National Total*

<table>
<thead>
<tr>
<th>Local Adjustment Factors</th>
<th>(1) Center Average</th>
<th>(2) National Average</th>
<th>(3) Differences (1-2)</th>
<th>(4) Weights</th>
<th>Effect of Factor on Expected Performance (3x4)</th>
</tr>
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<tbody>
<tr>
<td>% Age 16 at Enrollment</td>
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<td>% Age 17 at Enrollment</td>
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<td>% Age 18 at Enrollment</td>
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<td>0.0000</td>
</tr>
<tr>
<td>% Completed Grade 8 or Below at Enrollment</td>
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<tr>
<td>% Completed Grade 9 at Enrollment</td>
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<tr>
<td><strong>Model Adjusted Goal</strong></td>
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</table>

*Excluding centers in Puerto Rico
### Center Model
### PY 2019 Graduate and Former Enrollee Average Hourly Wage at Placement

#### Model Worksheet

<table>
<thead>
<tr>
<th>Local Adjustment Factors</th>
<th>(1) Center Average</th>
<th>(2) National Average</th>
<th>(3) Differences 1-2</th>
<th>(4) Weights</th>
<th>Effect of Factor on Expected Performance (3x4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Average Age at Enrollment</td>
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<td>19.4</td>
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<td>0.1371</td>
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</tr>
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</tr>
<tr>
<td>% Training in Homeland Security</td>
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</tr>
<tr>
<td>% Training in Hospitality</td>
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<tr>
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<tr>
<td>Model Adjusted Goal</td>
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## Center Model
### PY 2019 Average Earnings in Quarter 2 After Exit Quarter Model Worksheet
#### National Total

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<thead>
<tr>
<th>Local Adjustment Factors</th>
<th>(1) Center Average</th>
<th>(2) National Average</th>
<th>(3) Differences (1-2)</th>
<th>(4) Weights</th>
<th>Effect of Factor on Expected Performance (3x4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Average Age at Enrollment</td>
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<tr>
<td>% Training in Automotive and Machine Repair</td>
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</tr>
<tr>
<td>% Training in Hospitality</td>
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</tr>
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<td>Average Wage in All Industries in County ($1,000's)</td>
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<td>52.7</td>
<td>0.0</td>
<td>10.0381</td>
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<tr>
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<td>11.1</td>
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</table>

| Subtotal                                                     | 0                  |
| National Goal                                                | $5,500             |
| Model Adjusted Goal                                          | $5,500             |
## PY 2019 CTS Contractor Model-Based Goals for Graduate and Former Enrollee Average Hourly Wage at Placement and Average Earnings in Quarter 2 After Exit Quarter

<table>
<thead>
<tr>
<th>CTS Contractor</th>
<th>Graduate and Former Enrollee Average Hourly Wage at Placement</th>
<th>Average Earnings in Quarter 2 After Exit Quarter</th>
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<td>Graduate and Former Enrollee Average Hourly Wage at Placement</td>
<td>Average Earnings in Quarter 2 After Exit Quarter</td>
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<td>SN NEV JCC</td>
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<td>06</td>
<td>San Francisco Region</td>
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## CTS Contractor Model

### PY 2019 Graduate and Former Enrollee Average Hourly Wage at Placement

#### Model Worksheet

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<thead>
<tr>
<th>Local Adjustment Factors</th>
<th>(1) Contract or Average</th>
<th>(2) National Average</th>
<th>(3) Differences (1-2)</th>
<th>(4) Weights</th>
<th>Effect of Factor on Expected Performance (3x4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Average Age at Separation</td>
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| Subtotal                                                          | 0.00                     |                       |                       |             |                                               |
| National Goal                                                    | $12.00                   |                       |                       |             |                                               |
| Model Adjusted Goal                                              | $12.00                   |                       |                       |             |                                               |
## CTS Contractor Model
### PY 2019 Average Earnings in Quarter 2 After Exit Quarter Model Worksheet
#### National Total

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<tr>
<th>Local Adjustment Factors</th>
<th>(1) Contractor Average</th>
<th>(2) National Average</th>
<th>(3) Differences (1-2)</th>
<th>(4) Weights</th>
<th>Effect of Factor on Expected Performance (3x4)</th>
</tr>
</thead>
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| Subtotal                                                                                 | 0                      |
| National Goal                                                                            | $5,500                 |
| Model Adjusted Goal                                                                      | $5,500                 |
### Attachment 11

PY 2019 CTT Report Card Model-Based Goals (Center Level) for CTT Completer Average Hourly Wage at Placement, CTT Completer JTM Average Hourly Wage at Placement, and CTT Completer Average Earnings in Quarter 2 After Exit Quarter

<table>
<thead>
<tr>
<th>Center</th>
<th>CTT Completer Average Hourly Wage at Placement</th>
<th>CTT Completer JTM Average Hourly Wage at Placement</th>
<th>CTT Completer Average Earnings in Quarter 2 After Exit Quarter</th>
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### APPENDIX 501A

**POLICIES AND PROCEDURES FOR JOB CORPS’ PROGRAM YEAR (PY) 2019**

**PERFORMANCE MANAGEMENT SYSTEM**

**CENTER OUTCOME MEASUREMENT SYSTEM**

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I. CENTER REPORT CARD FOR PY 2019

Prior to reviewing this section, please read the Introduction to Appendix 501. The Introduction provides rationale, policies, and procedural changes that apply to all of the Program Year (PY) 2019 Outcome Measurement System (OMS) Report Cards, as well as Attachments pertaining to this section.

A. Overview

The Center Report Card measures and accounts for performance across all Job Corps centers. This system collects and evaluates data regarding students’ credential attainments, skill gains, placements and earnings. The performance measures are primarily derived from the program’s authorizing legislation, the Workforce Innovation and Opportunity Act (WIOA) https://www.congress.gov/113/bills/hr803/BILLS-113hr803enr.pdf, and the U.S. Department of Labor’s (DOL’s) priorities.

In PY 2018, Job Corps made substantive reforms to the Center Report Card to be implemented over two years. In PY 2018, the Center Report Card was streamlined with a reduced number of measures that are more closely aligned with the primary measures reported under WIOA. For PY 2019, Job Corps completed the transition to the new system by shifting further weight to the long-term placement measures. This revised system ultimately places more emphasis on measures that support Job Corps’ mission of placing students in meaningful jobs or further education. Details on the changes made to the Center Report Card for PY 2019 can be found in Section B of this Appendix 501a.

In PY 2019, Job Corps has also made changes to the definition of the time period constituting the second quarter (Quarter 2) and fourth quarter (Quarter 4) after exit for its post-separation surveys. When the surveys were originally implemented in PY 2016, Quarter 2 was defined as the second three-month period and Quarter 4 the fourth three-month period immediately following the student’s exit date. These Quarter 2 and Quarter 4 definitions, based on individual students’ exit date, were utilized in all surveys completed during PYs 2016 and 2017. Beginning in PY 2018, Job Corps has adopted the definition used by other DOL programs to define Quarter 2 as the second calendar quarter after the exit quarter, and Quarter 4 as the fourth calendar quarter after the exit quarter. For example, if the participant’s date of exit is between January 1st and March 31st, the second quarter after exit would be July 1st through September 30th.

As described in Job Corps Data Center (JCDC) Notice 17-207, Job Corps effected a gradual transition to the new Quarter 2 and Quarter 4 timeframes over the last two months of PY 2017. All surveys conducted as of July 1, 2018 use the new definitions of Quarter 2 and Quarter 4.

The following table provides the new Quarter 2 and Quarter 4 start and end dates according to a student’s separation date.
Until the new WIOA performance system has been fully implemented, stabilized, and targets have been set, Job Corps will continue to use OMS reports for decision making in the areas of contract and option year awards, past effectiveness scores, incentive fees, performance assessments, and Performance Improvement Plan (PIP) placement and graduation evaluations.

B. **PY 2019 Changes in Appendix 501A**

Provided below is a description of changes to the Center Report Card for PY 2019.

For PY 2019, Job Corps has made further changes to the Center Report Card to meet the goals of the performance management system reform initiative described in Section B of the Appendix 501-Introduction, to adjust for the transition to the Test of Adult Basic Education (TABE) 11/12, and to further align with WIOA performance measures.

The changes to the Center Report Card are outlined below.

As was announced in the PY 2018 Appendix 501, for PY 2019 Job Corps has made further revisions to the weighting scheme for the Center Report Card to place greater emphasis on the Quarter 2 and Quarter 4 measures. Weight on the Credential Attainment Rating measure is decreased from 30% to 15% to reduce the overall weight on Direct Center Services from 35% to 20%. The weights for the Quarter 2 and Quarter 4 Placement measures have increased from 13.75% to 20% each in PY 2019. Similarly, the weight for the Quarter 2 Earnings measure has increased from 7.5% and 10% in PY 2019, shifting the weight of the Q2/Q4 Placement Outcomes from 35% in PY 2018 to
50%. These increases support WIOA’s emphasis on long-term outcomes and ensure better internal consistency throughout the system so that overall high performance includes high performance on these key metrics.

In addition to the changes in the weighting scheme, Job Corps has made changes to the two learning gains indicators to adjust for the transition to the new TABE 11/12 test that is in effect as of July 1, 2019. The resulting TABE 11/12 scale scores are only translated to Educational Functioning Levels (EFLs) – not Grade Level Equivalents (GLEs) as available under the TABE 9/10 test. Furthermore, the criteria for determining if a student is “basic skills deficient” based upon initial TABE results has been revised for PY 2019. These two factors have required Job Corps to modify the algorithms for calculating the Average Reading Gains and Average Math Gains indicators under the Measurable Skill Gains measure. For PY 2019, gains are calculated using EFLs instead of GLEs, and a national goal of 1 EFL will be used for all centers. Model-based goals will not be calculated for centers for PY 2019 due to the lack of TABE 11/12 test data to develop appropriate models. Models will be calculated for PY 2020 based upon the data collected in PY 2019. The change in the benchmark for determining “basic skills deficient” has also required Job Corps to modify the criteria for inclusion in the OMS learning gains pools. Students who score EFL 4 or below on the initial reading TABE 11/12 test and EFL 5 or below in the initial math TABE 11/12 test will respectively be included in the Average Literacy Gains and Average Numeracy Gains pools. Similarly, students taking the initial TABE Español tests beginning in PY 2019 will be in the appropriate learning gains pools if they score at or below EFL 4 on the reading or math tests.

In light of the scale of the modifications to the new learning gain indicators, Job Corps has implemented certain accommodations for crediting students who were on center prior to PY 2019 and separate from Job Corps on or after July 1, 2019. Specifically:

- Students who took a valid initial TABE 9/10 test or TABE Español test prior to July 1, 2019 and tested out of the Average Reading Gain and/or Average Math Gain OMS pools based upon the criteria in place at the time of testing (e.g., scored above 552 or 551 respectively on the TABE 9/10 initial reading or math tests, or above 751 or 764 respectively on the TABE Español initial reading or math tests) will not be included in the PY 2019 pools for the respective indicators.
- Students whose valid initial TABE 9/10 tests placed them in the OMS learning gain pools (e.g., scored at or below 552 or 551 respectively on the TABE 9/10 initial reading or math tests) but who scored 567 or above on a TABE 9/10 reading test, form M or D, and 566 or above on a TABE 9/10 math test, form M or D prior to July 1, 2019 will be included in the PY 2019 pools for the respective indicators, and will receive a credit for 1 or more EFL gains as applicable.
- Students who scored at or below 552 or 551 respectively on a valid TABE 9/10 initial reading or math test, but did not achieve scores of 567 or 566 or above on a follow-up TABE 9/10 (form M or D) reading or math test respectively are required to take an initial TABE 11/12 test as of July 1, 2019 as stipulated in Program Instruction Notice (PIN) 18-06, dated June 6, 2019. These students will be included in the PY 2019 OMS learning gains pools unless their initial TABE 11/12 test results are above EFL
4 for reading or EFL 5 for math. EFL gains for these students will be calculated as the higher of either their TABE 9/10 EFL gains (based upon their valid initial and follow up TABE 9/10 tests taken prior to July 1, 2019) or TABE 11/12 EFL gains (based upon their valid initial and follow up TABE 11/12 tests taken on or after July 1, 2019), as long as an initial TABE 11/12 test is taken within the timeframes stipulated in PIN 18-06. If a student does not take the initial TABE 11/12 test, then the student is in the PY 2019 OMS pools but is not credited with any gains. NOTE: TABE 9/10 and TABE 11/12 EFL gains cannot be combined, therefore only the highest EFL gains from either the 9/10 or 11/12 versions will be credited.

- Students who did not take a valid TABE 9/10 initial reading and/or math test within the allotted 21-days are required to take an initial TABE 11/12 test as of July 1, 2019. These students will be included in the PY 2019 OMS learning gains pools unless their initial TABE 11/12 test results are above EFL 4 for reading or EFL 5 for math. EFL gains will be calculated solely on TABE 11/12 results, as long as an initial TABE 11/12 test is taken within the timeframes stipulated in PIN 18-06.

For PY 2019 Job Corps is introducing a new measure of Employer Retention for informational purposes only. This measure aligns with Measure 6 of the WIOA performance measures that reports on the proportion of students in a job during Quarter 2 after the exit quarter that are still with the same employer in Quarter 4 after the exit quarter. Based upon analysis of available data, a goal of 60% has been set for this measure for PY 2019.

All attachments pertaining to the Center Report Card can be found in Appendix 501 Introduction.

Specific changes in the PY 2019 Center Report Card are as follows:

**Credential Attainment Rating:** The weight of the Credential Attainment Rating measure is reduced from 30% to 15% on PY 2019. This change aligns with the proposed weight shift announced in the PY 2018 Appendix 501 to redirect weight from Direct Center Service measures to long-term placement measures in support of Job Corps’ mission of establishing students’ long-term connection to the job market or entering higher education as well as WIOA’s emphasis on longer-term placement.

**Measurable Skill Gains Rating:** The Measurable Skill Gains Rating measure is unchanged for PY 2019. However, the Average Literacy Gains and Average Numeracy Gains indicators upon which this measure is based have been modified for PY 2019 due to the transition to the TABE 11/12.

a) The **Average Literacy Gains** indicator is calculated using Educational Functioning Level (EFL) gains and has a national goal of 1 EFL. This goal has been determined based upon current TABE 9/10 results, the anticipated expansion of the pool for this indicator due to a change in the benchmark for determining “basic skills deficient” (EFL 4 on the TABE 11/12 and TABE Espanol), and the perceived differences between the TABE 9/10 and TABE 11/12 tests. For PY 2019, centers and regions will not have model-based goals
for this indicator as TABE 11/12 data are not available to estimate reasonable models. Model-based goals will be developed for PY 2020 based upon data collected in PY 2019.

b) The **Average Numeracy Gains** indicator is calculated using Educational Functioning Level (EFL) gains and has a national goal of 1 EFL. This goal has been determined based upon current TABE 9/10 results, the anticipated expansion of the pool for this indicator due to a change in benchmarks for determining “basic skills deficient” (EFL 5 on the TABE 11/12 and EFL 4 on the TABE Espanol), and the perceived differences between the TABE 9/10 and TABE 11/12 tests. For PY 2019, centers, contractors, and regions will not have model-based goals for this indicator as TABE 11/12 data are not available to estimate reasonable models. Model-based goals will be developed for PY 2020 based upon data collected in PY 2019.

**Graduate and Former Enrollee Placement Rate in Quarter 2 After Exit Quarter:**
For PY 2019, the weight has increased from 13.75% to 20%. This weight increase supports WIOA’s emphasis on long-term outcomes and ensures better internal consistency throughout the system so that overall high performance includes high performance on this key metric.

**Graduate and Former Enrollee Average Earnings in Quarter 2 After Exit Quarter:**
For PY 2019, the weight has increased from 7.5% to 10%. As with the Quarter 2 Placement measure, increased emphasis on this measure aligns with WIOA priorities and improves the system’s internal consistency. For PY 2019, centers and regions continue to have model-based goals for this measure.

**Graduate and Former Enrollee Placement Rate in Quarter 4 After Exit Quarter:**
For PY 2019, the weight has increased from 13.75% to 20%. As with the Placement in Quarter 2 measure, this weight increase supports WIOA’s emphasis on long-term outcomes and ensures better internal consistency throughout the system so that overall high performance includes high performance on this key metric.

Provided on the next page is a summary table outlining the PY 2019 Center Report Card.
<table>
<thead>
<tr>
<th>Measure</th>
<th>Definition</th>
<th>Goal</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>DIRECT CENTER SERVICES (20%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Credential Attainment Rating</td>
<td>Rating based on weighted performance on three indicators relative to goals: (1) HSD/HSE attainment rate*, (2) CTT completion rate, and (3) Primary IRC attainment rate</td>
<td>100%</td>
<td>15%</td>
</tr>
<tr>
<td>Measurable Skills Gains Rating</td>
<td>Rating based on average performance of two indicators relative to goals: Average Literacy Gains and Average Numeracy Gains</td>
<td>100%</td>
<td>5%</td>
</tr>
<tr>
<td><strong>CTS PLACEMENT OUTCOMES (30%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Placement Rate</td>
<td>No. of graduates and former enrollees placed in a job, the military, an education/training program, or who transferred to an approved Advanced Training program at another center</td>
<td>83%</td>
<td>10%</td>
</tr>
<tr>
<td>Graduate and Former Enrollee Average Hourly Wage at Placement*</td>
<td>Sum of hourly wages of graduates and former enrollees placed in a job or the military No. of graduates and former enrollees placed in a job or the military</td>
<td>$12.00</td>
<td>7.5%</td>
</tr>
<tr>
<td>Placement Quality Rating</td>
<td>Rating based on weighted performance on three indicators relative to goals: (1) job-training match rate, and (2) quality placement rate (percentage of all initially placed graduates and former enrollees in apprenticeship programs, full-time jobs, the military, full-time college, full-time college/job combination, or full-time post-secondary training)</td>
<td>100%</td>
<td>12.5%</td>
</tr>
<tr>
<td><strong>Q2/Q4 PLACEMENT OUTCOMES (50%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Graduate and Former Enrollee Placement Rate in Quarter 2 After Exit Quarter</td>
<td>No. of graduates and former enrollees who report on the Quarter 2 survey they are in a job, the military, or an education/training program No. of graduates and former enrollees who complete the Quarter 2 survey</td>
<td>80%</td>
<td>20%</td>
</tr>
<tr>
<td>Graduate and Former Enrollee Placement Rate in Q4 After Exit Quarter</td>
<td>No. of graduates and former enrollees who report on the Quarter 4 survey they are in a job, the military, or an education/training program No. of graduates and former enrollees who complete the Quarter 4 survey</td>
<td>80%</td>
<td>20%</td>
</tr>
<tr>
<td>Graduate and Former Enrollee Average Earnings in Quarter 2 After Exit Quarter*</td>
<td>Sum of earnings of graduates and former enrollees who report they are in a job or the military on the Quarter 2 survey No. of graduates and former enrollees who complete the Quarter 2 survey and report they are in a job or the military in the second quarter after exit quarter</td>
<td>$5,500</td>
<td>10%</td>
</tr>
<tr>
<td>Employer Retention Rate</td>
<td>No. of graduates and former enrollees who were employed by the same employer in Quarter 2 and Quarter 4 after exit quarter No. of graduates and former enrollees who complete the Quarter 4 survey and were employed in Quarter 2 after exit quarter</td>
<td>60%</td>
<td>0%</td>
</tr>
</tbody>
</table>

*Model-based Goal
## PY 2019 SUPPLEMENTAL CENTER REPORT CARD

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Definition</th>
<th>Goal</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CREDENTIAL ATTAINMENT RATING</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>HSD/HSE Attainment Rate*</td>
<td>No. of students who attain either an HSD or HSE No. of separated students without an HSD or HSE at entry</td>
<td>65%</td>
<td>40%</td>
</tr>
<tr>
<td>Career Technical Training (CTT) Completion Rate</td>
<td>No. of students who complete a CTT program No. of separated students</td>
<td>70%</td>
<td>30%</td>
</tr>
<tr>
<td>CTT Primary IRC Attainment Rate</td>
<td>No. of CTT students who attain an approved Primary industry-recognized credential or complete an NTC program No. of separated students assigned to a CTT program</td>
<td>90%</td>
<td>30%</td>
</tr>
<tr>
<td>*Model-based Goal</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>MEASURABLE SKILLS GAINS RATING</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Average Literacy Gains</td>
<td>Sum of Educational Functioning Level gains attained on the highest valid subsequent TABE reading test No. of students who score Educational Functioning Level 4 or below on the initial TABE reading test and students who do not take a valid initial reading test during the first 21 calendar days on center</td>
<td>1 EFL</td>
<td>50%</td>
</tr>
<tr>
<td>Average Numeracy Gains</td>
<td>Sum of Educational Functioning Level gains attained on the highest valid subsequent TABE math test No. of students who score Educational Functioning Level 5 or below on the initial TABE math test and students who do not take a valid initial math test during the first 21 calendar days on center</td>
<td>1 EFL</td>
<td>50%</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>100%</td>
</tr>
<tr>
<td><strong>PLACEMENT QUALITY RATING</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Career Technical Training (CTT) Completer Job Training Match (JTM) Rate</td>
<td>No. of CTT completers placed in a training-related job or the military No. CTT completers placed in a job or the military</td>
<td>65%</td>
<td>35%</td>
</tr>
<tr>
<td>Full-Time Quality Placement Rate</td>
<td>No. of graduates and former enrollees placed in an apprenticeship program, a full-time job, the military, full-time college, full-time job/college combination or a full-time post-secondary training program No. of initially placed graduates and former enrollees</td>
<td>75%</td>
<td>65%</td>
</tr>
<tr>
<td>*Model-based Goal</td>
<td></td>
<td></td>
<td>100%</td>
</tr>
</tbody>
</table>
C. **Impact of Level 1 Zero Tolerance (ZT) Separations on the Center Report Card**

For the Center Report Card, students who exit due to Level 1 ZT infractions within 30/45 days are not included in the pools and credits for the “direct center service” measures. Specifically, the pools for the on-center measures do not include students who remained in Job Corps:

- less than 30 days and exit under codes 5.1a or 5.2b
- less than 30 days and exit under code 5.3c for an infraction that is categorized as alcohol abuse or alcohol possession, consumption or distribution while on center or under center supervision
- less than 45 days and exit under code 5.2a

Students who exit the program due to Level 1 ZT infractions incurred after 30/45 days will be included in all pools for on-center measures, and credit will be given for academic, career technical training (CTT) and primary industry recognized credential (IRCs) attainments made prior to separation. However, students who exit for Level 1 ZT infractions are considered neither former enrollees nor graduates and are excluded from all post-center pools since they are ineligible for post-center services.

The list of Level 1 ZT infractions can be found in the Job Corps Policy and Requirements Handbook (PRH), Chapter 2, Exhibit 2-1 (Infraction Levels and Appropriate Center Actions).

D. **Direct Center Services Measures**

Provided below is a description of the on-center, or direct center services, performance measures and indicators.

1. **Credential Attainment Rating:** This composite measure, introduced in PY 2019, aligns with the WIOA Credential Attainment Rate metric while still incentivizing the attainment of multiple credentials that are associated with higher success in the workforce and in education settings.

   **Measure:** The measure is calculated based upon the sum of the weighted performance relative to goals on the following three indicators: HSD/HSE Attainment, CTT Completion, and Primary IRC attainment. The combined weight of the three indicators equals 100%. The composite measure is then calculated as an overall rating of the three indicators.

   **Goal:** 100%

   **Weight:** 15%

   Below are the specifications of each of the three indicators.
(a) **High School Diploma (HSD) or High School Equivalency (HSE) Attainment Rate:** This indicator supports WIOA’s mission for Job Corps to prepare students for successful careers in in-demand industry sectors, occupations, or the Armed Forces, that will result in economic self-sufficiency and opportunities for career advancement or enrollment in post-secondary education, including apprenticeship programs.

**Pool:** All separated students without an HSD or HSE at entry into Job Corps (excluding 30/45 day Level 1 ZTs).

**Indicator:** The percentage of separated students who attained an HSD or HSE while enrolled in Job Corps. **NOTE:** For purposes of the Center Report Card, credit is granted for the achievement of one academic credential only, even if a student earned both an HSD and an HSE during enrollment.

**Goal:** The national goal is 65%; centers and regions have model-based goals for this measure. A model statistically adjusts each center’s goal to account for factors beyond the operator’s control (such as the abilities of students at entry) that have been shown to impact their outcomes for this measure. The model aggregates the effects of the various factors for each center, and adjusts the national goal accordingly for that center, to set a center-specific goal. See Appendix 501 Introduction, Attachment 9: PY 2019 Center Model-Based Goals and Worksheets, for a template of the factors utilized in the models and for specific goals.

**Weight:** 40%

**Formula:** Number of students who attain either an HSD or an HSE

Number of separated students without an HSD or an HSE at entry

(b) **Career Technical Training (CTT) Completion Rate:** This measure is important to ensure placement success, and to support the mission of Job Corps to provide students with the necessary skills and education to become employable in the 21st century economy.

**Pool:** All students who separate from the program (excluding 30/45 day Level 1 ZTs).

**Indicator:** The percentage of separated students who have completed a CTT program.

**Goal:** The national goal is 70%.
**Weight**: 30%

**Formula**: Number of students who complete a CTT program  
Number of separated students

(c) **Career Technical Training (CTT) Primary Industry-Recognized Credential (IRC) Attainment Rate**: In PY 2010, a measure of IRC attainment was added to the OMS. Beginning in PY 2015, the Center Report Card featured primary IRCs as the preferred student credential attainment. Primary credentials represent knowledge and skill levels of a particular job and are considered critical for qualification and placement into permanent employment with sustainable wages. Secondary credentials are supportive in nature, and while important, are viewed as more supplemental than critically essential to the job. While the Center Report Card only captures outcomes pertaining to primary attainments, the Career Technical Training Report Card (CTT 10) features both the primary and secondary credential attainment measure and outcomes. In PY 2017 some moderate changes were made to the IRC list. For PY 2019, extensive revisions were made to improve alignment with CTT offerings and employer requirements.

**Pool**: All students who are assigned to a CTT program (excluding 30/45 day Level 1 ZTs).

**Indicator**: The percentage of separated students who have attained an approved primary industry-recognized credential or students who have completed a training program offered by a National Training Contractor (NTC).

**Goal**: The national goal is 90%.

**Weight**: 30%

**Formula**: Number of CTT students who attain an approved primary industry-recognized credential or students who complete an NTC program  
Number of separated students assigned to a CTT program

2. **Measurable Skill Gains Rating**: This composite measure, introduced in PY 2018, aligns with the WIOA Measurable Skill Gains metric and tracks progress in improving reading and math skills.

**Measure**: The measure is calculated based upon the sum of the weighted performance relative to goals on the following two indicators: Average Literacy Gains and Average Numeracy Gains. The combined weight of the two indicators equals 100%. The composite measure is then calculated as an overall rating of the two indicators.

**Goal**: 100%
Below are the specifications of the two indicators.

(a) **Average Literacy Gain:** This indicator supports programs such as the HSD Initiative and the English Language Learner (ELL) Initiative and will help centers by tracking those youth who have achieved significant gains in literacy, but who have not yet reached the proficiency level required to obtain an HSD or pass an HSE. Please note that centers must adhere to policy as stated in Chapter 3, Appendix 301: TABE® Requirements and Instructions.

**Pool:** All students who exit the program (excluding 30/45 day Level 1 ZTs) who scored at or below Educational Functioning Level (EFL) 4 on a valid initial TABE reading test (level E, M, D, or A) or EFL 4 on a valid initial TABE Español reading test (level E or M) and students who did not take an initial reading test during the first 21 calendar days* on center.

**Indicator:** The average of all of the positive EFL gains (negative gains will be counted as a zero gain) made by the students in the pool on the highest valid subsequent TABE reading test (level E, M, D, or A) or TABE Español reading test (level E or M) taken after the student has received 60 hours of instruction in the content area.

**Goal:** The national goal is 1 EFL. For PY 2019, centers and regions do not have model-based goals for this measure as there are no TABE 11/12 testing data available to develop models at this time. It is anticipated that data collected in PY 2019 will be used to develop model-based goals for PY 2020, and as such, it is important to ensure that initial and follow up testing are conducted as required to obtain as complete data as possible for creating the center-specific goals.

**Weight:** 50%

**Formula:** Sum of Educational Functioning Level gains attained on the highest valid subsequent TABE reading test
Number of students who score at or below Educational Functioning Level 4 on the initial TABE reading test (Level 4 on the TABE Español) and students who do not take a valid initial reading test during the first 21 calendar days* on center

**Note:** For crediting purposes, initial TABE tests must be administered within the first 21 calendar days* on center. Due to the transition from TABE 9/10 to TABE 11/12, students who enrolled in Job Corps prior to
July 1, 2019 may be entitled to a longer maximum period for initial TABE testing to occur.
- Students who enrolled between June 17, 2019 and June 30, 2019, and therefore did not take an initial TABE 9/10 test, must complete their initial TABE 11/12 reading test no later than July 31, 2019.
- Students who enrolled prior to June 17, 2019, scored at or below 552 on the initial reading test, and did not achieve a score at or above 567 on a follow-up test must take the initial TABE test by August 14, 2019.

Please refer to PIN 18-06, dated 06/06/2019 for more information regarding the period for initial testing on the TABE 11/12 for those who entered Job Corps prior to PY 2019.

*For exceptions, see Appendix 301: TABE® Requirements and Instructions. Exceptions include a specific sub-group of Limited English Proficient (LEP) students.

**NOTE:** The winter break and time separated under medical separation with reinstatement rights (MSWR) and administrative separations with reinstatement rights (ASWR) do not count toward the 21 calendar days. If a student does not attain a valid test score (as defined in Appendix 301), the initial reading TABE test must be retaken within the 21-day period and only the valid test score will count as the initial test score. Individuals who take their initial test on their 22nd day on center (or later) are treated as not having an initial test, are included in the pool along with those who do not take a test, and cannot obtain a literacy gain. For crediting of learning gains, a follow-up test must be after the student has received 60 hours of instruction in the content area, in accordance with testing guidelines (Appendix 301).

EFLs range from 1 to 6 and each EFL is linked to a specific scale score range. A gain is made when a student attains a higher EFL on a follow-up TABE test than the EFL of their initial TABE test; credit is given for the difference between the EFL of the highest follow-up TABE test score and the EFL of the initial TABE test. For example, moving from an EFL of 2 on the initial TABE test to an EFL of 4 on the highest subsequent TABE test equals a 2.0 credit for that student. If the student’s highest subsequent TABE test result is lower than the initial TABE test result, a 0 credit will be given for that student. The center’s average gain is then calculated by dividing the sum of all credits received (including zero gains) by the number of students in the pool.

(b) **Average Numeracy Gain:** This indicator supports programs such as the HSD Initiative and the ELL Initiative and will help centers by tracking those youth who have achieved significant gains in numeracy, but who have not yet reached the proficiency required to obtain an HSD or pass an HSE. Please note that centers
must adhere to policy as stated in Appendix 301: TABE® Requirements and Instructions.

**Pool:** All students (excluding 30/45 day Level 1 ZTs) who scored at or below EFL 5 on a valid initial TABE math test (level E, M, D, or A) or EFL 4 on a valid initial TABE Español math test (level E or M) and students who did not take an initial math test during the first 21 calendar days* on center.

**Indicator:** The average of all of the positive EFL gains (negative gains will be counted as a zero gain) made by the students in the pool on the highest valid subsequent TABE math test (level E, M, D, or A) or TABE Español math test (level E or M) taken after the student has received 60 hours of instruction in the content area.

**Goal:** The national goal is 1 EFL. For PY 2019, centers and regions do not have model-based goals for this measure as there are no TABE 11/12 testing data available to develop models at this time. It is anticipated that data collected in PY 2019 will be used to develop model-based goals for PY 2020, and as such, it is important to ensure that initial and follow up testing are conducted as required to obtain as complete data as possible for creating the center-specific goals.

**Weight:** 50%

**Formula:** Sum of Educational Functioning Level gains attained on the highest valid subsequent TABE math test
Number of students who score at or below Educational Functioning Level 5 on the initial TABE math test (Level 4 on the TABE Español) and students who do not take a valid initial math test during the first 21 calendar days* on center

**Note:** For crediting purposes, initial TABE tests must be administered within the first 21 calendar days on center. Due to the transition from TABE 9/10 to TABE 11/12, students who enrolled in Job Corps prior to July 1, 2019 may be entitled to a longer maximum period for initial TABE testing to occur.

- Students who enrolled between June 17, 2019 and June 30, 2019, and therefore did not take an initial TABE 9/10 test, must complete their initial TABE 11/12 math test no later than July 31, 2019.
- Students who enrolled prior to June 17, 2019, scored at or below 551 on the initial math test, and did not achieve a score at or above 566 on a follow-up test must take the initial TABE test by August 14, 2019.

Please refer to PIN 18-06, dated 06/06/2019 for more information
regarding the period for initial testing on the TABE 11/12 for those who entered Job Corps prior to PY 2019. *See Chapter 3, Appendix 301: TABE® Requirements for exceptions. Exceptions include a specific sub-group of Limited English Proficient (LEP) students.

**NOTE:** The winter break and time separated under MSWR and ASWR do not count toward the 21 calendar days. If a student does not attain a valid test score (as defined in Appendix 301), the initial numeracy TABE test must be retaken within the 21-day period and only the valid test score will count as the initial test score.

Individuals who take their initial test on their 22nd day on center (or later) are treated as not having an initial test, are included in the pool along with those who do not take a test, and cannot obtain a numeracy gain. For crediting of learning gains, a follow-up test must be administered after the student has received 60 hours of instruction in the content area, in accordance with testing guidelines (Appendix 301).

EFLs range from 1 to 6 and each EFL is linked to a specific scale score range. A gain is made when a student attains a higher EFL on a follow-up TABE test than the EFL of their initial TABE test; credit is given for the difference between the EFL of the highest follow-up TABE test score and the EFL of the initial TABE test. For example, moving from an EFL of two on the initial TABE test to an EFL of four on the highest subsequent TABE test equals a 2.0 credit for that student. If the student’s highest subsequent TABE test result is lower than the initial TABE test result, a 0 credit will be given for that student. The center’s average gain is then calculated by dividing the sum of all credits received (including zero gains) by the number of students in the pool.

E. **Career Transition Services (CTS) Placement Measures**

Provided below is a description of the post-center, career transition services placement outcomes performance measures. The following criteria apply to these measures:

- For a placement to be credited it must
  1. meet the criteria described in PRH, Exhibit 4-1,
  2. have documentation consistent with the criteria in PRH, Exhibit 4-2,
  3. be entered and approved in CTS according to the timelines in, Chapter 4, Section 4.4.

If the verification is not received and/or the information is not entered into the CTS system for a valid placement within the time frame specified in Section 4.4, the center (and the CTS contractor) will not receive credit for the Placement Rate measure for this student. The student will not be in the pool of
any of the Placement Quality Rating indicators and center (and CTS contractor) will not receive credit for the Placement Quality Rating measure. JCDC will, however, include these placements in the National and Regional totals of the CTS placement measures if they otherwise meet placement requirements.

- Initial placement upgrades that occur during the placement window for former enrollees and graduates will be credited and may change the statistical status associated with a particular student. See Appendix 501 Introduction, Attachment 2: PY 2019 Initial Placements and Allowable Upgrades, for a chart outlining the upgrade hierarchy.
- An automatic education placement credit is given to the sending center for students who transfer to an approved Advanced Training (AT) program at another center. See Appendix 501 Introduction, Attachment 3: PY 2019 Center Report Card Pools and Credits for Students Transferred to Advanced Training (AT) Programs. This does not apply to ACT transfers.

1. **Placement Rate:** The Placement Rate serves as a strong indicator of the program’s success in equipping Job Corps graduates and former enrollees with the basic skills necessary for an effective job search and preparing them for engagement and retention in the workforce or further education.

   - **Pool:** All graduates and former enrollees whose placement records are due or received, or who transfer to an approved AT program at another center during the period.

   - **Measure:** The percentage of graduates and former enrollees in the pool who are placed in a job, the military, an educational program, or a job/college combination according to the Job Corps placement definition in Exhibit 4-1, or who transfer to an approved AT program at another center.

   - **Goal:** The national goal is 83%.

   - **Weight:** 10%

   - **Formula:** Number of graduates and former enrollees placed in a job, the military, an educational/training program, or a job/college combination or who transferred to an approved Advanced Training program at another center

     Number of graduates and former enrollees whose placement records are due or received or who transferred to an approved Advanced Training program at another center

2. **Placement Average Wage:** The Average Hourly Wage at Placement is a required measure to assess centers’ ability to secure jobs that will place graduates and former enrollees on the path to economic self-sufficiency.
Pool: All Graduates and Former Enrollees placed in a job or the military according to the Job Corps placement definition in Exhibit 4-1.

Indicator: The average hourly wage of Graduates and Former Enrollees in the pool associated with their initial or upgrade placement in a job or the military.

Goal: The national goal is $12.00; Centers and Regions have model-based goals for this indicator. A model statistically adjusts each center’s goal to account for factors beyond the operator’s control (such as the characteristics of the labor market) that have been shown to impact their outcomes for this indicator. The model aggregates the effects of the various factors for each center, and adjusts the national goal accordingly for that center, to set a center-specific goal. See Appendix 501 Introduction, Attachment 9: PY 2019 Center Model-Based Goals and Worksheets, for a template of the factors utilized in the models and for specific goals.

Weight: 7.5%

Formula: Sum of hourly wages of graduates and former enrollees placed in a job or military
Number of graduates and former enrollees placed in a job or military

3. Placement Quality Rating: This composite measure, introduced in PY 2018, is intended to incentivize higher-quality placements that are ultimately linked to better success in, and longer-term connection to, the workforce. Quality placements are defined as those that are full-time jobs or in full-time post-secondary education, higher paying jobs, and jobs that match the career training completed.

Measure: The measure is calculated based upon the sum of the weighted performance relative to goals on the following two indicators: JTM Rate and Full-Time Quality Placement Rate. The combined weight of the two indicators equals 100%. The composite measure is then calculated as an overall rating of the two indicators.

Goal: 100%

Weight: 12.5%

Below are the specifications of the two indicators.

a) Career Technical Training (CTT) Completer Job Training Match (JTM) Rate: In PY 2005, a Job Training Match (JTM) Placement rate measure, crediting CTT completers placed in training-related jobs or the military, was added to the
Center Report Card. The measure was intended to lead to improved student long-term outcomes and career success by encouraging student placement in the trades for which they have been trained. In PY 2007, to further align with the program’s emphasis on education, the measure was expanded to also credit CTT completers that enter a postsecondary education or postsecondary training as Postsecondary Credit (PSC). In PY 2018, the measure was refocused on matching job placements with training received and removes from the pools and credits post-secondary education and training placements. While post-secondary education/training is highly valued and encouraged as a placement by Job Corps, it is now credited under the new measure of CTT Completer Full-Time Quality Placement.

In PY 2010, an improved Job Training Match (JTM) Crosswalk was introduced that more directly aligned training programs with jobs. Between 2010 and 2014, the Office of Job Corps issued revised versions of the JTM Crosswalk each year to refine alignment with O*NET-SOC, the industry-recognized national occupational database. From 2014 to PY 2018, minor adjustments were made to the Crosswalk as new training programs were added to the system. For PY 2019, a more extensive revision to the crosswalk has been made to update and further align with current training programs offered by Job Corps.

**Pool:** All CTT completers placed in a job or the military.

**Indicator:** The percentage of CTT completers in the pool who are initially placed or have a placement upgrade, in a training-related job or the military.

**Goal:** The national goal is 65%.

**Weight:** 35%

**Formula:** Number of CTT completers placed in a training-related job or the military

\[
\text{Number of CTT completers placed in a job or the military}
\]

The process created in PY 2007 for requesting the addition of an O*NET-SOC placement code to the JTM Crosswalk will continue to be available for PY 2019. A request should be submitted only if the current JTM Crosswalk does not already contain a specific placement code that: (a) is the most appropriate O*NET-SOC code to describe a placement outcome, and (b) is directly related to one of the Training Achievement Records (TARs), released in PY 2006 or thereafter, as they are aggregated into Training Program Areas (TPAs) within the crosswalk. If the request is approved, the proposed O*NET-SOC placement code will be added to the placement portion of the JTM Crosswalk, and JTM credit will be given to every student who completes any TAR in the same TPA and is placed in a position that is
properly assigned the identified placement code. See Appendix 501 Introduction, Attachment 6: PY 2019 Instructions for Filing a Request to Add a Placement Code to the JTM Crosswalk and Request Form, for the request form and instructions.

b) **Full-Time Quality Placement Rate:** Incentivizing the placement of graduates and former enrollees in positions that are full-time, whether full-time employment or full-time higher education, reinforces the importance of ensuring these students are placed in positions that would lead to long-term attachment to the workforce and a defined career path.

**Pool:** All graduates and former enrollees placed in a job or the military according to the Job Corps placement definition in Exhibit 4-1.

**Indicator:** The percentage of graduates and former enrollees in the pool who are placed in a full-time apprenticeship program, full-time job, the military, full-time college, full-time job/college combination or full-time post-secondary training program.

**Goal:** The national goal is 75%.

**Weight:** 65%

**Formula:**

\[
\text{No. of graduates and former enrollees placed in an apprenticeship program, a full-time job, the military, full-time college, full-time job/college combination or a full-time post-secondary training program}
\]

\[
\div \text{No. of initially placed graduates and former enrollees}
\]

F. **Quarter 2 and Quarter 4 Placement Measures**

Provided below is a description of the post-center, Quarter 2 and Quarter 4 placement measures that align with the WIOA’s Quarter 2 and Quarter 4 metrics and its focus on longer-term employment.

1. **Graduate and Former Enrollee Placement Rate in Quarter 2 After Exit Quarter:** This measure is a program priority for the system and aligns with WIOA reporting requirements. All phases of Job Corps services work toward the goal of helping students achieve long-term success as a result of their participation in Job Corps.

**Pool:** All graduates and former enrollees who complete the Quarter 2 survey.

**Measure:** The percentage of graduates and former enrollees in the pool who report in the survey that they are employed, in the military, or enrolled in an educational program (that meets the Job Corps placement
definition in Exhibit 4-1) or a job/college combination during the second quarter after exit quarter.

Goal: The national goal is 80%.

Weight: 20%

Formula: No. of graduates and former enrollees who report on the Quarter 2 survey they are in a job, the military, or an education/training program
No. of graduates and former enrollees who complete the Quarter 2 survey

2. Graduate and Former Enrollee Placement Rate in Quarter 4 After Exit Quarter: This measure gauges graduates’ and former enrollees’ attachment to the workforce or advanced education environment and aligns with WIOA reporting requirements.

Pool: All graduates and former enrollees who complete the Quarter 4 survey.

Measure: The percentage of graduates and former enrollees in the pool who report in the survey that they are employed, in the military, or enrolled in an educational program (that meets the Job Corps placement definition in Exhibit 4-1) or a job/college combination during the fourth quarter after exit quarter.

Goal: The national goal is 80%.

Weight: 20%

Formula: No. of graduates and former enrollees who report on the Quarter 4 survey they are in a job, the military, or an education/training program
No. of graduates and former enrollees who complete the Quarter 4 survey

3. Graduate and Former Enrollee Average Earnings in Quarter 2 After Exit Quarter: This measure serves as a barometer of graduates’ and former enrollees’ long-term success and aligns with WIOA reporting requirements.

Pool: All graduates and former enrollees who complete the Quarter 2 survey and report in the survey they are in a job or in the military (that meets the Job Corps placement definition in Exhibit 4-1).

Measure: The average earnings of graduates and former enrollees in the pool.

Goal: The national goal is $5,500. Centers and regions have model-based goals for this measure. A model statistically adjusts each center’s goal
to account for factors beyond the operator’s control (such as the characteristics of the labor market) that have been shown to impact their outcomes for this measure. The model aggregates the effects of the various factors for each center, and adjusts the national goal accordingly for that center, to set a center-specific goal. See Appendix 501 Introduction, Attachment 9: PY 2019 Center Model-Based Goals and Worksheets, for a template of the factors utilized in the models and for specific goals.

See Appendix 501 Introduction, Attachment 9: PY 2019 Center Model-Based Goals and Worksheets for a template of the factors utilized in the models and for specific goals.

Weight: 10%

Formula: Sum of earnings of graduates and former enrollees who report they are in a job or the military on the Quarter 2 survey
No. of graduates and former enrollees who complete the Quarter 2 survey and report they are in a job or the military in the second quarter after exit quarter

4. **Employer Retention Rate**: This measure gauges graduates’ and former enrollees’ attachment to the workforce and aligns with WIOA reporting requirements.

**Pool**: All graduates and former enrollees who complete the Quarter 4 survey and were employed in the second quarter after exit quarter

**Measure**: The percentage of graduates and former enrollees in the pool who report in the Quarter 4 survey that they are employed by the same employer in the second and the fourth quarters after exit quarter

**Goal**: The national goal is 60%.

Weight: 0%

Formula: No. of graduates and former enrollees who were employed by the same employer in Quarter 2 and Quarter 4 after exit quarter
No. of graduates and former enrollees who complete the Quarter 4 survey and were employed in Quarter 2 after exit quarter

G. **Performance Goals**

Performance goals serve as the quantitative benchmarks to assess performance. A single performance goal is established for each measure and indicator, and performance is measured as a percentage of the goal(s) achieved. Thorough analyses of historical data,
where available, have been conducted to assist in establishing reasonable and attainable goals for the system.

For PY 2019 the following measures and indicators have national goals:

- Credential Attainment Rating
  - Career Technical Training (CTT) Completion Rate
  - CTT Primary Industry-Recognized Credential Attainment Rate
- Measurable Skill Gains Rating
  - Average Literacy Gain
  - Average Numeracy Gain
- Placement Rate
- Placement Quality Rating
  - Job Training Match (JTM) Rate
  - Quality Placement Rate
- Graduate and Former Enrollee Placement Rate in Quarter 2
- Graduate and Former Enrollee Placement Rate in Quarter 4

For PY 2019 the following measures/indicators have model-based goals:

- Credential Attainment Rating
  - HSD or HSE Attainment Rate
- Graduate and Former Enrollee Average Hourly Wage at Placement
- Graduate and Former Enrollee Average Earnings in Quarter 2

Model-based goals for all Center measures and indicators can be found in Appendix 501 – Introduction, Attachment 9: PY 2019 Center Model-Based Goals and Worksheets. Details regarding model-based goals can be found in the PRH, Chapter 5, Appendix 501, Introduction, D.2.(b).

H. Weights

A weight is assigned to each measure and indicator to reflect: 1) areas of emphasis in centers’ accountability for achieving positive student outcomes; 2) the importance attached to each measure; and 3) the number of students in the pool for each measure.

The weighting scheme of the Center Report Card has been altered from PY 2018 to meet the goals of the OMS reform initiative and further increase emphasis on Quarter 2 and Quarter 4 measures made to the CTS and CTT Report Cards. Overall, weight has shifted to the Quarter 2 and Quarter 4 measures (from 35 percent to 50 percent), decreasing the Direct Center Services measures from 35 percent to 20 percent, while the CTS placement measures remain at 30 percent for PY 2019. The two Direct Center Services measures are weighted at 15 percent for Credential Attainment Rating and 5 percent for the Measurable Skill Gains Rating. The three CTS Placement measures are weighted 12.5 percent for quality placement, 7.5 percent for initial placement average wage, and 10 percent for initial placements. Weights in the Quarter 2 and Quarter 4 placement
measures have increased from 13.75 percent to 20 percent each. Similarly, weights on the Quarter 2 earnings measure increased from 7.5 percent to 10 percent. This two-year shift in weight distribution aligns with WIOA’s focus on long-term success in the workforce (and higher education) which is measured through the Quarter 2 and Quarter 4 placement outcomes.

I. **Overall Rating**

Weighted performance ratings across each of the weighted measures are aggregated to create a Center overall rating. These ratings are reviewed to assess program effectiveness and play a key role in the procurement process. Overall ratings are also used to determine the performance ranges for performance-based service contracting.

II. **CENTER QUALITY ASSESSMENT FOR PY 2019**

A. **Overview**

The Center Quality Assessment, which reviews the quality of the program and services offered at all Job Corps centers, is the second component that is used for evaluating center performance. Job Corps is committed to providing more than a statistical accounting of the Job Corps program. Quality makes the difference and adds an important dimension to students’ well-being and sense of security, and the statistics that report their outcomes. To capture an accurate reflection of center quality, focus is placed on the following three areas of life on a center:

- The center’s ability to operate at full capacity (On-Board Strength [OBS])
- The center quality rating (Quality Rating [QR]) based on an on-site review by a team of federal representatives;
- Students’ perception of safety (Student Satisfaction Survey [SSS])

The results of each center’s OBS, QR, and SSS stand-alone. There is no aggregation of performance results across these components. These three elements supplement the Center Report Card by qualifying the statistics and are valuable tools for assessing the operation of a Job Corps center by accounting for aspects of center life that otherwise would not be a systematic part of the Job Corps accountability system.

B. **Student On-Board Strength (OBS)**

On-Board Strength is an efficiency rating that demonstrates the extent to which a center operates at full capacity. The measure is reported as a percentage, calculated by the average number of students on-board divided by the average planned on-board strength (daily number of students that a center is authorized to serve). **The national goal for OBS is 100%** in order to operate the program at full capacity, maximize program resources, and fulfill the mission of serving the underserved student population.
C. **Center Quality Rating (QR)**

The Quality/Compliance Rating system consists of an on-site center assessment by a Regional Office team, usually conducted as part of the center review. It is a quality and compliance evaluation of center operations.

The quality/compliance evaluation of a center operation is based on the six functional areas outlined in the PRH:

- Enrollment Services
- Student Training Services
- Student Support Services
- Placement Services
- Management Services
- Administrative Support Services

There are quality indicators (QIs) and strategies for assessing the quality in each area of the PRH. The center is given a score of 0 to 9 on each element. Each element is weighted according to relative importance and weighted scores for each element are aggregated for an overall quality rating. This is not a cumulative score. Each time a quality/compliance review is conducted, the new score replaces the previous one. An overall score of 4.0 or above is considered satisfactory.

In addition to assessing the above functional areas, the National Office of Job Corps requires mandatory audits of student records concurrent with annual center quality assessments to validate performance data (see Job Corps Information Notice 04-14 and Attachment 04-14a, dated December 10, 2004). The mandatory audits are a direct response to data integrity concerns identified in audits of Job Corps centers by the Office of Inspector General (OIG).

D. **Student Satisfaction Survey (SSS)**

The SSS elicits students’ perceptions on a broad range of services and center activities and focuses on students’ experiences during the last month. The SSS is administered to all students enrolled in Job Corps, including new arrivals, and is available in both English and Spanish. Beginning in August 2008, per Job Corps Program Instruction Notice 08-06, the frequency of the SSS administration was reduced from quarterly to semi-annually and is now administered in September and March.

A national survey protocol has been established to assist centers in effective administration of the survey. Confidentiality is guaranteed to survey participants and the survey protocol takes steps to protect anonymity. **It is vital for the survey to be distributed to all students, including those who may arrive on the center on the day of the survey administration.** The response, “don’t know/does not apply” provides an option for students who may be new to the center and do not have an opinion about one or more of the questions.
It is critical that centers ensure the survey protocol is followed explicitly. Every six months, staff must carefully read the instructions and materials to ensure their familiarity with the protocol for that semi-annual survey. Only the instructions and materials provided with the surveys should be used for that particular administration because the protocol is occasionally revised. The procedures outlined in the protocol have been tested on many centers and are known to enhance the validity of the survey data. No other surveys or forms are to be administered concurrently with the national SSS and no interpretation of the survey questions or answer categories, other than what is provided in the protocol, are to be provided to the students. Centers are also encouraged to collect feedback from students and may use their own forms. However, this cannot occur in conjunction with the SSS and should occur at some other time during the program year.

Prohibited “Coaching” Activities. The National Office is committed to ensuring the integrity of the semi-annual SSS results. In an attempt to provide greater clarification, the National Office has developed the following guidelines for centers in regard to “coaching.” Copies of this guidance are included with the survey package sent semi-annually to centers.

It is inappropriate for anyone at the center (staff or students) to attempt to bias student responses before, during, or after the survey in any way. This does not preclude utilizing the results of the survey in discussions with students regarding center improvement.

More specifically, the following activities are strictly prohibited:

- Coaching students to answer the survey in a particular way by providing or suggesting “correct” or “incorrect” answers to questions;
- Paraphrasing questions and/or providing interpretations to questions (e.g., encouraging students to answer certain items positively or to refrain from answering certain items negatively);
- Coaching student proctors to interpret questions to students in a way that biases responses toward positive outcomes for the center;
- Promising or implying that rewards (such as new equipment, services, privileges, etc.) would be granted or secured for center-wide positive survey results;
- Conducting meetings, pep rallies, and/or group activities that include discussions, presentations, or guidelines regarding survey questions or possible answers; and/or
- Suggesting that negative responses or a lack of response to the survey will result in negative consequences for the student or the center (e.g., the center will be closed).

Although a high response rate is desirable, centers are reminded that the SSS is voluntary, and that students have the right to decline to take the SSS, or to skip over questions they choose not to answer, without fear of repercussions from the center.
The SSS includes 12 questions on personal safety issues that are used to calculate the center’s performance on student safety. When assessing center quality, the results from the 12 questions related to the student’s perception of safety are used for calculating the center’s performance. The 12 safety questions are presented on the following page.

**The national goal for the Student Safety measure is 90%.** In constructing the safety measure, students who respond positively to at least six items (i.e., six out of 12) are assumed to be reporting feeling “safe.” If a student does not respond to all 12 items, a safety indicator will be calculated if at least seven of the 12 items have a valid response. These responses constitute the “valid response rate” used in data analysis. If a student does not respond to at least seven of the 12 items, that student’s responses will not be included in the center’s overall calculations of student safety. The scoring of the safety items is calculated as follows:

- A score of “1” indicates feeling safe on the given item, where a score of “0” indicates feeling unsafe.
- A total score for each student is then calculated as the sum of the scores across all of the items answered.
- This total score is divided by the total number of items, out of 12 possible, to which the student responded.
- This score must be greater than or equal to 50% to indicate that the student reported feeling safe. As indicated above, students who do not answer at least seven items will be excluded from this calculation.

The SSS yields useful information regarding the quality of services provided to students, which is utilized at national, regional, and center levels. Insufficient response rates can compromise the quality of the data collected. To gain the most accurate picture of how students evaluate their Job Corps experience, it is in the program’s best interest to see that every student has the opportunity to provide feedback.
## STUDENT SATISFACTION SURVEY – SAFETY ITEMS

<table>
<thead>
<tr>
<th>Safety Item</th>
<th>Condition for “Safe” Indicator (1)</th>
<th>Condition for “Unsafe” Indicator (0)</th>
</tr>
</thead>
<tbody>
<tr>
<td>A student would be terminated if he/she was found with a weapon - like a knife, club, or sharp object - on center.</td>
<td>Very True</td>
<td>Partly True and Partly False</td>
</tr>
<tr>
<td></td>
<td>Mostly True</td>
<td>Mostly False</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Very false</td>
</tr>
<tr>
<td>The Zero Tolerance policy was applied equally to all students.</td>
<td>Very True</td>
<td>Partly True and Partly False</td>
</tr>
<tr>
<td></td>
<td>Mostly True</td>
<td>Mostly False</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Very False</td>
</tr>
<tr>
<td>I could talk to my Residential Advisor (RA)/Residential Counselor (RC) if I was threatened by another student.</td>
<td>Very True</td>
<td>Partly True and Partly False</td>
</tr>
<tr>
<td></td>
<td>Mostly True</td>
<td>Mostly False</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Very False</td>
</tr>
<tr>
<td>I could talk to my Counselor if I was threatened by another student.</td>
<td>Very True</td>
<td>Very True</td>
</tr>
<tr>
<td></td>
<td>Mostly True</td>
<td>Mostly True</td>
</tr>
<tr>
<td></td>
<td>Partly True and Partly False</td>
<td>Partly True and Partly False</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Mostly True</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Very False</td>
</tr>
<tr>
<td>I thought about leaving Job Corps because of a personal safety concern.</td>
<td>Mostly False</td>
<td>Very True</td>
</tr>
<tr>
<td></td>
<td>Very False</td>
<td>Mostly True</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Partly True and Partly False</td>
</tr>
<tr>
<td>I thought about going to a different Job Corps center because I felt threatened by other students.</td>
<td>Mostly False</td>
<td>Very True</td>
</tr>
<tr>
<td></td>
<td>Very False</td>
<td>Mostly True</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Partly True and Partly False</td>
</tr>
<tr>
<td>How often did you hear a student threaten another student on center?</td>
<td>Never or Not in the Last Month</td>
<td>Once or Twice</td>
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<tr>
<td></td>
<td></td>
<td>About Once a Week</td>
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<tr>
<td></td>
<td></td>
<td>A Few Times a Week</td>
</tr>
<tr>
<td></td>
<td></td>
<td>About Daily</td>
</tr>
<tr>
<td>How often did other students pick on you even after you asked them to stop?</td>
<td>Never or Not in the Last Month</td>
<td>Once or Twice</td>
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<td></td>
<td></td>
<td>About Once a Week</td>
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<td></td>
<td>A Few Times a Week</td>
</tr>
<tr>
<td></td>
<td></td>
<td>About Daily</td>
</tr>
<tr>
<td>How often did other students say things to you to make you feel like you are not important?</td>
<td>Never or Not in the Last Month</td>
<td>Once or Twice</td>
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<td></td>
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<td>About Once a Week</td>
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<td>A Few Times a Week</td>
</tr>
<tr>
<td></td>
<td></td>
<td>About Daily</td>
</tr>
<tr>
<td>How often did you see a physical fight between students on center?</td>
<td>Never or Not in the Last Month</td>
<td>Once or Twice</td>
</tr>
<tr>
<td>How often were you in a physical fight with a student on center?</td>
<td>Never or Not in the Last Month</td>
<td>Once or Twice</td>
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<td></td>
<td></td>
<td>About Once a Week</td>
</tr>
<tr>
<td></td>
<td></td>
<td>A Few Times a Week</td>
</tr>
<tr>
<td></td>
<td></td>
<td>About Daily</td>
</tr>
<tr>
<td>How often did you carry a weapon - like a knife, club, or a sharp object - with you on center?</td>
<td>Never or Not in the Last Month</td>
<td>Once or Twice</td>
</tr>
<tr>
<td></td>
<td></td>
<td>About Once a Week</td>
</tr>
<tr>
<td></td>
<td></td>
<td>A Few Times a Week</td>
</tr>
<tr>
<td></td>
<td></td>
<td>About Daily</td>
</tr>
</tbody>
</table>
III. ROLES AND RESPONSIBILITIES

A. National Office

The Office of Job Corps is responsible for:

- Establishing national policy for the center OMS each program year
- Providing model-based goals for designated performance measures/indicators and administering the appeals process of the adjusted goals
- Administering the National Office appeals process of Quarter 2 and Quarter 4 outcomes reported from the post-separation survey
- Monitoring the JCDC issuance of the Center Report Card and reports on each of the Center Quality Assessment metrics
- Tracking and verifying performance of Job Corps centers
- Communicating with Regional Offices to implement program or policy changes or adjustments
- Providing information, technical assistance and training to the Regional Offices and Job Corps community as needed
- Developing and supporting a standardized audit methodology for Regional Office staff
- Establishing an administrative low rating to centers for lack of credible data
- Reviewing the development, implementation, and monitoring of center PIPs
- Issuing performance goals for new centers at the beginning of the second program year of their contract, or as otherwise specified by the National Director of Job Corps

B. Regional Offices

Regional Offices are responsible for:

- Determining that proposals, contracts, and USDA Forest Service Civilian Conservation Center (CCC) plans are consistent with center performance goals and requirements
- Considering performance in both the Center Report Card and Center Quality Assessment components (OBS, QR, and SSS) in procurement and contract administration activities
- Monitoring the performance of all centers monthly using the Center Report Card, the Center Quality Assessment, and information gathered from center visits, assessments, and review of other reports and analyses
- Reviewing information submitted by centers who fail to meet performance goals on extenuating circumstances and/or unique factors to substantiate the shortfall
- Evaluating the extenuating circumstances/unique factors in conjunction with the operator’s compliance with all other terms and conditions of the contract/agreement and the results of any Office of Inspector General (OIG) audits and special review findings in making procurement-related decisions
• Determining if adjustments are warranted, and consequently transmitting a justification for the adjustment as part of the Contractor Performance Assessment System

C. Center Operators

Center operators, including the U.S. Department of Agriculture, Forest Service, are responsible for:

• Implementing performance goals with their respective centers
• Providing staff training and technical assistance
• Monitoring monthly performance against goals on the Center Report Card and performance on the Center Quality Assessment
• Submitting information to Regional Offices regarding extenuating circumstances and/or unique factors that could justify poor Center Report Card performance
• Recommending corrective action, as required, and submitting corrective action plans to Job Corps Regional Offices when appropriate
• Implementing corrective action plans as directed

D. Job Corps Centers

Job Corps Centers are responsible for:

• Sharing the information in this Appendix 501a with all applicable staff
• Accurate and timely data entry into CIS to ensure data integrity
• Data verification and correction prior to a student’s separation and processing of transitional allowance
• Maintaining all documents and automated information necessary for audits of activity
• Updating student’s contact and alternate (family and friends) contacts information prior to separation in CIS or CTS to provide post-separation survey staff with the most current contact information increasing the likelihood of reaching students for the Quarter 2 and Quarter 4 surveys. (Complete and accurate alternate contact information for family members or friends (at a different address) is essential to obtaining high survey completion rates.)

E. Job Corps Data Center (JCDC)

JCDC is responsible for:

• Ensuring that the Center Report Card, Center Quality Assessment components, and other reports are issued in accordance with the target release dates
• Coordinating specifications of the Center Report Card and the reports for the Center Quality Assessment components (OBS, SSS, and QR) with National Office staff
• Ensuring that data generated in the reports accurately reflect the policy and programming design
• Providing Help Desk services regarding Job Corps center data, reporting and oversight of CIS, and training and services to the regions on CIS
APPENDIX 501B
POLICIES AND PROCEDURES FOR JOB CORPS’
PROGRAM YEAR (PY) 2019
PERFORMANCE MANAGEMENT SYSTEM
OUTREACH AND ADMISSIONS OUTCOME MEASUREMENT SYSTEM

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I. OUTREACH AND ADMISSIONS (OA) REPORT CARD FOR PY 2019

Prior to reviewing this section, please read the Introduction to Appendix 501. The Introduction provides rationale, policies, and procedural changes that apply to all of the Program Year (PY) 2019 Outcome Measurement System (OMS) Report Cards.

A. Overview.

The Outreach and Admissions (OA) Report Card is the accountability tool used to measure and account for performance of OA contractors nationwide. The OA Report Card reflects OA contractors’ success in achieving specific goals and objectives pertaining to the recruitment of eligible students to reach center capacity, the initial retention of those students in the program, and positive program outcomes.

OA contractors are important to the continuum of service delivery to students since they are the first to encounter prospective Job Corps students. Specifically, they help to lay the foundation for a beneficial and rewarding experience for students. OA contractors must focus their efforts on enrolling eligible youth who are ready for program participation, and effectively preparing them for career pathways.

B. PY 2019 Changes in Appendix 501B

The performance measures included in the PY 2019 OA Report Card are intended to encourage the recruitment of committed students that will be successful in the program and ultimately enter into the workforce. As such, the measures on the OA Report Card are classified as either quantity/production measures (i.e., recruitment of students), or quality/commitment measures (i.e., retention of recruited students that are successful in Job Corps).

For PY 2019, Job Corps has made no changes to the definitions, weights, or goals of any of the remaining measures in the OA Report Card.

Provided below is a chart outlining the PY 2019 OA Report Card.
<table>
<thead>
<tr>
<th>Measure</th>
<th>Definition</th>
<th>Goal</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Quantity/Production (60%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Female Arrival Rate</td>
<td>No. of female arrivals&lt;br&gt;Total female contracted quota</td>
<td>100%</td>
<td>30%</td>
</tr>
<tr>
<td>Total Arrival Rate</td>
<td>No. of total arrivals&lt;br&gt;Total contracted quota</td>
<td>100%</td>
<td>30%</td>
</tr>
<tr>
<td><strong>Quality/Commitment (40%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Non-Level 1 Zero Tolerance (ZT) Separation Rate</td>
<td>No. of student arrivals who do not separate for a Level 1 ZT infraction under codes 5.1a, 5.2b or 5.3c within the first 30 calendar days or under code 5.2A within the first 45 calendar days&lt;br&gt;No. of student arrivals with the opportunity to stay in the program for at least 45 calendar days</td>
<td>98%</td>
<td>5%</td>
</tr>
<tr>
<td>Arrivals With 90-Day Commitment Rate</td>
<td>No. of students in the pool who stay for 90 or more calendar days&lt;br&gt;No. of student arrivals with the opportunity to stay in the program for at least 90 calendar days</td>
<td>85%</td>
<td>30%</td>
</tr>
<tr>
<td>Graduate Rate</td>
<td>No. of students who separate as graduates&lt;br&gt;No. of separated students</td>
<td>65%</td>
<td>5%</td>
</tr>
</tbody>
</table>
C. **Quantity/Production Measures**

These measures are consistent with the need for Job Corps centers to operate at full capacity. OA contracts stipulate the OA contractor’s input schedule for eligible arrivals for the length of the contract. These input schedules, or quotas, are determined by the Job Corps National Enrollee Assignment Plan (NEAP) and represent the OA contractors’ obligation to ensure the centers they serve reach full capacity.

The goals for the arrival measures are set at 100 percent of OA contractors’ contractual goals. Thus, if a Regional Office needs to adjust an OA contractor’s input schedule to meet changing regional or center needs, this system provides the flexibility to do so, as the goals will remain at 100 percent of the newly assigned quotas.

1. **Female Arrival Rate:** The Female Arrival Rate is the number of female arrivals compared to the total female arrival quota, as specified by the contract.

   - **Pool:** Female arrival contracted quota.
   - **Measure:** The percentage of female arrivals achieved.
   - **Goal:** 100% of the contracted female quota.
   - **Weight:** 30%
   - **Formula:** \( \frac{\text{Number of female arrivals}}{\text{Total female contracted quota}} \)

2. **Total Arrival Rate:** The Total Arrival Rate is the number of total arrivals (both Male and Female) compared to the total arrival quota, as specified by the contract.

   - **Pool:** Total (male and female) contracted quota.
   - **Measure:** The percentage of total (male and female) arrivals achieved.
   - **Goal:** 100% of the contracted quota.
   - **Weight:** 30%
   - **Formula:** \( \frac{\text{Number of total arrivals}}{\text{Total contracted quota}} \)

**Note:** For OA contractors that do not have responsibility for female arrivals, the Total Arrival Rate will be given 60% weight, that is, all of the weight for production.
D. **Quality/Commitment Measures**

These measures are consistent with the need to obtain students who will remain on center for more than 60 days to acquire some tangible benefit from the program, such as a learning gain, a High School Diploma (HSD) or High School Equivalency (HSE), or a Career Technical Training (CTT) completion. The longer students remain in Job Corps, the more opportunity they have to acquire all of the benefits Job Corps has to offer.

Making a commitment to students in this critical period should inspire students to make a commitment to Job Corps in return. OA contractors are responsible for providing applicants with in-depth information about the program, such as policies, expectations, and center residential life, and for preparing incoming students to make a commitment to both themselves and Job Corps. In addition, these quality/commitment measures reflect the relationship between the enrollment of eligible and committed students, and its impact on students’ length of stay at centers, which correlates to quality achievements and placements.

1. **Non-Level 1 Zero Tolerance (ZT) Separation Rate:** This measure includes all students who were recruited by the OA contractor.

   **Pool:** All students who had the opportunity to stay in the program for at least 45 calendar days.

   **Measure:** The percentage of students in the pool who do not separate for a Level 1 ZT infraction under codes 5.1a, 5.2b or 5.3c (for an infraction that is categorized as alcohol abuse or alcohol possession, consumption or distribution while on center or under center supervision) within the first 30 calendar days or under code 5.2a within the first 45 calendar days. Level 1 ZT infractions are described in Exhibit 2-1, and in the Introduction to Appendix 501.

   **Goal:** The national goal is 98%.

   **Weight:** 5%

   **Formula:** Number of student arrivals who do not separate for a Level 1 ZT infraction under codes 5.1a, 5.2b or 5.3c within the first 30 calendar days or under code 5.2A within the first 45 calendar days
   
   All student arrivals with the opportunity to stay in the program for at least 45 calendar days

2. **Arrivals with 90-Day Commitment Rate:** This measure also includes all students who were recruited by the OA contractor.

   **Pool:** All students who had the opportunity to stay in the program for at least 90 calendar days.
Measure: The percentage of students in the pool who stay in the program for 90 or more calendar days.

Goal: The national goal is 85%.

Weight: 30%

Formula: Number of student arrivals in the pool who stay in the program for 90 or more calendar days
Number of student arrivals with the opportunity to stay in the program for at least 90 calendar days

3. Graduate Rate: The Graduate Rate measure serves as an indicator of the OA contractor’s success in arriving committed and prepared students who can benefit from the program.

Pool: All separated students.

Measure: The percentage of students in the pool who meet the requirements for graduate status, as defined in Chapter 4, Section 4.1, R1, at the time of separation.

Goal: The national goal is 65%.

Weight: 5%

Formula: Number of students who separate as graduates
Number of separated students

E. Performance Goals

Performance goals serve as the quantitative benchmarks to assess performance. A single performance goal is established for each measure, and performance is measured as a percentage of the goal(s) achieved. Typically, analyses of historical data are conducted to assist in establishing reasonable and attainable goals for the system. All measures use national goals for the OA Report Card.

F. Weights

A weight is assigned to each measure to reflect: (1) areas of emphasis in OA contractors’ accountability for achieving positive student outcomes; (2) the importance attached to each measure; and (3) the number of students in the pool for each measure.

For PY 2019, Job Corps has made no changes to the weighting of the OA Report Card from the previous year. As in PY 2018, greater emphasis is placed on the production
measures at 60% as compared to the commitment measures at 40%. The two production measures continue to be equally weighted at 30% each to emphasize the importance of recruiting female participants in the program as well as keeping centers full. Under the commitment measures the 90-Day Commitment Rate measure remains at 30% to highlight the importance of arriving students who are committed to the program, while the Graduate Rate measure and the Non-Level 1 ZT Separation Rate remain weighted at 5 percent each.

G. Overall Rating

Weighted performance ratings across each of the weighted measures are aggregated to create an OA contractor overall rating. These ratings are reviewed to assess program effectiveness and play a key role in the procurement process. Overall ratings are also used to determine the program ranges for performance-based service contracting plans.

II. ROLES AND RESPONSIBILITIES

A. National Office

The National Office of Job Corps is responsible for:

- Establishing overall policy for the OA OMS each program year
- Monitoring the Job Corps Data Center’s (JCDC’s) issuance of the OA Report Card
- Tracking and verifying performance of OA contractors and conducting trend analyses of outcomes and processes
- Communicating with Regional Offices to implement program or policy changes or adjustments
- Providing information, technical assistance, and training to the Regional Offices and Job Corps community as needed
- Establishing an administrative low rating to contractors for lack of credible data.

B. Regional Offices

Regional Offices are responsible for preparing the Requests for Proposals (RFPs) and awarding the contracts, receiving and reviewing data reports, and monitoring OA contractor performance as follows:

1. RFPs and Contracts: Regional Offices ensure that proposals, contracts, and plans comply with the OA Report Card system established herein and that all OA contractor RFPs include language that informs the contractor that new arrival quotas will be established, based on Job Corps data that demonstrate program demand in the assigned recruitment area, and will be issued each contract year through a unilateral modification (regardless of the base-year period or the award of an option year). Regional Offices must issue an amendment to the RFP to modify the award document prior to execution. Prior to contract award and after
the RFP is issued, new arrival quotas are established by the Regional Office based on Job Corps data. **The Regional Office must also ensure that accurate arrival quotas are entered into the Financial Management System (FMS) in a timely manner.** Delays in entering quotas in FMS is a data integrity issue. Quotas not entered for one or more months in a reporting period can lead to over-inflated or missing results for the arrival measures in the OA Report Card, affecting the performance ratings and overall rating for that OA contractor.

2. **Data Reports:** Regional Offices should review and forward electronic National Office data analysis reports to OA contractors and notify both the National Office of Job Corps and JCDC of OA contractor contract changes (locations or service) **prior to implementing the change. Regional Offices must also enter monthly OA arrival quotas into FMS in a timely manner** to ensure that data reports contain accurate information that is based on program demand within the assigned recruitment area. They must also maintain and provide annually (or as revised) an updated list of OA contractors, contact addresses, and codes to the National Office of Job Corps.

3. **Monitoring Contractors:** Regional Offices must monitor and assess contractor performance against goals that are based on program demand within the assigned recruitment area, using OA Report Card overall ratings for procurement and contract administration activities. As noted previously, delays in entering monthly quotas in FMS leads to over-inflated or missing performance ratings and overall ratings in the OA Report Card. **It is important to therefore enter quotas in a timely manner to ensure the integrity of the data and Report Card results.**

The Regional Offices should transmit any contractor’s explanation of poor performance as part of the Contractor Performance Assessment Reporting System. Regional Directors will evaluate information submitted by the OA contractor, analyze numbers of referrals sent to the contractor through Outreach and Admissions Student Information System (OASIS [online applications and callers to the toll free number (800-733-5627 (JOBS)) who were pre-screened as eligible]), and perform an assessment of the contractor’s compliance with Job Corps policy and all other terms and conditions of the contract or agreement. Other factors, such as the Office of Inspector General (OIG) audits and special review findings, should also be taken into account in procurement-related decisions.

C. **OA Contractors**

All contractors providing OA services, as well as centers providing OA activities, are responsible for maintaining all documents, case notes, and automated information necessary for audits of activity. It is critical that accurate data are reported and entered into the Outreach and Admissions Student Input System (OASIS). The contractor must ensure that the OA Report Card system is used to provide maximum benefit to Job Corps applicants by immediately notifying JCDC, by e-mail to the JCDC Help Desk.
Further, OA contractors must monitor progress against goals on an ongoing basis and take corrective action or implement program/procedural improvements, as appropriate. Most importantly, OA contractors must submit information regarding extenuating circumstances and/or unique factors, which can temporarily justify poor performance, to the Regional Office. This must include information on the numbers of prospect referrals received through OASIS (online applications and callers to the toll free number (800-733-5627 (JOBS)) who were pre-screened as eligible). OA contractors must share the information in this appendix and implement the Job Corps OA Report Card goals with their respective employees. They must also monitor performance, recommend corrective action as required, submit corrective action plans to Job Corps Regional Offices when appropriate, implement corrective action plans as directed, and make recommendations to the federal officer regarding approaches to better serve applicants, meet program demand, and improve performance.

D. **Job Corps Centers**

Job Corps centers are responsible for:

- Timely and accurate transmittal of OA information in OASIS and the Center Information System (CIS)
- Ensuring accurate coding of OA Identification codes when completing the OASIS and CIS obligations
- Assisting OA contractors to promote timely arrivals of eligible students. **Job Corps centers must ensure expedient processing of applicant folders to fully support 100 percent on-board strength (OBS)**
- Providing center-specific information to OA contractors and work cooperatively with them to encourage retention once students arrive on center

**Note:** A general failure to accurately enter data into OASIS or CIS, or otherwise report information to JCDC, not only negatively affects the overall performance rating of individual OA contractors but also reflects negatively on the integrity of Job Corps program reports and outcomes. In addition, since enrollee information is critical to other data reports, any inaccurate OA data negatively impacts other Job Corps program reports and outcomes.

E. **Job Corps Data Center (JCDC)**

JCDC is responsible for:

- Ensuring that the OA Report Card and other reports are issued in accordance with the target release dates
- Coordinating specifications of the OA Report Card with National Office staff
- Ensuring the data generated in the reports accurately reflect the policy and
programming design

• Providing Help Desk services regarding OA contractor data, reporting and oversight of OASIS, recruitment website hosting, online application receipt and routing through OASIS, online application data, and training and services to the regions and OA contractors on the use of OASIS
# APPENDIX 501C

## POLICIES AND PROCEDURES FOR JOB CORPS’ PROGRAM YEAR (PY) 2019

### PERFORMANCE MANAGEMENT SYSTEM

### CAREER TRANSITION SERVICES OUTCOME MEASUREMENT SYSTEM

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I. CAREER TRANSITION SERVICES (CTS) REPORT CARD FOR PY 2019

Prior to reviewing this section, please read the Introduction to Appendix 501. The Introduction provides rationale, policies, and procedural changes that apply to all of the Program Year (PY) 2019 Outcome Measurement System (OMS) Report Cards, as well as Attachments pertaining to this section.

A. Overview

Contractors with Career Transition Services (CTS) responsibilities play a vital role in the continuum of service delivery to students. Job Corps emphasizes students’ long-term success as a major indicator of the program’s effectiveness. CTS contractors have the primary responsibility of ensuring that Graduates and Former Enrollees stay attached to the workforce after separation from the program.

The CTS Report Card is the accountability tool used to measure and account for performance of all contractors with CTS responsibilities. The CTS Report Card reflects CTS contractors’ success in achieving specific goals and objectives pertaining to both the placement of Graduates and Former Enrollees, and their earnings.

B. PY 2019 Changes in Appendix 501C

For PY 2019, Job Corps has made no changes to the CTS Report Card measures, goals, and weights so as to allow time for CTS contractors to fully adjust to the significant changes made in the previous year. In PY 2018 Job Corps substantially reformed the CTS Outcome Measurement System (OMS) to align with revisions to the Center Report Card. These revisions included reducing the number of measures and increasing emphasis on measures that support the program’s mission of placing students in meaningful jobs or further education.

Additionally in PY 2018, Job Corps redefined the time period constituting the second quarter (Quarter 2) and fourth quarter (Quarter 4) after exit for its post-separation survey to align with the definition used by other DOL programs. As of July 1, 2018, Quarter 2 is defined as the second calendar quarter after the exit quarter, and Quarter 4 as the fourth calendar quarter after the exit quarter.

All attachments pertaining to the CTS Report Card can be found in the Appendix 501 Introduction.

Provided on the next page is a summary table outlining the PY 2019 CTS Report Card.
### PY 2019 CTS OMS REPORT CARD

<table>
<thead>
<tr>
<th>Measure</th>
<th>Definition</th>
<th>Goal</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CTS PLACEMENT OUTCOMES (50%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Placement Rate</td>
<td>No. of graduates and former enrollees placed in a job, the military, an education/training program, or a job/college combination. No. of graduates and former enrollees whose placement records are due or received.</td>
<td>83%</td>
<td>20%</td>
</tr>
<tr>
<td>Placement Average Wage*</td>
<td>Sum of hourly wages of graduates and former enrollees placed in a job or the military. No. of graduates and former enrollees placed in a job or the military.</td>
<td>$12.00</td>
<td>10%</td>
</tr>
<tr>
<td>Placement Quality Rating</td>
<td>Rating based on weighted performance on two indicators relative to goals: (1) job-training match rate and (2) quality placement rate (percentage of all initially placed graduate and former enrollees in apprenticeship programs, full-time jobs, the military, full-time college, full-time college/job combination, or full-time post-secondary training).</td>
<td>100%</td>
<td>20%</td>
</tr>
<tr>
<td><strong>Q2/Q4 PLACEMENT OUTCOMES (50%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Graduate and Former Enrollee Placement Rate in Quarter 2 After Exit Quarter</td>
<td>No. of graduates and former enrollees who report on the Quarter 2 survey they are in a job, the military, or an education/training program. No. of graduates and former enrollees who complete the Quarter 2 survey.</td>
<td>80%</td>
<td>20%</td>
</tr>
<tr>
<td>Graduate and Former Enrollee Placement Rate in Q4 After Exit Quarter</td>
<td>No. of graduates and former enrollees who report on the Quarter 4 survey they are in a job, the military, or an education/training program. No. of graduates and former enrollees who complete the Quarter 4 survey.</td>
<td>80%</td>
<td>20%</td>
</tr>
<tr>
<td>Graduate and Former Enrollee Average Earnings in Quarter 2 After Exit Quarter*</td>
<td>Sum of earnings of graduates and former enrollees who report they are in a job or the military on the Quarter 2 survey. No. of graduates and former enrollees who complete the Quarter 2 survey and report they are in a job or the military.</td>
<td>$5,500</td>
<td>10%</td>
</tr>
</tbody>
</table>

*Model-Based Goal

### PY 2019 SUPPLEMENTAL CTS REPORT CARD

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Definition</th>
<th>Goal</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>PLACEMENT QUALITY RATING</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Career Technical Training (CTT) Completer Job Training Match (JTM) Rate</td>
<td>No. of CTT program completers placed in a training-related job or the military. No. of CTT program completers placed in a job or the military.</td>
<td>65%</td>
<td>35%</td>
</tr>
<tr>
<td>Full-Time Quality Placement Rate</td>
<td>No. of graduates and former enrollees placed in an apprenticeship program, a full-time job, the military, full-time college, full-time job/college combination or a full-time post-secondary training program. No. of placed graduates and former enrollees.</td>
<td>75%</td>
<td>65%</td>
</tr>
</tbody>
</table>
C. Impact of Level 1 Zero Tolerance (ZT) Separations on the CTS Report Card

Students who separate due to Level 1 Zero Tolerance (ZT) infractions or students who are enrolled in Job Corps for less than 60 days will not be included in the CTS Report Card since they are ineligible for post-center services.

The list of Level 1 ZT infractions can be found in the Job Corps Policy and Requirements Handbook (PRH), Chapter 2, Exhibit 2-1 (Infraction Levels, Definitions, and Appropriate Center Actions).

D. Career Transition Services Placement Measures

Provided below is a description of the career transition services placement outcomes performance measures. The following criteria apply to these measures:

- For a placement to be credited it must
  1. meet the criteria described in PRH, Exhibit 4-1,
  2. have documentation consistent with the criteria in PRH, Exhibit 4-2, and
  3. be entered and approved in CTS according to the timelines in, Chapter 4, Section 4.4.

  If the verification is not received and/or the information is not entered into the CTS system for a valid placement within the time frame specified in Section 4.4, the center (and the CTS contractor) will not receive credit for the Placement Rate measure for this student. The student will not be in the pool of any of the Placement Quality Rating indicators and the center (and CTS contractor) will not receive credit for the Placement Quality Rating measure. JCDC will, however, include these placements in the National and Regional totals of the CTS placement measures if they otherwise meet placement requirements.

- Initial placement upgrades that occur during the placement window for former enrollees and graduates will be credited and may change the statistical status associated with a particular student. See Appendix 501 Introduction, Attachment 2: PY 2019 Initial Placements and Allowable Upgrades, for a chart outlining the upgrade hierarchy.

1. Placement Rate: The Placement Rate serves as a strong indicator of the program’s success in equipping Job Corps graduates and former enrollees with the basic skills necessary for an effective job search and preparing them for engagement and retention in the workforce or further education.

   Pool: All graduates and former enrollees whose placement records are due or received.

   Measure: The percentage of graduates and former enrollees in the pool who are placed in a job, the military, an educational program, or a job/college
combination according to the Job Corps placement definition in Exhibit 4-1.

**Goal:** The national goal is 83%.

**Weight:** 20%

**Formula:** Number of Graduates and Former Enrollees placed in a job, the military, an educational program, or a job/school combination

Number of Graduates and Former Enrollees whose placement records are due or received

2. **Graduate and Former Enrollee Average Hourly Wage at Placement:** The Average Hourly Wage at Placement holds CTS contractors accountable for their ability to secure jobs that will place graduates and former enrollees on the path to economic self-sufficiency.

**Pool:** All graduates and former enrollees placed in a job or the military according to the Job Corps placement definition in Exhibit 4-1.

**Indicator:** The average hourly wage of graduates and former enrollees in the pool associated with their initial or upgrade placement in a job or the military.

**Goal:** The national goal is $12.00; CTS contractors and regions have model-based goals for this measure. A model statistically adjusts each contractor’s goal to account for factors beyond their control (such as the characteristics of the labor market) that have been shown to impact their outcomes for this measure. The model aggregates the effects of the various factors for each contractor, and adjusts the national goal accordingly for that contractor, to set a contractor-specific goal. See Appendix 501 Introduction, Attachment 10: PY 2019 CTS Contractor Model-Based Goals and Worksheets, for a template of the factors utilized in the models and for specific goals.

**Weight:** 10%

**Formula:** Sum of hourly wages of graduates and former enrollees placed in a job or military

Number of graduates and former enrollees placed in a job or military

3. **Placement Quality Rating:** This composite measure, introduced in PY 2019, is intended to incentivize higher-quality placements that are ultimately linked to better success in, and longer-term connection to, the workforce. Quality placements are defined as those that are full-time jobs or in full-time post-secondary education, higher paying jobs, and jobs that match the career training
completed.

**Measure:** The measure is calculated based upon the sum of the weighted performance relative to goals on the following two indicators: CTT Completer JTM Placement and Full-Time Quality Placement. The combined weight of the two indicators equals 100%. The composite measure is then calculated as an overall rating of the two indicators.

**Goal:** 100%

**Weight:** 20%

Below are the specifications of the two indicators.

a) **Career Technical Training (CTT) Completer Job Training Match (JTM) Rate:** In PY 2005, a Job Training Match (JTM) Placement rate measure, crediting CTT completers placed in training-related jobs or the military, was added to the CTS Report Card. The measure was intended to lead to improved student long-term outcomes and career success by encouraging student placement in the trades for which they have been trained. In PY 2007, to further align with the program’s emphasis on education, the measure was expanded to also credit CTT completers that enter a postsecondary education or postsecondary training as Postsecondary Credit (PSC). For PY 2019, the measure has been refocused on matching job placements with training received and removes from the pools and credits post-secondary education and training placements. While post-secondary education/training is highly valued and encouraged as a placement by Job Corps, it is now credited under the new measure of Full-Time Quality Placement Rate.

In PY 2010, an improved Job Training Match (JTM) Crosswalk was introduced that more directly aligned training programs with jobs. Between 2010 and 2014, the Office of Job Corps issued revised versions of the JTM Crosswalk each year to refine alignment with O*NET-SOC, the industry-recognized national occupational database. While minor adjustments were made, the Crosswalk remained largely unchanged.

**Pool:** All CTT completers placed in a job or the military.

**Indicator:** The percentage of CTT completers in the pool who are initially placed or have a placement upgrade, in a training-related job or the military.

**Goal:** The national goal is 65%.

**Weight:** 35%
Formula:  \[
\text{Number of CTT program completers placed in a training-related job or the military} \]
\[
\text{Number of CTT program completers placed in a job or the military}
\]

The process created in PY 2007 for requesting the addition of an O*NET-SOC placement code to the JTM Crosswalk will continue to be available for PY 2019. A request should be submitted only if the current JTM Crosswalk does not already contain a specific placement code that: (a) is the most appropriate O*NET-SOC code to describe a placement outcome, and (b) is directly related to one of the Training Achievement Records (TARs), released in PY 2006 or thereafter, as they are aggregated into Training Program Areas (TPAs) within the crosswalk. If the request is approved, the proposed O*NET-SOC placement code will be added to the placement portion of the JTM Crosswalk, and JTM credit will be given to every student who completes any TAR in the same TPA and is placed in a position that is properly assigned the identified placement code. See Appendix 501 Introduction, Attachment 6: PY 2019 Instructions for Filing a Request to Add a Placement Code to the JTM Crosswalk and Request Form, for the request form and instructions.

b) **Full-Time Quality Placement Rate**: Incentivizing the placement of graduates and former enrollees in positions that are full-time – whether full-time employment or full-time higher education – reinforces the importance of ensuring these students are placed in positions that would lead to long-term attachment to the workforce and a defined career path.

**Pool**: All graduates and former enrollees placed in a job or the military according to the Job Corps placement definition in Exhibit 4-1.

**Indicator**: The percentage of graduates and former enrollees in the pool who are placed in a full-time apprenticeship program, full-time job, the military, full-time college, full-time job/college combination or full-time post-secondary training program.

**Goal**: The national goal is 75%.

**Weight**: 65%

**Formula**: No. of graduates and former enrollees placed in an apprenticeship program, a full-time job, the military, full-time college, full-time job/college combination or a full-time post-secondary training program
No. of placed graduates and former enrollees
E. **Quarter 2 and Quarter 4 Placement Measures**

Provided below is a description of the post-center, Quarter 2 and Quarter 4 placement measures that align with the WIOA’s Quarter 2 and Quarter 4 metrics and its focus on longer-term employment. Appeals of placement and/or earnings outcomes reported from the Quarter 2 and Quarter 4 surveys may be filed as noted in Appendix 501 Introduction, Section F.8 (d) for the Placement Rate in Quarter 2 after Exit Quarter, Placement Rate in Quarter 4 after Exit Quarter, and Average Earnings in Quarter 2 after Exit Quarter measures. The placement appeal and earnings appeal instructions and forms can be found in Appendix 501 Introduction, Attachments 4 and 5, respectively.

1. **Graduate and Former Enrollee Placement Rate in Quarter 2 After Exit Quarter:** This measure is a program priority for the system and aligns with WIOA reporting requirements. All phases of Job Corps services work toward the goal of helping students achieve long-term success as a result of their participation in Job Corps.

   **Pool:** All graduates and former enrollees who complete the Quarter 2 survey.

   **Measure:** The percentage of graduates and former enrollees in the pool who report in the survey that they are employed, in the military, or enrolled in an educational program (that meets the Job Corps placement definition in Exhibit 4-1) or a job/college combination during the second quarter after exit quarter.

   **Goal:** The national goal is 80%.

   **Weight:** 20%

   **Formula:**
   \[
   \text{No. of graduates and former enrollees who report on the Quarter 2 survey they are in a job, the military, or an education/training program} / \text{No. of graduates and former enrollees who complete the Quarter 2 survey}
   \]

2. **Graduate and Former Enrollee Placement Rate in Quarter 4 After Exit Quarter:** This measure gauges graduates’ and former enrollees’ attachment to the workforce or advanced education environment and aligns with WIOA reporting requirements.

   **Pool:** All graduates and former enrollees who complete the Quarter 4 survey.

   **Measure:** The percentage of graduates and former enrollees in the pool who report in the survey that they are employed, in the military, or enrolled in an educational program (that meets the Job Corps placement definition in the PRH, Chapter 4, Exhibit 4-1) or a job/college combination during the fourth quarter after exit quarter.
Goal: The national goal is 80%.

Weight: 20%

Formula: No. of graduates and former enrollees who report on the Quarter 4 survey they are in a job, the military, or an education/training program
No. of graduates and former enrollees who complete the Quarter 4 survey

3. **Graduate and Former Enrollee Average Earnings in Quarter 2 After Exit Quarter:** This measure serves as a barometer of graduates’ and former enrollees’ long-term success and aligns with WIOA reporting requirements.

Pool: All graduates and former enrollees who complete the Quarter 2 survey and report in the survey that they are in a job (that meets the Job Corps placement definition in Exhibit 4-1) or in the military.

Measure: The average earnings of graduates and former enrollees in the pool.

Goal: The national goal is $5,500. CTS contractors and regions have model-based goals for this measure. A model statistically adjusts each contractor’s goal to account for factors beyond their control (such as the characteristics of the labor market) that have been shown to impact their outcomes for this measure. The model aggregates the effects of the various factors for each contractor, and adjusts the national goal accordingly for that contractor, to set a contractor-specific goal. See Appendix 501 Introduction, Attachment 10: PY 2019 CTS Contractor Model-Based Goals and Worksheets, for a template of the factors utilized in the models and for specific goals.

Weight: 10%

Formula: Sum of earnings of graduates and former enrollees who report they are in a job or the military on the Quarter 2 survey
No. of graduates and former enrollees who complete the Quarter 2 survey and report they are in a job or the military

**F. Performance Goals**

Performance goals serve as the quantitative benchmarks to assess performance. A single performance goal is established for each measure, and performance is measured as a percentage of the goal(s) achieved. Typically, analyses of historical data, where available, are conducted to assist in establishing reasonable and attainable goals for the system.

The following measures have *national* goals:
• Placement Rate
• Placement Quality Rating
  ✓ Job Training Match (JTM) Rate
  ✓ Quality Placement Rate
• Graduate and Former Enrollee Placement Rate in Quarter 2
• Graduate and Former Enrollee Placement Rate in Quarter 4

The following measures have *model-based* goals:

• Graduate Average Hourly Wage at Placement
• Graduate and Former Enrollee Average Earnings in Quarter 2 after Exit Quarter

Model-based goals for all CTS measures and indicators can be found in Appendix 501 – Introduction, Attachment 10: PY 2019 CTS Contractor Model-Based Goals and Worksheets. Details regarding model-based goals can be found in Appendix 501, Introduction, Section D.2.(b).

G. **Weights**

A weight is assigned to each measure to reflect: 1) areas of emphasis in CTS contractors’ accountability for achieving positive student outcomes; 2) the importance attached to each measure; and 3) the number of students in the pool for each measure.

The weighting scheme of the CTS Report Card has not been altered from PY 2018. The CTS Placement measures and Quarter 2 and Quarter 4 Placement measures remain weighted at 50 percent each. Under the CTS Placement measures, the Quality Placement and Placement Rate measures are weighted equally at 20 percent with the Graduate and Former Enrollee Average Wage measure weighted at 10 percent. The Quarter 2 and Quarter 4 Placement measures are weighted the highest at 20 percent each with the Quarter 2 earnings measure at 10 percent. This weight distribution aligns with WIOA’s focus on long-term success in the workforce (and higher education) which is measured through the Quarter 2 and Quarter 4 placement outcomes.

H. **Overall Rating**

Weighted performance ratings across each of the weighted measures are aggregated to create a CTS contractor overall rating. These ratings are reviewed to assess program effectiveness and play a key role in the procurement process. Overall ratings are also used to determine the performance ranges for performance-based service contracting.
II. ROLES AND RESPONSIBILITIES

A. National Office

The National Office of Job Corps is responsible for:

• Establishing national policy for the CTS OMS each program year
• Providing model-based goals for designated performance measures/indicators and administering the appeals process of the adjusted goals
• Administering the National Office appeals process of Quarter 2 and Quarter 4 outcomes reported from the post-separation survey
• Monitoring the JCDC issuance of the CTS Report Card
• Tracking and verifying performance of CTS contractors
• Communicating with Regional Offices to implement program or policy changes or adjustments
• Providing information, technical assistance and training to the Regional Offices and the Job Corps community as needed
• Establishing an administrative low rating to contractors for lack of credible data.
• Developing and supporting a standardized audit methodology for Regional Office staff

B. Regional Offices

Regional Offices are responsible for:

• Determining that proposals, contracts, and plans are consistent with CTS contractor performance goals and requirements
• Considering performance of CTS contractors in procurement and contract administration activities
• Ensuring that all CTS contractor Requests for Proposals (RFPs) include language that informs the contractor that new goals are issued each contract year through a unilateral modification (regardless of the base year period or the award of an option year)
• Issuing an amendment to the RFP or modifying the award document before execution if the RFP is issued and new goals are established by the National Office of Job Corps before the award of a contract and after the RFP is issued
• Monitoring the performance of all CTS contractors monthly using the CTS Report Card, the Center Quality Assessment, and information gathered from center visits, assessments, and review of other reports and analyses
• Reviewing information submitted by CTS contractors who fail to meet performance goals on extenuating circumstances and/or unique factors to substantiate the shortfall and sharing this information with the National Office Performance Team
• Evaluating the extenuating circumstances/unique factors in conjunction with the operator’s compliance with all other terms and conditions of the contract/agreement and the results of any Office of Inspector General (OIG)
audits and special review findings in making procurement-related decisions

Regional Directors will notify both the National Office of Job Corps and JCDC of CTS contractor changes (locations or service) prior to implementing the change; and maintain and provide annually (or as revised), an updated list of CTS contractors, contact addresses, and codes to National Office staff.

C. CTS Contractors

All contractors providing career transition services, as well as centers with CTS contractor activities, are responsible for:

- Sharing the information in this Appendix 501c with all applicable staff
- Accurate and timely data entry of valid placement data within the reporting period specified in PRH, Chapter 4, Section 4.4 to ensure data integrity
- Data correction of errors in a timely manner, as requested and substantiated by centers and/or National Training Contractors (NTCs)
- Maintaining all documents, case notes, and automated information necessary for audits of activity
- Updating placement records with the most current student’s contact and alternate (family and friends) contacts information to provide post-separation survey staff with the most current contact information increasing the likelihood of reaching students for the Quarter 2 and Quarter 4 surveys. (Complete and accurate alternate contact information for family members or friends (at a different address) is essential to obtaining high survey completion rates.)
- Ensuring the Career Transition System, the approved web-based application, is used to provide maximum benefit to Job Corps students eligible for post-center services
- Monitoring monthly performance against goals on the CTS Report Card
- Submitting information to Regional Offices regarding extenuating circumstances and/or unique factors that could possibly, temporarily, justify poor CTS Report Card performance
- Recommending corrective action, as required, and submitting corrective action plans to Job Corps Regional Offices when appropriate
- Implementing corrective action plans as directed

NOTE: A general failure to enter data accurately or otherwise report information to JCDC not only negatively affects the overall performance rating of the individual contractors, but also reflects negatively on the integrity of Job Corps program reports and outcomes. Please pay particular attention to:

1. Entering “yes” or “no” in the “Apprenticeship Box” and/or the “Placed by NTC Box” on the Placement Record data entry screen
2. Entering accurate placement O*NET codes for the position in which the former enrollee or graduate was placed on the Placement Record data entry screen
3. Coordinating contract updates with the Regional Office staff
D. **Job Corps Centers**

Job Corps centers are responsible for:

- Timely and accurate transmittal of placement-related Former Enrollees’ and Graduates’ information to CTS contractors
- Accurate coding of placer IDs when completing the Center Information System (CIS)/CTS termination screen
- Assisting CTS contractors and NTCs in placing Former Enrollees and Graduates in jobs, apprenticeships, the military, or educational programs

E. **Job Corps Data Center (JCDC)**

JCDC is responsible for:

- Ensuring that the CTS Report Card and other reports are issued in accordance with the target release dates
- Coordinating specifications of the CTS Report Card with National Office staff
- Ensuring the data generated in the reports accurately reflect the policy and programming design
- Providing Help Desk services regarding CTS contractor data, reporting and oversight of CTS, and training and services to the regions on CTS.
APPENDIX 501D
POLICIES AND PROCEDURES FOR JOB CORPS’ PROGRAM YEAR (PY) 2019
PERFORMANCE MANAGEMENT SYSTEM
CAREER TECHNICAL TRAINING OUTCOME MEASUREMENT SYSTEM

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I. CAREER TECHNICAL TRAINING (CTT) REPORT CARD FOR PY 2019

Prior to reviewing this section, please read the Introduction to Appendix 501. The Introduction provides rationale, policies, and procedural changes that apply to all of the Program Year (PY) 2019 Outcome Measurement System (OMS) Report Cards, as well as Attachments pertaining to this section.

A. Overview

The Career Technical Training (CTT) Report Card is a comprehensive system used to measure the performance of all Job Corps career technical training (CTT) programs, both center-operated and National Training Contractor (NTC)-operated. The CTT Report Card provides data regarding students’ participation in CTT programs, as well as achievement of industry-recognized credentials and participation in the workforce with sustainable earnings.

B. PY 2019 Changes in Appendix 501D

For PY 2019 Job Corps has made no changes to the CTT Report Card measures, goals, and weights. The CTT Report Card for PY 2019 continues to mirror the Center and CTS Report Cards with the exception of the use of composite measures. For PY 2019, Job Corps decided not to incorporate composite measures, which combine two or more performance indicators into one measure, in the CTT Report Card so as to maintain a stable system and allow centers to fully adjust to the changes made in the previous year.

All attachments pertaining to the CTT Report Card can be found in Appendix 501 Introduction.

Provided on the next page is a summary table outlining the PY 2019 CTT Report Card.
## PY 2019 CTT OMS REPORT CARD

<table>
<thead>
<tr>
<th>Measure</th>
<th>Definition</th>
<th>Goal</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Direct Center Services (20%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Career Technical Training (CTT) Program Completion Rate</td>
<td>No. of students who complete a CTT program</td>
<td>80%</td>
<td>10%</td>
</tr>
<tr>
<td>CTT Industry-Recognized Credential (IRC) I Attainment Rate</td>
<td>No. of CTT students who attain an approved Primary IRC or complete an NTC program</td>
<td>90%</td>
<td>7.5%</td>
</tr>
<tr>
<td>CTT Industry-Recognized Credential (IRC) II Attainment Rate</td>
<td>No. of CTT students who attain an approved Secondary IRC or an approved second Primary IRC</td>
<td>90%</td>
<td>2.5%</td>
</tr>
<tr>
<td><strong>CTT Placement Outcomes (40%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CTT Completer Placement Rate</td>
<td>No. of CTT completers placed in an approved Advanced Training program at another center</td>
<td>92%</td>
<td>10%</td>
</tr>
<tr>
<td>CTT Completer Average Hourly Wage at Placement*</td>
<td>Sum of hourly wages of CTT completers placed in a job or the military</td>
<td>$12.25</td>
<td>5%</td>
</tr>
<tr>
<td>CTT Completer Full-Time Quality Placement Rate</td>
<td>No. of CTT completers placed in an apprenticeship program, a full-time job, the military, full-time college, full-time job/college combination or a full-time post-secondary training program</td>
<td>75%</td>
<td>10%</td>
</tr>
<tr>
<td>CTT Completer Job Training Match (JTM) Placement Rate</td>
<td>No. of CTT completers placed in a training-related job or the military</td>
<td>65%</td>
<td>10%</td>
</tr>
<tr>
<td>CTT Completer Job Training Match (JTM) Average Hourly Wage*</td>
<td>Sum of hourly wages of CTT completers placed in a training-related job or the military</td>
<td>$12.85</td>
<td>5%</td>
</tr>
<tr>
<td><strong>Q2/Q4 Placement Outcomes (40%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CTT Completer Placement Rate in Quarter 2 After Exit Quarter</td>
<td>No. of CTT completers who report on the Quarter 2 survey they are in a job, the military, an education/training program, or a job/college combination</td>
<td>83%</td>
<td>15%</td>
</tr>
<tr>
<td>CTT Completer Placement Rate in Q4 After Exit Quarter</td>
<td>No. of CTT completers who report on the Quarter 4 survey they are in a job, the military, an education/training program, or a job/college combination</td>
<td>83%</td>
<td>15%</td>
</tr>
<tr>
<td>CTT Completer Average Earnings in Quarter 2 After Exit Quarter*</td>
<td>Sum of earnings of CTT completers who report they are in a job or the military on the Quarter 2 survey</td>
<td>$5,700</td>
<td>10%</td>
</tr>
</tbody>
</table>

*Model-based Goal
C. **Impact of Level 1 Zero Tolerance (ZT) Separations on the CTT Report Card**

For the CTT Report Card, students who exit due to Level 1 ZT infractions within 30/45 days are not included in the pools and credits for the three direct center services measures: CTT Completion, Industry-Recognized Credential I, and Industry-Recognized Credential II. Specifically, the pools for the three on-center measures do not include students who remained in Job Corps:

- less than 30 days and exit under codes 5.1a, 5.2b, or 5.3c;
- less than 45 days and exit under code 5.2a

The list of Level 1 ZT infractions can be found in Chapter 2, Exhibit 2-1 (Infraction Levels, Definitions, and Appropriate Center Actions).

D. **Career Technical Training (CTT) Measures**

*Direct Center Services Measures*

1. **Career Technical Training (CTT) Program Completion Rate**

   **Pool:** All separated students who entered a CTT program. Students who entered more than one CTT program but did not complete any CTT program, shall be included in the CTT completion pool of the program in which they were enrolled the longest. Students who completed one or more CTT offerings are assigned to the CTT completion pool of each training program completed.

   **Measure:** The percentage of students in the pool who complete a CTT program.

   **Goal:** The national goal is 80%.

   **Weight:** 10%

   **Formula:** \( \frac{\text{Number of students who complete a CTT program}}{\text{Number of separated students assigned to a CTT program}} \)

2. **Career Technical Training (CTT) Industry-Recognized Credential I Attainment Rate:**

   In PY 2010, a measure of Industry Recognized Credential (IRC) attainment was added to the OMS to increase student marketability and employability. This measure was further refined in PY 2015 to focus on credentials that are of “primary” importance to a particular training program, versus those that are “secondary”. Primary credentials represent knowledge and skill levels of a particular job and are considered critical for qualification and placement into permanent employment with sustainable wages. Secondary credentials are
supportive in nature, and while important, are viewed as more supplemental than critically essential to the job.

**Pool:** All students who are assigned to a CTT program (excluding 30/45 day Level 1 ZTs)

**Measure:** The percentage of separated students who have attained an approved Primary Industry-Recognized Credential or students who have completed a training program offered by a National Training Contractor (NTC).

**Goal:** The national goal is 90%.

**Weight:** 7.5%

**Formula:**
\[
\frac{\text{Number of CTT students who attain an approved Primary IRC or complete an NTC program}}{\text{Number of students assigned to a CTT Program}}
\]

3. **Career Technical Training (CTT) Industry-Recognized Credential II Attainment Rate:**

**Pool:** All students who are assigned to a CTT program (excluding 30/45 day Level 1 ZTs)

**Measure:** The percentage of separated students who have attained an approved Secondary Industry-Recognized Credential or a second Primary Industry-Recognized Credential.

**Note:** For a list of credentials classified as primary or secondary, please visit the Career Technical Training (CTT) web page on the Job Corps Community website.

**Goal:** The national goal is 90%.

**Weight:** 2.5%

**Formula:**
\[
\frac{\text{Number of CTT students who attain an approved Secondary IRC or an approved second Primary IRC}}{\text{Number of students assigned to a CTT Program}}
\]

**CTS Placement Outcome Measures**

Provided below is a description of the career transition services placement outcomes measures. The following criteria pertain to all placement measures, as applicable:
• For placement to be credited it must:
  1. Meet the criteria described in PRH, Exhibit 4-1,
  2. Add documentation consistent with the criteria in PRH, Exhibit 4-2, and
  3. Be entered and approved in CTS according to the timelines in Chapter 4, Section 4.4.

If the verification is not received and/or the information is not entered into the CTS system for a valid placement within the timeframe specified in Chapter 4, Section 4.4, the center (and the CTS contractor) will not receive credit for the Placement Rate Measure for the student. The student will not be in the pool of any of the Placement Quality Rating indicators and the center (and the CTS contractor) will not receive credit for the Placement Quality Rating Measure. JCDC will, however, include these placements in the National and Regional totals of the CTS placement measures if the student otherwise meets placement requirements.

• Initial placement upgrades that occur during the placement window for former enrollees and graduates will be credited and may change the statistical status associated with a particular student. See Appendix 501 Introduction, Attachment 2: PY 2019 Initial Placements and Allowable Upgrades, for a chart outlining the hierarchy.

• An automatic education placement credit is given to the sending center for students who transfer to an approved Advanced Training (AT) program at another center. See Appendix 501 Introduction, Attachment 3: PY 2019 Center Report Card Pools and Credit for Students Transferred to Advanced Training (AT) Programs, for a chart outlining allowable center credits for AT transfers. This does not apply to ACT transfers.

4. Career Technical Training (CTT) Completer Placement Rate

**Pool:** All CTT completers whose initial placement records are due or received\(^2\) or who transfer to an approved AT program at another center.

Separated students who complete one or more CTT programs are assigned to the placement pool of each program completed.

**Measure:** The percentage of CTT completers in the placement pool who are placed in a job, the military, an educational program, or a job/school combination (per Job Corps’ placement definition in Exhibit 4-1), or who transfer to an approved AT program at another center.

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\(^2\) In this usage, the term “due or received” refers to the sum of the number of CTT completers for whom placement information was reported; i.e., “received,” plus the number of CTT completers for whom placement information was not reported and for whom the placement window had expired; i.e., “due.”
Students who are in the placement pool for more than one CTT offering shall remain in those pools, whether placed or not, and shall be included in the calculation for the placement measure of each of those training programs.

**Goal:** The national goal is 92%.

**Weight:** 10%

**Formula:** Number of CTT completers placed in a job, the military, an education/training program, a job/college combination, or who transferred to an approved Advanced Training program at another center

Number of CTT completers whose placement records are due or received or who transferred to an approved Advanced Training program at another center

5. **Career Technical Training (CTT) Completer Average Hourly Wage at Placement:**

**Pool:** All CTT completers placed in a job or the military per the Job Corps placement definition.

**Measure:** The average hourly wage of CTT completers in the pool associated with their initial or upgrade placement in a job or the military.

**Goal:** The national goal is $12.25. Since PY 2014, centers and regions are assigned center-level model-based goals for this measure. A center-level model statistically adjusts each center’s goal to account for factors beyond the operator’s control (such as the characteristics of the labor market) that have been shown to impact their outcomes for this measure. The model aggregates the effects of the various factors for each center, and adjusts the national goal accordingly for that center, to set a center-specific goal. See Appendix 501 Introduction, Attachment 11: PY 2019 CTT Center Level Model-Based Goals.

**Weight:** 5%

**Formula:** Sum of hourly wages of CTT completers placed in a job or the military

Number of CTT completers placed in a job or the military
6. **Career Technical Training (CTT) Completer Full-Time Quality Placement Rate**

**Pool:** All CTT completers who entered a placement that meets the Job Corps placement definition.

**Measure:** The percentage of CTT completers in the pool who are placed in an apprenticeship program, a full-time job (one to two jobs that equal full-time hours), the military, full-time college, full-time job/college combination or full-time post-secondary training.

**Goal:** The national goal is 75%.

**Weight:** 10%

**Formula:** Number of CTT completers placed in an apprenticeship program, a full-time job, the military, full-time college, full-time job/college combination or a full-time post-secondary training program

\[
\frac{\text{Number of placed CTT completers}}{\text{Number of initially placed CTT completers}} \times 100\%
\]

7. **Career Technical Training (CTT) Completer Job Training Match (JTM) Placement Rate**

In PY 2005, a Job Training Match (JTM) Placement rate measure, crediting CTT completers initially placed in training-related jobs or the military, was added to the Center Report Card. The measure was intended to lead to improved student long-term outcomes and career success by encouraging student placement in the trades for which they have been trained. In PY 2007, to further align with the program’s emphasis on education, the measure was expanded to also credit CTT completers that enter a post-secondary education or post-secondary training as Postsecondary Credit (PSC). For PY 2019, the measure has been refocused on matching job placements with training received and removes from the pools and credits post-secondary education and training placements. While post-secondary education/training is highly valued and encouraged as a placement by Job Corps, it is now credited under the new measure of CTT Completer Full-Time Quality Placement.

In PY 2010, an improved Job Training Match (JTM) Crosswalk was introduced that more directly aligns training programs with jobs. The JTM Crosswalk provides the link to determine whether students’ placement in employment is related to the career technical training received in Job Corps. The JTM Crosswalk is the fundamental data source for the JTM measures; therefore, it is essential that the Crosswalk accurately links relevant jobs to appropriate training. While updates have been made to the JTM Crosswalk since its introduction in 1998 (for example, the conversion to O*NET-SOC 2009 placement codes), a major overhaul of the Crosswalk and its structure was necessary to ensure the continued
accuracy and validity of the outcomes reported. Between 2010 and 2014, the Office of Job Corps issued revised versions of the JTM Crosswalk each year to reflect enhanced alignment among Training Achievement Records (TARs), Training Program Areas (TPAs), and O*NET-SOC, the industry-recognized national occupational database – including the addition of placements recommended by practitioners from the field.

**Pool:** All CTT completers who are placed in a job or the military.

Students who completed one or more CTT programs are assigned to the JTM pool of each trade completed.

**Measure:** The percentage of CTT completers in the pool who are initially placed, or have a placement upgrade, in a training-related job or the military.

For students who completed more than one CTT offering and are placed in the military, all applicable training programs receive a JTM Placement credit.

If a student completed more than one CTT offering and is placed in a job related to all of them, all receive a JTM Placement credit. If the placement relates to only one of the CTT offerings, only that training program will receive credit for this measure. Further, this same student will be removed from the JTM pool of the other CTT offering(s) completed.

If, however, a student is placed in a job that does not match any of the CTT programs completed, the student enters the JTM Placement Rate pools for all programs’, but no program receives credit since a JTM did not occur.

**Goal:** The national goal is 65%.

**Weight:** 10%

**Formula:**

\[
\text{Number of CTT completers placed in a training-related job or the military} \div \text{Number of CTT completers placed in a job or the military}
\]

The process created in PY 2007 for requesting the addition of an O*NET-SOC placement code to the JTM Crosswalk will continue to be available for PY 2019. A request should be submitted only if the current JTM Crosswalk does not already contain a specific placement code that: (a) is the most appropriate O*NET-SOC code to describe a placement outcome, and (b) is directly related to one of the Training Achievement Records (TARs), released in PY 2006 or thereafter, as they are aggregated into Training Program Areas (TPAs) within the
crosswalk. If the request is approved, the proposed O*NET-SOC placement code will be added to the placement portion of the JTM Crosswalk, and JTM credit will be given to every student who completes any TAR in the same TPA and is placed in a position that is properly assigned the identified placement code. See Appendix 501 Introduction, Attachment 6: PY 2019 Instructions for Filing a Request to Add a Placement Code to the JTM Crosswalk/Request Form, for the request form and instructions.

8. Career Technical Training (CTT) Completer Job Training Match (JTM) Average Hourly Wage

Pool: All CTT completers who were placed in a training-related job or the military.

Measure: The average hourly wage of CTT completers placed in training-related jobs or the military.

Goal: The national goal is $12.85. Since PY 2014 centers and regions have been assigned model-based goals for this measure. A center-level model statistically adjusts each center’s goal to account for factors beyond the operator’s control (such as the characteristics of the labor market) that have been shown to impact their outcomes for this measure. The model aggregates the effects of the various factors for each center, and adjusts the national goal accordingly for that center, to set a center-specific goal. See Appendix 501 Introduction, Attachment 11: PY 2019 CTT Center Level Model-Based Goals.

Weight: 5%

Formula: Sum of hourly wages of CTT completers placed in a training-related job or the military

Number of CTT completers placed in a training-related job or the military

Quarter 2 and Quarter 4 Placement Measures

Provided below is a description of the Quarter 2 and Quarter 4 Placement Measures that align with WIOA’s Quarter 2 and Quarter 4 metrics and its focus on longer-term employment. Appeals of placement and/or earnings outcomes reported from the Quarter 2 and Quarter 4 surveys may be filed as noted in Appendix 501 Introduction, Section F.8 and (d) for the CTT Completer Placement in Quarter 2, CTT Completer Placement in Quarter 4, and CTT Completer Average Earnings in Quarter 2 measures. The placement appeal and earnings appeals instructions and forms can be found in Appendix 501 Introduction, Attachments 4 and 5, respectively.
9. **Career Technical Training (CTT) Completer Placement Rate in Quarter 2 After Exit Quarter**

**Pool:** All CTT completers placed in a job, the military, an education/training program, or a job/school combination and who complete the Quarter 2 survey.

**Measure:** The percentage of CTT completers in the pool who report on the Quarter 2 survey that they are in a job, the military, an education/training program, or a job/school combination (per the Job Corps placement definition).

**Goal:** The national goal is 83%.

**Weight:** 15%

**Formula:** \[
\frac{\text{Number of CTT completers who report on the Quarter 2 survey they are in a job, the military, or an education/training program}}{\text{Number of CTT completers who complete the Quarter 2 Survey}}
\]

10. **Career Technical Training (CTT) Completer Placement Rate in Quarter 4 After Exit Quarter**

**Pool:** All CTT completers placed in a job, the military, an education/training program, or a job/school combination and who complete the Quarter 4 survey.

**Measure:** The percentage of CTT completers in the pool who report on the Quarter 4 survey that they are in a job, the military, an education/training program, or a job/school combination (per the Job Corps placement definition).

**Goal:** The national goal is 83%.

**Weight:** 15%

**Formula:** \[
\frac{\text{Number of CTT completers who report on the Quarter 4 survey they are in a job, the military, or an education/training program}}{\text{Number of CTT completers who complete the Quarter 4 Survey}}
\]

11. **Career Technical Training (CTT) Completer Average Earnings in Quarter 2 After Exit Quarter**

**Pool:** All CTT completers who complete the Quarter 2 survey and report in the survey they are working in a job (that meets the Job Corps definition of placement) or the military.
Measure: The average earnings of placed CTT completers in the pool.

Goal: The national goal is $5,700. The PY 2019 center-level model-based goal is applied to all training programs offered by a center. See Appendix 501 Introduction, Attachment 11: PY 2019 CTT Center Level Model-Based Goals.

Weight: 10%

Formula: Sum of earnings of CTT completers who report they are in a job or the military on the Quarter 2 Survey

Number of CTT completers who complete the Quarter 2 survey and report they are in a job or the military in the second quarter after exit

E. Performance Goals

Performance goals serve as the quantitative targets to assess performance. A single performance goal is established for each measure, and performance is measured as a percentage of the goal(s) achieved. Typically, a thorough analysis of historical data, where available, is conducted to assist in establishing reasonable stretch goals for the system. For PY 2019, goals for all measures in the CTT Report Card remain unchanged from PY 2018.

Since PY 2014, center-level model-based goals are included for wage and earnings measures in the CTT Report Card. Model-based goals are used for specific measures that require adjustments that ensure equities in making comparison of performance across centers and contractors. Center-level model-based goals for the CTT Report Card are based on the factors identified for center OMS wage and earnings goals. Setting center-level goals that adjust for differences in key factors that are beyond the operator’s control helps to “level the playing field” in assessing performance. The models used are applied for all trades at each center.

For PY 2019, appeals to the Center Report Card wage and earnings model-based goals will be processed and if approved, the changes will be applied both to the Center Report Card and the related measures on the CTT Report Card. See Appendix 501 Introduction, Section D. 2 (b) for more detailed information regarding model-based goals.

The following measures have national goals:

• CTT Program Completion Rate
• Industry-Recognized Credential I (Primary or NTC Completion)
• Industry-Recognized Credential II (Secondary or Second Primary)
• CTT Completer Placement Rate
• CTT Completer Quality Full-Time Placement Rate
• CTT Completer Job Training Match (JTM) Placement Rate
• CTT Completer Placement Rate in Quarter 2
• CTT Completer Placement Rate in Quarter 4.

The following three measures have center-level model-based goals:
• CTT Completer Average Hourly Wage at Placement
• CTT Completer JTM Average Hourly Wage
• CTT Completer Average Earnings in Quarter 2 After Exit Quarter

Center-level model-based goals for CTT measures can be found in Appendix 501 Introduction, Attachment 11: PY 2019 CTT Center Level Model-Based Goals.

F. Weights

A weight is assigned to each measure to reflect: 1) areas of emphasis in CTT programs’ accountability for achieving positive student outcomes; 2) the importance attached to each measure; and 3) the number of students in the pool for each measure.

The weighting scheme of the CTT Report Card has not been altered from PY 2018. The CTS Placement measures and the Quarter 2 and Quarter 4 measures remain weighted at 40 percent each, with the Direct Center services measures weighted at 20 percent. This weight distribution aligns with WIOA’s focus on long-term success in the workforce as measured in the Quarter 2 and Quarter 4 placement outcomes.

G. Overall Rating

Weighted performance ratings across each of the weighted measures are aggregated to create a CTT program overall rating. These ratings are reviewed to assess program effectiveness and play a key role in the procurement process.

H. Program Performance

The primary purpose of the CTT Report Card, consistent with other OMS reports, is to account for results based on established program parameters and goals. The measures on the CTT Report Card represent key indicators of program success related to student preparation for the labor market and overall employment. Performance is ultimately determined based on the degree to which goals are met or exceeded, and the ability of programs to strategically and proficiently demonstrate a focus on maximizing student outcomes on a consistent basis. The system is designed to assist Job Corps officials, at all levels, to:

• better monitor and evaluate CTT program performance
• recognize programs that consistently perform well
• work to improve programs that exhibit average performance to prevent further decline
• actively assist, at the earliest indication, programs that demonstrate unsatisfactory performance
initiate corrective action for programs that consistently perform at an unsatisfactory level

Based on the PY 2019 CTT Report Card, programs will receive an overall rating and will be graded on the basis of the following scale:

- **A** Exceptional performance: 110.0% and higher
- **B** Above average performance: 95.0% – 109.9%
- **C** Average performance: 80.0% – 94.9%
- **D** Unsatisfactory performance: 0 – 79.9%

The CTT Report Card will display each program’s score and grade for the preceding program year.

1. **Grade A (Exceptional Performance):**

   Programs in Grade A status will be recognized as appropriate by their respective Regional Office.

2. **Grade B (Above Average Performance):**

   Programs in Grade B status will also be recognized as appropriate by their respective Regional Office.

3. **Grade C (Average Performance):**

   Regional Offices may require programs with performance in Grade C (including National Training Contractors [NTC] programs) to have a CTT Continuous Improvement Plan (CTT-CIP), especially those that repeatedly have overall ratings between 80.0 percent and 84.9 percent (low Grade C). CTT-CIPs will be developed, implemented, regularly monitored and, as necessary, adjusted by all entities involved with the CTT program (i.e., Regional Office, center and, as appropriate, NTC).

4. **Grade D (Unsatisfactory Performance):**

   a. **First Year of Grade D Performance:**

      At the end of PY 2019, Regional Offices are required to identify all CTT programs in Grade D for the first year (including NTC programs) and initiate a process for corrective action – which will include an opportunity for operators/Center Directors and, as applicable, NTCs, to (within 20 business days) appeal the proposal for corrective action by presenting evidence of mitigating circumstances that they believe caused or contributed to the unsatisfactory performance. For NTC programs, operators/Center Directors and NTCs are encouraged to jointly develop
and submit a single appeal; where this is not possible, separate appeals may be submitted to the Regional Office.

Following the Regional Office corrective action and appeals process, which includes a thorough analysis of all appeals submitted, Regional Offices will notify operators/Center Directors and, as appropriate, NTC officials, of CTT programs that will:

- serve probation and require a CTT-CIP;
- not serve probation, but require a CTT-CIP; or
- neither serve probation, nor a CTT-CIP.

Regional Offices are required to submit to the Office of Job Corps, Division of Educational Services, a composite list of those programs designated to serve probation and a copy of the CTT-CIP for each targeted center.

All CTT programs designated to serve probation by the Regional Office will be required to have a CTT-CIP. The center, Contracting Officer’s Representative (COR) and, as appropriate, National Training Contractor (NTC), will work together to develop and implement CTT-CIPs. CTT-CIPs will be updated quarterly, and be reviewed by Regional and National offices as follows:

1. Center completes shaded areas of the CTT-CIP template and indicates acceptance by typing its name in the appropriate boxes.

2. Center uploads template to regional Share Point site in “Performance” folder in the shared documents section of the site.

3. Center sends an e-mail to Regional Director, Program Manager and National CTT Unit confirming the template has been uploaded.

4. Region reviews the document and works with the center (using current CTT-CIP forms that include SMART analysis) until the plan is approved. Once approved, the Regional Director and Program Manager will type their names in the approval section and save the updated document in the same location by overwriting prior center version with the new version that includes the region’s approval.

5. Region sends e-mail to center and National CTT Unit confirming the region has approved the plan.

6. At the end of each quarter, center updates the template with the prior quarter’s results and the prior version of the form as outlined
above, and sends an email notifying the Regional and National offices that the template has been updated and the updated version has been saved to the Share Point site.

7. At the end of the four quarters, the Regional Office makes a recommendation to the Office of Job Corps to either remove the program from the CTT-CIP process or to move to a second year of program improvement planning.

8. See Appendix 501 Introduction, Attachments 7 and 8 for further guidance. If necessary, quarterly goals should be modified with approvals from Regional and National offices.

b. Second Year of Grade D Performance for Programs Having Served 1 Year of Corrective Action:

At the end of the first program year, if the program remains in Grade D, it will be subject to additional corrective action, and an appeals process administered by the Office of Job Corps, as follows:

- The Office of Job Corps will formally notify the Regional Directors and, as applicable, NTCs, of programs that were on corrective action, and continued to perform at Grade D.
- Regional Directors/NTCs will have 20 business days to submit appeals to:

  U.S. Department of Labor/Office of Job Corps
  200 Constitution Avenue, NW, Room N-4507
  Washington, DC 20210
  Attention: Career Technical Training Team

- For NTC programs, Regional Directors and NTCs are encouraged to jointly develop and submit a single appeal; however, where this is not possible, separate appeals may be submitted to the Office of Job Corps.
- Office of Job Corps staff will thoroughly review all appeals, consult with Regional Directors and NTCs, as necessary, and recommend to the National Director of Job Corps one of the following actions for each program:
  - Closure/slot reduction;
  - Probation for another program year (requiring the Regional Office to develop another CTT-CIP or modification of the existing CTT-CIP, as warranted);
  - No sanctions; however, require that a new CTT-CIP be developed or the existing CTT-CIP be modified, as warranted; or
  - No sanctions or CTT-CIP.
- The National Director will make all final decisions regarding the disposition of programs, and formal notification will be transmitted to appropriate officials.
II. ROLES AND RESPONSIBILITIES

A. National Office

The Office of Job Corps is responsible for:

- Establishing national policy for the CTT OMS each program year
- Providing model-based goals for designated performance measures indicators and administering the appeals process of the adjusted goals
- Administering the National Office appeals process of Quarter 2 and Quarter 4 outcomes reported from the post-separation survey
- Tracking and verifying performance of CTT contractors
- Communicating with Regional Offices to implement program or policy changes or adjustments
- Providing information, technical assistance, and training to the Regional Offices and the Job Corps community as needed
- Administering the National Office appeals process for programs subject to closure/slot reduction
- Reviewing the development, implementation, and monitoring of CTT-CIPs for all programs in Grade D and, as determined by the Regional Office, those in low Grade C

B. Regional Offices

Regional Offices are responsible for:

- Monitoring the performance of all CTT programs monthly, including NTC programs, using the CTT Report Card and information gathered from center visits, assessments, and review of other reports and analyses
- Administering the Regional Office appeals process and, as justified, placing programs on probation
- Assisting in the development, implementation, and monitoring of CTT-CIPs for all programs in Grade D and, as determined by the Regional Office, those in low Grade C
- Participating in the National Office-administered appeals process
- Developing, as needed, CTT change recommendations and related CTT modernization plans in cooperation with centers, Workforce Councils and, as applicable, NTCs

C. Job Corps Centers

Job Corps centers are responsible for:

- Accurate and timely data entry into CIS to ensure data integrity
- Ensuring, in cooperation with Workforce Councils, the most meaningful and
productive trade-mix using relevant local labor market supply and demand data and other economic information

- Coordinating closely with Workforce Councils and, as applicable, NTCs, to regularly monitor and improve CTT program performance, based on data contained in the monthly CTT Report Card
- Participating, as applicable, in the Regional Office-administered and/or National Office-administered Appeals Process
- Providing staff training, monitoring performance regularly, and working with Regional Office staff and, as applicable, NTC staff, to develop, implement, and monitor CTT-CIPs
- Initiating organizational, operational, and other changes that help achieve long-term job retention and economic self-sufficiency for students/graduates.

D. National Training Contractors (NTCs)

NTCs, working closely with the NTC Contracting Officer Representative (COR) and center staff, are responsible for:

- Coordinating with Regional Office staff, operators, and center staff to implement the new CTT Report Card
- Initiating organizational, operational, and other changes that increase and improve long-term job retention and economic self-sufficiency for students/graduates
- Participating in the Regional Office-administered and/or National Office-administered Appeals Process, as applicable
- Providing NTC staff training, monitoring NTC performance frequently, and working with Regional Office and center staff to develop, implement, and regularly monitor CTT-CIPs for NTC programs

E. Job Corps Data Center (JCDC)

JCDC is responsible for:

- Ensuring that the CTT Report Card and other reports are issued in accordance with the target release dates
- Coordinating specifications of the CTT Report Card report with National Office staff
- Ensuring the data generated in the reports accurately reflect the policy and programming design
- Providing Help Desk services regarding CTT program data, including NTC data, reporting and oversight of CIS and CTS, and training and services to the regions on CIS and CTS
APPENDIX 501e

POLICIES AND PROCEDURES
FOR PROGRAM YEAR (PY) 2019
PERFORMANCE IMPROVEMENT PLAN
(PIP) SYSTEM
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## II. ROLES AND RESPONSIBILITIES

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I. PERFORMANCE IMPROVEMENT PLAN (PIP) SYSTEM FOR PY 2019

A. **Overview.** The Workforce Innovation and Opportunity Act (WIOA) [https://www.congress.gov/113/bills/hr803/BILLS-113hr803enr.pdf](https://www.congress.gov/113/bills/hr803/BILLS-113hr803enr.pdf), requires Job Corps to develop and implement a performance improvement plan for Job Corps centers that fail to meet expected levels of performance. As a result, Job Corps designed a new Performance Improvement Plan (PIP) system released July 1, 2016. The PIP was subsequently revised and re-released on December 21, 2016 through PRH Change Notice 16-08. The PIP system provides performance improvement assistance and increased oversight to the lowest performing centers that fail to meet Job Corps’ expected levels of performance.

The PIP system uses Outcome Measurement System (OMS) data to determine if centers are meeting performance goals; place the lowest performing centers on a PIP and provide guidance; communicate consequences for not meeting established performance goals within a defined time period; and takes corrective actions if centers on PIPs do not improve performance and meet performance criteria. Under the transition authority provided by Section 503 (a) of WIOA, Job Corps will use PY 2018 OMS performance results to identify centers that will be placed on a PIP for PY 2019.

The new PIP system is nationally administered, implemented annually, and directed at center-level accountability, whether operated by private contractors or the U.S. Department of Agriculture, Forest Service (USDA FS). The new PIP system also defines the consequences for failing to perform or make progress to improve performance at a Center.

WIOA requires that all youth training programs, including Job Corps, use six primary performance measures to evaluate contractors’ performance. In PY 2016, Job Corps established a new system to collect and report WIOA program outcomes, and began collecting data through this new reporting system. Job Corps did not set targets for the new measures because there was no sufficient annual data on the new measures. In PY 2017 and PY 2018, Job Corps adjusted the WIOA reporting system to align with other ETA training programs and began collecting performance data. Until the new WIOA performance system has been fully implemented, stabilized, and targets have been set, Job Corps will continue to use OMS reports for decision making on such things as contract and option year awards, past effectiveness scores, incentive fees, performance assessments, and PIP placement and graduation evaluations.
B. **PIP Entry Criteria.** The PIP system has two entry criteria for Job Corps centers to be placed on a PIP. If both of the criteria are met, the center is placed on a PIP.

1. **OMS Ranking.** A center is first identified as ranked among the bottom ten (10) percent of Job Corps centers on the OMS-10R report for the most recent full PY year-end report.

2. **Overall OMS Rating Score.** After a center is identified as ranked among the lowest 10 percent of centers, a second criterion is applied. If a center ranked in the bottom 10 percent has an end-of-PY overall OMS score that is at 88% or less of the year’s OMS national average, the center is placed on a PIP.

C. **PIP Graduation Criteria.** To graduate from a PIP, a center’s performance must demonstrate OMS ranking in the top two quartiles on the mid-year (PY based) or year-end OMS-10R report.

D. **Probationary Period**

1. Each center that is placed on a PIP will serve a minimum of twelve months on that PIP, with evaluations against graduation criteria conducted at mid-PY (mid-year) and end of PY (year-end). The Department of Labor (DOL) reserves the right to end this process at any point if it determines that it is in the best interest of the Government to do so.

2. When a PIP center meets the graduation criterion at mid-year or year-end, the center enters a probationary period lasting six (6) additional months. The probationary period is to ensure the center’s improved performance can be maintained. If the center continues to meet the graduation criterion by the end of the six-month probationary period, the center will formally graduate from the PIP.

E. **Sources for PIP Performance Measures.** The following Outcome Measurement System reports will be used in the mid-year and year-end PIP assessments.

1. **OMS-10R Report** from 7/1/20xx to 12/31/same year – To evaluate mid-year performance outcomes for graduation consideration.

2. **OMS-10R Report** from 7/1/20xx to 6/30/next year – To assess centers for placement on a PIP, and evaluate PY year-end performance outcomes for graduation consideration.
F. **Time Limitation for PIP Status**

1. The minimum period for a center to serve on a PIP is 12 months, or six months plus a six-month probation period. As noted above, DOL reserves the right to end the PIP prior to this time period if it determines that it is in the best interest of the Government to do so.

2. The maximum period for a center to serve on a PIP is 42 months, or three full program years plus a six-month probation period.

G. **Timeline for Placing Centers on a PIP**

1. **September:** The National Office will identify new centers for placement on a PIP using finalized end of PY OMS report data. The finalized PY 2018 OMS10 Report Card will be used for identification of PIP centers for PY 2019. The National Director of Job Corps shall formally notify centers of their PIP status and request a comprehensive Performance Improvement Plan from their operators. In the case of contracted centers, the National Director’s notification will be co-signed by the Contracting Officer. That joint correspondence will convey to the operators the possible contractual implications of being on a PIP, and explain that each contractor’s progress on its PIP will be considered in the Contracting Officer’s determination of whether to award an option or short-term sole source contract under the current contract. The circumstances leading to the PIP and subsequent improvement or lack thereof may also be considered in evaluations of that contractor’s Past Performance in a competitive procurement for a follow-on contract or any other center operation contract.

2. **October:** The Regional Office (RO) will review and either reject, in whole or in part, or accept the center operator’s proposed PIP. If the PIP is rejected in whole or in part, it will be returned to the operator for appropriate revision. If accepted, the Regional Office signs and submits the PIP to the National Director. The National Office may request revisions to the center PIPs. The Contracting Officer will send correspondence to the center operator, indicating that the PIP is approved, and it will be incorporated into the contract requirements.
H. **Timeline for PIP Graduation Evaluations**

1. **February:** The National Office will conduct the mid-PY PIP graduation evaluation, using the PY mid-year (7/1/20xx – 12/31/same year) OMS 10-R report. If a PIP center meets the graduation criterion based on this evaluation, the center will enter the six-month probationary period. If a PIP center that entered the probationary period six months earlier has met the graduation criterion again based on this evaluation, the center will officially graduate from the PIP. In either case, the center will receive an official notification from the National Director of Job Corps. The Contracting Officer will also send correspondence to the center operator, denoting that the center has either entered the probationary period or graduated from the PIP.

2. **October:** The National Office will conduct the end-of-PY PIP graduation evaluation, using the finalized PY end-of-year (7/1/20xx – 6/30/next year) OMS10-R report. If a PIP center meets the graduation criterion based on this evaluation, the center will enter the six-month probationary period. If a PIP center that entered the probationary period six months earlier has met the graduation criterion again based on this evaluation, the center will officially graduate from the PIP. In either case, the center will receive an official notification from the National Director of Job Corps. The Contracting Officer will also send correspondence to the center operator, denoting that the center has either entered the probationary period or graduated from the PIP.

I. **Deciding Sanctions for Not Graduating from a PIP**

For centers that have not graduated from the PIP, there will be correspondence from DOL regarding the actions that will be taken. This notification will inform the operator (USDA/private contractor) of the necessary steps that it must take and/or the actions that DOL will be taking to address the performance concerns at the center. This notification does not preclude DOL from taking actions at different points in the process and does not have any impact on DOL’s ability to take any and all actions that it believes are appropriate regarding these performance concerns or matters unrelated to the performance problems.
J. **General PIP Policy and Sanctions.** It is Job Corps’ intent that no center remain on a PIP beyond three program years. A center on a PIP must manage to meet the graduation criterion by the 36th month after being placed on a PIP. This aligns with the statutory three-year limitation for under-performance for the USDA Forest Service Civilian Conservation Centers (CCC) in Section 159(f)(4) of WIOA.

Job Corps will not accept prolonged or permanent underperformance, and expects all centers placed on a PIP to demonstrate substantial and sustained performance improvement and graduate as soon as possible, but no longer than 42 months after serving on a PIP (including the six-month probationary period). Under Section 159(f)(2) of WIOA, Job Corps can take any of the following actions to help centers on a PIP to improve performance and graduate from the PIP:

1. Providing technical assistance to the center;
2. Changing the vocational training offered at the center;
3. Changing the management staff of the center;
4. Replacing the operator of the center;
5. Reducing the capacity of the center;
6. Relocating the center; or
7. Closing the center.

Although DOL reserves the right to take any appropriate measure to address performance concerns at a Center at any time, Job Corps intends to have a progressive application of such sanctions to allow operators time to improve performance. The process described below generally describes how the approach will be implemented, and should not be viewed as requiring that the available actions provided in Section 159(f)(2)
be taken in a specific sequence. When taking corrective action, the National Office will give due consideration to factors that are beyond the control of the center(s) on a PIP that significantly contributed to the underperformance, such as natural disasters, epidemics, drastic changes in the local economic environment, etc. All mitigating factors must be thoroughly documented, substantiated, and submitted to the National Office through the related Regional Office. The existence of a PIP does not affect the Contracting Officer’s discretion to decline to exercise an option or to terminate a contract center operator for reasons related or unrelated to imposition of the PIP in accordance with the Federal Acquisition Regulations.

1. **First Program Year on a PIP**

   This is the program year that a center is officially placed on a PIP, based on its underperformance in the previous program year. During this year, the Regional Office will provide technical guidance and support, including actions to assist the operator in meeting the PIP graduation requirements. These potential actions should be outlined in the fully executed Performance Improvement Plan.

   (a) During the first year, the National Office of Job Corps, in consultation with the related Regional Office, may opt to change the center’s career technical training offerings and/or suggest other changes in the center’s operation. If a center’s performance shows measurable improvement at the sixth month or 12th month, however, the National Office may choose not to exercise these options.

   (b) From the day a center is placed on a PIP until its graduation from the PIP, the related Regional Office will enhance its oversight activities, including but not limited to: more frequent communications with the center management, more frequent monitoring trips (announced and unannounced), and more frequent Regional Office Center Assessments (ROCA). The Contracting Officer, in consultation with the Regional Office and the National Office, may also send correspondence to the center operator about the underperformance, including Letters of Concern, Cure Letters, and other devices through, and within the boundaries of, the existing contract. The Contracting Officer may consider this information as part of the decision about whether to exercise the option year for continued performance by the operator at the center.

2. **Second Program Year on a PIP**

   If a center enters the second year on a PIP, in addition to the actions previously taken during the first year, the National Office may exercise more serious sanctions, including
reduction of the center’s On-Board Strength (OBS), terminating the contract, or competing a USDA FS center through the procurement process required by WIOA. While deciding whether continued operation by a contract center is in the best interest of the Government, the Contracting Officer will consider the fact that a center remained on a PIP for a second year. If a center’s performance shows measurable and sustained improvement during the course of the second year, however, the National Office may choose not to apply any of these sanctions.

3. **Third Program Year on a PIP**

This is the last program year any center is allowed to remain on a PIP. If a center does not meet the graduation criterion after two years on a PIP, in addition to the actions described above, the National Office, in consultation with the Office of Contracts Management (OCM), the related Regional Office, and the USDA Forest Service, as applicable, may impose the most serious sanctions, including relocating the center, replacing the operator, and/or closing the center.

If a center meets the graduation criterion by the middle or the end of the third program year on a PIP and enters the six-month probation period, the National Office may choose not to exercise any new sanctions beyond those previously executed in the first or second PIP year.

If a center fails to meet the graduation criterion by the end of the third year (36 months), the National Office, in consultation with the OCM, the related Regional Office, and the USDA Forest Service, as applicable, will impose the most serious sanctions, including relocating the center, re-competing the center, and/or closing the center.

Centers that have graduated from a PIP but later fall back into PIP status will go through the PIP process again and may face increasingly escalating sanctions as needed.

It is important to note that while implementation of a national PIP system provides a concrete process for improving performance at low-performing Job Corps centers, the Department of Labor reserves the right to decline to exercise an option, terminate an operation contract, or close centers at any time when it deems that doing so is in the best interest of the program. This new PIP system should not be construed as the only process through which a Job Corps center operator can be replaced or a center can be closed.
II. ROLES AND RESPONSIBILITIES

A. National Office. The National Office will be responsible for:

1. Providing oversight and managing the PIP system in close collaboration with related Regional Offices and OCM;

2. Designing and revising the PIP system based on valid feedback from the Regional Offices and the Job Corps community;

3. Reviewing, approving or disapproving PIPs submitted by centers and accepted by related Regional Offices.

4. Conducting PY end-of-year data analyses to identify centers for placement on a PIP and sharing results with related Regional Offices and OCM;

5. Conducting PY mid-year and PY year-end data analyses to evaluate graduation qualifications, and sharing results with related Regional Offices and OCM;

6. Consulting with OCM and related Regional Offices regarding appropriate actions and effective sanctions for centers that do not meet graduation criterion at the end of each year on a PIP, as well as those that fall back into PIP status; and

7. Making final determinations regarding sanctions for centers failing to meet graduation criterion at the end of each year.

B. Regional Offices. Job Corps Regional Offices routinely provide oversight and support to all centers to include on-site ROCAs; targeted monitoring visits to low-performing centers; and on-going direct communication with centers regarding improvements in all deficient areas. For centers on a PIP, Regional Offices will commit additional resources, as needed, to conduct more frequent visits, audits, and technical support activities in order to assist centers in graduating from a PIP within one program year.

With regard to the PIP system, Regional Offices will be responsible for:

1. Working closely with the National Office on data analyses to identify centers to be placed on a PIP, evaluating PIP graduation status, and recommending appropriate and effective sanctions;
2. Requesting each PIP center to submit a PIP in the template provided; Reviewing, revising, and accepting the initial PIP plans from centers placed on a PIP, and forwarding to the National Office;

3. Integrating any existing corrective actions that are already in place for centers, if applicable, into the PIP and submitting the final signed copy to the National Office;

4. Working closely with center operators and their center management teams to implement the fully executed PIP;

5. Monitoring and conducting frequent site visits to evaluate and assess, in detail, specific performance issues to be addressed by the PIP centers;

6. Providing regular updates to the National Office to assist with the PY mid-year and PY year-end evaluations;

7. Collaborating with the Contracting Officer and the National Office to take appropriate contract actions against the operator of the PIP center (e.g. Letter of Concern, Cure Notice); and

8. Implementing sanctions imposed by the National Director for centers that fail to graduate by the end of their PIP period or fall back into PIP status after graduation.

C. **Office of Contracts Management (OCM).** OCM will be responsible for:

1. Determining, based on collaboration with the National Office of Job Corps and Regional Offices, what and if any contract management tools should be used against the operators of PIP contract centers, including formal contractual action, as warranted.

2. Determining and executing, if appropriate, based on collaboration with the Job Corps National and Regional Offices, the more serious sanctions within the parameters of the existing contracts, such as termination of a contract for default, not renewing an option year, converting a USDA FS center into a contract center through procurement, reducing OBS, relocating a center, and closing a center.

D. **Centers and Operators.** Centers and Center Operators will be responsible for:

1. Assessing and monitoring center performance monthly to identify areas that need improvement prior to PIP actions by the National and Regional offices;

2. Developing a detailed and measurable plan to address all problem areas that caused or contributed to the center being placed on a PIP, with specific goals and completion deadlines;
3. Submitting the proposed performance improvement plan on the provided template in a timely fashion to the Regional Office for review;
4. Adhering to the terms of the executed PIP;
5. Implementing PIPs in accordance with Appendix 501e and following directions from the National Office and related Regional Offices;
6. Working collaboratively with related Regional Offices to make timely, substantial, and sustained improvements in problem areas in order to graduate from a PIP within one year; and
7. Working collaboratively with related Regional Offices to implement sanctions imposed by the National Director.

E. **Job Corps Data Center (JCDC).** JCDC will be responsible for:

1. Ensuring that the OMS-10R reports related to the PIP system are issued in accordance with the target release dates;
2. Coordinating specifications of the OMS-10R reports with National Office staff and ensuring that the data generated in the reports accurately reflect the policy and programming design; and
3. Providing Help Desk services regarding data necessary for the PIP system (identification and graduation of centers), and for monitoring and oversight of PIP centers.
APPENDIX 502
FINANCIAL MANAGEMENT FOR CENTER CONTRACTS

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A. INTRODUCTION

1. Scope

Appendix 502 contains instructions and requirements for budgeting and reporting the costs of operating Job Corps contract centers. Financial management requirements for federally administered Job Corps Civilian Conservation Centers (CCC) are located in Appendix 506.

This appendix is not intended to supersede the proposal development requirements prescribed in the standard request for proposal (RFP). However, the cost categories established in this appendix are being used in the budget formats contained in the standard RFP. The requirements of this appendix become applicable after award of a center contract, and are intended to cover: (i) the reporting of actual costs, (ii) the process for revising the contractually approved budget, and (iii) the process for refining and reconciling the contract budget upon issuance of annual extensions.

2. Purpose

The procedures and requirements contained in this appendix are intended to serve as the basis of a financial management system that provides Job Corps Program Managers, at several different levels, with important information for managing resources and determining the efficient allocation of funds. The Job Corps center Financial Management System (FMS) provides for:

- Periodic, detailed review of actual expenses and, in the case of contract centers, a comparison between planned (budgeted) versus actual expense.
- The reliable identification of variances from budget that may require corrective action.
- The ratification of appropriate adjustments in current year and out-year budgets.
- The compilation of nationwide Job Corps cost data for inclusion in reports to Congress and the public.

B. SYSTEM OVERVIEW

1. Uniform Cost Categories

A major feature of the Job Corps center financial management system is the use of uniform cost categories that allow for (i) comparability between centers, and (ii) the compilation of national and regional totals for analytical and public reporting purposes. The cost categories have been defined in a way that will provide information that is immediately relevant to ongoing managerial and oversight functions.
2. **Initial Budget Formulation**

For a contract center, the initial budget is formulated during the procurement process through which the contract is awarded. The approved budget for a contract center is reflected in the formal contract document in summarized form within the estimated cost clause.

It is a requirement of this appendix that the summarized budget set forth in the estimated cost clause is always backed up by a current line-item budget that is prepared using the Form 2181, Budget For Center Contracts. Except for the final year of a center contract, 2181 budgets are normally prepared in sets of two: (i) one for providing a line-item budget for the current contract year and (ii) another for the next contract year. The initial 2181 budgets that are prepared upon contract award are for the first contract year and the second contract year and are based on the contractor's proposal. However, the initial budget may incorporate minor revisions as agreed to or required by the Regional Office.

If a contract is in the first year of a three-year base period, it is also necessary to have an additional 2181 budget in place to cover the third contract year. This is to ensure that 2181s are in place to cover the full three year period of the contract.

3. **Reporting of Actual Expenses**

Contract centers are required to report actual expenses on a monthly basis. For center financial management and Job Corps monitoring purposes it is important that analysis be performed on data that is as current as possible. Moreover, the availability of monthly cost data allows an analysis of trends that could not be identified through less frequent reporting.

For contract centers, the reports (on multi-page Form 2110, Report For Center Contracts) will display line-item data on costs for the current month and cumulative costs incurred to date during the current contract year (Year 1, Year 2, Year 3, etc., whichever is the current year) and cumulative from contract inception.

4. **Comparing Actual Versus Planned Costs**

For center operating expense, the cost reports for contract centers require a comparison of actual expenses versus planned expenses. The comparison of actual versus planned expenses at contract centers is in the context of the line-item budget that is in place for center operating expenses during the current contract year. Center operators may elect to make these comparisons through either one of two methods.

Method One: The center operator breaks out the budget for the entire current year into an internal month-by-month, line-item budget that takes into account the seasonal variations that influence some line-items (e.g., fuel and utilities). This
internal budget is then used as the basis for entering the amounts of the planned line-item expenses for the current contract year-to-date.

Method Two: Compute the current year expenses that are planned through the end of the current month via a simple straight-line proration of the approved budget for the entire current year. The proration of planned expenses is done on the basis of days rather than months. This second method, though not as precise as the first, is deemed to be generally adequate for Job Corps financial management purposes.

The method of reporting Planned Expense cannot be changed during a contract year.

5. **Displays and Evaluation of Variances**

For center operating expense, the cost reports for contract centers will identify budgetary variances by individual cost category on a contract year-to-date basis. A line-item variance is simply the difference between planned contract year-to-date cost and the actual contract year-to-date cost.

For individual Line-Items, 1-29, an explanation is required if the variance is 5 percent or more (plus or minus) of the line-item amount budgeted for the entire contract year. However, no explanation is required if the dollar amount of the variance for an individual line-item represents less than 0.1 percent of the total center operations budget (Line 30) for the entire contract year. **To illustrate:** if the contract year budget for a particular line-item is $100,000, the first alternative variance threshold is $100,000 x 5.0 percent = $5,000. If the total Center Operations budget for the contract year is $8,000,000, the second alternative variance threshold is $8,000,000 x 0.1 percent = $8,000. Since $8,000 is the larger of the two dollar amounts, then $8,000 is used as the variance threshold for the budget line-item in question instead of $5,000.

An explanation is further required whenever the current contract year-to-date total Actual Expense for Center Operations exceeds the Planned Total Expense by an amount equating to one percent of the total budget for the current contract year.

Please note that the thresholds for explaining variances are determined as a percentage of the full year’s budget amount. This may appear contrary to intuition, which would say that the variances should be viewed in terms of planned expense to date. The purpose of the non-intuitive approach prescribed above is to lessen the narrative reporting burden relative to variances that occur in the early months of the contract year. This permits center operators an opportunity to resolve or reverse variance trends before being officially required to explain them to the Department of Labor (DOL).

Reported variances may occur for a number of reasons, including: (i) erroneous assumptions in the formulation of the budget, (ii) unforeseen events requiring greater
or fewer financial resources than anticipated, (iii) poorly controlled spending, and/or (iv) internal reporting or computational errors. The identification and analysis of variances may lead to a wide range of corrective actions, to include:

- Spending constraints to reduce or stabilize overruns
- Increased spending in areas where adequate resources have not been utilized to provide prescribed services
- Improvements to internal administrative control systems and the provision of training to appropriate staff
- Budget revision request to more reasonably distribute financial resources between cost categories
- Initiation of requests for an increase or decrease in the contract estimated cost to adjust for unforeseen cost or program changes
- DOL decision not to award a yearly extension, but to re-compete the center contract early

6. **Formal Budget Revisions**

It is generally appropriate to accomplish a formal revision to the 2181 budget only when: (i) there is a need to change the net amount for center operations expense in the estimated cost clause of the contract and the change affects the current contract year; or (ii) a realignment of existing line-item amounts is clearly needed to resolve a gross misallocation of costs. However, frequent reshuffling of funds between line-items as a means to eliminate reportable variances is not generally considered a useful or appropriate practice.

For contract centers, 2181 budgets and revisions thereto must be approved by the cognizant Regional Office. Regional Offices should refer to current internal Annual Advanced Procurement Plan (AAPP) administration guidelines to identify circumstances where prior National Office approvals are needed for bottom line estimated cost changes.

7. **Next-Year and Base-Year Three Estimates**

As mentioned in Section 2 above, 2181 budgets are normally prepared in sets of two: (i) one providing a line-item budget for the current contract year and (ii) providing another line-item budget for the next contract year. Most center operations contracts are initially awarded with a two-year base period. In this case, the initial 2181 budgets that are prepared upon contract award are for the first contract year and the second contract year. Except for the final year of the contract, this pattern is maintained throughout the life cycle of the contract. For example, when the contract enters its second year, the required pair of 2181 budgets will cover the second year of the contract (which will be the Current Year) and the third contract year (which will then be considered as the Next Year).

If a contract is initially awarded with a three-year base period, it will also be
necessary for the contractor to maintain a Base Year Three budget, but only during the first contract year. After the first contract year has been completed, the contract returns to the normal pattern, in which the requirement is only for the maintenance of Current Year and Next Year 2181s. This is discussed more fully in a later section that provides detailed requirements for 2181 Contract Center Operations Budgets.

Whenever the current year budget is being revised, it is also necessary to: (i) identify any adjustments that are of an ongoing nature such as those that might involve permanently deleting or adding slots; (ii) accurately calculate the impact of such changes on the next contract year, and (iii) revise the 2181 budget for the next year accordingly and the Base Year Three budget if one is being maintained.

Maintain a current next-year cost estimate in this manner will provide for a smoother transition from one contract year to another and will help avoid budget related issues from developing between DOL and the contractor. The current AAPP Estimated Cost Profile, which DOL makes available to the contractor, will facilitate the preparation of next-year budgets. Under normal conditions Regional Offices are expected to keep center contracts in agreement with the AAPP Estimated Cost Profile. Questions or concerns about dollar amounts that are contained in AAPP Estimated Cost Profiles may be referred to Employment and Training Administration Budget Office staff.

8. Integration of Financial Management Systems

The requirements and procedures of this appendix have been designed in a way that is intended to ensure or promote consistency of data across different aspects of center financial management: DOL/Job Corps allocation of funds for center contracts, contractual documents concerning cost and funding, detailed operational budgeting, monthly cost reporting, and contractor vouchering for payments.

a. Job Corps Fund Allocation System (JFAS)

JFAS is a web-based in-house internet technology (IT) application that is used by DOL/Job Corps to control the allocation of funds to center operations contracts and other contracts and activities that deliver and support the delivery of services to Job Corps students. It is DOL policy to share various types of JFAS reports and documents with center contractors. The JFAS reports and data sheets that are available to contractors include: the Estimated Cost Profile, the Fiscal Plan, the Financial Operating Plan (FOP) Allocations Report, the career technical skills training (CTST) Worksheet, the Contract Footprint Report, and the Payments Transaction Report. These reports will help contractors to prepare and plan for future modifications that are scheduled for their contracts (including modifications for incremental contract funding) and also to facilitate the identification of discrepancies and pending issues that require follow-up action.
Pending the development of an IT application that will provide contractors with direct access to their respective JFAS reports and documents, Job Corps Regional Offices are required to provide contractors with copies of these reports (which are normally available in PDF format) on a timely basis upon request. Please note, however, that DOL does not share one contractor’s reports with any other contractor. If a Job Corps Regional Office is not able to fulfill its responsibility in this area, a contractor may instead request the Office of Job Corps to supply copies of its JFAS reports and documents.

b. **Contract Award and Maintenance**

Contract award and modification documents are currently maintained and executed in ink-signed hard-copy form. These documents contain information about agreed-to contract costs and DOL/Job Corps funding that is available for payment to the contractor. Many items of information in these hard-copy documents must be abstracted and entered into the FMS.

c. **Job Corps Financial Management System (FMS)**

Center contractors fulfill most of their financial reporting and operational budgeting responsibilities using the FMS, which is a web-based IT application administered by the Job Corps Data Center (JCDC). The FMS is used to prepare and submit 2110 cost reports, 2110S monthly staff vacancy and separation reports, and 2181 budgets. The FMS is also used to enter key elements of financial data that are abstracted from contract documents and from Job Corps contract vouchers. Data entry procedures can be found on the JCDC website and in training and orientation materials that have been published by JCDC. The FMS is a secure IT system with access being controlled by user names and passwords.

d. **Vouchering**

The great majority of center contractors receive payment from DOL on the basis of hard-copy vouchers and back-up sheets that are submitted to DOL/Job Corps on a semi-monthly schedule. (A small number of governmental and non-profit contractors are eligible to be paid via electronic draw-downs.) Some data items from vouchers must be abstracted and entered into the FMS.

C. **COST CATEGORY DEFINITIONS**

1. **Overall Structure of Categories**

The major cost categories that are used on center cost reports and budgets are structured as described below (Detailed definitions and descriptions are provided in a later section):
Center Operations Expense

This major expense group includes 29 different line-item categories, which are separately displayed on Page 2 of the 2110 cost reports and on the 2181 line-item budgets. These reports and budgets also display center operating expense totals. In DOL contracts for center operations, the budgetary clauses do not generally display the 29 line-item categories of center operating expense but typically display the center operating expense total, along with the display of sensitive subcategories of expense, such as contractor fee and indirect administrative expense.

Center Capital Expenses

This group consists of the following four cost categories:

• Construction/Facility Rehab

  Note: Starting in 1988, Congress has appropriated Job Corps funds in two separate accounts: (i) OPERATING FUNDS and (ii) CRA FUNDS (facility construction, rehabilitation, and acquisition). DOL ensures separate tracking of these funds by requiring that all CRA FUNDS be reported in the Construction/Facility Rehab expense category. Moreover, that expense category is used exclusively for CRA FUNDS. As a consequence, all other expense categories fall under the appropriation for OPERATING FUNDS.

• Equipment/Furniture
• GSA Vehicle Rental
• Career Technical Skills Training (CTST) Materials

These above four categories are displayed individually on 2110 cost reports and in the estimated cost and funds available clauses of center contracts.

Student Transport/Meal Allowance Expense

This cost category refers to Job Corps-paid expenses for inter-city transportation of newly enrolled students and current students, including the payment of travel-related meal allowances to newly enrolled students and current students. This expense category is not in common use under center operations contracts, but is available when needed.

Outreach/Admissions (OA) Expense

This cost category applies when the center contract expressly includes an estimated cost amount for the provision of activities for the outreach and admission of new students. When a center contract contains an estimated cost amount for Outreach/Admissions, supplementary 2181s and 2110s must be prepared.
Career Transition Services (CTS) Expense

This cost category applies when the center contract expressly includes an estimated cost amount for the provision of career transition services to graduates and former enrollees. When a center contract contains an estimated cost amount for Career Transition Services, supplementary 2181s and 2110s must be prepared.

Other Expense Categories Not Pre-Printed on the Forms

Blank lines are provided in the reporting formats for writing in other categories that might be expressly included in the estimated cost and funds available clauses of a center operations contract, such as special funding for program support functions.

2. Subcontract Expense

The costs of subcontracts issued by center operators are classified differently depending on the characteristics of the subcontract. For purposes of Job Corps cost classification, subcontracts fall into two different and mutually exclusive categories: (i) Staffing Subcontract; and (ii) Non-Staffing Subcontract. The following are definitions and criteria for determining the category that applies to a particular subcontract, along with the cost allocation policies that apply to that category.

a. Staffing Subcontracts

This category applies to any subcontract that provides one or more staff persons who are employed at the center on a full-time basis or any subcontract that provides a number of part-time staff persons who are employed at the center and whose scheduled hours of work at the center collectively represent one or more full-time positions. The cost allocation policies that apply to subcontracts in this category are as follows:

• Subcontractor Personnel Expense: The cost of compensation (salaries/wages and benefits) which the subcontractor pays to its staff who work at the center must be allocated to the appropriate functional or programmatic line-item as a personnel expense (e.g., Line 1-Academic Personnel, Line 3-Career Technical Training Personnel, and so forth).

Other Direct Subcontractor Expense: The direct costs of the subcontractor that are for non-personnel items must be reported in the appropriate functional or programmatic line-item as an “Other” cost (e.g., Line 2-Other Academic Expense, Line 4-Other Career Technical Training Expense, and so forth).
• **Subcontractor Indirect Expense (Overhead/General & Administrative [G&A]):** The subcontractor’s overhead and G&A expense must be reported on Line 16–Other Administrative Expense. DO NOT report these costs on Line 17–Indirect Administrative Expense which is used exclusively to report the prime contractor’s Overhead/G&A expense.

• **Subcontractor Fee:** If the terms of the prime contract expressly designate the subcontract as a MAJOR STAFFING SUBCONTRACT and require that the subcontractor fee be paid from a fee pool that is shared with the prime contractor, then subcontractor fee expense must be reported on Lines 28 and 29, combined with fees that are received by the prime contractor. If the terms of the prime contract DO NOT require such fee pooling for the subcontract in question, then subcontractor fee must be allocated to the appropriate functional or programmatic line-item as a non-personnel cost (e.g., Line 2–Other Academic Expense, Line 4–Other Career Technical Training Expense, and so forth).

In some cases, expenses may not be currently detailed on the subcontractor's invoice because the service is being provided at a fixed price or fixed unit price (e.g., meals served, billable labor hours). In these cases, adequate detail can usually be obtained from the subcontractor's proposal as a means to formulate the required break out of total expense into the line-items identified above. If detail is not provided in the proposal, then supplementary information should be obtained from the subcontractor.

b. **Non-Staffing Subcontracts**

This category applies to any subcontract that does not qualify as a staffing subcontract. In addition to subcontracts that are solely for the procurement of supplies, equipment, commodities, and so forth, the non-staffing category also typically applies to:

• Subcontracts for facility repairs or renovations
• Subcontracts for intermittent services such as trash collection and pest control
• Subcontracts with individual medical practitioners who work less than full-time at the center

The costs of a non-staffing subcontract will normally be allocated to a single budget line-item. For example, the entire costs of a trash collection subcontract would be assigned to Line 19–Other Facility Maintenance Expense.
3. **Personnel Expenses**

   This term is used in this appendix to refer to all salaries, wages, and all other associated personnel compensation costs absorbed by the employer such as performance bonuses, earned leave upon termination, retirement contributions, social security taxes, life insurance premiums, health insurance premiums, worker’s compensation insurance, etc. All direct employees of the center operator as well as employees of staffing subcontractors, as defined in the preceding section, are to be included.

   If a particular staff position involves work in more than one area such as part-time in academics and part-time in career technical training, or if a secretarial position is split between two departments, the cost must be broken out between the appropriate line-items. The basis for the allocation must be documented in a salary allocation plan which briefly explains the rationale for the allocation. The allocation plan must be available for review by DOL auditors. If the duties of the position are substantially changed, the salary allocation plan must be reviewed and amended as appropriate. Salary allocation plans must be reviewed annually as a routine matter to assure that they remain valid.

   With regard to managerial positions (which may be defined as those that involve supervision of supervisors), those which oversee three or more different departments or programmatic functions should normally be treated as executive positions whose costs should be allocated to Line 15-Administrative Personnel. However, this guidance is not a hard and fast rule; and circumstances may exist that justify the formulation of a salary allocation plan for this type of position.

   With regard to front-line supervisors who function as team leaders who supervise or coach diverse groups of practitioners that might consist of academic instructors, career technical training instructors, counselors, and so forth, it is recommended that salary allocation plans be formulated which break out the personnel costs into as many line-items as are appropriate.

   **Note:** Personnel costs for center employees while they participate in corporate center reviews of other centers will be charged to indirect administrative expense since they are performing corporate functions.

4. **Treatment of Money Received**

   a. **Reimbursements**

      When money is received by a center it is most often a reimbursement of cost. Such reimbursements are recorded as reductions (credits) in the appropriate expense accounts in order to reflect true center operating expenses. Examples are as follows:
• Food sales to staff and visitors are credited as a reduction to center food costs.
• Reimbursements by GSA for vehicle maintenance and fuel are credited as a reduction to center vehicle operating costs.
• Reimbursements of fuel costs from tenants are credited as a reduction of center fuel costs.
• Reimbursement for Workforce Innovation and Opportunity Act (WIOA) or other buy-ins are credited as a reduction to center academic and/or career technical training costs.
• Prompt payment discounts, cash rebates and refunds are credited as a savings to the account to which the product or service was originally charged.

b. Net Income

In some cases, money received by the center cannot be credited as a reduction to a specific expense account. These situations are generally limited to items where there is little or no initial expense to the center, the expense category cannot be identified, or there is a For-Profit operation. When these situations occur, the money received will be classified as net income within the administrative operating expense account (Line 16—Other Administrative Expense), which will have the effect of reporting a net decrease in the center's administrative costs. Conversely, if negative income is reported as a result of a prior-period correction or expenses exceeding receipts, then the result will be a reported increase in center administrative expenses.

The following are examples of situations where net income might properly be reported:

• Vending and video game machines not for student use
• For-profit operations, such as a lithography shop or snack bar for the public. Include both income and expense in the income account. Only net income is reported.
• Employee housing net rental income is reported. Income and all expense of maintaining the housing are included in this account. Only net income is reported.

The following are examples of sources of cash receipts which are not considered as income since the receipts should accrue to the Student Benefit Fund. These transactions are not relevant to and should be kept outside the center's Job Corps financial management system.

• Vending machines for student use
• Proceeds from a student-related concession
5. **Cost Category Definitions**

The following are definitions for the cost categories that are used in the 2110 center cost reports and in the 2181 line-item center budgets. The categories are discussed in the same order as they appear on the 2110 report. Also note that the lists of example expense items that are provided for each cost category are not considered exhaustive or all-inclusive. For expense items that are not specifically identified in the lists of examples, contractors may use their own good judgment to determine which cost categories apply, or they may refer the question to the Office of Job Corps for guidance.

**a. 2110 Page 2 - Center Operations Expense Line-Item Subcategories:**

**Line 1-Academic Personnel Expense:** Includes the cost of all personnel whose primary duties are in academic programs, including positions such as those listed below:

- Managers  
  Education and Training Manager  
  Academic Manager

- **Academic Instructors**  
  Reading Instructor  
  Math Instructor  
  HSE Instructor  
  Driver Education Instructor  
  English Language Learner (ELL) Instructor  
  Communications Instructor  
  Wellness/Safety Instructor  
  Academic Instructor Substitutes

- **Support Staff**  
  Academic Testing Coordinator  
  ACT/OTP Coordinator  
  Career Development Specialist  
  Secretarial/Clerical assigned to support academic staff

**Line 2-Other Academic Expense:** This category consists of all non-personnel expenses that are immediately related to a center's academic programs, including the types of expenses listed below:

- **Academic Materials and Supplies:** Includes the cost of materials and supplies (e.g., books, workbooks, testing materials) used in conducting academic programs for students. This will also include the cost of expendable items for exclusive use in the classrooms such as: drapes, wall hangings, bulletin boards, computer software,
and DVDs.

**Not included** are general purpose items such as paper, pencils, paper clips, rubber bands, erasers, etc., which are reported on Line 16-Other Administration Expense.

**Academic Services:** Includes the cost of contractual services for student academics, including repair and maintenance of academic equipment.

**Academic Tuition:** Includes the cost of tuition for off-center academic instruction. If the tuition is prepaid, please see later discussions of accrual and vouchering of prepaid items.

**Academic Rentals:** Includes the cost of facilities and equipment (but not motor vehicles) rented for student academic programs. Rental of Driver Education vehicles will be charged here.

**Other:** Other expenses that should be assigned to the academic operating expense category but which do not match any of the above examples.

**Line 3-Career Technical Training (CTT) Personnel Expense:** Includes the cost of all personnel whose primary duties are in the career technical training programs (previously referred to as vocational training) including positions such as those listed below:

**Managers**
- Education and Training Manager
- CTT Program Manager

**Instructors**
- CTT Instructors
- CTT Instructor Substitutes
- Career Exploration Instructor

**Support Staff**
- CTST Coordinator
- Work-Based Learning (WBL) Coordinator
- CTT Testing Coordinator
- Secretarial/Clerical assigned to support CTT staff

**Line 4-Other Career Technical Training (CTT) Expense:** This category consists of all non- personnel expenses that are immediately related to a center's career technical training programs, including the types of expense listed below.
**CTT Materials and Supplies:** Includes the cost of all materials and supplies (e.g., books, workbooks, testing materials) used in conducting CTT programs for students. This will also include the cost of expendable items for exclusive use in the classrooms such as: draperies, wall hangings, bulletin boards, computer software, and DVDs.

*Not included* are general purpose items such as paper, pencils, paper clips, rubber bands, erasers, etc., which are reported on Line 16-Other Administration Expense.

**CTT Services:** Includes the cost of contractual services acquired for student career technical training including repair and maintenance of CTT equipment.

**CTT Tuition:** Includes the cost of tuition for off-center career technical instruction. If the tuition is prepaid, please see later discussions of accrual and vouchering of prepaid items.

**CTT Rentals:** Includes the cost of facilities and equipment (but not motor vehicles) rented for career technical training.

**Other:** Includes other expenses that should be assigned to the CTT operating expense category but which do not match any of the above examples.

**Line 5-Career Success Personnel Expense:** Includes the cost of all personnel whose primary duties are in the career success programs, including positions such as those listed below.

**Managers**
- Director of Residential Living
- Director of Counseling
- Residential Living Manager/Counseling Manager
- Recreation Manager

**Counselors**
- Counselor (includes UA retrieval)
- Counselor Aide
- Center Standards Officer

**Residential Advisors/Residential Counselors**
- Residential Advisors/Residential Counselors and Aides
- Group Leaders and Aides
Coordinators
Career Preparation Leader
Diversity Coordinator
Student Government/Leader/SWF Advisor
Student Safety Advisor/Coordinator*

Recreation Staff
Recreation Specialist/Coordinator
Arts/Crafts Instructor/Coordinator

Support Staff
Secretarial/Clerical assigned to above areas

*Refers to activities for instilling "safety consciousness/awareness" in students. Does not relate to security personnel costs, which are assigned instead to Line 20-Security Personnel Expense.

Line 6-Other Career Success Expense: This category consists of all non-personnel expenses that are immediately related to a center's career success program, including the types of expense, listed below.

Career Success Materials and Supplies: Includes the cost of supplies and materials used in the counseling program, the dormitory supervision program and any other career success program. Also includes the cost of student incentive programs, including any that focus on academic or career technical training achievement.

Not included are general purpose items such as paper, pencils, paper clips, rubber bands, erasers, etc., which are reported on Line 16-Other Administration Expense. Also not included are driver education costs that are reported as an academic expense (Line 1 or 2).

Career Success Services: Includes the cost of contractual services acquired to implement career success programs and activities.

Career Success Rentals: Includes the cost of facilities and equipment rented to carry out career success activities. This will not include motor vehicle rental or GSA charges.

Morale-Recreation-Welfare Materials and Supplies: Includes the cost of supplies and materials used in the morale-recreation-welfare program.

Morale-Recreation-Welfare Services: Includes the cost of contractual services acquired to implement the morale-recreation-
welfare program. Includes repair and maintenance of recreational equipment.

**Morale-Recreation-Welfare Rentals:** Includes the cost of facilities and equipment rented to carry out morale-recreation-welfare activities. This will not include motor vehicle rental or GSA charges.

**Other:** Includes other expenses that should be assigned to the career success operating expense category but which do not match any of the above examples.

**Line 7-Food:** Includes the cost of food purchased for the center's dining hall and the cost of purchased meals that are served to students. This category includes:

- **Dining Hall Food:** Includes the cost of food issued for the dining halls and related direct freight charges. This account must include the cost of food provided or purchased in connection with subcontracted food service. **Subcontracted labor and other non-food costs incurred are not charged to this account**, but will be charged to Line 9-Support Services Personnel Expense, Line 10-Other Support Service Expense, or other appropriate line-items as defined in Section C.3. Receipts (Personal Expenses) from sale of meals to staff and visitors are credited as a reduction to expense.

- **Purchased Meals:** Includes the cost of meals purchased for students while engaged in off-site activities such as academic, career technical training, and recreational trips.

**Line 8-Clothing:** Includes the cost of clothing and cash clothing allowances furnished to students, including:

- **Issue Clothing:** Issue of personal clothing and ditty bags.

- **Cash Clothing Allowance:** Cost of cash clothing allowances as discussed in Chapter 6, Section 6.6, R2.

- **Career Technical Training Clothing:** Cost of student special clothing such as work clothes, career technical training uniforms and protective clothing as discussed in Chapter 6, Section 6.6, R1, a-c.

- **Recreation Clothing:** Cost of student recreational clothing.

**Line 9-Support Services Personnel Expense:** Includes the cost of all
personnel, whose primary duties are in the area of support services, including positions such as those listed below.

**Managers**
- Dining Hall Manager
- Child Care Manager
- Laundry Manager
- Vehicle Fleet Manager

**Food Service**
- Cooks
- Dining Hall Workers

**Childcare Workers**
- Child Care Teacher
- Child Care Specialist
- Child Care Aide/Teacher Aide

**Note:** It is usually the case that childcare workers at Job Corps centers are employed by local agencies at no cost to Job Corps.

**Laundry Service**
- Laundry Operator

**Drivers**
- All Drivers employed at center.

**Incidental Outreach/Admissions (OA) and Career Transition Services (CTS) Staff**
- Any part-time or intermittent OA/CTS staff when OA or CTS is not included as a specific, separate line-item in the center estimated cost clause.

**Other Support Staff**
- Secretarial/Clerical assigned to above areas

**Line 10-Other Support Services Expense:** Includes the non-personnel/non-food operating expenses associated with the provision of room and board to Job Corps students, including the types of expenses listed below.

**Dormitory Linens and Supplies:** Includes the costs of initial issue and replacement of all expendable items purchased for use in the dormitories such as:

- Sheets, blankets and bedspreads
- Pillows and pillow cases
• Mattresses and mattress covers
• Dormitory curtains and drapes
• Laundry supplies for students
• Bulletin boards
• Throw rugs
• Pictures and wall hangings
• Irons and ironing boards

Not included are cleaning supplies for dormitories. This expense will be charged to Line 19-Other Facilities Maintenance Expense - Materials and Supplies.

Commercial Laundry and Linen Service: Includes the cost of linens and uniforms supplied by a subcontractor as well as the cost of laundry service for center-owned linens and uniforms, including those used in the medical facility.

Kitchen and Dining Hall Supplies: Includes the cost of non-food supplies and materials required in the operation of the kitchen and dining halls, including chemical and cleaning agents used for maintaining the kitchen, the initial and replacement cost of linens, mess uniforms, dishes, and utensils.

Subcontracted Food Service: Includes the cost of all services other than the cost of food and personnel in a subcontracted operation.

Note: The cost of food in a subcontracted operation will be reported on Line 7-Food. The cost of personnel and fringe benefits in a subcontracted operation will be reported on Line 9-Support Services Personnel Expense.

Kitchen and Dining Hall Non-Food Services: Includes the cost of services rendered in connection with the operation of the kitchen and dining hall such as equipment maintenance, etc.

Child Care Expense: These costs will usually be reimbursed to the center. These reimbursements should be credited to Line 10.

• Materials and Supplies: The cost of all supplies, materials, toys, games, diapers, laundry supplies, etc., purchased for the childcare operation. Not included are general purpose items such as paper, pencils, paper clips, rubber bands, erasers, etc., which are reported on Line 16-Other Administration Expense.

• Pre-Packaged/Catered Food: Includes cost of pre-packaged baby food, snacks and other meals purchased specifically for
the children. Does not include meals prepared by the center dining hall.

- **Child care Services**: Includes the cost of contractual services acquired for the childcare program, including repair and maintenance of child care furniture and equipment.

- **Child care Rentals**: Includes the cost of facilities and equipment (but not motor vehicles) rented for the childcare program.

**On-Center Laundry Supplies**: Includes the cost of supplies and consumables needed to operate any on-center laundry facilities. Does not include laundry supplies furnished directly to students.

**On-Center Laundry Facility Services**: Includes the cost of contractual services acquired for equipment maintenance and repairs.

**Student Local Transportation**: Includes the cost of local public transportation such as bus passes or tokens for students and for children attending child care between home and the center, and expense to and from academic and career technical training sites.

**Student Lodging Expense**: Includes the cost of student lodging expense while on center sponsored trips, (i.e., academic, career technical training, recreation) and the costs of temporary local lodging due to center dormitory problems that require temporary off-center housing. Staff lodging expense will be charged to Line 27-Staff Travel and Training.

**Incidental Outreach, Admissions and Career Transition Services Expense**: Includes the cost of any incidental OA/CTS expense not included as a separate line-item in the center estimated cost clause and considered part of the center operating expense.

**Other**: Includes other expenses that should be assigned to the support service operating expense category but which do not match any of the above examples.

**Line 11-Medical/Dental Personnel Expense**: Includes the cost of personnel whose primary duties are in the area of health program services, including positions such as those listed below.

**Managers**
Medical Service Director
Health Services Administrator
Medical Professionals
Doctors of Medicine
Doctors of Osteopathy
Optometrist

Mental Health Professionals
Psychiatrist
Psychologist
Social Worker
Substance Abuse Counselor

Dental Professionals
Dentist
Oral Surgeon
Orthodontist
Endodontist
Periodontists

Allied Medical Workers
Physician’s Assistant
Nurse Practitioner
Medical Assistant
Registered Nurse
Licensed Practical Nurse
Licensed Vocational Nurse
Nurse Assistant
Laboratory Technician
Reproductive Health Coordinator*
Trainee Employee Assistance Program (TEAP) Coordinator*

*If the Reproductive Health or TEAP coordinator also serves as a counselor, the cost should be prorated between Social Skills Training and Medical salaries.

Allied Dental Workers
Dental Hygienist
Dental Assistant
Dental Technician

Support Staff
Secretarial/Clerical assigned to above areas

Line 12-Other Medical/Dental Expense: This category consists of all non-personnel expenses that are immediately related to a center's health services programs, including the types of expense listed below.

Medical and Mental Health Fees: Includes fees charged by non-
salaried health providers including physicians (doctors of medicine, doctors of osteopathy), psychiatrists, psychologists, optometrists and social workers, excluding dentists, for “as needed” health services performed regardless where the services were rendered. This includes x-rays and other laboratory services included in the providers’ bills. This also includes charges based on a “by procedure rate.”

**Note:** This will not include charges from subcontracted providers who bill at an hourly rate. The entire amount will be charged to Line 11-Medical/Dental Personnel Expense.

**Medical Support:** Includes cost of medical services rendered by other than the providers listed above, such as:

- Hospitals
- Medical laboratory and x-ray services when billed separately.
- Ambulance and mortuary costs
- Environmental health inspections and services.

**Dentist Fees:** Includes fees charged by non-salaried dentists (including oral surgeons, orthodontists, endodontists and periodontists) for “as needed” dental services performed regardless where the services were rendered. This includes x-rays and other laboratory services provided by a dentist and included in the bill.

**Note:** This will not include charges from subcontracted providers, which will instead be charged to Line 11-Medical/Dental Personnel Expense.

**Dental Support:** Includes cost of dental services rendered by other than the providers listed above, such as:

- Clinics or other institutions
- Dental laboratory and x-ray services when billed by separately

**Medical Supplies and Pharmaceuticals:** Includes the cost of all medical and dental supplies and pharmaceuticals (e.g., bandages, dental material, disposable syringes, medicines, drugs, eyeglasses, etc.), regardless of source.

**Other:** Includes other expenses that should be assigned to the medical/dental operating expense category but which do not match any of the above examples.

**Line 13-Career Preparation and Career Transition Readiness Services:**
Includes the cost of personnel whose primary duties are in the area of Career Preparation (CP) and Career Transition Readiness (CTR) services, including positions such as those listed below:

Managers
- CP and/or CTR Director or Manager
- CP Instructor
- CP Coordinator/Specialist
- CTR Coordinator/Specialist

Support Staff
- Secretarial/Clerical assigned to above areas

Line 14-Other CP/CTR Expense: This category consists of all non-personnel expenses that are immediately related to CP/CTR services that are available for students who have children, including the types of expenses listed below.

CP/CTR Materials and Supplies: Includes the cost of all materials and supplies (i.e., books, workbooks, testing materials) used in conducting CP/CTR programs for students. This will also include the cost of expendable items for exclusive use in the classrooms such as: drapes, wall hangings, bulletin boards, computer software, films and filmstrips.

Not included are general purpose items such as paper, pencils, paper clips, rubber bands, erasers, etc., which are reported on Line 16-Other Administration Expense.

CP/CTR Services: Includes the cost of contractual services acquired for student CP/CTR services including repair and maintenance of CP/CTR equipment.

CP/CTR Tuition: Includes the cost of tuition for off-center CP/CTR instruction. If the tuition is prepaid, please see later discussions of accrual and vouchering of prepaid items.

CP/CTR Rentals: Includes the cost of facilities and equipment (but not motor vehicles) rented for CP/CTR services.

Other: Includes other expenses that should be assigned to the CP/CTR operating expense category but which do not match any of the above examples.

Line 15-Administrative Personnel Expense: Includes the cost of personnel, whose primary duties are in the area of overall center management and administrative services and support, including positions such as those listed
below.

Executive Leadership
Center Director
Deputy Director
Training Director

Administration Operations
Administration Manager or Director
Administrative Assistant
Personnel Manager/Specialist
EEO Coordinator

Finance Manager/Staff
Procurement Manager/Staff
Student Accountability Officer
Student Records and Payroll Staff
Transportation Clerk
Legal Services Clerk
Scheduling Clerk
ADP Specialist/Programmer

Supply Operations
Property Manager
Property Specialist
Warehouse Staff
Supply Clerk
Clothing Clerk

Support Staff
Secretarial/Clerical assigned to assist above personnel
Secretarial/Clerical not classifiable in other categories
PBX Operator

Line 16-Other Administrative Expense: This category consists of all non-
personnel expenses that are immediately related to administrative support
functions at the center, including the types of expenses listed below.

Office Materials and Supplies: Includes the cost of general office
supplies used throughout the center such as: paper, pencils, paper
clips, rubber bands, and ink cartridges.

Note: Charge special items used in the academic and career technical
training programs such as drafting supplies, sketching pads, special
forms, etc., to those activities in the appropriate accounts reported on
Line 2-Other Academic Expense or Line 4-Other Career Technical
Training Expense.

**Office Services:** Includes the cost of contractual services required for center administration, such as the cost of subcontracted duplicating and printing services.

**Office Equipment Rentals:** Includes the rental cost of photocopying and data processing equipment.

**Office Equipment Maintenance:** Includes cost for maintenance and repair of office equipment. Costs incurred under lease purchase agreements are considered rental costs.

**Legal Services:** Includes the cost of legal services acquired for the center. The cost of legal services on behalf of students will be paid by the Job Corps Regional Offices if public defenders are not available, when proper documentation is supplied and approved by the Regional Director. Legal expenses relating to personnel matters or actions brought by employees against the contractor are indirect administrative expenses unless the contractor's approved cost accounting standards provide for classifying these expenses as a direct cost to contracts. The charging of these costs to a Federal contract is still subject to the allowability standards set forth in applicable cost standards.

**Accounting Services:** Includes the cost of subcontracted accounting services acquired for the center and corporate charges for service or equipment where corporate accounting, practices, and the indirect cost agreement, require an allocation to direct cost and the allocation of costs is in agreement with the business management proposal submitted for operation of the center. Since there is no specific contract requirement, center audits performed by Public Accounting firms are not allowable costs. A corporate audit which as part of its “tests” audits a portion of a specific contract is considered to be an indirect administrative expense.

**Consultant Costs:** Includes the fees charged by outside consultants and their related travel and per diem expenses. A consultant is one who analyzes, gives advice or helps determine how functions should be performed. Written approval from the Contracting Officer must be obtained before a consultant is hired, regardless of contract type (hourly or fixed price). The title “consultant” does not necessarily indicate that the cost of retaining such an expert should be reported on this line. Mental health consultants are part of the medical function and are not chargeable here, but should be charged
to either Line 11-Medical/Dental Personnel or Line 12-Other Medical/Dental Expense. Staff trainers are often called ‘consultants,’ but they are the performers of the function and are rightfully chargeable to Line 27-Staff Travel and Training.

Net Income: This includes monetary receipts that cannot be credited as reductions to other budget line-items. See discussion that appears earlier in this appendix.

Subcontract Overhead/G&A Expense: This includes subcontractor overhead and G&A expense as discussed in Section C.2 above.

Other/Miscellaneous Administrative Expense: Includes the cost of miscellaneous supplies and services required in the operation of the center such as the following:

- Consumable supplies such as paper towels, toilet tissue, soap, etc.
- Packing, handling, and shipping cost to transfer excess property to or from a holding facility
- Shipment of separated student belongings
- Community relations expense
- Miscellaneous equipment repairs not chargeable elsewhere
- Any miscellaneous administrative expenses outside the definitions of office supplies and services
- Gross receipts tax payments. See following discussion on Sales Tax assessments
- Penalties and interest charges resulting from Sales Tax assessments. See following discussion on Sales Tax assessments

Expenses not to be included are:

- Incoming freight charges should not be lumped indiscriminately into the administrative expense category. Where possible these charges should be prorated to the individual items received (inventory or capital). When it is not practical to charge this cost to the individual items covered by the freight charges, because of the late receipt of the invoice or the large number of items covered, the cost should be prorated and directly charged to the appropriate expense categories.

- Sales tax assessments should not be lumped indiscriminately into the administrative expense category. When the center is forced to pay sales tax assessments, the
cost should be prorated to the various affected categories based on the total cost of purchases for the assessment period.

Since taxes will be paid "under protest," records must be maintained detailing all payments. Penalties and interest charges will be charged to Miscellaneous Administrative Expense.

• Phase-out costs should not be lumped indiscriminately into the administrative expense category, but should be distributed to the appropriate cost categories. Severance pay and accrued vacation pay are to be charged to the categories of personnel costs where the affected individuals' salaries were charged.

**Line 17-Indirect Administrative Expense:** This line includes the cost of the contractor's general and administrative expenses at the rate specified in the center contract or the current approved rate, whichever is lower. Indirect Administrative Expenses related to Outreach/Admissions or Career Transition Services, if separate items in the contract, will be charged respectively to those cost categories as appropriate. When the contract contains an indirect cost ceiling clause, the total amount charged may not exceed the ceiling rate. Indirect administrative expense should be accrued for amounts earned on other accruals (unvouchered accounts payable) and be reported as unvoucdered reimbursable expense on Page 4.

**Note Concerning Indirect Cost Base:** It is DOL’s preference that the base that is used to compute indirect costs consist NOT of total direct cost (TDC) but instead be comprised of a narrower base that is limited to center operations expense net of indirect cost and fee. This is to avoid indirect costs amounts that are skewed due to the tendency for “cost spikes or lumpiness” in pass-through allocations and expenditures for “capital” items such as construction/rehab projects and furniture/equipment purchases. Contractors are expected to use this narrower base in the indirect cost proposals that they submit to their cognizant agency, whenever possible (cognizant agency is most often DOL). Exceptions will be allowed if it is not feasible for a contractor to utilize this narrower base due to the demands of its parent company or establishment of a TDC base in an indirect cost agreement that has already been finalized with a cognizant agency other than DOL.

**Line 18-Facilities Maintenance Personnel Expense:** Includes the cost of personnel assigned to center maintenance functions, including positions such as those listed below.
Managers
Maintenance Manager
Maintenance Supervisor

Maintenance Workers
Maintenance Engineer
Maintenance Mechanic
Maintenance Helper
Groundskeeper
Janitorial Staff

Support Staff
Sec/Clerical assigned to the maintenance section

Line 19-Other Facilities Maintenance Expense: This category consists of all non-personnel expenses that are immediately related to maintenance of center facilities, including the types of expenses listed below.

Materials and Supplies: Includes the cost of materials and supplies required for routine maintenance and repair of center physical facilities including sidewalks, fences, grounds, roads, and any equipment affixed to a structure as an integral component. Also includes the cost of general cleaning and janitorial supplies and any special work clothes or items of personal safety equipment (e.g., goggles) purchased for use by maintenance staff.

Contracted Services: Includes the cost of contracted services required for routine maintenance of center facilities (e.g., painting) and systems (e.g., servicing of fire alarm systems and fire extinguishers), but not including any contracts that are classifiable as construction and rehab projects. Also includes contracts for trash pick-up and pest control.

Equipment Rental: Includes the cost of equipment rented, or being acquired on a lease purchase agreement, in connection with maintenance and repair of center facilities, excluding motor vehicles.

Equipment Operation, Maintenance and Repair: Includes the costs of operating, maintaining, and repairing motorized and mobile equipment (e.g., power mowers, tractors, portable generators, etc.) Include equipment owned by other agencies (i.e., GSA) leased on a mileage or use basis.

Note: Report operation, maintenance, and repair cost of other motorized equipment chargeable to work projects on Line 35-
**Career Technical Skills Training.**

**Other:** Includes other expenses that should be assigned to the maintenance operating expense category but which do not match any of the above examples.

**Line 20—Security Personnel Expense:** Includes the cost of all personnel assigned to the security function, including positions such as those listed below.

- **Manager**
- **Security Manager**
- **Security Supervisor**

- **Security Staff**
  - **Security Officers**
  - **Guards**

- **Support Staff**
  - Secretarial/Clerical assigned to security section

**Line 21—Other Security Expense:** This category consists of all non-personnel expenses that are immediately related to the provision of a guard force or security force at the center, including the types of expenses listed below.

- **Supplies and services:** The cost of supplies and services required for the performance of this function, such as the center-furnished uniforms and the laundering of these items.

- **Subcontracted security services:** The cost of all subcontracted security costs other than those classifiable as personnel expense. An example would be augmentation of normal security forces in connection with a special event or emergency.

- **Equipment Rental:** The cost of equipment rented, or being acquired on a lease purchase agreement, in connection with the security function.

- **Other:** Includes other expenses that should be assigned to the security operating expense category but which do not match any of the above examples.

**Line 22—Communications:** Includes cost for communications services, including the types of expense listed below.

- **Local Telephone Service:** Includes the cost of local telephone
service -- basic monthly service, equipment lease costs, any message unit charges, access charges and taxes.

Cell Phones: Includes the cost of cell phone service.

Long Distance Calls: The cost of long distance calls at contract centers, placed through the federal telephone system (FTS) will not be charged to the center, but will be charged to DOL. The long distance costs that should be reported on this line will be for incoming collect long distance calls and the costs of long distance calls that, for any reason, cannot be placed through FTS.

Telephone Service Charges and Communications Equipment Rental Charges: Includes the cost of service for telephone equipment changes, repairs, the installation of additional telephones and related equipment, and the cost of FAX and other communications equipment rental and maintenance.

In-Center Communications System: Includes the cost of operating and maintaining radio, closed circuit television, and other in-center communication systems.

Postage: The cost of USPS postage and/or expedited services such as Federal Express and postage meter rental and maintenance.

Other Communications Costs: Any communications costs that do not match any of the above examples.

Line 23-Utilities and Fuel: Includes cost utilities and fuel consumed by the center, such as the types of expenses listed below.

- Natural Gas
- Electricity
- Coal
- Heating Oil
- Propane
- Water
- Sewage
- Cable TV
- Other (as determined by the center)

Note 1: Supplies of heating oil and propane should not be expensed as purchased, but maintained as inventory.

Note 2: Fuel for vehicles is not reported here, but on Line 26-Motor Vehicle Operating Expense.
**Line 24-Facility Lease Expense:** Includes costs for leasing center facilities where the center operator/contractor is the lessee or where the government is the lessee, but funds have been placed on the center operating contract and payment is made by the contractor. Also record in this account any separate property taxes and insurance premiums, payment of which is made to the lesser, by the terms of the facility lease.

*Note: Equipment lease costs are not to be recorded in this account.*

**Line 25-Insurance:** Includes cost of authorized or required liability insurance that is carried by the center operator, including as listed below.

- Automobile Insurance (liability and property damage)
- Employee Liability Insurance
- Other Required Insurance

*Note: On the 2110 cost report, if insurance is prepaid the entire amount is vouchered, but the amount for future months is not expensed since the cost applies to a future period. The amount of prepaid insurance is shown as a negative Unvouchered Accounts Payable. Expense is reduced by the amount of prepaid insurance and this amount is reported as a negative Unvouchered Accounts Payable. Each succeeding month, the portion of prepaid insurance applicable to the period is expensed and the negative Unvouchered Accounts Payable reduced by a like amount. If insurance is billed at a later date, the cost for the unbilled months must be accrued.*

**Line 26-Motor Vehicles Operating Expense:** Includes as listed below.

- GSA Mileage as shown on the GSA Detailed Billing Register
- Commercial Vehicle Rental
- Operation, Maintenance and Repair of Center Owned Vehicles

*The following types of vehicle rentals are not reported here, but elsewhere as indicated:*

- Operation, maintenance and repair cost of construction equipment for CTST projects. These costs will be charged to Line 35-CTST.
- Rental of vehicles of staff while on travel assignments is charged to Line 27-Staff Travel and Training.
- GSA monthly charges and charges for damage to vehicles
will be reported on Page 3, GSA Vehicles Rental.

- Commercial rentals of driver education vehicles are charged to Line 2-Other Academic Expense.

Line 27-Staff Travel and Training: Includes the cost of staff travel, per diem and miscellaneous expenses in connection with work assignments that involve travel away from the center and the costs of providing technical or professional training to center staff. Please note that Job Corps center operating contracts normally contain clauses that require contractor travel costs to be within the parameters and limits of Federal Travel Regulations. The types of expenses to be included are listed below.

**Training Related Travel**: Includes center staff transportation, per diem cost and miscellaneous expenses for training purposes. This account is used whether training is provided by the center, the center operator, the DOL Regional Office, or the DOL National Office. Cost includes travel in privately owned vehicles, commercial transportation, leased vehicles, meals, lodging, and incidental expenses. If training or technical assistance is provided by center staff to another center, all travel expenses will be charged to the center receiving the training or assistance and expensed to that center’s staff travel and training account unless the Contractor’s proposal or Indirect Cost agreement provided for the cost to be charged to the contractor’s indirect cost pool.

**Non-Training Related Travel**: Includes the cost of center staff for transportation, per diem and miscellaneous expenses for work assignments other than those related to staff training.

**Note**: All travel expense incurred by center staff for participation in corporate center reviews will be charged to indirect administrative expense since they are performing corporate functions as required in the contract. Costs incurred by center staff for providing technical assistance to other centers will be charged as discussed above.

**Change of Station Costs**: Includes relocation costs associated with the transfer of personnel to the center, including movement of household goods, house-hunting expenses, real estate fees, transportation of employee and family, allowance for temporary quarters (per diem) and other incidental expenses associated with a change of duty station.

**Staff Training and Tuition**: Includes the cost of tuition and fees for staff training that the center has determined is beneficial for the center and is job-related.
Reimbursement to staff under an employer education policy will be charged as an employee benefit to the appropriate category of personnel expense. Also includes the cost of materials and services such as trainers, rental of facilities and equipment, supplies, printing and duplicating, and contractual support.

**Lines 28 and 29-Contractor’s Base and Incentive Fees:** If any of the below guidance on the treatment of fee is in conflict with the particular terms of a center contract, the contractual terms shall prevail. The below guidance is intended to describe the standard vouchering and reporting practices for the fee arrangement that is now in use for center operations contracts, which provides for both base fees and incentive fees. Line 28 is used to report the cost of Base Fees earned per contractual terms. Line 29 is used to report the cost of provisional and earned Incentive Fees. Fee earned for Outreach / Admissions or Career Transition Services, separately stated in the contract, will be reported as OA or CTS expense, as appropriate.

**Line 28-Base Fee:** For each contract year, the cost of a contractor’s Base Fee will be an equal monthly proration of the Base Fee stated or reflected in the contract for that contract year. If the contractor’s fee consists solely of Fixed Fee, then fee cost should be reported on Line 28 only, and the monthly costs should be calculated in the same manner as for Base Fee.

**Line 29-Incentive Fee:** The cost of a contractor’s Incentive Fee is determined as follows:

**Cost prior to final determination of amount earned:** The exact amount of incentive fee that is earned for performance achievements during a contract year cannot be determined until 2 to 4 months after completion of the contract year. In order to mitigate cash flow hardships that would otherwise result from such a long lagtime, contractors are generally permitted to voucher provisionally for incentive fee during the performance of a contract year. The provisional monthly costs and billings of Incentive Fee prior to determination of final amount earned will therefore be an equal proration of the contractually stipulated incentive fee amount **for average performance**.

**Cost adjustment/reconciliation upon final determination of amount earned:** When the contractor is notified as to the exact amount of incentive fee that has been earned for performance during a recently completed contract year, the notification will specify by what amount the earned incentive fee is above or below the amount of the provisional payments that were made to the contractor. DOL staff are expected to complete the reconciliation of provisional fee
to final amount earned and notify the contractor within three months after the completed contract year. The difference between provisional incentive fee payments and final amount earned will be treated as an adjustment to cost and billings in the succeeding contract year and reflected appropriately as such on the next available cost report and invoice that is submitted by the contractor. However, if performance of the contract has been fully completed, the adjustment to cost and billings will be reported and treated as post-termination activity.

The following is an example of expensing Base Fee, Provisional Incentive Fee, and Final Post-Contract Year Incentive Fee adjustment:

In this example, the contract has been newly awarded and the Fee amounts stipulated for the first contract year (which is exactly one calendar year in duration) are as shown below. The same amounts are also stipulated for the second contract year (which is also exactly one calendar year in duration).

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Base Fee</td>
<td>$225,000</td>
</tr>
<tr>
<td>Provisional Incentive Fee</td>
<td>$75,000</td>
</tr>
<tr>
<td>Total</td>
<td>$300,000</td>
</tr>
</tbody>
</table>

- In the first contract year, the monthly costs equals $300,000 divided by 12 months, yielding a monthly expense of $25,000. Total fee costs and billings in year 1 are $300,000, consisting of $225,000 base fee and $75,000 of provisional incentive fee.

- In the first two months of the second contract year, the monthly fee costs and billings continue at $25,000. However, in the third month, the contractor is officially notified, via contract modification, that its final incentive fee earned based on achievements in the first contract year is $85,000. The notification specifies that the final amount earned is $10,000 above the provisional amount billed and that the contractor should include the $10,000 fee increase on its very next voucher and should reflect the $10,000 of additional fee expense on Line 29 of its very next cost report.

This adjustment process also includes a revised 2181 center operating budget, increasing the Line 29 incentive fee amount by $10,000 for the current (second) contract year. The incentive fee expense reported in the third month of the second contract year would therefore be $35,000, or $10,000 above the provisional level of $25,000. Thereafter, monthly fee expense and billings would return to the provisional level of $25,000 for the duration of the second contract year.
• Note concerning liquidated damages. Liquidated damages assessments affect fee. Please refer to the later section that addresses liquidated damages.

Line 30-Net Center Operating Expense: Line 30 is used to display the total of center operating costs, Lines 1 through 29 above.

Note to Regions: Center operating expense equates to cost code A-Center Operations Expense in the internal DOL AAPP/FOP financial management system.

b. 2110 Page 3 - Special Subcategories of Center Operations Expense:

Lines 1a, 1b, 1c-Center Operations: These lines provide a highly summarized representation of the center operations expense detail provided on Page 2. The center operations expense totals on Page 2, Line 30 are broken out into two subcategories that are commonly used in the estimated cost clauses of center operations contracts. These subcategories consist of:

Line 1a, Center Operations-Reimbursable: This subcategory incorporates center operations Line items 1 through 27, which comprise the reimbursable expenses within the Center Operations category.

Line 1b, Center Operations-Fee: This subcategory consists of center operations Line-Items 28 and 29, which comprise contractor fee payments that are included within the Center Operations category.

Line 1c, Total Center Operations: This line-item displays the sum of amounts on Lines 1a and 1b. These amounts will also be the same as those displayed on Page 2, Line 30.
c. **2110 Page 3 - Capital Expense Categories:**

**Line 2-Construction/Facility Rehabilitation:** This line is limited to funds that are provided from the Job Corps CRA (facility construction, rehabilitation, and acquisition) appropriation. Moreover, all CRA funds that are made available to a center contract must be reported in this expense category.

Includes expenses for constructing or making long-lasting physical improvements to structures, utilities (e.g., heating and plumbing), roads and grounds, as well as the purchase and installation of major pieces of equipment, during a rehabilitation or construction project, that are permanently attached to structures such as air conditioners, walk in freezers and refrigerators, ovens and stoves, cafeteria dishwashers and wall to wall carpeting. Also included within the meaning of this term are the costs of architectural and engineering services (design) that are required for such construction or improvements and have been approved as part of the project. Purchase or lease of equipment and the cost of special motor vehicles required for completion of projects will also be charged here.

At contract centers, construction and rehabilitation work is to be performed only on the basis of: (i) identified projects for which specific construction/rehab funding has been approved for the contract; and (ii) emergency repairs that are authorized per guidelines contained in Chapter 5, Section 5.8, R5-R10. Separate records should be maintained on the individual construction/rehab projects that have been approved in the center contract.

The construction and rehabilitation account does not include:

- Career Technical Skills Training Activities, funded as CTST projects, wherein Job Corps students receive hands-on training by participating in construction projects or other projects that result in physical improvements to center facilities.
- Equipment other than the major items of the type described above.
- Facility leases.
- Architectural and engineering management support services, including procurement support, facilities surveys, site surveys or facility utilization studies when performed under an Office of Job Corps contract.
- Management support services for the acquisition or leasing of facilities.

**Note to Regions:** This expense category equates to B1-Cnst/Rehab in the internal DOL AAPP/FOP financial management system.
Line 3 - Equipment/Furniture: Includes costs for purchase of NON-EXPENDABLE PERSONAL PROPERTY. Non-expendable personal property (also known as movable durable property) is defined as property that is complete in itself; is not fixed to real property; does not lose its identify or become a component part of another article when put into use; is movable; is durable; and has an expected service life of at least 1 year.

Note to Regions: This expense category equates to cost code B2-Eqpt in the internal DOL AAPP/FOP financial management system.

Line 4 - GSA Vehicles Rental: Includes GSA monthly/daily charges and charges for damage to vehicles. This category does not include GSA mileage charges, which instead should be assigned to center operating expense, Line 26, Vehicle Operating Expense.

Note to Regions: This expense category equates to B3-GSA Vehicle Rental in the internal DOL AAPP/FOP financial management system.

Line 5 - CTST Materials: Includes the costs of building materials, consumable supplies and allowable construction and equipment installation contracts in connection with work training projects performed by Job Corps students that result in improvements to center facilities or public property. Records should be maintained separately for each identifiable CTST project. Only projects on the approved CTST plan may be performed with CTST funds.

Note to Regions: This expense category equates to B4-CTST Materials in the internal DOL AAPP/FOP financial management system.

d. 2110 Page 3 - Other Major Expense Categories:

Line 6 - Student Transportation/Meal Allowances: Includes the costs of government-furnished inter-city travel (including prescribed meal allowances), such as travel associated with new enrollee arrival, government paid leaves, winter break, transfers to other centers, travel home upon separation, and so forth. This line-item does not refer to the costs of local student travel (which are considered to be center operating expense).

Note to Regions: This expense category equates to D-Transportation in the internal DOL AAPP/FOP financial management system.

Line 7 - Outreach/Admissions (O/A): Includes costs incurred for outreach to and admission of prospective new students. Expenses should be charged to this cost category only if specifically funded in the center contract. If a center contract includes OA funding, a special “2181” and “2110” must be prepared in accordance with Appendix 503.
Note to Regions: This expense category equates to C1-Outreach, Admissions in the internal DOL AAPP/FOP financial management system.

Line 8-Career Transition Services (CTS): Includes costs incurred for providing post-separation career transition services to graduates and former enrollees. Expenses should be charged to this cost category only if specifically funded in the center contract. If a center contract includes CTS funding, a special “2181-OA/CTS” and “2110-OA/CTS” must be prepared in accordance with Appendix 503.

Note to Regions: This expense category equates to C2-Career Transition Services in the internal DOL AAPP/FOP financial management system.

Lines 9, 10-Non-Standard Expense Categories: On Pages 3 and 4 of the 2110, two lines are left blank in order for center operators to write in any other additional categories of expense. Expenses should be charged to a "write-in" category only if specifically funded in the center contract or the program operating plan. One example of a "write-in" category is the travel/logistical support function at the Sunny Valley Job Corps center.

Please note that most pilot projects conducted at Job Corps centers are not reported in a “write-in” category. This is because the pilot efforts normally involve variations on or augmentations of normal service delivery programs – e.g., implementing newly developed academic curricula in order to test their effectiveness. The types of activities funded in Job Corps pilot efforts usually fit within the scope and purpose of established center operations cost categories. Therefore, expenditures for Job Corps pilot efforts must normally be budgeted and reported appropriately within the structure of pre-printed standard cost categories.

Also, note that neither “phase-in” nor “phase-out” costs should be reported as write-ins on Lines 9 or 10. These types of expenditures should also be budgeted and reported appropriately within the structure of pre-printed cost categories. DOL Regional Offices will not require separate reporting or vouchering of either phase-in or phase-out expenses.

Line 11a-Subtotal of Operating Expense: In 2110 Page 3/Sec E and Page 4/Sec E, Line 11 provides the totals of all expense categories. Immediately below, Line 11a shows the subtotal of Operating Expense. This is the Line 11 total minus the amount in Line 2 for Construction/Rehab. The Line 11a Subtotal of Operating Expense is intended to reflect expenses that are financed and paid from Job Corps appropriations of Operating Funds, while Line 2 Construction/Rehab is intended to reflect expenses that are financed and paid from Job Corps appropriations of CRA funds.
D. JOB CORPS CONTRACT CENTER 2110 FINANCIAL REPORT

1. Purpose of the 2110

The Job Corps 2110 Center Financial Report is used by operators of contract centers to report accrued expenses and other pertinent data necessary to analyze cost trends and cost effectiveness in center operations, with a sharp focus on current contract year expenses.

2. Originators

These reports are prepared and submitted by organizations that have a contract to operate a Job Corps center. Where there is more than one contractor at a particular Job Corps center, each with specific center responsibilities and a separate contract with DOL, each contractor will complete this report for their area(s) of responsibility.

Also, a separate set of reports may be required for major program components falling under one contract (e.g., satellite center versus main center) if requested by the DOL-Job Corps Regional or Office of Job Corps.

3. Time Frames

a. Contract Years

It is a required practice to segment the performance period of a center operations contract into “contract years.” It is desirable that each contract year have a duration of one full calendar year (365 days or 366 days, depending on leap year).

- A Contract Year May Not Exceed One Full Calendar Year: The technical parameters of the FMS system do not allow any contract year to have a duration that exceeds one full calendar year.

- A Contract Year May Be of Lesser Duration: FMS does permit a contract year to be of lesser duration than a full calendar year. This is to accommodate certain exigencies. A typical example of this would be a unilateral contract extension (usually of about a four-month duration) that is issued to provide continuity of operations after the final option year contained in the original contract. In this situation, the extension should be treated as a new contract year.

Note: If it becomes necessary to issue further contract extensions, these extensions should be incorporated into the contract year that was established via the original extension, provided that the total new contract year duration does not exceed a full calendar year.
b. Reporting Periods

The reports will display line-item data on costs for the:

- Current month
- Cumulative costs incurred to date in the current contract year (year 1, 2, 3, 4, 5, 6, or 7), whichever is in effect at the time
- Cumulative costs incurred to date from the inception of the contract (i.e., from the first day of the contract performance period)

The 2110 report normally displays data for a full calendar month. However, if a contract begins on a date other than the first of the month, the first report will report costs for the period from the contract beginning through the end of the first calendar month.

If a contract year ends on a day other than the last of the month, it will be necessary to submit a 2110 report for the portion of the month which ends on the final day of the contract year as well as a second 2110 report for the portion of the month that falls within the next contract year. For example:

- The contract year begins March 15, 2010 and ends March 14, 2011. In March 2010 the only costs to report are for the period March 15 through March 31; and a report will be submitted reflecting actual and budgeted expenses for only this period.

- In March 2011 two reports must be submitted. The first report will constitute the final report for the just-completed contract year and in the “current month” columns will report expenses during the period of March 1 through March 14. The period-ending date would be reported as March 14, 2011.

- The second report for the month will constitute the initial report of the new contract year, and in the “current month” columns will report expenses during the period of March 15 through March 31.

4. Reporting after Contract Expiration (Post-Expiration 2110s)

When a contract expires, it is of course necessary to submit a fully detailed ETA 2110 with a report period ending date that coincides with the contract expiration date. Thereafter, the monthly reporting requirement for the contract continues until all undelivered commitments and unvouched accounts payable are liquidated. It is necessary to continue to submit 2110 reports until financial activity under the contract has ceased. However, such post-expiration 2110 reports will not display the annual budgets, the planned expense-CYTD, or the variance data that normally
appear on Page 2 of the 2110, Columns (b), (c), (e) and (f).

If there is no financial activity in a month, only a completed Page 1 must be submitted, and should be noted “NO ACTIVITY” in block 3a of Page 1 of the 2110. The “Period Ending” will always be shown as the current reporting month, not the month in which the contract expired.

After liquidation of all obligations, no further reports are required on an expired contract until close out. This last report should be marked “FINAL-PENDING CLOSE OUT” in block 3a on Page 1 of the 2110. If there are adjustments to costs that need to be reported when the contractor submits its formal close out package to DOL, a final 2110 report must be submitted in FMS to reflect any changes to costs. This report should be marked “FINAL/CLOSE OUT” in block 3a on Page 1 of the 2110; and the date entered in block 3 on Page 1 of the 2110 should be on or a few days before the submittal date of the contractor’s closeout package.

5. Accrual Reporting

Costs reported on the 2110 must always be on the accrual basis, i.e., the cost of materials and services used, or issued from inventory (not when received), regardless of when the purchases are made or when invoices are received or paid. Many costs, such as Medical, Mental Health and Dentist Fees, hospital charges, GSA charges, utility costs, subcontract costs, and telephone bills, as a few examples, are not normally invoiced promptly. It is important that all such charges, including earned, but unpaid salaries and payroll related costs, be accrued so that reported costs include all incurred expenses. Please note the following points:

- **Earned but unpaid leave will not be accrued, reported as expense, or vouchered.** Earned leave that is paid when an employee terminates from the center will be reported as personnel expense when paid and will be vouchered at that time.

- **Prepaid items should be expensed as consumed.** The full amount of a prepaid item (such as off-center tuition or insurance premiums) may be vouchered, but the portion of the cost that is allocable to future months is not expensed on a 2110 cost report since the cost applies to a future period. The expensing of prepaid items is normally accomplished via simple time-based proration of the full prepaid amount until the time period covered by the pre-payment has been completed.

6. Error Corrections

A corrected report for a given month may be submitted, but only if the report for the following month has not yet been submitted. Otherwise, any adjustments made to correct erroneous information on past reports (contract to date adjustments) will be reflected in the current month's expenses; and the adjustment must be explained in
the Variance Exceptions/Comments section. Errors are always to be avoided, of course; but it is particularly important to ensure that reports submitted for the ending dates of contract years are as free from errors as possible.

7. **Preparing and Submitting the 2110 Report**

Contractors submit their 2110 reports using DOL’s web-based Job Corps Financial Management System (FMS) that is administered by the Job Corps Data Center (JCDC). The FMS has been designed in a way that minimizes the volume of data that must be entered each month and that ensures consistency and accuracy in all internal mathematical operations present in the report. Contractors may obtain detailed guidance and training on FMS procedures for entering and submitting 2110 reports from JCDC representatives.

With respect to time-frames for the submittal of monthly 2110 reports, contractors must prepare and submit them into FMS by no later than the 10th of the month following the reported month.

8. **Descriptions and Definitions of 2110 Data Items**

Detailed instructions and guidance for entering 2110 data into FMS is available from JCDC sources. The following discussion is not intended to supply instructions for entry of 2110 data, but is intended to provide detailed definitions and descriptions of the information that appears on a submitted 2110 report when it is viewed in its printable output form.

a. **2110 Page 1**

1. **Center Name:** This is the name used to identify the center for which the report has been submitted as that name has been registered in FMS. If the report is for a major component as previously described, the component name will appear after the center name e.g., “Pine Top-Utopia Satellite.” Center names are spelled out fully. Abbreviations are not used. The words “Job Corps Center” are not used as part of the center name. The center name appears at the top of each succeeding page of the 2110 report.

2. **Contractor Name:** This is the name of the contractor organization as that name has been registered in the FMS. The expectation is that this name will match that which appears on the formal center contract documents. The contractor name appears at the top of each succeeding page of the 2110 report.

3. **Report Period Ending:** This is the last day of the calendar month for which the report is being prepared. The date will be for the current month even if the report is for an expired contract. The only
exception will be for contract year-end reports in contract years which end on any day other than the last day of the month as discussed in previous section on time frame. The report period ending date appears at the top of each succeeding page of the 2110 report.

4. **Contract Number**: This is the DOL identification number of the contract for which data are being reported as that number has been registered in FMS. The contract number appears at the top of each succeeding page of the 2110 report.

5. **Latest Contract Modification Number**: This is the number of the latest contract modification in effect on the final day of the reporting period and which had been recorded in the FMS at the time when the 2110 report is submitted.

6.a. **Approved Budget No**: This is the number of the latest approved 2181 budget submission.

6.b. **Pending Proposal Date**: If the contractor has submitted a proposal to the Regional Office that has not yet been returned to the contractor, this is the date that the budget was sent to the Regional Office.

7. **Duration of Contract**:

   **Begins**: This is the inception date of the contract (i.e., the very first day of the contract performance period).

   **Ends**: This is the current expiration date of the contract (i.e., the very last day of the contract performance period as currently stated or reflected in the latest contract modification.

8. **Student Years (SY) Produced, Contract Year-to-Date (CYTD)**:

   **Note**: Block 8 is left blank in post-termination 2110’s.

   a. **Current Month Planned Average OBS**: This is the planned average OBS for the month (or partial month) being reported according to information stored in the JCDC-WSSR (JCDC-Weekly Student Strength Report database).

   b. **Current Month Actual Average OBS**: This is the average number of students on-board during the month (or partial month) as reflected in the JCDC-WSSR.

   c. **Capacity Percent Current Month**: This is the current month actual OBS as a percent of planned average OBS. This is
calculated as the value in 8b divided by the value in 8a.

d. Planned SY, CYTD: This is the planned number of Student Years for the contract year through the current reporting period according to information stored in JCDC-WSSR. This reflects the average planned slot capacity during the CYTD period, which is then prorated to an annualized figure (number of days in CYTD/365 days) to yield Planned CYTD SYs.

e. Actual SY, CYTD: This is the actual Student Years produced during the CYTD period according to information stored in JCDC-WSSR. This reflects the average actual on-board strength during the CYTD period, which is then prorated to an annualized figure (number of days in CYTD/365 days).

f. Capacity Percent, CYTD: This is the contract year-to-date actual student years produced as compared to plan. This is calculated as the value in 8e divided by the value in 8d.

g. Slot Capacity @ End of Report Period: This is the planned slot capacity on the final day of the report period according to information contained in JCDC-WSSR

9. Student Year Cost:

Note: Block 9 is left blank in post-termination 2110’s.

a. Planned for CYTD: This is the planned cost CYTD from 2110 Page 2, Line 30, Column (c), divided by planned SY CYTD as displayed in item 8d above.

b. Actual Cost/SY, CYTD: This is the actual cost CYTD from 2110 Page 2, Line 30, Column (d), divided by actual SY CYTD as displayed in item 8e above.

10. Expected Underrun if OBS is Less than 98.0 percent:

Note: Data is shown in this block only if the value in item 8f is less than 98.0 percent. Also, block 10 is left blank in post-termination 2110’s.

a. Expected Savings per SY Not Delivered: Item 9a (Planned Cost per SY) x 15 percent.
b. **SY Shortfall, Contract Year-to-date:** Item 8d (Planned SY, CYTD) minus Item 8e (Actual SY, CYTD).

c. **Minimum Underrun Expected:** Item 10a x item 10b.

d. **Reported Variance, Excluding:** This is the CYTD center operations cost variance reported on Page 2, Line 30, Column (e). An underrun will be positive. An overrun will be negative.

e. **Underrun Deficit:** If item 10d is greater than item 10c, this data cell is left blank. Otherwise, enter item 10c minus item 10d. Entry in 10e is always required if 10d entry is a negative number. The amount in item 10e is that portion of the expected underrun that has not been obtained. Provide explanation in the Variance Exceptions and Concerns section on Page 5.

11. **Signature of Authorized Contractor Representative:** This block shows the name of the authorized contractor representative who is submitting the 2110 to DOL via the FMS. The signature line is reserved for use when the necessary e-signature technology is applied.

12. **This is the date when the report was formally submitted into FMS.**

b. **2110 Page 2**

A. CENTER NAME: Same as Page 1, Block 1.

B. CONTRACTOR NAME: Same as Page 1, Block 2.

C. PERIOD ENDING (Date): Same as Page 1, Block 3.

D. CONTRACT NO: Same as Page 1, Block 4.

E. **Basis for Planned Expense, CYTD (Prorated vs 2181 Custom Detail Budget):** This indicates whether the contractor has elected to report Planned Expense-CYTD in Column (c) as either a pro-rata of the ETA 2181 full contract year amounts or in amounts that are taken from month by month Custom Detail budget.

F. CONTRACT YEAR BEGINS, ENDS: Beginning and ending dates of the current contract year.

G. **NET CENTER OPERATIONS EXPENSE:** The following are descriptions and definitions for entries in Columns (a) through (g).
**Expense Categories Column:** See the Cost Category Section of this appendix for definitions.

**Current Month Actual (a):** This column displays the actual net expenses for the current month for each expense category.

**Budget for this Contract Year (b):** This column displays the budgeted amount for the entire contract year for each expense category as shown on the latest approved 2181 budget. If a submitted budget at the beginning of a contract has not yet been approved, the submitted budget amounts will be used since no other budget is available.

**Planned Expense-CYTD (c):** This column displays the amount of expense for each expense category which has been planned (budgeted) to accumulate from the start of the current contract year through the end of the reporting period (i.e., contract year-to-date/CYTD). The center has an option whether to report budgeted costs derived pro rata (straight line budget) from the ETA 2181 or a custom detail budget as discussed in section E above. The option may **not** be changed during a contract year.

**Prorated from ETA 2181:** The amount reported will be the current year’s straight line budget through the end of the reported month. These amounts are prorated by a factor that is calculated as follows: Days From Start of Contract Year Through End of Report Period divided by Days in Full Contract Year.

**Budget from Custom Detail Budget:** The amount will be the current year’s cumulative monthly budget through the end of the current month.

**Actual Expense-CYTD (d):** This column displays actual net expense that has accumulated since the beginning of the current contract year.

**Variance (e):** This is the difference between CYTD planned expense versus CYTD actual expense, computed as Column (c) less Column (d). If the actual expense exceeds the budget (an overrun) the variance will be in brackets (negative variance).

**Variance Threshold (f):** This column displays the variance thresholds that, if exceeded (plus or minus), require the center operator to enter narrative explanations and corrective action plans that will appear starting on Page 5 of the 2110 report. The variance
threshold amounts are calculated in accordance with the formulas discussed in the earlier section on Display and Evaluation of Variances.

Cumulative Expense from Inception (g): For each expense category this is the actual net expense that has accumulated since the very beginning of the contract.


c. 2110 Page 3

A. CENTER NAME: Same as Page 1, Block 1.

B. CONTRACTOR NAME: Same as Page 1, Block 2.

C. PERIOD END DATE: Same as Page 1, Block 3.

D. CONTRACT NUMBER: Same as Page 1, Block 4.

E. NET CENTER ACTUAL EXPENSE-ALL CATEGORIES:

Following are descriptions and definitions for amounts appearing in Columns (a) through (c) in Section E:

Expense Categories Column: See the Cost Category Section of this appendix for definitions.

Contract Year-to-date (b): This column displays the actual net expense that has accumulated since the beginning of the current contract year.

Cumulative Through Prior Year (c): For each expense category, this column displays the Cumulative From Inception (d) reported through the end of the prior contract year. In the first year of the contract this column will be blank.

Cumulative Expense from Inception (d): For each expense category, this column displays the actual net expense that has accumulated since the very beginning of the contract.

Column Totals (Line 11): Column totals for Lines 1-10 are displayed on Line 11.

F. INVENTORY ACTIVITY:
Contractors are encouraged to maintain inventories at levels necessary to support the mission without unnecessarily crowding storage facilities and creating a burdensome physical inventory system. Centers are permitted to use just-in-time ordering and immediately expense supplies and materials as long as the supplies and materials will not be warehoused, but will be immediately distributed for consumption. Supplies and materials for which on-hand quantities of 30 days or more are maintained will be recorded in the center/contractor accounting system as inventory. Adequate and accurate accounting for inventory, through perpetual inventory records, is essential for proper control of financial resources and reporting of costs and government assets. It is important to note that inventory is a government-owned asset and must be protected and accurately reported. All receipts and issues in the inventory accounts must be recorded. Abnormally high or low usage in a particular category may not be evident if records do not reflect total usage. Proper recording of accruals will keep discrepancies between book value and physical inventory count to a minimum. Periodic adjustments that are needed to achieve agreement with the physical count must be reflected in the records of issues and in the appropriate expense categories.

Inventory records must be maintained for CTST materials, but will not be reported on the ETA 2110. These inventory records must be available for review during Regional assessments and audits.

Categories:

- **Clothing (Column a):** This will include career technical training, recreational and issue clothing that will be charged to the appropriate expense category when issued.

- **Food (Column b):** This will include all stored food items that have not been issued to the kitchen for use.

- **Medical/Dental (Column c):** This will include all Medical/Dental supplies no matter where stored (consistent with requirements, elsewhere in PRH, pertaining to control inventories of medical supplies until dispensed to users.

- **Fuel Oil (Column d):** This will include the current value of stored fuel oil and propane, as discussed under "Inventory Valuation Method" which follows.

- **Other (Column e):** Any inventory which does not belong in Clothing, Food, Training, Medical or Fuel Oil will be
reported as Other Inventory, but will be charged to the appropriate expense account when issued (e.g. 02-Academic Other expense for academic instructional materials, 04-Career Technical Training Other expense for career technical training materials and supplies, and so forth).

- **Total (Column f):** The total of Columns (a) through Column (e) on each line.

**Contract Value Amount That is Budgeted for Inventory Change (Column g):** If this is the first contract for a new center, enter the dollar amount reflected in the current estimated cost of the contract that were expressly budgeted to establish a working inventory. If this is a contract for an established Job Corps center, and the estimated cost of the contract expressly provides for an increase to inadequate inventory levels that were carried over from the prior contract, enter the dollar amount thus included in the contract’s estimated cost. Otherwise, this amount is zero.

**Stub Item Definitions:** The following are descriptions and definitions for the amounts displayed in Rows 12, 13, and 14.

- **Row 12-Value of Inventory at Contract Inception:** At the expiration of a contract, a physical inventory must be taken to determine the ending inventory of the old contract and the carryover to the new contract. The amounts on Row 12 represent the value of the inventory reported at the end of the predecessor contract. Any adjustments required as a result of the physical inventory must be recorded on the predecessor contract inventory and the adjusted balance reported as the carryover to the new contract (Value of Inventory at Contract Inception). If inventory is received on the basis of undelivered commitment after expiration of the of the predecessor contract and is paid for under the predecessor contract, it will be treated as a receipt under the predecessor contract and reflected in the new contract on Row 12.

- **Row 13-Net Inventory Change:** The amounts on Row 13 represent the net change in the value of inventory since the inception of the contract, which is a function of Receipts less Issues. The following are guidelines for the treatment of receipts and issues.

  - **Receipts:** The receipts that are reflected in the Net Change amount are cumulative receipts from contract inception through the end of the current
reporting period, including accruals. Also recall that receipts resulting from Undelivered Commitments on an expired predecessor contract will be reported on the expired contract. Prior contract inventory carry-over is not recorded as a receipt. Inventory received at no cost to the current center contract (i.e., surplus, commodities, donations) will be recorded at zero cost on inventory records. Any freight charges, incurred on no cost inventory, may be charged to the benefiting expense category.

- **Issues**: The issues that are reflected in the Net Change amount are cumulative from contract inception through the end of the current reporting period, including issues of accrued receipts as recorded in the perpetual inventory records or physical inventory count (beginning inventory, plus receipts, minus ending inventory equals issues). Issues out of the prior contract’s inventory balance that was carried over will be recorded as issues in this contract.

An expired contract should not report any issues unless there is an adjustment pertaining to a prior period. If issues are reported for an expired contract, the reason must be explained in the Exceptions section (Page 5) of the 2110 report for the expired contract. Inventory received at no cost to the center will be issued with no cost recorded.

Inventory stored in a using area must not be considered as issued if the amount on-hand exceeds a 30 day supply. Materials transferred to using areas will only be considered as “Issues” when the amount of material does not exceed a 30-day supply. Excessive amounts of inventory can be accumulated when there is no accounting for materials and it can become difficult, if not impossible to know when to reorder. This can create shortages of needed materials or amounts in excess of requirements.

If a physical inventory reveals a shortage, or excess material is disposed of by Federal Excess Property Procedures, the contractor’s inventory records should reflect an appropriate increase in issues; and this adjustment should be described and explained in the Variance Exceptions and Concerns section of the ETA 2110 (Page 5) of the next available 2110 report. Current month expense reported in the 2110 will be
increased by the amount of the adjustment.

If a physical inventory reveals an overage, the contractor’s inventory records should reflect an appropriate reduction in issues; and this adjustment should be described and explained in the Variance Exception section of the next available 2110 report. Current month expense reported in the 2110 will be reduced by the amount of the adjustment.

Minor variances from the perpetual inventory are expected, but if physical inventories continually reveal large discrepancies, the record keeping must be examined to determine the cause and corrective action instituted. It is necessary that all adjustments be processed through issues since issues determine reported cost.

Issue value may be determined on the Average Unit Price Method (described below) or on the First-In, First-Out Method; no other pricing method may be used.

**Average Unit Price:** This accounting method prices all issues from inventory at the average unit price of all like material in stock at the time. The advantage of this method is that, although based on actual costs, it equalizes price fluctuations over the period of use. Enter on the property record (stock cards) receipts, issues, and balances on hand. Use two vertical, parallel columns, one for quantity and one for monetary value. When materials are received, enter the actual quantity and the price paid in the received columns. Add to the total shown in the balance columns. When materials are issued, the appropriate average unit costs are easily calculated; divide the current total balance value by the balance quantity. This average unit cost is used to determine the issued value, which is then deducted from the balance value and the issued quantity, which is deducted from the balance quantity. The average unit cost may be noted on the top of the page of individual property records. There is no need to recompute the average unit cost unless there are additional receipts between the last and the upcoming issue.

**First-In, First-Out:** This accounting method prices all issues from inventory at the actual cost of the
material issued. This method assumes that the first material issued was the first received, even when the actual material cannot be identified as to receipt date, and the issue is priced at the cost of the oldest inventory in stock.

- **Row 14-Value of Inventory at Report Date (Lines 12 + 13):** These amounts are a function of Value of Inventory at Contract Inception plus Net Inventory Change. Note that this is the current computed book value of the inventories.

G. **Center Operations Expense - Reconciliation of Contract Value with 2110 Data (for Contract Years 2 and Above):**

This section identifies inconsistencies between a) the official contract estimated cost for center operations expense and b) data contained in the 2110 report.

**Line 15-Cumulative Cost thru Prior Year:** This is the same amount as shown on this Page 3, in Section E, Line 1, Column (c).

**Line 16-Annual Budget for Current Year:** This is the same amount as shown on Page 2, in Section G, Line 30, Column (b).

**Line 17-Implied Contract Value:** Calculated as the sum of entries in Lines 15 and 16. In the framework of data contained in the 2110, this is the expected cumulative center operations expenditure through the current contract expiration date, but netting out any budgeted expenditure for inventory increase.

**Line 18-Contract Value per Latest Mod:** This is the total current estimated cost for center operations expense as stated in the contract Estimated Cost clause for the full contract period as per the latest contract modification.

**Line 19-Variance (Line 17 less Line 18):** This is the variance between the official contract estimated cost for center operations expense and the cumulative spending target reflected in the 2110 report. Variances greater than $1,000 need to be reconciled either by revising the 2181 budget for the current contract year or by seeking a contract modification from DOL to amend the estimated cost.

H. **Center Operations Expense - Reconciliation of 2181 Prior Year Cum with 2110 Data (for Contract Years 2 and Above):**
This section provides for the identification of any discrepancy between the cumulative through prior year center operations expense reported on the 2110 report and the amount that is stated in the currently approved 2181 budget.

Line 20-Cumulative Cost thru Prior Year: Same as amount on Page 3, in Section E, Line 1, Column (c).

Line 21-Prior Year Cum per Approved 2181: In the currently approved 2181, this is the amount on Line 31 in the Revised Budget column.

Line 22-Variance (Line 20 less Line 21): This is the variance between 2110 data and 2181 data concerning cumulative expense through the prior contract year. Any reported variances must be reconciled, normally by revising the 2181 budget for the current contract year.

d. 2110 Page 4

A. CENTER NAME: Same as Page 1, Block 1.

B. CONTRACTOR NAME: Same as Page 1, Block 2.

C. PERIOD END DATE: Same as Page 1, Block 3.

D. CONTRACT NO: Same as Page 1, Block 4.

E. CONTRACTOR OBLIGATIONS: This section reports the status of all center obligations for the full contract period to date, in relationship to contract funding (also referred to as “funds available”) and value (also referred to as “estimated cost”). This information is supplied for each applicable major contract budget line- item. The following are descriptions and definitions for entries in Columns (a) through (i) in this Section E:

Expense Categories: See the Cost Category Section of this appendix for definitions. All major budget line-items reflected in the contract estimated cost clause are reported in this Section E. Line 11 is used to report the columnar totals or averages of Columns (a) through (h). Line 11a is used to report columnar subtotals of Operating Expense, which are calculated in terms of Grand Total amounts on Line 11 less Construction/Facility Rehab amounts on Line 2.

Vouchered Reimbursable Expenses (a): The amounts in Column (a) for each applicable expense category represent the total amount of
all vouchers submitted to DOL for payment for the contract to date, including the voucher prepared for the reported month. Please refer to discussion of vouchering criteria in previous section.

Unvouchered Reimbursable Expenses (b): The amounts in Column (b) for each applicable expense category represent the current total of all reimbursable amounts due the contractor, but not yet submitted on a voucher. Examples follow:

- Invoices paid but not included on a voucher because of early cut-off.
- Indirect Administrative Expense accrued on Unvouchered Accounts Payable accruals.
- Accounting errors, which omitted items from the voucher.
- Amounts which exceed the amount of funds available in the contract as per discussion of vouchering criteria contained in the later section on Vouchering.

Unvouchered Accounts Payable (c): The amounts in Column (c) for each applicable expense category represent the current total of all accruals as per the discussion of accrual reporting contained in a previous section.

Undelivered Commitments (d): The amounts in Column (d) for each applicable expense category represent the current total of the value of all undelivered goods and services for which the center has made commitments, but has not yet received the material or service. This is simply the total of all open purchase orders.

These are firm commitments only and therefore do not include total blanket purchase orders, but do include orders placed for current delivery against such open or blanket purchase agreements. This column is not used to report subcontracts or long-term leases for equipment or facilities.

It is essential that all commitments to each contract be shown accurately so that users of this report will receive reliable information on the status of contractor obligations. When a contract expires, only the commitments made before the expiration of the contract may be paid from that contract.

If payments are made by the contractor after the expiration of a contract for the cost of unrecorded commitments, the contractor must be able to document that the firm commitments were made prior to contract expiration. An auditor may recommend disallowance for costs where the commitment date is questionable.
Total Obligations (e): The sum of the previous four Columns (a through d) represents the total obligations of the center.

Note: It is particularly important that the total obligations (and its constituent parts) be reported accurately in the 2110s that are submitted upon contract expiration. This is so in view of the normal DOL practice to remove excess funding from an expired contract based on the difference of Actual Funding minus Reported Contractor Obligations. At the same time, DOL procurement officials are cautioned to avoid the unnecessary administrative burdens that often result from hasty de-obligation of unvouchered funds which will later be needed to cover a contractor’s unvouched reimbursable expenses and payables and its undelivered commitments.

Contract Funding (f): The amounts in Column (f) for each applicable expense category represent the current total contract funding as stated in the contract Summary of Funds Available clause, per the most recent contract modification that was effective prior to the end of the reported period.

Percent of Funding Obligated (g): These percentages are calculated by dividing the Total Contract Obligations, Column (e) by Total Contract Funding, Column (f).

Contract Value (h): The amounts in Column (h) for each applicable expense category represent the current total contract estimated cost as stated in the contract Estimated Cost and Fixed Fee clause, per the most recent contract modification that was effective as of the end of the reported period.

Percent of Value Obligated (i): These percentages are calculated by dividing Total Contract Obligations, Column (e) by Total Contract Estimated Cost, Column (h).

Percent Performance Period Completed (i): The percentage figure in the cell below Line 11 is provided as a basis of comparison with percentages of contractor obligations versus contract value. The percentage value in this is cell is calculated by dividing the number of days from the inception date of contract through report period end date by the number of days from the inception date of the contract through the current expiration date of the contract.

F. VOUCHER RECONCILIATION: This section reports information that identifies any discrepancies between certain data on the 2110 report and data appearing on the voucher submitted by the contractor for the end of the same period being reported on the 2110. In the
title box of this section F, the contractor’s identifying number of the voucher submitted for the end of the reported period is supplied, along with the contractor’s signature date on that voucher.

Operating Expense (a) versus Facility Construction/Rehab (b): Column (a) is used to provide information concerning operating expense, which includes all expense categories other than Construction/Rehab. Column (b) is used to report amounts for Construction/Rehab; and Column (c) provides the totals of amounts in Columns (a) and (b). This segregation of Job Corps funds into two primary categories reflects the Congressional appropriation for Job Corps. Separate amounts are appropriated for operating expense versus facility construction/rehab.

Cumulative Vouchered, (Line 12): The amounts on Line 12 represent the contract inception to date totals of amounts vouchered for operating expense and facility construction/rehab, respectively. The combined total of both categories is shown in Column (c). This information is taken from the required back-up page submitted with the voucher for the end of the reported period.

Difference with Section E, Column (a), (Line 13): Line 13 shows any differences between the amounts appearing on Line 12 (taken from the voucher back-up sheet) and the amounts appearing in Column (a) of Section E above.

Explanations: If any differences are reported on Line 13, an explanation should also appear in the space provided.

G. ADJUSTMENTS TO EXPENSE: This section displays information that may be used to help determine the reasonableness of accruals reflected in the expense data that appears on Page 3, Section E of the 2110 report. This is done by accounting for the differences between amounts in Page 3, Section E versus those in Page 4 Section E.

Operating Expense (a) Versus Facility Construction/Rehab (b): In Section G, Column (a) is used to provide information concerning operating expense, which includes all expense categories other than Construction/Rehab. Column (b) is used to report amounts for Construction/Rehab; and Column (c) provides the totals of amounts in Columns (a) and (b).

Page 4 Total Expense (Line 14): The amounts on Line 14 represent the sums of vouchered reimbursable expense, unvoucheded reimbursable expense, and unvoucheded accounts payable, and are taken from Page 4, Section E, Columns (a), (b), and (c).
Page 3 Total Expense (Line 15): The amounts on Line 15 are taken from Page 3, Section E, Column (d). The Operating Expense amount is taken from Line 11a and the Construction/Rehab amount is taken from Line 2.

Net Adjustment (Line 16): The amounts on Line 16 are equal to Line 14 amounts less Line 15 amounts. These represent differences between the Total Obligations reported on Page 4 (excluding Undelivered Commitments) minus the Total Expense amounts reported on Page 3 in Section E. The lines provided immediately below Line 16 are used to reconcile these differences and consist of:

Line (a) Prepaids: The amounts on Line 16a represent unaccrued prepaid expense reflected in Line 14, above. The term “prepaids” refers to items that have been paid for in advance by the contractor but not yet consumed or utilized by the center. As used for purposes of this report, the term “prepaids” excludes any items that are reported on Page 3 in Section F (Inventory Activity). Typical prepaid items might include insurance premiums and tuition payments for students and staff.

Line (b) Center Ops Inventory Change: This amount is taken from Page 3, Section F, Line 13, Column (f).

Line (c) Other Adjustments: The amounts displayed on Line 16c represent any remaining balances to the Line 16 Net Adjustments that are not resolved by Prepaids and/or Center Operations Inventory Change. Normally, these entries will be “zeros.” Any entries other than “zero” must be explained in the space provided.

e. 2110 Page 5

A. CENTER NAME: Same as Page 1, Block 1.

B. CONTRACTOR NAME: Same as Page 1, Block 2.

C. PERIOD ENDING (Date): Same as Page 1, Block 3.

D. CONTRACT NO: Same as Page 1, Block 4.

E. VARIANCE REASONS/SOLUTIONS: This section automatically identifies each Line-Item on Page 2 where actual expense differs from planned expense by a significant margin (referred to as the variance threshold). Other reportable anomalies from Pages 3 and 4 are also
automatically identified. For each Page 2 Line-Item Variance or other anomaly that is listed, there is a block that shows the dollar amount of the variance/anomaly, along with blocks in which preparers of the 2110 report are required to supply both reasons for and the resolutions of these variances and anomalies. The following guidance and advice is provided to those who formulate the reason and resolution statements:

**Reasons:** The Reason Block is used to explain the cause of the variance or anomaly and its present and future impact on center costs and program performance. It is often the case that the reasons for variances cannot be determined solely by the finance department of the center. Input should normally be sought from appropriate program managers. The discussion of the reasons for a variance or anomaly should be brief, but clearly stated so that they can be understood by a reviewer not aware of specific conditions at the center.

**Resolutions:** The Resolutions Block is used to briefly explain the action that has been taken or is planned to be taken to correct or resolve the variance or anomaly. Preparers are advised that simple repetition of resolution statements from month to month suggest that the planned actions are either ineffective, inappropriate or not being implemented. If no action is possible, it should be so noted and explained.

### E. CONTRACTOR VOUCHERING

If any of the below guidance on vouchering is in conflict with the particular terms of a center contract, the contractual terms shall prevail. The below guidance is intended to describe the standard vouchering practices now in use for center operations contracts and should be followed to the extent not contradicted by specific contractual terms.

#### 1. Basic Parameters

Vouchers submitted for payment by contractors must include only those amounts actually paid by the center and amounts earned by and due to the contractor (indirect administrative expense and fee). The requirement for payment of costs before vouchering are considered met if all of the following conditions exist:

- Contractor has received the material or service.
- An invoice has been received.
- The center paid or has begun processing documents for payment.
2. **Frequency of Vouchering**

Contract centers may normally submit vouchers no more than twice a month. The first voucher will cover the first of the month through the fifteenth; and the second voucher will cover the sixteenth through the end of the month. The amounts reported as Vouchered Reimbursable Expense on Page 4 of the 2110 must agree with the month-end vouchers. The voucher for the first through the fifteenth of the month will be a reasonable estimate of voucherable costs for the period and will normally not exceed 50 percent of the current month’s budget.

3. **Special Considerations**

The following considerations also apply to vouchering by center contractors:

- The amount vouchered **may not exceed** the current funds available to the contract as stated in the Funds Available Clause of the contract. This restriction applies separately to: (a) Construction/Rehab (CRA) expense; and (b) Operating expense (which consists of the combined total of the following major line-items: Center Operations; Equipment; GSA Vehicle Rental, CTST; Outreach/Admissions; Career Transition Services; Student Transportation; and write-in Support expense categories. Due to these particular restrictions on vouchering by contractors, it is important that DOL staff and officials prepare incremental contract funding actions in a timely and accurate manner.

- All salaries and fringe benefits earned during the month, whether paid or unpaid at month end, other than unpaid leave, will be accrued and reported as expense and may be vouchered. Unpaid leave is **not** to be vouchered, accrued or reported as expense.

- GSA vehicle expense (mileage-Motor Vehicle Expense, and monthly amortization charge - GSA Vehicle Rental) charges tend to be late in billing and both charges must be accrued and the costs reported, but not vouchered, until the above stated conditions for vouchering have been met.

- Inventory purchased, but not issued, is not reported as expense, **but the cost is vouchered if it meets the vouchering criteria.**

- Prepaid items (such as insurance premiums or off-center tuition) are expensed as the services are consumed, **but the full cost is vouchered if it meets the vouchering criteria.**

- Base/Fixed fee and Incentive fee are vouchered and expensed as explained in the earlier description of Lines No. 28 and 29.
• Prior payment requirements do not apply when the contractor is a Small Business Concern, FAR 52.216-7(c). Nonetheless, the cost of undelivered commitments is not billed, nor can accrued leave be billed.

• Vouchers may continue to be submitted after expiration of the contract as circumstances warrant (late billings submitted to and paid by contractor, adjustments for incentive fees, and so forth). Post-expiration vouchers that are submitted prior to formal contract closeout (which cannot occur until final indirect cost rates have been determined) should be marked: **INTERIM FINAL PENDING CLOSEOUT**.

4. **Formats and Information Required**

• Center contractors must submit, to the Regional Office only, an original plus two (2) copies of the voucher claiming reimbursement for provisional payment.
• The voucher must be prepared on SF 1034.
• The voucher must break out the total Job Corps funds being requested into CRA costs and Operating costs for the current voucher period.
• The voucher must bear a certification by the contractor that the amounts vouchered do not exceed the amount of funds available in the contract.
• Month-end voucher backup sheets must reconcile exactly to the Center Financial Report (Form 2110) which is submitted for that month.
• The voucher must be accompanied by a backup sheet to substantiate certain data.
• Provided as Form 5-01 is a sample of a completed voucher, SF 1034.
• Provided as Form 5-02 is a sample of the required backup sheet, including instructions for its preparation.

5. **Advance Payment Arrangements**

Some center contractors are eligible to receive advance payments. Those who elect advance payments will receive them in the form of draw-downs from the Health and Human Services Payments Management System (HHS-PMS). Instructions for drawdowns will be provided from the Regional Office.

F. **LIQUIDATED DAMAGES**

1. **Assessment Amounts**

As a means to promote program integrity, and to ensure that Job Corps students receive the services for which DOL expends public funds, DOL shall assess contractors for liquidated damages for misreporting of student achievement data. The amount of the assessment for each instance of verified misreporting will be based on the following schedule:

• Invalid HSD/HSE Credit: $200 for each instance
• Invalid Career Technical Training Completion Credit: $500 for each instance

• Artificially Extending Enrollment and/or Invalid Leave Days: For each day a student is improperly carried as enrolled or carried in an invalid leave status, the assessment shall be 15 percent of the budgeted cost per day, per student. The cost per day, per student is calculated as follows: (approved center operations budget that is in place for the contract year in which the misreporting occurs) / (duration of contract year in days) / (contracted student slots for the contract year)

• Invalid Placement Credit: $750 for each instance

2. JFAS-AAPP/FOP Considerations

The cognizant Regional Office is responsible for promptly notifying the Office of Job Corps to enter a liquidated damages assessment in the JFAS-AAPP/FOP system as a planned estimated cost reduction.

3. Contract Modification

The cognizant DOL Contracting Officer is responsible for promptly preparing a contract modification that reduces the fee contained in the estimated cost clause by the amount of the liquidated damages assessment.

4. Recovery From Contractor Fee

DOL will recover liquidated damages assessments through reductions in contractor fee. Upon receiving a fully executed contract modification that contains an assessment for liquidated damages, a contractor shall:

• Post the liquidated damages amount as a negative expense in the fee line item in its current year center operations budget so that it is reflected in the very next 2110 cost report that is due from the contractor. If the contract has Fixed Fee only, the negative expense (credit) is posted in the Base/Fixed Fee line. If the contract has Base Fee and Incentive Fee, the negative expense (credit) is posted in the Incentive Fee line-item.

• Duly incorporate the negative fee expense (credit) into the very next invoice that is submitted by the contractor for reimbursement of costs under the contract.

Note: Concerning Repayment for Lost or Damaged Government Property: This same mechanism for re-payment via fee reduction may be employed by DOL to obtain reimbursement from a contractor for Government
property that the contractor causes to be lost or damaged, or is deemed liable for loss or damage.

5. **Data Correction**

The cognizant Regional Office is responsible for promptly notifying the Office of Job Corps and the Job Corps Data Center (JCDC) to remove invalid credits (High School Diploma/High School Equivalency [HSD/HSE], Career Technical Training Completion, and Placement) from the Outcome Measurement System (OMS). Invalid credits will be removed from each measure that is affected regardless of the report card (OAOMS, OMS, POMS, Career Technical Training Report Card [CTTRC]) or contractor responsible for the error (OA, center, or CTS). For example, where verification of a HSD/HSE has been invalidated, credit would be removed for the HSD/HSE Attainment measure. In this instance, if the HSD/HSE was the sole determinant of his/her graduate status, the student would also be removed from the pool for any placement related measure(s) in the OMS, POMS, and CTTRC report cards. Similarly, a credited placement that has been found to have been misreported by a CTS provider would lead to the removal of the student from the placement pool of both the CTS and the center OMS report cards. Both scenarios hold true whether the center operator and the CTS provider are separate, or one and the same.

6. **Good Faith Errors**

Contracting Officers, after consulting with appropriate DOL Job Corps officials, are permitted to exercise discretion and not assess liquidated damages in cases where it appears that the misreported data is most likely due to a good faith error. Another mitigating circumstance may be where data was erroneously recorded but the student outcome was likely achieved. For example a career technical training completion, an HSE credit, or a placement credit that was incorrectly reported but where there is verification that the student actually did earn the credit.

Contracting Officers may also, after consulting with appropriate DOL Job Corps officials, exercise discretion and not assess liquidated damages in cases where liability for government property has been determined and where a good faith error occurred.

7. **If Fraud is Suspected**

When a DOL official or staff member becomes aware of apparent fraud by a center operator or others, the matter will be reported using established channels and procedures.
G. 2181 CONTRACT CENTER OPERATIONS BUDGETS

1. Scope of the 2181

The instructions in this Section apply only to the treatment of center operating expenses. Section G does not apply to the budgeting of capital cost categories nor to non-center cost categories, such as OA/CTS. Budgeting requirements of OA/CTS contracts or OA/CTS components within center contracts are covered in a separate Appendix. Center operators should follow guidance from the Regional Offices regarding budgeting requirements for capital cost categories and other miscellaneous categories.

2. Purpose

This section provides instructions for the development and presentation of line-item budgets of center operating expenses. A line-item budget is needed for each year that a center contract is in place. The guidelines in this section cover the initial development of line-item budgets, as well as procedures for their subsequent revision. The line-item budgets are intended to facilitate financial management and control by providing a detailed break out of the center operating costs that are summarized in the estimated cost clause of the center operating contract.

3. Overview of Requirements

a. Format and Method of Preparation and Submittal

The 2181 is prepared and submitted via entry of data in the FMS and used to display approved or proposed line-item budgets for:

- Current Contract Year
- Next Contract Year, if any remains
- Base-Year 3, if the contract is in the first year of a 3-year base period

b. Time Frames

Center operating contracts normally last five to seven years, with the final three or four years being option years that are primarily contingent on the demonstration of satisfactory contractor performance.

- Contracts with Two-Year Base Periods: During the first year of the contract, budgets are prepared and maintained for the “Current” Year (Contract Year 1) and the “Next” Year (Contract Year 2). Both budgets are reviewed and approved as a “set” until the end of the first year. As Year 1 is closed and Year 2 begins, what had been the Next Year budget in Year 1 becomes the “Current” budget for Year 2. At that time, it is required that a new Next Year budget be prepared for
contract Year 3.

This pattern is maintained for the life of the contract.

- Contracts with Three-Year Base Periods: The requirements are essentially the same as for contracts with two-year base periods, except during the first contract year. During the first contract year (and only during the first contract year), it is necessary to maintain an additional 2181 covering the 3rd contract year (which is referred to as base-year three).

c. Current Year Line-Item Budgets

An approved line-item budget (2181) must be in place for the current contract year. Data from the approved form 2181 that covers the current year of the contract is used in the 2110 cost reports during that year of the contract.

It should be noted that form 2181 does not call for the display of “historical” cost data on a detailed line-item basis. The intent is to focus attention primarily on the current year. However, it is necessary to account for prior years’ spending on a bottom line basis in order to assure reconciliation of data between form 2181 and the estimated cost clause in the contract.

d. Next Year Estimates and Base Year 3 Estimates

The 2181 is also to maintain an updated “Next-Year” line-item budget for the next available contract year, if any. This is to ensure that an agreed-to 2181 budget is in place immediately at the start of the next contract year. Whenever the current year budget is being revised, it is further necessary to: (1) identify any adjustments that are of an ongoing nature (e.g., permanently deleting or adding slots); (2) accurately calculate the impact of such changes on the operating budget for the next contract year; and (3) revise the form 2181 next-year line-item budget for the next contract year accordingly.

If a contract has a 3-year base period, an additional 2181 must be maintained for the third year of the base period, but this requirement applies only during the first contract year. The requirement is intended to enable reconciliation of 2181 dollar amounts and the dollar amounts for center operations expense that are contained in the estimated cost clause of the contract. Again, there is no need to maintain a “Base Year 3” line-item budget after the end of the first contract year. Also note that when the second contract year begins, the “Base Year 3” budget becomes the “Next Year” budget.
e. **Estimated Cost Clause**

The budgetary information on the approved form 2181 that is in place for the current year must be kept in agreement with the amounts shown for center operating costs within the estimated cost clause of the contract. If a contract modification is issued that changes the total center operating cost (up or down), a revised set of forms 2181 (current year plus next contract year [two 2181 forms]) should be prepared as soon as possible after issuance of the contract modification. Conversely, if the Regional Office approves a change in the 2181 that affects the cumulative amount of center operating costs, then a contract modification to revise the estimated cost clause is also needed. The key point being made in this section is that the financial data in form 2181 and the data in the estimated cost clause should be kept in agreement. If these documents somehow come into disagreement, steps should be taken to resolve the discrepancy and put them back into agreement.

f. **AAPP/FOP Considerations**

The acronym AAPP/FOP stands for Annual Advance Procurement Plan/Financial Operating Plan and refers to the previously described JFAS system of the DOL-Office of Job Corps that is used for planning and controlling the allocation of budgetary resources. Regional Offices must adhere to current internal guidelines when reviewing and considering budget increases at contract centers and formally approve only those that are within AAPP/FOP levels. If a proposed current year or “next year” increase is in excess of the AAPP/FOP levels that have been established for the contract, the region must request and obtain the appropriate AAPP/FOP adjustment from the National Office before the increase can be included in a formal contract modification. Pending activation of an IT application that will give contractors direct access to their respective JFAS AAPP/FOP reports, Regional Offices are required to provide contractors with current Estimated Cost Profiles and Fiscal Plans with every contract modification.

g. **Underruns Due to Low On-Board Strength (OBS)**

Contract center operators are expected to control expenditures for items that are sensitive to on-board student strength to ensure that expenditures for such items are commensurate with average student OBS. Expense items that are considered OBS-sensitive include food, clothing, and other consumables that normally comprise about 15 percent of a center’s operating budget. In any contract year when the center operates at less than 98 percent of planned capacity, the contractor will be expected to generate an appropriate year-end cost underrun as a result of reduced spending for OBS-sensitive items. The amount of the expected underrun is computed as follows: numerical shortfall in Student Service Years (SY) during the contract year x the budgeted cost
per SY (i.e., annual operating budget/planned SY) x 15 percent = anticipated year-end underrun. Anticipated low-OBS under-run data appears automatically on each month’s 2110 cost report if capacity utilization is running less than 98 percent.

If the anticipated “low-OBS” underrun does materialize at the close of the contract year, the unspent funds will be returned to DOL by means of a contract modification that makes an appropriate reduction in the contract estimated cost for operating expense. If an anticipated “low OBS” underrun fails to materialize in full at the end of a contract year, DOL will consider whether an adverse finding should be noted in the contractor’s record of performance, based on a determination of inadequate contractor financial management; and DOL will recover via contract mod whatever portion of the anticipated underrun did materialize.

h. Treatment of Overruns

If a net cost overrun is reported at the end of a contract year and contract performance continues into a new contract year, the JFAS-AAPP/FOP system contains default mechanisms that provide an automatic offset to the overrun via a reduction in the AAPP-approved operating budget for the following contract year. These are internal transactions that have zero net impact on the AAPP-approved estimated cost of the contract. If a contractor believes that a year-end overrun should instead be resolved or covered through a funding increase (to avoid harmful spending reductions in the following contract year), it should prepare and submit a request for budget adjustment. For procedural guidance, refer to the later section that is captioned: Requesting a Budget Adjustment.

Note: Contractors are reminded to be aware of and to comply with any contract clauses which require that they provide DOL with timely, formal notification of pending or actual overruns. Failure to comply with such contract clauses may compromise a contractor’s ability to obtain reimbursement for expenses that are in excess of those provided for in the estimated cost clause or funds available clause of the contract.

i. Staff Compensation Supplement

When submitting the initial 2181 budget for the first contract year or the initial 2181 budgets for subsequent contract years, the contractor must provide a Staff Compensation Supplement that indicates average budgeted salaries for each job category at the center, along with other information that permits a reconciliation with the amounts budgeted in the various personnel expense line-items.

The 2181 Supplement is prepared and submitted via entry of data in the FMS. The JCDC Supplement output report has been designed, in part, to
provide information that will be helpful in determining if and to what extent the staff compensation (salaries and benefits) offered by the center are competitive within the local labor market. To do this, the spreadsheet compares a center’s budgeted compensation rates with model-based compensation rates that are intended to reflect local labor market conditions. The formulation of DOL model-based rates depends heavily on the geographic adjustment factor that has been assigned to each center and which is intended to reflect and compensate for the degree to which the center’s local labor rates vary from national averages. If a center operator believes that a center’s geographic adjustment factor is no longer accurate or otherwise believes that the model-based rates are substantially incorrect or unrealistic, the center operator may request DOL to review and amend its model-based rates for the center in question. Such requests may be submitted directly to the Office of Job Corps via e-mail (with copies directed to appropriate Regional Office staff) and should include thorough factual information that supports the need for a DOL review.

Please note that reviews should not be requested in situations where only a few staff positions appear to be affected. There should be no expectation that center compensation rates closely match the DOL model-based rates across the board or that the model-based rates are extremely precise. Moreover, there should be no firm expectation that DOL will be able to provide additional funding in order to remedy perceived compensation gaps even if it is determined that the model-based rates should be amended upward.

4. Multiple Budgets

Where there is more than one contractor at a particular Job Corps center, each with specific center responsibilities and a separate contract with Job Corps, a separate set of records (contracts, 2181 budgets, 2110 cost reports and contract funding/estimated Cost entries) must be maintained for each contractor. A separate set of records (contracts, 2181 budgets, 2110 cost reports and contract funding/estimated Cost entries) also may be required for major program components falling under one contract (e.g., satellite center versus main center), if requested by DOL.

5. When Submittals Are Needed

a. Initial Submittals-Contract Year 1

Using the FMS, the center operator must submit initial 2181 budgets within ten (10) days after the effective date of the contract. The initial submittal must include a form 2181 for the first year and another for the second year of the contract. If the new contract contains a third base year, then a “base year three” 2181 is also required to be submitted.
Unless extensive follow up or Office of Job Corps authorizations are required, the Regional Office should normally approve the initial 2181 budgets within two weeks after submittal by the contractor. The Regional Office and the contractor should schedule this process to ensure that an approved 2181 budget is available in time for use in the first monthly cost report. The approved 2181 budget must be available to the contractor by the 15th day of the second calendar month of contract Year 1.

b. Year-End Close Out and Reconciliation

The following discussion is in the framework of closing out the first contract year. However, the same pattern of events and timeframes apply at the close of each contract year, except the very last year.

Soon after the end of contract year 1, the contractor must submit a proposed new 2181 budget in which Year 2 becomes the “current year” and Year 3 becomes the “next year.” The contractor’s proposed new 2181 budget should be submitted into the FMS within 30 days after the start of Year 2. This schedule allows 10 days development time between the due date of the final cost report for Contract Year 1 and the submittal of the proposed new 2181 budget to the Regional Office. As part of this process, the proposed new 2181 must address and reconcile the variances between actual and budgeted expense that existed at the end of the preceding contract year. The types of questions that tend to arise during this process are as follows:

If actual bottom line spending fell below the Year 1 budget, is there a reasonable basis for rolling over the unspent funds and adding them to various line-items in the new 2181 budget for Year 2? Or should some or all of the unspent funds from Year 1 be deleted from the contract via a net reduction in the estimated cost clause?

If actual bottom line spending exceeded the total amount budgeted for Year 1, should the new 2181 budget for Year 2 be reduced to offset the overspending in Year 1 and thus eliminate the need to request an increase in the contract estimated cost? Or should a net increase in the estimated cost of the contract be requested in order to avoid programmatic disruptions that could result from absorbing a Year 1 overrun with cuts in Year 2?

Do the line-item variances that exist at the close of Year 1 (up or down) represent one-time occurrences or do they represent changes in the underlying ongoing costs for operating the Job Corps center? If the variances indicate changes in the underlying costs, the new 2181 that is being proposed for Year 2 must also reflect appropriate changes in the “next year” budget that is provided for Year 3.
Unless extensive follow up or Office of Job Corps authorizations are required, the Regional Office should normally approve the reconciled Year 2 budget within two weeks after receipt of the contractor's proposal. The Regional Office and the contractor should schedule this process to ensure that an approved 2181 budget is available in time for use in the first monthly cost report due for Year 2. The reconciled 2181 budget for Year 2, along with any necessary contract modification in the estimated cost clause, must be available to the contractor by the 10th day of the second calendar month of contract Year 2.

c. **Option Year Extensions**

When a Regional Office determines to issue an option year extension, normal practice prior to the start of the option year is for the Regional Office to issue a contract modification that increases the estimated cost for operating expenses in the amount of the approved “next year” 2181 budget, which is then on file for the “new year.” The Regional Office must further ensure that any estimated cost increase is within the limits of the AAPP/FOP.

Differences between actual and approved spending that exist at the end of the prior contract year may have an impact on the budget for the new year as well as the official estimated cost of the contract. The issues and questions that must be addressed are the same as those discussed above for the reconciliation at the end of Contract Year 1 and may result in a contract modification to revise or “fine tune” the estimated cost for center operating expense.

d. **Midyear Revisions**

Midyear revisions of the line-item budget are normally processed only when there is a need to change the bottom line of the Current Year center operating budget. Contractors are not encouraged to propose formal revisions of 2181 budgets merely to shift amounts between line-items in order to minimize apparent "variances,” although such proposals will be entertained by Regional Offices if good reasons are offered. Requests for midyear revisions should be prepared and submitted using FMS and should normally be limited to the following situations:

1. When there is a pending contract modification approved and funded by the Office of Job Corps for a programmatic change, such as the provision of funds for installing new curricula or programmatic initiatives. In these situations, the contractor will normally be requested by the Regional Office to prepare and submit a budget revision proposal that distributes the prescribed funds to the appropriate line-items.
(2) When there has been or will be an unavoidable bottom line variance of material proportion that cannot or should not be totally resolved through changes in spending patterns during the remaining months of the current contract year, and which must clearly be accommodated with an adjustment in the bottom line of the center operating budget for the current year.

(3) In line with procedures for the treatment of fee as discussed in a later paragraph, a midyear revision to the form 2181 is required when award or incentives are earned and formally incorporated into the estimated cost of the contract via contract modification.

Regional Offices should respond promptly to contractors' requests for mid-year budget revisions. Unless extensive follow up is required, the Regional Office should resolve these requests within two weeks after submittal of the contractor's proposal. The Regional Office must ensure, however, that any estimated cost increase is within the limits of the JFAS-AAPP/FOP established by the National Office.

6. Monthly Budgets - Straight Line Versus Custom

An important feature of the FMS is a requirement that monthly 2110 cost reports provide a comparison between actual contract-year-to-date (CYTD) costs against planned CYTD costs. Center operators may elect one of two different methods for determining monthly planned CYTD costs. Once a choice has been elected for a contract year, the operator may not change to the other method during the remainder of that contract year.

- The first choice is to permit the planned costs to be determined automatically by FMS by simple prorations of the budget for the full contract year. If the operator selects this option, no further entries are needed.

- The second choice is a manually entered custom budget. If the operator selects this option, it is necessary to enter a planned spending amount for each budget line-item for each month of the contract year.

7. Requesting Funding/Budget Adjustments (Operating Expense Only)

The following are basic steps and requirements for preparing and submitting a request for an adjustment in contractually approved budgets or funding for Operating Expense. Please recall that the term Operating Expense can be loosely defined as all categories of expense other than Construction/Rehab - which has its own Congressional appropriation. With respect to Construction/Rehab funding requests, basic procedures and requirements are found in Chapter 5, Section 5.8, R5-R10.
a. **When Is a Request for a Funding/Budget Adjustment Needed**

A request should be prepared and submitted when a center operator believes that a funding/budget adjustment is required in order to maintain the effectiveness of the Job Corps center **AND** when such an adjustment will involve a net change in one or more of the major categories of Operating Expense. The major categories of Operating Expense consist of: A-Center Operations; B2-Equipment; B3- GSA Vehicle Rental; B4-CTST Materials; C1-Outreach and Admissions; C2-Career Transition Services; D-Student Transportation; and S-Support.

b. **A Word of Caution**

Center operators are discouraged from submitting Requests for Funding/Budget Adjustments except in the most urgent and compelling cases. The DOL expectation is that center contractors should maintain staffing and deliver services in a satisfactory manner within the contractually agreed budgets and funding levels. DOL’s contingency reserves are tightly controlled and restricted.

c. **Format**

There are no rigid requirements for formatting a Request for Funding/Budget Adjustment. It is recommended that the request be in the form of a brief explanatory statement along with whatever exhibits or tables are appropriate. Electronic “soft-copy” is preferred over hard copy.

d. **Content**

There are no rigid requirements or specifications concerning the content of a Request for Funding/Budget Adjustment. The level of detail depends on the complexity of the situation. Elaborate presentations are not required, but a briefly stated justification for the change in funding, along with appropriate back-up in the form of pricing data and computations is required. The request should also indicate which major categories of operating expense would be affected. In cases where the increase is of an ongoing nature, it is imperative that the request specify the effective date of the change, the net change amount in the current contract year, and the change amount that will be needed in the next contract year.

e. **Submitting a Request**

Center contractors should, via email, submit Requests for Funding/Budget Adjustment to the Director of the Job Corps Regional Office that is responsible for their center contract, as well as to their Regional Project Manager. It is recommended that this email and its attachments also be
“cc’d” to the Budget Team Leader within the National Office Division of Budget and Acquisition Support. These types of requests may be submitted at any time. Center contractors should strive to submit these types of requests as soon as possible after the need for a funding adjustment becomes evident.

f. Turn-Around Time

The Office of Job Corps will strive to provide the center contractor with a substantive response to its request within two weeks of submittal, depending on the complexity and/or policy implications of the issues that need to be addressed.

8. Submittal Procedures and Requirements

Contractors submit 2181 budgets using DOL’s web-based Job Corps Financial Management System (FMS), administered by the Job Corps Data Center (JCDC). The FMS has been designed in a way that minimizes the volume of data that must be entered for the 2181, and ensures consistency and accuracy in internal mathematical operations that are present in the budget. Contractors may obtain detailed guidance concerning FMS procedures for entering and submitting 2181s by referring to the FMS Technical Guide that is available from the FMS website.

9. Descriptions and Definitions of 2181 Data Items

Form 2181 budgets are prepared, submitted, and approved in sets, consisting most often of a Form 2181 for the CURRENT CONTRACT YEAR and an additional form 2181 for the NEXT CONTRACT YEAR, if any. In some instances, as described above, it is necessary to submit and maintain a third form 2181 for BASE YEAR 3. The following are brief descriptions of the elements of information that appear on the form 2181.

**HEADING INFORMATION**

**Center Name:** This is the name of the Job Corps center. If the form is being prepared for a “major component” rather than an entire center, the component name will appear after the center name e.g., “Pine Top-Utopia Satellite.” Center names are spelled out fully. Abbreviations are not used. The words “Job Corps Center” are not used as part of the center name.

**Contractor Name:** This is the name of the contractor organization as that name has been registered in the FMS. The expectation is that this name will match that which appears on the formal center contract documents.

**Contract Number:** This is the DOL identification number of the contract for which data is being reported and as that number has been registered in FMS.
Budget Number: The initial set of 2181’s for the first and second contract years (and possibly the third contract year) are numbered “1” and all later pairs of approved 2181’s are to be numbered sequentially as they are approved by the Regional Office. The same number is given to all current year and next-year 2181’s in a given set. For example, the set of 2181s for budget number “1” will include a number 1 budget for the first contract year, a number 1 budget for contract Year 2, and (if applicable) a number 1 budget for base-year three.

Contract Year Number: This is the number of the contract year for which the form 2181 has been prepared.

Approved or Pending: If the budget has been approved by the Regional Office, the term “Approved” will appear. If the budget is a proposal that is still pending regional approval, the term “Pending” will appear.

Ending: This is the ending date (mm/dd/yyyy) of the contract year identified in the block for Contract Year Number.

Current Year / Next Year / Base Year 3: If the form applies to the current contract year, the term “Current Year” will appear. If the form applies to the next contract year, the term “Next Year” will appear. If the form applies to Base Year 3, the term “Base Year 3” will appear.

BUDGET INFORMATION

Column Headings

Prior Budget: This column is used to display the last previous approved budget for the particular contract year. For example, if the form is used for approved budget number 8, then the “PRIOR BUDGET” column will display the “REVISED BUDGET” from approved budget number 7.

Adjustments: This column is used to display the adjustments being requested or approved, depending on the purpose of the form.

Revised Budget: This column is used to display the revised budget that results from the requested or approved adjustments. The entries under this column are the sums of the entries made under PRIOR BUDGET and ADJUSTMENTS.

Row Headings

Lines 1-29: Center Operations Expenses Categories: See earlier section of this appendix for definitions of cost categories.
Line 30: Net Center Operations Expense: Totals of Lines 1 through 29.

Note: Lines 31-34 are intended to reconcile to the Estimated Cost Clause in the contract. When the contract is in its final base year or in an option year, these lines will appear only in the 2181 for the current contract year. However, if the contract is still within its base period, but in a contract year that is prior to the final year of the base period, these lines will appear in the 2181 that applies to the final year of the base period - which will be either the form 2181 for the Next Year or the form 2181 for Base Year 3, whichever is appropriate.

Line 31: Cumulative Expense through Prior Year:

This line displays the actual or budgeted cost through the immediately preceding contract year.

Lines 32a, 32b, and 32c: Budgeted Inventory Change (plus or minus):

These lines are used to compute and display the budgetary impact of a planned increase or decrease in the amount of inventory that was carried over from the previous contract. Line 32a is used to reflect the dollar value of the desired inventory level; Line 32b is used to reflect the dollar value of the inventory on-hand at the start of contract (day 1 of contract year 1); and Line 32c is Line 32a minus Line 32b.

Desired inventory levels per Line 32a should normally remain constant during the life of a contract, but occasions may arise where substantial increases or decreases are necessary. For example, inventory increases are needed when new centers are opened or existing centers are expanded, or in instances where carryover inventory levels from the previous contract are below reasonable operating needs. In these cases, provision must be made in the estimated cost clause to finance the inventory build up even though the build up does not, of itself, change the amount of the accrued costs that will be reported under the contract.

Where excessive amounts of carryover inventory are on hand and will be reduced, operating expenses under the current contract are being financed with federal funds provided under the previous contract - the amount of which must be subtracted from the estimated cost of the current contract.

Line 32b (Carryover from Prior Contract) should remain constant during the life of the contract, except that an ADJUSTMENT in Line 32b might be expected in the early months of contract year 1 if inventory discrepancies are identified by a new incumbent contractor.

Please note that Lines 32a-32c are not intended to report fluctuations in actual inventory levels during the life of a contract; they are to be used for
planned, budgeted changes only.

When the contract is within its base period, this information appears in the form 2181 that applies to the final year of the base period (either the form 2181 for the Next Year or the form 2181 for Base Year 3, whichever applies.

**Line 33: Cumulative Budgeted Expense:** This line displays the cumulative budgeted expense through the end of the contract year and consists of the actual or budgeted cost through the end of the preceding contract year plus the budgeted cost of the contract year for which the 2181 applies. This represents the contract value that is implied in the 2181 data.

**Line 34a-34c: Reconciliation to Current Estimated Cost:** The information on these rows is intended to reveal whether and to what extent there is disagreement between the form 2181 line-item budgets and the official estimated cost of the contract, with respect to center operations expense. This information includes:

**Line 34a: Current Estimated Cost of Contract:** This is the current estimated cost of the contract for center operations expense per financial information entered into FMS that has been abstracted from the original contract and its subsequent modifications.

**Line 34b: Per Mod Number:** This is the most recent contract modification number for which information has been entered into FMS.

**Line 34c: Difference (33 - 34a):** This is the dollar difference between the implied estimated cost of the contract per the form 2181 and the official estimated cost of the contract per the most recent contract modification. If the result is not zero, then there is disagreement between the contract and the 2181. If disagreement exists, the reasons for the disagreement should be researched and identified and appropriate corrective action taken.

**Note:** When a contract is still within its base period, the data on Line 34a - 34c will appear only on the form 2181 that applies to the final year of the base period. Depending on the number of years in the base period and the number of the current contract year, this could be the 2181 for the CURRENT CONTRACT YEAR, THE NEXT CONTRACT YEAR, or BASE YEAR 3.
CONTRACTOR SUBMITTAL BLOCK

This block shows the name of authorized contractor representative who is submitting a proposed new 2181 to the Contracting Officer and the date submitted. The signature line is reserved for use when the necessary e-signature technology can be applied.

REGIONAL OFFICE APPROVAL BLOCK

This block shows the name of authorized DOL representative who has approved the new 2181 and the date of that approval. The signature line is reserved for use when the necessary e-signature technology can be applied.
APPENDIX 503
JOB CORPS OUTREACH/ADMISSIONS AND CAREER TRANSITION SERVICES
COST-REPORTING AND BUDGETING REQUIREMENTS

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A. INTRODUCTION

1. Scope

This appendix contains instructions and requirements for cost reporting and budgeting under Job Corps Outreach/Admissions (OA) expense and Career Transition Services (CTS) contracts. This appendix is applicable to Job Corps contract centers that are expressly funded to perform OA and/or CTS functions, as well as to stand-alone contracts for the performance of OA and/or CTS functions. This appendix is not applicable to Civilian Conservation Centers (CCC) that are funded for OA or CTS. CCC agencies that are funded for OA and/or CTS activities are referred instead to Appendix 506 for guidance on cost reporting and budgeting.

This appendix is not intended to supersede the proposal development requirements prescribed in the OA and/or CTS Request for Proposal (RFP). However, the cost categories established in this appendix will be incorporated into the OA and/or CTS RFPs. The requirements of this appendix become applicable after award of an OA/CTS contract and are intended to cover: (i) the reporting of actual costs, (ii) the process for revising the contractually approved budget, and (iii) the process for refining and reconciling the contract budget upon issuance of annual extensions.

2. Purpose

The procedures and requirements contained in this appendix are intended to serve as the basis for a Financial Management System (FMS) that provides Job Corps Program Managers at several levels with information that is necessary for determining the efficient allocation of funds. The Job Corps Financial Management System (FMS) provides for:

- Periodic, detailed review of actual expenses and a comparison with planned (budgeted) expenses.
- The reliable identification of variances from budget that may require corrective action.
- The ratification of appropriate adjustments in current year and out-year budgets.
- The compilation of nationwide Job Corps cost data for inclusion in reports to the Congress and the public.

B. SYSTEM OVERVIEW

1. Uniform Cost Categories

A major feature of the FMS is the use of uniform cost categories that allow for comparability between OA and CTS contractors and for the compilation of national and regional totals for analytical and public reporting purposes. The cost categories have been defined in a way that will provide information that is immediately
relevant to ongoing managerial and oversight functions.

2. **Initial Budget Formulation**

The initial budget is normally formulated during the procurement process through which the contract is awarded. The approved budget for OA and/or CTS expense is reflected in the formal contract document in **summarized form** within the estimated cost clause. It is a requirement of this appendix that the contract’s estimated cost amount for OA expense always be backed up by a current line-item budget that is prepared using the Form 2181-OA, and that the contractor’s estimated cost amount for CTS expense always be backed up by a current line-item budget that is prepared using the Form 2181-CTS. Except for the final year of a contract, 2181 budgets are normally prepared in sets of two: one providing a line-item budget for the Current Contract Year and one for the Next Contract Year. The initial 2181 budgets that are prepared upon contract award are for the first contract year and the second contract year, and should be based on the contractor’s proposal. However, the initial budget may incorporate minor revisions as agreed to or required by the Regional Office.

If a contract is in the first year of a three-year base period, it is necessary to have an additional 2181 budget in place to cover the third contract year. This is to ensure that 2181’s are in place for the full initial performance period of the contract.

3. **Reporting of Actual Expenses**

Contractors are required to report actual expenses on a monthly basis. For contractor financial management and Job Corps monitoring, it is important that analysis be performed on data that is as current as possible. Moreover, the availability of monthly cost data allows an analysis of trends that could not be identified through less frequent reporting.

Form 2110-OA/CTS will display line-item data on costs for the current month and cumulative costs incurred to date during the Current Contract Year (Year 1, Year 2, Year 3, etc., whichever is in effect at the time). The reports will also display line-item cost data for the entire contract period to date.

4. **Comparing Actual Expense Versus Expected Expense**

The 2110-OA and the 2110-CTS that is generated by the FMS based on contractor input provides a comparison of actual expense versus expected expense. This comparison is in the context of the line-item budget that is in place during the Current Contract Year. The methodology is to compute the Current Year expenses that are “planned” through the end of the current month via a simple straight-line pro-ration of the approved budget for the entire Current Year. The pro-ration of planned expenses is done on the basis of days rather than months.
5. Display and Evaluation of Variances

The cost reports will identify budgetary variances by individual cost category. A line-item variance is simply the difference between planned contract year-to-date expense (as a proportion of the contract-year budget) and the actual contract year to date expense. Contractors must attach a narrative explanation of cause and corrective action for any line-item variance that exceeds an amount that is 5.0 percent or more (plus or minus) of the Current Year budget for that line-item, or 1% of the total OA or CTS budget for the Current Contract Year (whichever is the higher). To illustrate: if the contract year OA budget for a particular line-item is $20,000, the first alternative variance threshold is $20,000 x 5 percent = $1,000. If the total OA budget for the contract year is $200,000, the second alternative variance threshold is $200,000 x 1 percent = $2,000. Since $2,000 is the higher of the two dollar amounts, then $2,000 is used as the variance threshold for the budget line-item in question instead of $1,000.

An explanation is further required whenever the Current Contract Year to date total Actual Expense for either OA or CTS exceeds the Planned Total Expense by an amount equating to 2 percent of the total budget for OA or CTS, whichever is applicable, for the Current Contract Year.

Please note that the threshold for explaining variances is determined as a percentage of the full contract year budget amount. This is contrary to intuition, which would say that the variances should be viewed in terms of planned expense to date. The purpose of the non-intuitive approach prescribed above is to lessen the narrative reporting burden relative to variances that occur in the early months of the budget year. This permits contractors an opportunity to resolve or reverse variance trends before being officially required to explain them to DOL.

Reported variances may occur for a number of reasons, including: (i) erroneous assumptions in the formulation of the budget, (ii) unforeseen events requiring greater or fewer financial resources than anticipated, (iii) poorly controlled spending, and/or (iv) internal reporting or computational errors. The identification and analysis of variances may lead to a wide range of corrective actions, including:

- Spending constraints to reduce or stabilize overruns
- Increased spending in areas where adequate resources have not been utilized to properly provide services
- Improvements to internal administrative control systems and the provision of training to appropriate staff
- Budget revision request to more reasonably distribute financial resources between cost categories
- Initiation of requests for an increase or decrease in the contract estimated cost to adjust for unforeseen cost or program changes
- DOL decision not to award option year extension, but to re-compete the
6. **Formal Budget Revisions**

It is generally appropriate to accomplish a formal revision to the 2181-OA or 2181-CTS line-item budgets only when there is: (i) a need to change the net amount for either OA expense or CTS expense in the estimated cost clause of the contract and the change affects the Current Contract Year; or (ii) a realignment of existing line-item amounts is clearly needed to resolve a gross misallocation of costs. However, frequent reshuffling of funds between line-items as a means to eliminate reportable variances is not generally considered a useful or legitimate practice. The 2181-OA and 2181-CTS line-item budgets and revisions thereto must be approved by the cognizant Regional Office. Regional Offices should refer to current internal Annual Advanced Procurement Plan (AAPP) administration guidelines to identify circumstances where prior Office of Job Corps clearances are needed for bottom-line estimated cost changes.

7. **Next-Year Estimates**

As indicated in Section 2 above, 2181 budgets are prepared in sets of two: one providing a line-item budget for the Current Contract Year and one for the Next Contract Year. The initial 2181 budgets that are prepared upon contract award are for the first contract year and the second contract year. Except for the final year of the contract, this pattern is maintained throughout the life cycle of the contract. For example, when the contract enters its second year, the required pair of 2181 budgets will cover the second year of the contract (which will be the “current year”) and the third contract year (which will be the “next year”).

If a contract is initially awarded with a three-year base period, it will also be necessary for the contractor to maintain a “base Year 3” budget, but only during the first contract year. After the first contract year has been completed, the contract returns to the normal pattern, in which the requirement is only for the maintenance of “current year” and “next year” 2181’s. This is discussed more fully in the later section that provides detailed requirements for 2181 Budgets.

Whenever the current year OA or CTS budget is being revised, it is also necessary: (1) to identify any adjustments that are of an ongoing nature (e.g., those that involve permanently deleting or adding OA or CTS workload), (2) to accurately calculate the impact of such changes on the next contract year, and (3) to revise the 2181 budget for the next year accordingly.

Maintaining an updated “next-year” cost estimate in this manner will provide for a smoother transition from one contract year to another and will help avoid budget-related issues from developing between DOL and the contractor. The current AAPP Estimated Cost Profile, which is available for viewing and downloading by the contractor via the FMS, will facilitate the preparation of “next year” budgets.
Under normal conditions Regional Offices are expected to keep OA and/or CTS contracts in agreement with the AAPP Estimated Cost Profiles. Questions or concerns about dollar amounts that are contained in AAPP Estimated Cost Profiles may be referred to the Office of Job Corps National financial staff. In certain situations it will be necessary for the contractor to maintain a “Base Year 3” budget. This is discussed more fully in the later section that provides detailed requirements for 2181 Contract Center Operations Budgets.

8. Integration of Financial Management Systems

The requirements and procedures of this appendix have been designed in a way that is intended to ensure or promote consistency of data across different aspects of OA/CTS financial management: DOL/Job Corps allocation of funds for OA/CTS contracts, contractual documents concerning cost and funding, line-item budgeting, monthly cost reporting; and contractor vouchering for payments.

a. Job Corps Fund Allocation System (JFAS)

JFAS is a web-based in-house information technology (IT) application that is used by DOL/Job Corps to control the allocation of funds to OA/CTS contracts and other contracts and activities that support the delivery of services to Job Corps students. It is DOL policy to share various types of JFAS reports and documents with OA/CTS contractors.

The JFAS reports and data sheets that are available to contractors include: the Estimated Cost Profile, the Fiscal Plan, the Financial Operating Plan (FOP) Allocations Report, the Contract Footprint Report, and the Payments Transaction Report. These reports will help contractors to prepare and plan for future modifications that are scheduled for their contracts (including modifications for incremental contract funding) and also to facilitate the identification of discrepancies and pending issues that require follow-up action.

Pending the development of an IT application that will provide contractors with direct access to their respective JFAS reports and documents, Job Corps Regional Offices are required to provide contractors with copies of these reports (which are normally available in PDF format) on a timely basis upon request. Please note, however, that DOL does not share one contractor’s reports with any other contractor. If a Job Corps Regional Office is not able to fulfill its responsibility in this area, a contractor may instead request that the Office of Job Corps supply copies of its JFAS reports and documents.
b. **Contract Award and Maintenance**

Contract award and modification documents are currently maintained and executed in ink-signed hard-copy form. These documents contain information about agreed-to contract costs and DOL/Job Corps funding that is available for payment to the contractor. Many items of information in these hard-copy documents must be abstracted and entered into the Job Corps FMS.

c. **Job Corps Financial Management System (FMS)**

OA/CTS contractors fulfill most of their financial reporting and budgeting responsibilities using the Job Corps FMS, which is a web-based IT application administered by the Job Corps Data Center (JCDC). The FMS is used to prepare and submit 2110-OA/CTS cost reports, 2110S-OA/CTS monthly staff vacancy and separation reports, and 2181-OA/CTS budgets. The FMS is also used to enter key elements of financial data that are abstracted from contract documents and from Job Corps contract vouchers. Data entry procedures can be found on the JCDC website and in training and orientation materials that have been published by JCDC. The FMS is a secure IT system, with access being controlled by user names and passwords.

d. **Vouchering**

The great majority of OA/CTS contractors receive payment from Department of Labor (DOL) on the basis of hard-copy vouchers and back-up sheets that are submitted to DOL/Job Corps on a semi-monthly schedule. A small number of governmental and non-profit contractors are eligible to be paid via electronic draw-downs. Some data items from vouchers must be abstracted and entered into the Job Corps FMS.

C. **COST CATEGORY DEFINITIONS**

1. **Overall Structure of Categories**

Because it is not unusual for individual contracts to provide for both OA and CTS functions, the 2110-OA/CTS Cost Report has been designed to report both activities. However, the data on OA and CTS are fully segregated on this reporting instrument. The cost category structures for OA and CTS are parallel, and the cost category labeling and definitions are much the same.

2. **Subcontract Expense**

The costs of subcontracts issued by OA/CTS contractors are classified differently depending on the characteristics of the subcontract. For purposes of Job Corps cost classification, subcontracts fall into two different and mutually exclusive
categories: Staffing Subcontract and Non-Staffing Subcontract. The following are definitions and criteria for determining the category that applies to a particular subcontract, along with the cost allocation policies that apply to that category.

a. **Staffing Subcontract**

This category applies to any subcontract that provides one or more staff persons who are employed to perform duties under the OA/CTS contract on a full-time basis or any subcontract that provides a number of part-time staff persons who are employed to perform duties under the OA/CTS contract and whose scheduled hours of work in connection with these duties collectively represent one or more full-time positions (FTP). The cost allocation policies that apply to subcontracts in this category are as follows:

- **Subcontractor Personnel Expense**: The cost of compensation (salaries/wages and benefits) which the subcontractor pays to its staff who work at the center must be allocated to the OA Personnel Expense category and/or the CTS Personnel Expense category, as appropriate.
- **Other Direct Subcontractor Expense**: The direct costs of the subcontractor that are for non-personnel items must be reported in the appropriate non-personnel line-item(s).
- **Subcontractor Indirect Expense (Overhead/General and Administrative [G&A])**: The subcontractor’s overhead and G&A expense must be reported on Line 8 (OA and/or CTS as appropriate) - Other Operating Expense. Do NOT report these costs on Line 5 - Indirect Administrative Expense which is used exclusively to report the prime contractor’s Overhead/G&A expense.
- **Subcontractor Fee**: If the terms of the prime contract expressly designate the subcontract as a MAJOR STAFFING SUBCONTRACT and require that the subcontractor fee be paid from a fee pool that is shared with the prime contractor, then the subcontractor fee expense must be reported on Lines 6 and 7 (OA and/or CTS, as appropriate), combined with fees that are received by the prime contractor. If the terms of the prime contract DO NOT require such fee pooling for the subcontract in question, then the subcontractor fee must be reported on Line 8 (OA and/or CTS as appropriate) - Other Operating Expense.
- **Reference to Contractor’s Proposal**: In some cases, expenses might not be currently detailed on the subcontractor's invoice because the service is being provided at a fixed price or fixed unit price (e.g., meals served, billable labor hours). In these types of cases, adequate detail can usually be obtained from the subcontractor's proposal as a means to formulate the required break out of total expense into the line-items identified above. If detail is not provided in the proposal, then supplementary information should be obtained from the...
subcontractor.

b. Non-Staffing Subcontract

This category applies to any subcontract that does not qualify as a Staffing Subcontract. Non-staffing subcontracts include those that are solely for the procurement of supplies, equipment, commodities, IT/technical support services, medial outreach services, other intermittent services, and so forth. The costs of a Non-Staffing subcontract are most often allocated to a single budget line-item within the OA and/or CTS categories. For example, the entire costs of a media outreach subcontract would be assigned to Line 4-Media Advertising Expense.

3. Personnel Expenses

This term is used in this appendix to refer to all salaries, wages, and all other associated personnel costs borne by the employer such as performance bonuses, earned leave upon termination, retirement contributions, Social Security taxes, life insurance premiums, health insurance premiums, worker’s compensation insurance, etc. All direct employees of the contract as well as employees of Staffing Subcontractors (as defined in the preceding section) are to be included.

4. Allocation of Split Duties

If an employee works in more than one area, such as part time in OA and part time in CTS, or a secretary is assigned to two departments, the cost must be allocated to the appropriate categories. The basis for the allocation must be documented in a salary allocation plan explaining the rationale for the allocation. If the employee’s assignment is changed, the allocation should be changed. All salary allocations should be reviewed annually to assure that the basis for allocation is still valid. If the staff time cannot be reasonably predicted between OA and CTS, time records must be maintained to allocate costs.

5. Treatment of Money Received

When third-party money is received by an OA and/or CTS contractor, it is most often a reimbursement or refund of cost previously incurred. Such reimbursements and refunds are recorded as reductions (credits) in the appropriate expense accounts in order to reflect true operating expenses. For example, prompt payment discounts, cash rebates, and refunds are credited as a savings to the account to which the product or service was originally charged. In some cases, money received by the contractor cannot be credited as a reduction to a specific expense account. In these cases, the money received will be classified as net income to the contract within Line 8-Other Operating Expense (OA and/or CTS, as appropriate).
6. **Cost Category Definitions**

OA and CTS expense are segregated and reported separately on the 2110-OA/CTS cost report. However, both OA and CTS use parallel cost categories. The following are definitions for the categories that are used to categorize both OA and CTS expense, respectively. The categories are discussed in the same order as they appear on the form 2110-OA/CTS. For expense items that are not specifically identified in the lists of examples, contractors may use their own good judgment to determine which cost categories apply; or they may refer the question to the Office of Job Corps for guidance.

**a. 2110-OA/CTS, Page 1**

**Line 1-Personnel Expense:** The cost of personnel compensation (salary, benefits, bonuses) that is directly charged to the contract. Examples of positions typically covered include:

- **OA Staff**
  - Manager/Supervision (of OA Coordinators and AC)
  - Outreach/Admissions Coordinator
  - Admissions Counselor
  - Administrative/Secretarial/Clerical (assigned to support OA staff)

- **CTS Staff**
  - Manager/Supervision (of CTS Counselors/Coordinators, Placers)
  - CTS Counselors and Coordinators
  - Placement Specialist
  - Administrative/Secretarial/Clerical (assigned to support CTS staff)

**Line 2-Staff Travel/Training Expense:** Includes the cost of staff travel, per diem, and miscellaneous expenses in connection with work assignments that involve travel away from the work site. This category includes the types of expenses listed below.

- **Staff Travel:** Includes the cost for staff transportation, per diem, and miscellaneous expenses for work assignments and training.

- **GSA Vehicle Mileage Expense:** All GSA Vehicle mileage expense will be recorded in this line-item category, but the monthly rental charge will be charged to GSA Vehicle Rental.

- **Staff Training and Tuition:** Includes the cost of tuition and fees for staff training that has been determined to be beneficial for the operation and is job related. (Reimbursement to staff under an employer education policy will be charged as an employee benefit to the appropriate category of personnel expense.) Also includes the
cost of materials and services, such as trainers, rental of facilities and equipment, supplies, printing and duplicating, and contractual support.

**Other**: As determined by the contractor.

**Line 3-Facilities Expense**: This category includes all types of facility expenses, including those listed below.

- **Lease Cost (Rent)**: Includes costs for leasing space or, if located at a Job Corps center, the prorated charge from the center for space, if applicable.

- **Facilities Maintenance**: Includes all charges for contracted maintenance, including materials, labor, and all supplies including any cleaning and janitorial supplies.

- **Utilities**: Includes the cost of all utilities paid at the facility (i.e., natural gas, electricity/heating oil, water, and sewage).

- **Other**: As determined by the contractor.

**Note**: If the OA and/or CTS facility expense being reported are incurred under the umbrella of a center operations contract and if the facility related expenses of the OA and/or CTS activities cannot be directly determined (via separate utilities metering, separate facility leases, etc.), the facility costs should be allocated pro rata based on number of full-time equivalent (FTE) staff assigned to each function (Center Operations, OA, CTS).

**Line 4-Media Advertising**: Includes the cost of media advertising/promotion, printing, and distribution of materials pertaining to the Outreach/Admissions or CTS/Placement effort. This category includes the types of expenses listed below.

- **Media Advertising**: Includes the cost of all advertising/promotions and the preparation of materials for the programmatic effort within the contractor’s area of responsibility. The term media is intended to include all forms of advertising, such as newspaper, magazine, TV, radio, etc. Newspaper expenses for staff help-wanted advertising is not charged to this category, but should be charged to Line 9 instead.

- **Printing of Materials**: Includes the cost of printing brochures, posters, and other necessary printed materials for public display or distribution to clients and client influencers.
Postage: Includes only the postage expense for distribution of printed materials as described above.

Other: As determined by the contractor.

Line 5-Indirect Administrative Expense: This line includes the contractor’s G&A expense at the rate specified in the contract or the current approved rate, whichever is lower. The total amount charged may not exceed the contract ceiling rate. On the 2110-OA/CTS, G&A expense should be accrued for amounts earned on other accruals (unvouched accounts payable) and be reported as Unvouched Reimbursable Expenses, Page 2, Section F, Column (b).

Note Concerning Indirect Cost Base: It is DOL’s preference that the base that is used to compute indirect costs consist NOT of total direct cost (TDC) but instead be comprised of a narrower base that is limited to Total OA/CTS Operating Expense net of indirect cost and fee. This would also exclude Equipment and GSA Vehicle Rental expense from the base. In effect, the recommended base for determining Line 5 Indirect Costs consists of the combined amounts for Lines 1, 2, 3, 4 and 8. This is to ensure consistency with the practices that are normally in force with respect to center operations contracts and to avoid indirect costs amounts that are skewed due to the tendency for “cost spikes or lumpiness” in pass-through allocations for equipment/furniture purchases. Contractors are expected to use this narrower base, if at all possible, in the indirect cost proposals that they submit to their cognizant agency (which is most often DOL). Exceptions will be allowed if it is not feasible for a contractor to utilize this narrower base due to the demands of its parent company or establishment of a TDC base in an indirect cost agreement that has already been finalized with a cognizant agency other than DOL.

Lines 6 and 7-Contractors Base and Incentive Fees: If any of the guidance below on the treatment of fee is in conflict with the particular terms of the contract, the contractual terms shall prevail. The guidance below is intended to describe the standard vouchering and reporting practices for the fee arrangement that is now in use for OA/CTS contracts, which provides for both base fees and incentive fees. Line 6 is used to report the cost of Base Fees earned per contractual terms. Line 7 is used to report the cost of provisional and earned Incentive Fees.

Line 6-Base Fee: For each contract year, the cost of a contractor’s Base Fee will be an equal monthly proration of the Base Fee stated or reflected in the contract for that contract year. If the contractor’s fee consists solely of Fixed Fee, then fee cost should be reported on Line 6 only, and the monthly costs
should be calculated in the same manner as for Base Fee.

Line 7-Incentive Fee: The cost of a contractor’s Incentive Fee is determined as follows:

Cost prior to final determination of amount earned: The exact amount of incentive fee that is earned for performance achievements during a contract year cannot be determined until two to four months after completion of the contract year. In order to mitigate cash flow hardships that would otherwise result from such a long lag-time, contractors are generally permitted to voucher provisionally for incentive fee during the performance of a contract year. The provisional monthly costs and billings of Incentive Fee prior to determination of final amount earned will therefore be an equal proration of the contractually stipulated Incentive Fee amount for average performance.

Cost adjustment/reconciliation upon final determination of amount earned: When the contractor is notified as to the exact amount of Incentive Fee that has been earned for performance during a recently completed contract year, the notification will specify by what amount the earned incentive fee is above or below the amount of the provisional payments that were made to the contractor. DOL staff are expected to complete the reconciliation of provisional fee to final amount earned and notify the contractor within three months after the completed contract year. The difference between provisional Incentive Fee payments and final amount earned will be treated as an adjustment to cost and billings in the succeeding contract year and reflected appropriately as such on the next available cost report and invoice that is submitted by the contractor. However, if performance of the contract has been fully completed, the adjustment to cost and billings will be reported and treated as post-termination activity.

The following is an example of expensing Base Fee, Provisional Incentive Fee, and Final Post-Contract Year Incentive Fee adjustment. In this example, the contract has been newly awarded and the fee amounts stipulated for the first contract year (which is exactly one calendar year in duration):

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Base Fee</td>
<td>$22,500</td>
</tr>
<tr>
<td>Provisional Incentive Fee</td>
<td>$7,500</td>
</tr>
<tr>
<td>Total</td>
<td>$30,000</td>
</tr>
</tbody>
</table>

• In the first contract year, the monthly costs equal $30,000 divided by 12 months, yielding a monthly expense of $2,500.
Total fee costs and billings in Year 1 are $30,000, consisting of $22,500 base fee and $7,500 provisional Incentive Fee.

- In the first 2 months of the second contract year, the monthly fee costs and billings continue at $2,500. However, in the third month, the contractor is officially notified, via contract modification, that the final Incentive Fee earned, based on achievements in the first contract year is $8,500. The notification specifies that the final amount earned is $1,000 above the provisional amount billed and that the contractor should include the $1,000 fee increase on its very next voucher and should reflect the $1,000 of additional fee expense on Line 7 of its very next cost report. This adjustment process also includes a revised 2181-OA/CTS budget, increasing the Line 7-Incentive Fee amount by $1,000 for the current (second) contract year. The Incentive Fee expense reported in the third month of the second contract year would therefore be $3,500, or $1,000 above the provisional level of $2,500. Thereafter, monthly fee expense and billings would return to the provisional level of $2,500 for the duration of the second contract year.

- Note concerning liquidated damages: Liquidated damages assessments affect fee. Please refer to the later section that addresses liquidated damages.

Line 8-Other Operating Expense: Includes all operating costs associated with the OA or CTS functions that are not assignable to any of the above expense categories or to the GSA Vehicle Rental and Equipment expense categories. Other Operating Expense includes such items as:

- **Office Materials and Supplies**: General office supplies such as paper, pencils, paper clips, rubber bands, and ink cartridges.

- **Contracted Office Services**: Includes the cost of contractual services required for office administration, such as the cost of subcontracted duplicating and printing services.

- **Office Equipment Rentals**: Includes the rental cost of photocopying and IT equipment.

- **Office Equipment Maintenance**: Includes the cost for maintenance and repair of office equipment. Costs incurred under lease purchase agreements are considered rental costs.

- **Legal Services**: Includes the cost of legal services acquired for the
operation. Legal expenses relating to personnel matters or actions brought by employees against the contractor are corporate G&A expenses unless the contractor’s approved cost accounting standards provide for classifying these expenses as a direct cost to contracts. The charging of these costs to a federal contract is still subject to the allowability set forth in the applicable cost standards.

**Accounting Services:** Includes the cost of subcontracted accounting services acquired for the contract and corporate charges for service or equipment where corporate accounting practices, and the indirect cost agreement, require an allocation to direct cost and the allocation of costs is in agreement with the business management proposal submitted for operation of the contract. Since there is no specific contract requirement, audits performed by public accounting firms are not an allowable cost. A corporate audit, which as part of its “tests” audits a portion of a specific contract, is considered to be a corporate G&A expense.

**Miscellaneous Administrative Expense:** Includes the cost of miscellaneous supplies and services required in the operation, such as the following: Consumable supplies such as paper towels, toilet tissue, soap, etc., or any miscellaneous administrative expenses outside the definitions of office supplies and services.

- Packing, handling, and shipping cost to transfer excess property to or from a holding facility, if applicable.
- Gross receipts tax, sales tax assessments, and penalties and interest charges resulting from tax assessments. When the contractor is forced to pay these costs, they should be paid “under protest.”

**Local Telephone Service:** Includes the cost of local telephone service – basic monthly service, equipment lease costs, any message unit charges, access charges, and taxes.

**Cell Phones:** Includes the cost of cell phone services.

**Long-Distance Calls:** The cost of long distance calls placed through the Federal Telephone System (FTS) will not be charged to the center, but will be charged to DOL. Costs that should be reported on this line will be those for incoming collect, long-distance calls and long-distance calls which, for any reason, cannot be placed through FTS.

**Telephone Service Charges and Communications Equipment Rental Charges:** Includes the cost of service for telephone equipment
changes, repairs, and the installation of additional telephones and related equipment, and the cost of facsimile or other communications equipment rental and maintenance.

**Postage:** The cost of postage or expedited services such as Federal Express, and postage meter rental and maintenance.

**Court Fees:** The costs of obtaining court checks of applicants.

**Other:** As determined by the contractor.

**Line 9-Total OA or CTS Operating Expense:** Total of Lines 1 through 8.

**Line 10-Equipment/Furniture Expense:** Includes costs for purchase of NON-EXPEND-ABLE PERSONAL PROPERTY.

**Line 11-GSA Vehicles Rental:** Includes GSA monthly/daily charges and charges for damage to vehicles. This category does not include GSA mileage charges, which instead should be assigned to Line 2-Staff Travel/Training Expense.

**Line 12-Total OA or CTS Expense:** This is the sum of amounts in Lines 9, 10, and 11.

**b. 2110-OA/CTS, Page 2**

**Lines 1a, 1b, 1c-OA Expense:** These lines provide a highly summarized representation of the OA expense detail provided in Page 1, Section H. The OA expense totals on Page 1, Section H, Line 12 are broken out into two subcategories that are commonly used in the estimated cost clauses of OA contracts. These subcategories consist of:

- **Line 1a, OA-Reimbursable:** This subcategory incorporates all OA line-items on Page 1 except for line-items 6 and 7, which are used for fee. The reimbursable line-items therefore consist of Lines 1-5, 8, 10, and 11.

- **Line 1b, OA-Fee:** This subcategory totals the amounts on OA Lines 6 and 7, on Page 1.

- **Line 1c, Total OA Expense:** This line-item totals the amounts on Lines 1a and 1b. The amounts on Line 1c will also equal the Line 12 OA total on Page 1.

**Note:** This expense category equates to C1-Outreach/Admissions in the internal DOL AAPP/FOP
Lines 2a, 2b, 2c-CTS Expense: These lines provide a highly summarized representation of the CTS expense detail provided in Page 1, Section I. The CTS expense totals on Page 1, Section I, Line 12 are broken out into two subcategories that are commonly used in the estimated cost clauses of CTS contracts. These subcategories consist of:

Line 2a, CTS-Reimbursable: This subcategory incorporates all CTS line-items on Page 1 except for line-items 6 and 7, which are used for fees. The reimbursable line-items therefore consist of Lines 1-5, 8, 10, and 11.

Line 2b, CTS-Fee: This subcategory totals the amounts on CTS Lines 6 and 7, on Page 1.

Line 2c, Total CTS Expense: This line item totals the amounts on Lines 2a and 2b. The amounts on Line 2c will also equal the Line 12 CTS total on Page 1.

Note: This expense category equates to C2-Career Transition Services in the internal DOL AAPP/FOP FMS.

Line 3-Student Transportation/Meal Allowances: This line-item is used in stand-alone OA/CTS contracts only. If the OA/CTS services have been included under an umbrella center operations contract, the Student Transportation/Meal Expense are to be reported and entered in the center’s overall 2110 and not in the subsidiary 2110-OA/CTS. Otherwise, for stand-alone OA/CTS contracts, this Line 3 on Page 2 should include the costs of government-furnished inter-city travel (including prescribed meal allowances), such as travel associated with new enrollee arrival or travel home upon separation.

Note: This expense category equates to D-Transportation in the internal DOL AAPP/FOP FMS.

Line 4-Other Expense (Non-OA/CTS): This line-item is used in stand-alone OA/CTS contracts only. If the OA/CTS services have been included under an umbrella center operations contract, the Non-Standard Expenses are to be reported and entered in the center’s overall 2110 and not in the subsidiary 2110-OA/CTS. Otherwise, for stand-alone OA/CTS contracts, this Line 4 on Page 2 should be used only if non-OA/CTS expenses have been specifically funded in the OA/CTS contract.

Note: This expense category equates to S-Support in the internal DOL AAPP/FOP FMS.
D. JOB CORPS OA/CTS FINANCIAL REPORT (2110-OA/CTS)

1. Purpose

The Job Corps Outreach and Admissions (OA) and Career Transition Services (CTS) Financial Report, 2110-OA/CTS, is used by contractors whose contracts provide for OA and/or CTS functions. This includes both stand-alone OA/CTS contracts and center contracts that have been funded to provide OA and/or CTS services. The report is used to provide monthly information on accrued expenses and other pertinent data necessary to analyze cost trends and cost-effectiveness, with a sharp focus on the current contract year.

2. Originators

These reports are prepared and submitted by organizations that have a DOL contract to furnish Job Corps OA and/or CTS services.

3. Time Frames

a. Contract Years

It is a required practice to segment the performance period of an OA/CTS contract into “contract years.” It is desirable that each contract year have a duration of one full calendar year (365 days or 366 days, depending on leap year).

- A Contract Year May Not Exceed One Full Calendar Year: The technical parameters of the FMS system do not allow any contract year to have a duration that exceeds one full calendar year.

- A Contract Year May Be of Lesser Duration: FMS does permit a contract year to be of lesser duration than a full calendar year. This is to accommodate certain exigencies. A typical example of this would be a unilateral contract extension (usually of about four months duration) that is issued to provide continuity of operations after the final option year contained in the original contract. In this situation, the extension should be treated as a new contract year.

Note: If it becomes necessary to issue further contract extensions, these extensions should be incorporated into the contract year that was established via the original extension, provided that the total new contract year duration does not exceed a full calendar year.
b. Reporting Periods

The reports will display line-item costs for the:

- Current month
- Cumulative costs incurred to date during the current contract year (Years 1, 2, 3, 4, 5, 6, or 7, whichever is in effect at the time)
- Cumulative costs incurred to date from the inception of the contract (i.e., from the first day of the contract performance period)

The 2110-OA/CTS report normally displays data for a full calendar month. However, if a contract begins on a date other than the first of the month, the first report will report costs for the period from the contract beginning through the end of the first calendar month.

If a contract year ends on a day other than the last of the month, it will be necessary to submit a 2110-OA/CTS report for the portion of the month which ends on the final day of the contract year as well as a second form 2110-OA/CTS report for the portion of the month that falls within the next contract year. For example:

- The contract year begins March 15, 2011 and ends March 14, 2012. In March 2011, the only costs to report are for the period March 15 through March 31, and a report will be submitted reflecting actual and budgeted expenses for only this period. In March 2012, two reports must be submitted.

- The first report will cover the period March 1 through March 14, 2012, and the period ending date would be reported as March 14, 2012. Only the following will be reported.

- Page 1, Column (a) will report actual, only for the period through March 14. All other columns will display budget and actual through March 14.

- The second report for the month will constitute the initial report of the new contract year and in the “current month” columns, will report expense during the period of March 15 through March 31.

4. Reporting After Contract Expiration

When a contract expires, it is of course necessary to submit a fully detailed 2110-OA/CTS report with a report period ending date that coincides with the contract expiration date. Thereafter, the monthly reporting requirement for the contract continues until all undelivered commitments and unvouchered accounts payable are liquidated. It is necessary to continue to submit 2110-OA/CTS reports until financial activity under the contract has ceased. However, such post-expiration 2110-OA/CTS
reports that are submitted for subsequent periods need not contain or reflect the annual budgets, the planned expense-CYTD, or the variance data that normally appear on Page 1 of the 2110, columns (b), (c), (e) and (f).

If there is no financial activity in a month, only a completed Page 1 must be submitted, and should be noted “NO ACTIVITY” in block C1, on Page 1 of the 2110-OA/CTS report. The “Period Ending” will always be shown as the current reporting month, not the month in which the contract expired.

After liquidation of all obligations, no further reports are required on an expired contract until closeout. This last report should be marked “FINAL-PENDING CLOSEOUT” in block C1 on Page 1 of the 2110-OA/CTS report. If there are adjustments to costs that need to be reported when the contractor submits its formal closeout package to DOL, a final 2110-OA/CTS report must be submitted in FMS to reflect any changes to costs. This report should be marked “FINAL/CLOSEOUT” in block C1 on Page 1 of the 2110-OA/CTS report; and the date entered in block C on Page 1 of the 2110-OA/CTS report should be on or a few days before the submittal date of the contractor’s closeout package.

5. **Accrual Reporting**

Costs reported on the form 2110-OA/CTS must always be on the accrual basis, i.e., the cost of materials and services received, regardless of when the purchases are made or when invoices are received or paid. Many costs are not normally invoiced promptly and it is therefore important that all such charges, including earned but unpaid salaries and payroll related costs, be accrued so that reported costs include all incurred expenses. Earned but unpaid leave will not be accrued, reported as expense, nor vouchered. Earned leave that is paid when an employee terminates will be reported as personnel expense when paid and will be vouchered at that time.

6. **Error Corrections**

A corrected report for a given month may be submitted, but only if the report for the following month has not yet been submitted. Otherwise, any adjustments made to correct erroneous information on past reports (contract to date adjustments) will be reflected in the current month’s expenses; and the adjustment must be explained in the Variance exceptions/Comments section. Errors are always to be avoided, of course, but it is particularly important to ensure that reports submitted for the ending dates of contract years are as free from errors as possible.

7. **Preparing and Submitting the 2110-OA/CTS Report**

Contractors submit their 2110 reports using DOL’s web-based FMS that is administered by the Job Corps Data Center (JCDC). The FMS has been designed in
a way that minimizes the volume of data that must be entered each month and which ensures consistency and accuracy in internal mathematical operations that are present in the report. Contractors may obtain detailed guidance and training on FMS procedures for entering and submitting 2110 reports from JCDC representatives.

With respect to time-frames for the submittal of monthly 2110 reports, contractors must prepare and submit them into FMS by no later than the 10th of the month following the reported month.

8. **Descriptions and Definitions of 2110-OA/CTS Data Items**

Detailed instructions and guidance for entering 2110-OA/CTS data into FMS is available from JCDC sources. The following discussion is not intended to supply instructions for entry of 2110-OA/CTS data, but is intended to provide detailed definitions and descriptions of the information that appears on a submitted 2110-OA/CTS report when it is viewed in its printable output form.

2110-OA/CTS, Page 1

A. **Contract Scope:** If the report is for OA and/or CTS expense that is funded under a center contract, this is the name of the center. If the report is for a stand-alone OA and/or CTS contract, this is the geographic area covered by the contract.

B. **Contractor Name:** This is the name of the contractor.

C. **Report Period End Date (Month, Day, Year):** This is the last day of the calendar month for which the report is being prepared. The date will be for the current month even if the report is for an expired contract. The only exception will be for contracts which end on any day other than the last day of the month, as discussed in the previous section on time frame.

D. **Contract Number:** This is the DOL identification number of the contract for which data is being reported.

E. **Latest Contract Modification Number:** This is the number of the latest contract modification signed by the Contracting Officer that was effective during the reported period.

F. **Contract Duration:** These are the inclusive dates of the contract performance period, consisting of the contract inception/effective date and the current contract expiration date.

G. **Contract Period-Current Contract Year:** These are the inclusive dates of the current contract year, consisting of the beginning date and the ending date of the current contract year.
H. **OA Expense**: Section H contains data if the contract is funded for OA services.

**Approved Budget Number**: This is the number of the latest approved budget submission, Employment and Training Administration (ETA) 2181-OA.

I. **CTS Expense**: Section I contains data if the contract is funded for CTS services.

**Approved Budget No**: This is the number of the latest approved budget submission, ETA 2181-CTS.

**H and I Columnar Instructions**: The following are descriptions and definitions for entries in Sections G and H in Columns (a) through (g).

**Expense Categories Column**: See the Cost Category Section of this appendix for definitions.

**Current Month Actual (a)**: This column displays the actual net expenses for the current month for each expense category.

**Budget for this Contract Year (b)**: This column displays the budgeted amount for the entire contract year for each expense category as shown on the latest approved 2181 budget. If a submitted budget at the beginning of a contract has not yet been approved, the submitted budget amounts will be used since no other budget is available.

**Planned-CYTD, Pro rata (c)**: These amounts are prorated by a factor that is calculated as follows: Days from Start of Contract Year through End of Report Period divided by Days in Full Contract Year.

**Actual Expense-CYTD (d)**: This column displays the actual net expense that has accumulated since the beginning of the current contract year.

**Variance (e)**: This is the difference between CYTD planned expense versus actual expense (computed as Column c less Column d). If the actual expense exceeds the budget (an overrun), the variance is in brackets (negative variance).

**Variance Threshold (f)**: This column displays the variance thresholds that, if exceeded (plus or minus), require the center operator to enter narrative explanations and corrective action plans that will appear starting on Page 5 of the 2110 report. The variance threshold amounts are calculated in accordance with the formulas discussed in the earlier section on Display and Evaluation of Variances.
Cumulative Expense From Inception (g): For each expense category this is the actual net expense that has accumulated since the very beginning of the contract.

J. Signature of Authorized Contractor Representative: This block shows the name of the authorized contractor representative who is submitting the 2110 to DOL via the FMS. The signature line is reserved for use when the necessary e-signature technology can be applied.

2110-OA/CTS, Page 2

Page 2 is fully completed for stand-alone OA and/or CTS contracts. However, for center contracts that have OA and/or CTS funding, partial information will appear in Sections E and F, and no information will appear in Section G (Voucher Data). The omitted data for center operations contracts appears instead on Pages 3 and 4 of the 2110 report that is prepared for the umbrella center operations contract.

A. **Contract Scope:** Same as Page 1, Block A.

B. **Contractor Name:** Same as Page 1, Block B.

C. **Period End Date:** Same as Page 1, Block C.

D. **Contract Number:** Same as Page 1, Block D.

E. **Net Contract Actual Expense-All Categories:** The following are descriptions and definitions for entries in columns (a) through (d) in Section E.

   **Note:** In Section E, expense categories 3 (Student Transportation) and 4 (Other Expense/Non-OA/CTS) are left blank if the 2110-OA/CTS report applies to OA/CTS activities funded under an umbrella center operations contract.

   **Expense Categories Column:** See the Cost Category Section of this appendix for definitions. Please note that Line 1c and Line 2c are carry forwards of the Line 12 OA and CTS totals entries on Page 1.

   **Current Month (a):** This column displays the actual net expenses for the current month for each expense category.

   **Contract Year to Date (b):** This column displays the actual net expense that has accumulated since the beginning of the current year.

   **Cumulative through Prior Year (c):** This column displays the Cumulative Costs From Inception (d) reported through the end of the prior contract year. In the first year of the contract this column will be blank.
Cumulative Expense From Inception (d): For each expense category, this column displays the actual net expense that has accumulated since the very beginning of the contract. Current Month Actual is added to the Cumulative Expense From Inception data from the preceding month. In the very first month of the contract, this will be the same amount reported as Current Month Actual (a).

Column Totals (Line 5): Column totals for Lines 1 through 4 in Section E are displayed on Line 5.

F. Contractor Obligations: This section reports the status of contractor obligations for the full contract period to date, in relationship to contract funding (also referred to as “funds available”) and value (also referred to as “estimated cost”). This information is supplied, as applicable for OA expense, CTS expense, Student Transportation Expense, and any other Non-OA/CTS expenses that are funded in the contract.

Please note that, in Section F, Expense Categories 3 (Student Transportation) and 4 (Other Expense/Non OA/CTS) are left blank in those 2110-OA/CTS reports that are prepared for OA/CTS activities funded in an umbrella center operations contract.

The following are descriptions and definitions for entries in columns (a) through (i) in this Section F:

Vouchered Reimbursable Expenses (a): The amounts in column (a), for each applicable expense category represent the total amount of all vouchers submitted to DOL for payment for the contract to date, including the voucher prepared for the reported month. Please refer to the discussion of vouchering criteria in a previous section.

Unvouchered Reimbursable Expenses (b): The amounts in column (b), for each applicable expense category represent the current total of all reimbursable amounts due the contractor, but not yet submitted on a voucher. Examples follow:

- Invoices paid but not included on a voucher because of early cut-off.
- Indirect Administrative Expense accrued on Unvouchered Accounts Payable accruals.
- Accounting errors that omitted items from the voucher.
- Amounts that exceed the amount of funds available in the contract as per discussion of vouchering criteria contained in Section E.

Unvouchered Accounts Payable (c): The amounts in column (c),
for each applicable expense category represent the current total of all accruals as per the discussion of accrual reporting contained in a previous section.

Undelivered Commitments (d): The amounts in column (d), for each applicable expense category represent the current total of the value of all undelivered goods and services for which the contractor has made commitments, but has not yet received the material or service. This is simply the total of all open purchase orders. These are firm commitments only and therefore do not include total blanket purchase orders, but do include orders placed for current delivery against such open or blanket purchase agreements. Do not report subcontracts or long-term equipment or facility leases.

• It is essential that all commitments to each contract be shown accurately so that users of this report will receive reliable information on the status of contractor obligations. When a contract expires, only the commitments made before the expiration of the contract may be paid from that contract. Excess funding is removed from the contract by the Contracting Officer based on amounts reported as Total Contractor Obligations.

• If payments are made by the contractor after the expiration of the contract for the cost of unrecorded commitments, the contractor must be able to document that the firm commitments were made prior to contract expiration. An auditor may recommend disallowance for costs where the commitment date is questionable.

Total Obligations (e): The sum of the previous four columns (a through d) represents the total obligations of the contractor.

**Note:** It is particularly important that the total obligations (and constituent parts) be reported accurately in the 2110-OA/CTS data submitted upon contract expiration. This is in view of the normal DOL practice to remove excess funding from an expired contract based on the difference of Actual Funding minus Reported Contractor Obligations. At the same time, DOL procurement officials are cautioned to avoid the unnecessary administrative burdens that often result from hasty de-obligations of unvouched funds which will later be needed to cover a contractor’s unvouched reimbursable expenses, payables, and its undelivered commitments.

Total Contract Funding (f): The amounts in Column (f), for each applicable expense category represent the current total contract
funding as stated in the contract Summary of Funds Available clause, per the most recent contract modification that was effective prior to the end of the reported period.

Percent of Funding Obligated (g): These percentages are calculated by dividing the Total Contract Obligations, Column (e), by Total Contract Funding, Column (f).

Contract Value (h): The amounts in Column (h), for each applicable expense category, represent the current total contract estimated cost as stated in the contract Estimated Cost and Fixed Fee clause, per the most recent contract modification that was effective prior to the end of the reported period.

Percent of Value Obligated (i): These percentages are calculated by dividing Total Obligations, Column (e), by Contract Value, Column (h).

Percent Contract Completed (i): The percentage figure in the cell below Line 4 is provided as a basis of comparison with the percentages of contractor obligations versus contract value. The percentage value in this cell is calculated by dividing the number of days from the inception date of contract through report period end date by the number of days from the start date of the contract through the current expiration date of the contract.

G. VOUCHER DATA: This section is to be completed only for stand-alone OA and/or CTS contracts. This section reports information that identifies any discrepancies between certain data on the 2110-OA/CTS report and data appearing on the voucher submitted by the contractor for the end of the same period being reported on the 2110-OA/CTS report. In the title box of this section G, the contractor’s identifying number of the voucher submitted for the end of the reported period is supplied, along with the contractor’s signature date on that voucher.

Cumulative Vouchered Under This Contract (Line 1): The amount on Line 1 represents the contract to date total amount that has been vouchered as of the voucher identified above.

Difference with Reimbursable Expense (Line 2): The amount on Line 2 represents any difference between the amount entered in Line 1 in this section, and the amount entered in column (a), Line 4, of Section F.

Explanations: Any differences reported on Line 2 must be explained in the space provided.
H. RECONCILIATION OF CONTRACT VALUE WITH EXPENSE AND PLAN DATA: This section provides for the identification of any inconsistencies between (a) the official contract estimated cost for OA and/or CTS expense and (b) data contained in the 2110 report. The following are descriptions and definitions of data appearing in this Section H:

Line 1-Cumulative Cost Through Prior Year: For OA expense, this is the same amount shown for OA in Section E, Column (c). For CTS expense, this is the same amount shown for CTS in Section E, Column (c).

Line 2-Annual Budget for Current Year: These are the OA and CTS totals from page 1 that are contained in Column (b).

Line 3-Implied Contract Value: These amounts are calculated as the sum of entries in preceding Lines 1 and 2. In the framework of data contained in the 2110-OA/CTS report, these are the expected cumulative OA and CTS expenditures through the current contract expiration date.

Line 4-Contract Value per Latest Mod: This is the total current estimated cost for OA expense and CTS expense as stated in the contract Estimated Cost clause for the full contract period as per the latest contract modification.

Line 5-Variance (Line 3 less Line 4): This is the variance between the official contract estimated costs for OA/CTS expense and the cumulative spending targets reflected in the 2110 report. Variances greater than $1,000 need to be reconciled either by revising the 2181-OA/CTS budgets for the current contract year or by seeking a contract modification from DOL to amend the estimated cost.

I. PLANNED CONTRACT YEAR WORKLOAD AND ACTUAL WORKLOAD TO DATE: Percent of Year Completed. This is computed as days elapsed from start of Current Contract Year through the end date of the report period divided by the number of days in the contract year and expressed as a percentage.

OA Goals and Performance:

• Contract Year Arrival Goal: This is the contractual goal for arrivals this contract year.

• Actual Arrivals to Date: This is the number of arrivals achieved in this contract year through the end of the reporting period. This is also
expressed as a percent of the annual goal.

**CTS Workload - Planned/Actual:**

- **Contract Year Plan - New Assignees:** For both graduates and former enrollees, this is the contractual workload in terms of new individuals who will be assigned to the contractor for CTS services during the contract year.

- **Actual New Assignees to Date:** This is the number of new graduates and former enrollees who have been assigned to the contractor for CTS services since the start of the current contract year through the end date of the reporting period. This is also expressed as percentages of the annual assignee workloads.

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**2110-OA/CTS, Page 3**

A. **Contract Scope:** Same as Page 1, Block A.

B. **Contractor Name:** Same as Page 1, Block B.

C. **Period Ending (Date):** Same as Page 1, Block C.

D. **Contract No:** Same as Page 1, Block D.

E. **VARIANCE EXCEPTIONS/CONCERNS:**

This section automatically identifies each line-item on Page 1 where actual expense differs from planned expense by a significant margin (referred to as the variance threshold). Other reportable anomalies from Page 2 are also automatically identified. For each Page 1 Line-Item Variance or other anomaly that is listed, there is a block that shows the dollar amount of the variance/anomaly, along with blocks in which preparers of the 2110-OA/CTS report are required to supply both reasons for and the resolutions of these variances and anomalies. The following guidance and advice is provided to those who formulate the reason and resolution statements:

**Reasons:** The Reason Block is used to explain the cause of the variance or anomaly and its present and future impact on contract costs and program performance. The reasons for many variances and anomalies cannot be determined solely by finance staff. Input should normally be sought from appropriate Program Managers. The discussion of the reasons for a variance should be brief, but clearly stated so that they can be understood by a reviewer not aware of specific conditions that have affected contract performance.

**Resolutions:** The Resolutions Block is used to briefly explain the action that
has been taken or is planned to be taken to correct or resolve the variance or anomaly. Preparers are advised that simple repetition of resolution statements from month to month suggest that the planned actions are either ineffective, inappropriate, or are not being implemented. If no action is possible, it should be noted and explained.

E. CONTRACTOR VOUCHERING CONSIDERATIONS

If any of the guidance below on vouchering is in conflict with the particular terms of a center contract, the contractual terms shall prevail. The guidance below is intended to describe the standard vouchering practices now in use for center operations contracts and should be followed to the extent not contradicted by specific contractual terms.

Vouchering by center contractors that have been funded for OA/CTS functions is governed by the provisions of Appendix 502. The following guidelines are therefore addressed to contractors that have stand-alone OA and/or CTS contracts:

1. Basic Parameters

Vouchers submitted for payment by contractors must include only those amounts actually paid by the contractor and amounts earned by and due to the contractor (indirect administrative expense and fee). The requirement for payment of costs before vouchering are considered met if all of the following conditions exist:

- Contractor has received the material or service.
- An invoice has been received.
- The contractor has paid or has begun processing documents for payment.

2. Frequency of Vouchering

Contractors may normally submit vouchers no more than twice a month. The first voucher will cover the first of the month through the 15th; and the second voucher will cover the 16th through the end of the month. The amounts reported as Vouchered Reimbursable Expense on Page 2 of the 2110-OA/CTS must agree with the month-end vouchers.

3. Special Considerations

The following considerations also apply to vouchering by OA/CTS contractors:

- The amount vouchered may not exceed the funds available in the contract. Due to this particular restriction on vouchering by contractors, it is important that DOL staff and officials prepare incremental contract funding actions in a timely and accurate manner.
- All salaries and fringe benefits earned during the month, whether paid or unpaid at month end, other than unpaid leave, will be accrued and reported
as expenses and may be vouchered. Unpaid leave is not to be vouchered, accrued, or reported as expense.

- GSA vehicle expense (mileage-Motor Vehicle Expense, and monthly amortization charge-GSA Vehicle Rental) charges tend to be late in billing, and both charges must be accrued and the costs reported but not vouchered until the above-stated conditions for vouchering have been met.

- Base/Fixed Fee and Incentive Fee is vouchered and expensed as explained in the earlier description of Lines 6 and 7.

- Prior payment requirements do not apply when the contractor is a Small Business Concern, FAR 52.216-7(c). Nonetheless, the cost of undelivered commitments is not billed, nor can accrued leave be billed.

- Vouchers may continue to be submitted after expiration of the contract as circumstances warrant (late billings submitted to and paid by contractor, adjustments for incentive fees, and so forth). Post-expiration vouchers that are submitted prior to formal contract closeout (which cannot occur until final indirect cost rates have been determined) should be marked: INTERIM FINAL PENDING CLOSEOUT.

4. **Formats and Information Required**

- Contractors will submit, to the Regional Office only, an original plus two copies of the voucher claiming reimbursement for provisional payment.

- The voucher will be prepared on SF 1034.

- Month-end vouchers must reconcile exactly to the 2110-OA/CTS report which is submitted for that month.

- The voucher must be accompanied by a backup sheet to substantiate certain data.

- Provided as Form 5-03 is a sample of a completed voucher, SF 1034, including instructions for its preparation. Form 5-04 provides an example of the required back-up sheet.

5. **Advance Payment Arrangements**

Some contractors are eligible to receive advance payments. Those who elect advance payments will receive them in the form of draw-downs from the Health and Human Services Payments Management System (HHS-PMS). Instructions for draw-downs will be provided from the Regional Office.

F. **LIQUIDATED DAMAGES**

1. **Assessment Amounts**

As a means to promote program integrity and to ensure that Job Corps students receive the services for which DOL expends public funds, DOL shall assess contractors for liquidated damages for misreporting of student achievement data. The amount of the assessment for each instance of verified misreporting of OA/CTS
related accomplishments will be based on the following schedule:

- Invalid Placement Credit: $750 for each instance.

2. **JFAS-AAPP/FOP Considerations**

The cognizant Regional Office is responsible for promptly notifying the National Office to enter a liquidated damages assessment in the JFAS-AAPP/FOP system as a planned estimated cost reduction.

3. **Contract Modification**

The cognizant DOL Contracting Officer is responsible for promptly preparing a contract modification that reduces the fee contained in the estimated cost clause by the amount of the liquidated damages assessment.

4. **Recovery From Contractor Fee**

DOL will recover liquidated damages assessments through reductions in contractor fee. Upon receiving a fully executed contract modification that contains an assessment for liquidated damages, a contractor must:

- Post the liquidated damages amount as a negative expense in the Fee line item in its Current Year Center Operations budget so that it is reflected in the very next 2110-OA/CTS cost report due from the contractor. If the contract has Fixed Fee only, the negative expense (credit) is posted in the Base/Fixed Fee line. If the contract has Base Fee and Incentive Fee, the negative expense (credit) is posted in the Incentive Fee line-item.

- Duly incorporate the negative fee expense (credit) into the very next invoice that is submitted by the contractor for reimbursement of costs under the contract.

**Note:** Concerning Repayment for Lost or Damaged Government Property: This same mechanism for re-payment via fee reduction may be employed by DOL to obtain reimbursement from a contractor for government property that the contractor causes to be lost or damaged, or is deemed liable for loss or damage.

5. **Data Correction**

The cognizant Regional Office is responsible for promptly notifying the Office of Job Corps and the Job Corps Data Center to remove invalid Placement (credit) from the Outcome Management System(s) (OMS). A credited placement that has been found to have been misreported by a CTS provider would lead to the removal of the student from the placement pool of both the CTS and the center OMS report cards. Both scenarios hold true whether the center operator and the CTS provider are separate, or one and the same.
6. **Good Faith Errors**

Contracting Officers, after consulting with appropriate DOL Job Corps officials, are permitted to exercise discretion and not assess liquidated damages in cases where it appears that the data misreporting is most likely due to a good faith error. Another mitigating circumstance might be cases where data was erroneously recorded but the student outcome was likely achieved.

Contracting Officers may also, after consulting with appropriate DOL Job Corps officials, exercise discretion and not assess liquidated damages in cases where liability for government property has been determined and where a good faith error occurred.

7. **If Fraud is Suspected**

When a DOL official or staff member becomes aware of apparent fraud by a center operator or others, the matter should be reported or disposed of by using established channels and procedures.

G. **JOB CORPS OA and CTS BUDGETS (2181-OA, 2181-CTS)**

1. **Scope**

This section applies only to the treatment of OA and CTS costs. This applies to stand-alone OA/CTS contracts and also includes OA/CTS funds that have been added to center operations contracts.

2. **Purpose**

This section provides instructions for the development and presentation of line-item budgets of OA expense and for CTS expense. Line-item budgets are needed for each year that a contract is in place, including option years. The guidelines in this section cover the initial development of line-item budgets as well as procedures for their subsequent revision. The line-item budgets are intended to facilitate financial management and control by providing a detailed breakout of both OA and CTS costs that are summarized in the estimated cost clause of the contract.

3. **Overview of Requirements**

   a. **Formats and Method of Preparation and Submittal**

      The 2181 is prepared and submitted via entry of data in the FMS and used to display approved or proposed line-item budgets for:

      - The Current Contract Year
      - The Next Contract Year, if any remains
• Base-Year Three, if the contract is in the first year of a three-year base period

b. Time Frames

OA/CTS contracts normally last five to seven years, with the final three or four years being option years that are primarily contingent on the demonstration of satisfactory contractor performance.

• Contracts with Two-Year Base Periods: During the first year of the contract, budgets are prepared and maintained for the “Current” Year (Contract Year 1) and the “Next” Year (Contract Year 2). Both budgets are reviewed and approved as a “set” until the end of the first year. As Year 1 is closed and Year 2 begins, what had been the Next Year budget in Year 1 becomes the “Current” budget for Year 2. At that time, it is required that a new Next Year budget be prepared for Contract Year 3. This pattern is maintained for the life of the contract.

• Contracts with Three-Year Base Periods: The requirements are essentially the same as for contracts with two-year base periods, except during the first contract year. During the first contract year (and only during the first contract year), it is necessary to maintain an additional 2181 covering the 3rd contract year (which is referred to as base-year three).

c. Current Year Line-Item Budgets

An approved line-item budget (2181-OA and/or 2181-CTS) must be in place for the current contract year. Data from the approved 2181(s) covering the current year of the contract is used in the 2110-OA/CTS cost reports during that year of the contract.

It should be noted that the 2181 does not call for the display of “historical” cost data on a line-item basis. The intent is to focus attention primarily on the current year. However, it is necessary to account for prior years’ spending on a bottom-line basis within the 2181 in order to provide reconciliation of data between the 2181 and the estimated cost clause in the contract.

d. Next Year Estimates and Base-Year Three Estimates

Next Year Estimates: Form is used to maintain an updated “next year” line item budget for the next contract year that remains in the contract. This is to ensure that an agreed-to 2181 budget is in place immediately at the start of the next contract year. Whenever the current year budget is being revised, it is further necessary: (1) to identify any adjustments that are of an ongoing nature (e.g., permanently deleting or adding workload); (2) to accurately
calculate the impact of such changes on the budget for the next contract year; and (3) to revise the form 2181 line-item budget for the next contract year accordingly.

**Base-Year Three Estimates:** This requirement applies only to contracts that have three base years. While a contract with three base years is in its first contract year, it will be necessary to maintain a “Base-Year Three” line-item budget. This is to help identify any situations where a change in the estimated cost of the contract needs to be considered as a result of ongoing changes that occur in the first contract year. There is no need to maintain a “Base-Year Three” line-item budget after the end of the first contract year. When the second contract year begins, the “Base-Year Three” budget becomes the “Next Year” budget.

d. **Estimated Cost Clause**

The budgetary information on the approved form 2181 that is in place for the current year must be kept in agreement with the amounts shown for OA and/or CTS within the estimated cost clause of the contract. If a contract modification is issued that changes (up or down) the total OA and/or CTS cost, a revised set of 2181’s (current year plus next contract year and base-year three, if applicable) should be prepared and approved as soon as possible after issuance of a contract modification. Conversely, if the Regional Office approves a change in the 2181 that affects the cumulative amount of center operating costs, then a contract modification to revise the estimated cost clause is also needed. The key point made in this section is that the financial data in form 2181 and the data in the estimated cost clause should be kept in agreement. If these documents come into disagreement, steps should be taken to resolve the discrepancy and put them back into agreement.

e. **AAPP/FOP**

The acronym AAPP/FOP stands for Annual Advance Procurement Plan/Financial Operating Plan and refers to the previously described JFAS system of the DOL-Office of Job Corps that is used for planning and controlling the allocation of budgetary resources. Regional offices must adhere to current internal guidelines when reviewing and considering budget increases at contract centers and formally approve only those that are within AAPP/FOP levels. If a proposed current year or “next year” increase is in excess of the AAPP/FOP levels that have been established for the contract, the Region must request and obtain the appropriate AAPP/FOP adjustment from the National Office before the increase can be included in a formal contract modification. As indicated in an earlier section, OA/CTS contractors are entitled to receive or be given access to copies of their respective JFAS data reports and should thus be able to determine whether a proposed
contract budget change will likely first require a funding approval within the AAPP/FOP.

g. Underrun Rollovers

At the end of a contract year, if a net cost underrun is reported, DOL may approve the contractor’s retention of some or all of the underrun amount by rolling it over and adding it to the operating budget for the next contract year. Rollovers will normally be limited to an amount equating to five percent of the annual operating budget for the year just ended or $100,000, whichever is less. This underrun-rollover process is intended to provide contractors with a reasonable measure of flexibility in the timing of purchases and expenditures, to provide resources for payment of staff bonuses earned on the basis of good performance in the just-ended contract year, and to avoid wasteful administrative effort that might result from a rigid requirement for DOL to recover all underrun amounts, regardless of immateriality. The following are general limitations and ground rules for underrun rollovers:

(1) The Job Corps National Director, in consideration of overall budgetary conditions, may reduce the rollover limits stated in the preceding subsections, even to the extent of eliminating all rollovers. Such reductions in rollover limits will be promulgated in writing in the form of a Job Corps Information Notice.

(2) With respect to treatment of rollovers in the JFAS-AAPP/FOP system, there are default mechanisms that automatically provide for the approval of the maximum rollover amount that is consistent with current limitations and requirements.

(3) DOL may consider and approve individual exceptions to the above rollover limits if warranted by special circumstances, such as contractor errors of material dimensions being made in year-end 2110-OA/CTS reports. If a contractor believes that a year-end rollover should be approved in a higher than normal amount, it should prepare and submit a request and justification to its cognizant regional office that can be endorsed and forwarded to the Job Corps National Office for consideration and response. If approved, this request will result in the processing of appropriate AAPP/FOP changes. DOL will strive to address and resolve such requests within two weeks of receipt.

(4) Any portion of a contract year-end underrun that is not approved for rollover will be returned to DOL via contract modification that implements a net reduction in the estimated cost of the contract.
h. Treatment of Overruns

If a net cost overrun is reported at the end of a contract year and contract performance continues into a new contract year, the JFAS-AAPP/FOP system contains default mechanisms that provide an automatic offset to the overrun via a reduction in the AAPP-approved operating budget for the following contract year. These are internal transactions that have zero net impact on the AAPP-approved estimated cost of the contract. If a contractor believes that a year-end overrun should instead be resolved or covered through a funding increase (to avoid harmful spending reductions in following contract year), it should prepare and submit a request for budget adjustment. For procedural guidance, refer to the later section that is captioned: Requesting a Budget Adjustment.

Note: Contractors are reminded to be aware of and to comply with any contract clauses that require them to provide DOL with timely, formal notification of pending or actual overruns. Failure to comply with such contract clauses may compromise a contractor’s ability to obtain reimbursement for expenses that are in excess of those provided for in the estimated cost clause or funds available clause of the contract.

4. When Submittals Are Needed

a. Initial Submittals-Contract Year 1

Using the FMS, the contractor must submit initial 2181 budgets within 10 days after the effective date of the contract. The initial submittal must include a form 2181 (for OA and/or CTS, as appropriate) for the first year and another for the second year of the contract. If the new contract contains a third base year, then a “base-year 3” 2181 is also required to be submitted. Unless extensive follow-up or the Office of Job Corps authorizations are required, the Regional Office should normally approve the initial 2181 budgets within two weeks after submittal by the contractor. The Regional Office and the contractor should schedule this process to ensure that an approved 2181 budget is available in time for use in the first monthly cost report. The approved 2181 budget must be available to the contractor by the 15th day of the second calendar month of Contract Year 1.

b. Year-End Closeout and Reconciliation

The following discussion is in the framework of closing out the first contract year. However, the same pattern of events and timeframes apply at the close of each contract year except the very last year.

Soon after the end of Contract Year 1, the contractor must submit a set of proposed new 2181 budgets in which year 2 becomes the “current year” and...
year 3 becomes the “next year.” The contractor’s proposed new 2181 budgets should be submitted into the FMS within 30 days after the start of year 2. This schedule allows 10 days development time between the due date of the final cost report for Contract Year 1 and the submittal of the proposed new 2181 budget to the Regional Office. As part of this process, the proposed new 2181’s must address and reconcile the variances between actual and budgeted expense that existed at the end of the preceding contract year. The types of issues that tend to arise during this process are as follows:

- If actual bottom-line spending fell below the year 1 budget, is there a reasonable basis for rolling over the unspent funds and adding them to various line-items in the new 2181 budget for year 2? Or should some or all of the unspent funds from year 1 be deleted from the contract via a net reduction in the estimated cost clause?

- If actual bottom-line spending exceeded the total amount budgeted for year 1, should the new 2181 budget for year 2 be reduced to offset the overspending in year 1 and thus eliminate the need to request an increase in the contract estimated cost? Or should a net increase in the estimated cost of the contract be requested in order to avoid programmatic disruptions that could result from absorbing a year 1 overrun with cuts in year 2?

- Do the line-item variances that exist at the close of year 1 (up or down) represent one-time occurrences or do they represent changes in the underlying ongoing costs for operating the Job Corps center? If the variances indicate changes in the underlying costs, the new 2181 that is being proposed for year 2 must also reflect appropriate changes in the “next year” budget that is provided for year 3.

Unless extensive follow-up or Office of Job Corps authorizations are required, the Regional Office should normally approve the reconciled year 2 budget within 2 weeks after receipt of the contractor’s proposal. The Regional Office and the contractor should schedule this process to ensure that an approved 2181 budget is available in time for use in the first monthly cost report due for Year 2. The reconciled 2181 budget for year 2, along with any necessary contract modification in the estimated cost clause, must be available to the contractor by the 10th day of the second calendar month of Contract Year 2.

c. **Option Year Extensions**

When a Regional Office determines to issue an option year extension, the normal practice prior to the start of the option year is for the Regional Office to issue a contract modification that increases the estimated cost for operating expenses in the amount of the approved “next year” 2181 budget(s) on file
for the “new year.” The Regional Office must further ensure that any estimated cost increase is within the limits of the AAPP/FOP.

Differences between actual and approved spending that exist at the end of the prior contract year may have an impact on the budget for the new year as well as the official estimated cost of the contract. The issues and questions that must be addressed are the same as those discussed above for the reconciliation at the end of Contract Year 1 and may result in a contract modification to revise or “fine-tune” the estimated cost for OA and/or CTS expense.

d. Midyear Revisions

Midyear revisions of the line-item budget are normally processed only when there is a need to change the bottom line of the current year budget. Contractors are not encouraged to propose formal revisions of 2181 budgets merely to shift amounts between line-items in order to minimize apparent “variances,” although such proposals will be entertained by Regional Offices if good reasons are offered. Requests for midyear revisions should be prepared and submitted using FMS and should normally be limited to the following situations:

(1) When there is a pending contract modification approved and funded by the Office of Job Corps for a programmatic change, such as the provision of funds for installing new staff training initiatives. In these situations, the contractor will normally be requested by the Regional Office to prepare and submit a budget revision proposal that distributes the prescribed funds to the appropriate line-items.

(2) When there has been or will be an unavoidable bottom-line variance of material proportion that cannot or should not be totally resolved through changes in spending patterns during the remaining months of the current contract year and which must clearly be accommodated within adjustment in the bottom-line of the budget for the current year.

(3) In line with procedures for the treatment of fee as discussed in a later paragraph, a midyear revision to the form 2181 is required when award or incentive fees are earned and formally incorporated into the estimated cost of the contract via contract modification.

Regional Offices should respond promptly to contractor’ requests for midyear budget revisions. Unless extensive follow-up is required, the Regional Office should resolve these requests within two weeks after receipt of the contractor’s proposal. The Regional Office must ensure, however, that any estimated cost increase is within the limits of the AAPP/FOP established by
the National Office.

5. **Requesting Funding/Budget Adjustments**

Following are basic steps and requirements for preparing and submitting a request for an adjustment in contractually approved budgets or funding.

a. **When is a Formal Request for a Funding/Budget Adjustment Needed?**

A formal request should be prepared and submitted when a contractor believes that a funding/budget adjustment is required in order to maintain the effectiveness of the OA/CTS services AND when such an adjustment will involve a net change in one or more of the major cost categories: C1-Outreach and Admissions; C2-Career Transition Services; D-Student Transportation; or S-Support.

b. **A Word of Caution**

Contractors are discouraged from submitting Requests for Funding/Budget Adjustments except in the most urgent and compelling cases. The DOL expectation is that contractors should maintain staffing and deliver services in a satisfactory manner within the contractually agreed budgets and funding levels. It is also the case that DOL’s contingency reserves are rarely abundant and strictly controlled.

c. **Format**

There are no rigid requirements for formatting a Request for Funding/Budget Adjustment. It is recommended that the request be in the form of a brief explanatory statement along with whatever exhibits or tables are appropriate. Electronic “softcopy” is preferred over hardcopy.

d. **Content**

There are no rigid requirements or specifications concerning the content of a Request for Funding/Budget Adjustment. The level of detail depends on the complexity of the situation. Elaborate presentations are not required, but a concisely stated explanation and justification for the change in funding is required, along with appropriate back-up in the form of pricing data and computations. Requests also should indicate which major categories of expense would be affected. In cases where the increase is of an ongoing nature, it is imperative that the request specify the effective date of the change, the net change in the current contract year and the change amount that will be needed in the next contract year.
e. Submitting A Request

OA/CTS contractors should, via email, submit Requests for Funding/Budget Adjustment to the Director of the Job Corps Regional Office that is responsible for their contract as well as to their Regional Project Manager. It is recommended that this email and its attachments also be “cc’d” to the Budget Team Leader within the National Office Division of Budget and Acquisition Support. These types of requests may be submitted at any time. Contractors should strive to submit these types of requests as soon as possible after the need for a funding adjustment becomes evident.

f. Turn-Around-Time

The Office of Job Corps will strive to provide the contractor with a substantive response to its request within two weeks of submittal, depending on the complexity and/or policy implications of the issues that need to be addressed.

6. Submittal Procedures and Requirements

Contractors submit their 2181 budgets using DOL’s web-based Job Corps Financial Management System (FMS) that is administered by the Job Corps Data Center (JCDC). The FMS has been designed in a way that minimizes the volume of data that must be entered for the 2181, and ensures consistency and accuracy in internal mathematical operations that are present in the budget. Contractors may obtain detailed guidance concerning FMS procedures for entering and submitting 2181s by referring to the FMS Technical Guide that is available from the FMS website.

7. Descriptions and Definitions of 2181 Data Items

The following provides definitions and descriptions of the information that appears on a submitted form 2181 when it is viewed in its printable output form. Form 2181-OA and 2181-CTS budgets are prepared and approved in sets, consisting of a form 2181 for the current year and an additional form 2181 for each future year that remains in the contract. In some instances, as discussed previously, a “Base Year Three” 2181 is also required. The following are brief descriptions of the elements of information entered on the form 2181.

Heading Information

Contract Scope: If the budget is for an OA and/or CTS expense that is funded under a center contract, this is the name of the center. If the budget is for a stand-alone OA and/or CTS contract, this is the geographic area covered by the contract.

Contract No: This is the number of the contract for which data is being
Contractor Name: This is the name of the contractor organization as registered in the FMS. The expectation is that this name will match that which appears on the formal center contract documents.

Budget Number: The initial pair of 2181’s for the first and second contract years (and possibly the third contract year) are numbered “1” and all later pairs of approved 2181s are to be numbered sequentially as they are approved by the Regional Office. The same number is given to both the current year and next year 2181s in a given pair. For example, the pair of 2181s for budget number “1” will include a number 1 budget for the first contract year and a number 1 budget for Contract Year 2.

Approved or Pending: If the budget has been approved by the Regional Office, enter Approved. If the budget is a proposal that is still pending regional approval, enter Pending.

Contract Year Number: This is the number of the contract year for which the form 2181 has been prepared.

Ending: This is the ending date of the contract year (mm/dd/yyyy) that is identified in the Contract Year Number block.

Budget Information

There are two sections, an upper section for the Current Year budget and a lower section for the Next Year budget.

Column Headings

Prior Budget: This column is used to display the last approved budget for the particular contract year. For example, if the form is used for proposed budget number 8, then the “PRIOR BUDGET” column will display the “REVISED BUDGET” from approved budget number 7.

Adjustments: This column is used to display the adjustments being requested or approved, depending on the purpose of the form.

Revised Budget: This column is used to display the revised budget that results from the approved adjustments. The entries under this column are the sums of the entries made under PRIOR BUDGET and ADJUSTMENTS.
Row Headings

Lines 1-9 - OA and CTS Expenses Subcategories: See earlier section of this appendix for definitions of cost categories.

Line 10-Total OA or CTS Expense: Totals of Lines 1 through 9.

Note: Lines 13 and 14 are intended to reconcile to the Estimated Cost Clause in the contract. When the contract is in its final base year or in an option year, these lines will normally appear only in the 2181 for the current contract year. However, if the contract is still within its base period, but in a contract year that is prior to the final year of the base period, these lines will appear in the 2181 that applies to the final year of the base period - which will be either the form 2181 for the Next Year or the form 2181 for Base-Year Three, whichever is appropriate.

Line 13-Prior Year Cumulative: This line displays the actual or budgeted cost through the immediately preceding contract year.

Line 14-Implied Contract Value: This line displays the cumulative budgeted expense through the end of the contract year and consists of the actual or budgeted cost through the end of the preceding contract year and the budgeted cost of the contract year for which this 2181 applies. This represents the contract value that is implied in the 2181 data.

Line 15-Reconciliation to Current Estimated Cost: The information on these rows is intended to reveal whether and to what extent there is disagreement between the form 2181 line-item budgets and the official estimated cost of the contract, with respect to center operations expense. This information includes:

Line 15a-Current Estimated Cost of Contract: This is the current estimated cost of the contract for either OA and/or CTS expense per financial information entered into FMS that has been abstracted from the original contract and its subsequent modifications.

Line 15b-Per Mod Number: This is the most recent contract modification number for which information has been entered into FMS.

Line 15c-Implied Change (14-15a): This is the dollar difference between the implied estimated cost of the contract per the 2181 and the official estimated cost of the contract per the most recent contract modification. If the result is not zero, then there is disagreement between the contract and the 2181. If disagreement exists, the reasons for the disagreement should be researched and identified.
and appropriate corrective action taken.

**NOTE:** When a contract is still within its base period, the data on Line 15a-15c will appear only on the 2181 that applies to the final year of the base period. Depending on the number of years in the base period and the number of the current contract year, this could be the 2181 for the CURRENT CONTRACT YEAR, THE NEXT CONTRACT YEAR, or BASE YEAR 3.

**Contractor Submittal Block**

This block shows the name of authorized contractor representative who is submitting a proposed new 2181 to the Contracting Officer and the date submitted. The signature line is reserved for use when the necessary e-signature technology is applied.

**Regional Office Approval Block**

This block shows the name of the authorized DOL representative who has approved the new 2181 and the date of that approval. The signature line is reserved for use when the necessary e-signature technology is applied.
APPENDIX 504
TAXATION OF JOB CORPS CONTRACTORS BY STATES OR SUBDIVISIONS THEREOF

1. Section 158(d) of the Workforce Innovation and Opportunity Act (WIOA) 20 U.S.C. Sec. 9201 addresses state taxation of Job Corps contractors.

**Taxation of Job Corps Operations Prohibited**

Section 158(d) sets forth the following prohibition:

Transactions conducted by a private for-profit or nonprofit entity that is an operator or service provider for a Job Corps center shall not be considered to be generating gross receipts. Such an operator or service provider shall not be liable, directly or indirectly, to any state or subdivision of a State (nor to any person acting on behalf of such a State or subdivision) for any gross receipts taxes, business privilege taxes measured by gross receipts, or any similar taxes imposed on, or measured by, gross receipts in connection with any payments made to or by such entity for operating or providing services to a Job Corps center. Such an operator or service provider shall not be liable to any State or subdivision of a State to collect or pay any sales, excise, use or similar tax imposed on the sale to or use by such operator or service provider of any property, service, or other item in connection with the operation of or provision of services to a Job Corps center.

2. Whenever a State or subdivision thereof levies a tax against a Job Corps contractor which is prohibited by the provisions of WIOA, the following actions must be undertaken:

   a. The contractor must exhaust all of its State and/or local remedies before payment is made. (This may result in the assessment of interest penalties by the State). In such cases, the payment of penalties is an allowable cost under the contract.

   b. This must be accomplished through a written appeal based on Section 158(d) of WIOA.

   c. After all State and/or local remedies have been exhausted, DOL/Job Corps will provide the contractor with the funds to pay the assessed taxes “under protest.” The check or any other instrument of payment must clearly state the payment is being made under protest. (This statement is necessary to permit the Department of Justice to institute legal action in federal court to recoup the funds expended if the contractor is unsuccessful in its State appeal effort.)

   d. Any center assessed local or state tax must submit the following information to the National Office through the Regional Office:

      (1) Title of tax assessed;
      (2) Authority under which tax is assessed;
      (3) Statement as to whether tax has been paid under protest;
(4) The source of funds used to pay the tax; and
(5) Documentation of actions taken to recover payments made.

e. The Regional Director will advise the center contractor to pay assessed taxes under protest as soon as all State and local remedies have been exhausted in order to keep penalties to a minimum. To the extent that this action results in costs in excess of the estimated contract amount, the Regional Director will request an amendment to the Regional Financial Operating Plan.
APPENDIX 505
ADMINISTRATION AND MANAGEMENT OF JOB CORPS
CONTRACTOR-HELD GOVERNMENT-FURNISHED PROPERTY

1. General Administration

a. Property funded, leased, or owned by U.S. Department of Labor (DOL)/Job Corps and furnished to Job Corps contractors is contractor-held government-furnished property. Government-furnished property (GFP) is administered by the Job Corps National Office, with assistance from the Job Corps Regional Offices, and is managed on location by Job Corps contractors. Appropriate stewardship of government property is a contractual requirement. A National Property Officer for Job Corps is assigned by the Job Corps Administrator to direct and manage the Job Corps GFP Administration Program in accordance with all applicable rules, regulations, and policies.

b. Rules and regulations pertaining to administration of contractor-held GFP are included in the Code of Federal Regulations (CFR), Federal Management Regulations (FMR), the Federal Acquisition Regulation (FAR), and other regulations, including those established by the General Services Administration (GSA). Policies within the Job Corps GFP Administration Program align with those of its parent agency and with the Department of Labor with regard to contractor-held GFP. Job Corps contractors are bound by contract to adhere to applicable regulations and policies for management and stewardship of government property.

c. Rules and regulations pertaining to contractor-held GFP differ from government property in other categories. Property in other categories includes, for example, that which is assigned to federal offices, and property that is held by grantees. Job Corps currently administers the largest volume of contractor-held GFP within the Department of Labor.

d. Job Corps’ Electronic Property Management System (EPMS) and Fleet Tracking Management System (FTMS) are managed by the Job Corps Data Center (JCDC), and help to support the Job Corps GFP Property Administration Program. Because of the high volume of contractor-held inventory, volume of activity, and unique rules associated with contractor-held GFP, the GFP Administration Program (along with the Job Corps EPMS and FTMS) is managed separately from the property and fleet tracking systems established at the DOL level. However, the Job Corps EPMS and FTMS provide information for various reports to DOL and to other federal agencies as needed.

e. The National Office of Job Corps is responsible for providing information to DOL for inclusion in accounting and reporting systems as required.

3 Variances resulting from Job Corps updates are noted.
f. Where requirements or procedures are not included in this policy, Chapter 5, Management Services, and the ETA 359 Property Management Handbook (August 2003) will be consulted. With some variances as noted herein, Chapters VIII (Closeout Procedures) and IX (Motor Vehicles) of the ETA 359 shall be incorporated in whole into this policy, along with all example forms included in the ETA 359 appendices.

g. Contractors are encouraged to review the use of Voluntary Consensus Standards for property management as established by the National Institute of Standards and Technology (NIST), and to make determinations for adopting such standards, as practicable, and wherever such determinations do not directly or indirectly conflict with this policy. (See GSA Bulletin FMR B-18 https://www.gsa.gov/cdnstatic/bulletinb-18.doc for additional information.)

h. Job Corps has not implemented an exchange/sale program for personal property. Therefore, except as otherwise provided in this policy, the sale or exchange of GFP is expressly prohibited.

2. Categories of Contractor-Held GFP in Job Corps

a. Movable Durable Property (MDP): Training equipment, furniture, and vehicles are good examples of MDP in Job Corps. MDP includes property that historically has been referred to as “Personal Property.” MDP is not:

• Real estate property (including buildings, lands, plumbing and fixed appliances like refrigerators, washing machines, etc.)
• Expendable (such as food, paper supplies, and other items that generally are used up or are expected to be used up to meet their purpose, or lose their identity in contract performance)

b. Unless otherwise stated, where the term “property” appears in this policy, it shall be construed as MDP that is furnished or funded by the government to contractors for dedicated contract use.

c. Sensitive and Non-Sensitive MDP:

MDP is categorized in Job Corps as sensitive or non-sensitive. For Job Corps’ purposes, sensitive property is property that is at high risk for loss. All sensitive property in Job Corps must be reported into the EPMS. Non-sensitive property also must be reported if it meets or exceeds the dollar threshold for reporting established by Job Corps (see Section 9, Dollar Thresholds).

d. Fleet:

(1) Fleet vehicles in Job Corps are mobile vehicles that are:
• Leased (usually from GSA)
• Rented (for a special purpose; for instance, a bucket truck for a specific on-site use)
• Owned (purchased outright by the government, or acquired by transfer or donation)

(2) Fleet vehicles are considered MDP but are reported in the Job Corps Fleet Tracking Management System (FTMS) rather than in the EPMS.

(3) Vehicles used exclusively as student training aids in Automotive Career Technical Training Programs are not considered fleet but are considered to be training equipment and, therefore, are reported in the EPMS.

3. Reporting and Accountability

a. Effective July 1, 2010, all non-expendable property with an original purchase cost of $3,000 or higher must be reported in the Job Corps EPMS. In addition, all sensitive property, regardless of original purchase cost, must be reported. (See Section 9 for examples of sensitive property.)

b. All fleet vehicles are considered reportable property. Because of their special category and requirements, fleet vehicles are reported separately from other property. This includes both GSA-leased and non-GSA-leased vehicles (but excludes vehicles used as training equipment in Automotive Career Technical Training programs).

c. Property acquired via incoming transfer or donation shall be subject to the same reporting and accountability requirements as property that is purchased and leased.

d. All property established by Job Corps to be reportable must be entered into the EPMS or FTMS within three days following arrival on site. All property (including leased or rented items) will be entered into the system with required identifying information, including location designation. Reportable items must remain on the contractor’s inventory within the EPMS and/or FTMS until such time as removed following final disposition or transfer from the contract. Entries for final disposition or transfer from the contract are not made by contractors but are completed by authorized federal staff at the Regional Office. Transfers and final dispositions must be approved in advance by the region or the National Office prior to EPMS or FTMS adjustment.

e. Job Corps contractors will establish an internal accountability system for all expendable and non-expendable property. Reportable property, as established by Job Corps in this policy, must be reported in Job Corps’ EPMS. Contractors may use the EPMS to conduct inventory management for other non-expendable property.

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4 This is a variance from the ETA 359 Property Management Handbook (August 2003).
inventory. Accountability systems for expendable and non-expendable property must be described in writing in the Contractor’s Property Management Plan (see below) and are subject, at all times, to review and inspection by the government.

f. Job Corps contractors must comply with the provisions of this policy, and establish and submit a Contractor’s Property Management Plan (CPMP) annually for review and approval by the appropriate Regional Office. CPMPs are due to Regional Offices, attention Regional Property Officer, by October 1 of each year. Regions will review and approve CPMPs by December 1 for implementation annually by January 1. Deficiencies in the CPMPs will be identified by the regions, communicated to contractors, and addressed adequately and timely. The government’s assumption of risk may be revoked where a Contractor’s Property Management Plan is not approved, or where identified deficiencies have not been sufficiently addressed by the contractor. Copies of the regionally approved CPMP will be held in the offices of the Center Director, Property Manager, and Fleet Manager, or other managers of the contractor, as appropriate, and will remain available on-site for inspection by the government.

g. The CPMP will include the following elements for all government-furnished property held by the contractor, and its subcontractors, where the use of government-furnished property exists:

(1) System and procedures used to account for reportable property using a “cradle to grave” approach, from authorized purchase requests (Property Requirements Lists [PRLs]), to receipt of property, to assignment, use, protection, and disposition

(2) System and procedures used to account for non-reportable property (including expendable inventory)

(3) System and procedures used to account for and report fleet vehicles

(4) Management and security measures established to protect and preserve property, including fleet; ensure integrity of purpose; and control and monitor use

(5) Systems and procedures used to ensure that environmentally friendly practices are implemented for property and fleet use, maintenance, and disposition

(6) Training provided to center management and staff for appropriate use, security, transfer, and disposition of property

(7) Actions taken in instances of waste, fraud, misuse, or abuse of property

(8) Actions taken in instances of theft or otherwise missing property
(9) Names and titles of key personnel included in the contractor’s property management system

(10) Specific actions taken to ensure subcontractor implementation into the contractor’s property management system

(11) A basic summary report that reflects, for the prior fiscal year (10/1 through 9/30): (a) all ETA 396 Reports of Missing or Damaged Property submitted for the contract, and subsequent determinations by federal officials; and (b) a report of the total number of property items that were moved into disposition, with a breakdown reflecting how many of those items were “reportable” property items vs. “non-reportable” items, and disposition type, to include redistribution (contractor-to-contractor transfer), GSA transfer, donation, recycling, or disposal

(12) Continuous improvement and quality assurance systems

h. Identification and labeling of property are key accountability elements (see Section 6, Contractor Requirements).

i. Quarterly inventories conducted by the contractor, property assessments and surveys conducted by federal (or federally designated) officials, and annual inventory certifications, are key accountability elements (see Section 6, Contractor Requirements).

4. Support Elements

a. Administration and Management: The Job Corps National Office establishes the GFP Administration Program for contractor-held property, sets policy, provides guidance, and interprets rules and regulations, as appropriate. Job Corps Regional Offices implement policy, oversee, and monitor property actions in their regions. For GSA-leased fleet vehicles, the General Services Administration provides specific guidelines and requirements for use and for reporting in addition to those set by this policy.

b. Technology Systems and Reporting: Both the EPMS and FTMS are web-based applications that are accessible through the Job Corps CITRIX system. The Job Corps Data Center provides training and technical assistance to system users on all Job Corps CITRIX applications.

c. Training and Technical Assistance: On-demand and web-based training is provided to Job Corps personnel with key responsibility for management of property. All federal Project Managers/CORs, Regional Property Officers (RPOs), and other individuals as assigned by Regional Directors or the National Property Officer must complete training as directed. Additional technical
assistance is made available as appropriate by the Job Corps National Office, Job Corps Regional Offices, and the General Services Administration (GSA).

d. **Surveys and Assessments:** Job Corps contractors will perform surveys of property on an ongoing basis. They will also perform assessments of their property management program quarterly, at the time of internal 100% inventory audits conducted by the contractor. Regional Project Managers and/or Regional Property Officers will conduct desk- and on-site monitoring of contractors’ property programs, as appropriate.

e. **Forms and Template:** Forms and templates to document and support property actions are contained in the ETA 359 Property Management Handbook (August 2003) appendices. Where possible, property actions will be documented electronically, and where paper copy is used, forms and templates will be made available on the EPMS and/or FTMS for download and printing. Property forms may be updated, changed, or eliminated as goals to optimize electronic tools, improve efficiency, and reduce paperwork are met.

f. **Continual Improvement:** The Job Corps National Office conducts periodic review of policies and procedures for the administration of contractor-held GFP in Job Corps. Suggestions and concerns may be forwarded to the Office of Job Corps, U.S. Department of Labor, Employment and Training Administration, 200 Constitution Ave., NW, Washington, DC 20210, Attention: National Property Officer.

5. **General Roles and Responsibilities**

a. **Acronyms for Key Personnel:** For purposes of the remaining sections of this policy, references to the Contractor’s On-Site Property Manager will appear as “CPM.” References to the Contractor’s On-Site Fleet Manager will appear as “CFM.” References to the federal Regional Property Officer will appear as “RPO.” References to the federal National Property Officer will appear as “NPO.” References to the federal Contracting Officer will appear as “CO.”

b. An effective system for managing Job Corps contractor-held property necessarily includes adherence to the following organizational roles and responsibilities. Detailed duty descriptions are included in Section 7.

1. **Contractor - On-Site:** includes Center Director, Administrative Manager, CPM, CFM, property custodians, and appropriate IT system users. It is expected that these personnel will be familiar with the guidance contained in this policy and with resources that are available if further information is needed. Contractors must ensure that all on-site personnel understand appropriate uses of government property, implement its requirements, take all prescribed and reasonable measures to protect and preserve property, and that appropriate training is undertaken at each level.
(2) Contractor - Corporate: includes upper management with oversight responsibility for contract performance. Corporate managers must ensure adherence to this policy and ensure that the approved CPMP is responsibly implemented, monitored, and included in regular corporate management reviews, with corrective actions established.

(3) Job Corps Regional (Federal) Officers: includes Project Managers/CORs and Regional Property Officers (RPOs), will provide approvals for specific actions/requests, review and approve CPMPs, enter EPMS adjustments, and provide guidance to contractors.

(4) Job Corps National (Federal) Officials: includes the NPO designated by the Job Corps Administrator, and the National Job Corps Data Center. The NPO establishes Job Corps’ program for administration of contractor-held GFP, in conjunction with DOL, GSA, and other agencies, and works with the Job Corps Regional Offices and contractors to implement and fulfill the goals of the program. The Job Corps Data Center develops and maintains reporting systems for property and fleet, generates reports as requested by National or Regional Offices, and provides training and technical assistance for users of the EPMS and FTMS.

(5) Property Support Contractors: serves as directed by the Job Corps National or Regional Offices. They have limited delegated authority to conduct property reviews and transactions on behalf of the government, and to provide general technical assistance.

(6) Federal Contracting Officers (COs): incorporates Job Corps property policy into DOL/Job Corps contracts, provide formal authorization for contractors to use official government supply sources, and who determine and communicate the extent of contractor liability in cases where relief has not been granted for missing or damaged property.

6. Contractor Requirements

   a. Cognizance and Demonstration of Responsible Stewardship: Job Corps contractors will be cognizant of and maintain knowledge of policies and procedures pertaining to government-furnished property for Job Corps contracts, and will ensure that appropriate management practices are actively in place to establish an effective and responsible system that demonstrates good stewardship of public resources and taxpayer dollars.

   b. Compliance: Contractors will comply with all provisions of this policy and all federal guidelines as outlined in the CFR, FMR, FAR, and Job Corps’ Policy and Requirements Handbook (PRH). For fleet vehicles, contractors also will comply with terms of use and guidelines established by GSA. Contractors will establish and implement procedures to control the use of government property in a way that
protects, preserves, and maintains the property in good operating condition, and must ensure that all government property is used only for the purposes contractually set forth.

c. **Property Management Plan:** Contractors will develop and implement an approved Contractor Property Management Plan (CPMP) that describes effective on-site property management elements, as designated in Section 3 of this policy.

d. **Communications:** Contractors must inform the appropriate federal Project Manager of property requirements in the early stages of project preparation, and provide updated information as needed. Property actions involving purchases, acquisitions, transfers, donations, disposals, and other significant actions must be communicated to the federal Project Manager in advance for approval. For fleet vehicles, requests to add, exchange, or remove vehicles from a location require the advance approval of the federal Project Manager as well as the NPO. Federal Project Managers also must be informed when a new GSA vehicle arrives on center, either as a replacement for a vehicle as part of GSA’s replacement cycle, or as a new addition to the fleet. Similarly, federal Project Managers also must be informed when GSA reclaims a fleet vehicle. The contractor also will inform the federal Project Manager and RPO promptly with regard to changes in contract property or fleet personnel.

e. **Duty Designation:** Contractors must designate an employee as the on-site CPM, who must become familiar with the provisions of this policy and serve as the point of contact for property-related actions, communications, directions, and questions from site personnel. Contractors also must designate location custodians who will assume day-to-day charge of property assigned to their areas and under their immediate supervision. This may include instructional, residential, recreation, maintenance, security, administrative, and other staff throughout various operational locations. The CPM will ensure that location custodians conduct recordkeeping and maintain records for property assigned to their respective areas, and will hold regular meetings (at least quarterly) with location custodians to review and discuss the status and disposition of property. Contractor property meetings will include center administration, CPM, CFM, and location custodians. Records of meetings will be maintained as part of the CPMP, and will remain available for inspection by federal officials at all times.

f. **Training:** Contractors must ensure that employees with designated responsibility for property management, fleet management, and custodianship receive appropriate information and training, to include this policy, web-based training, and face-to-face training as appropriate; are made aware of their responsibilities; and can demonstrate competence related to their property-related duties.

g. **Recordkeeping:** Contractors will retain and secure property and fleet records for inspection by the government during the contract performance period. Property records will be maintained by the CPM, except as otherwise stated in this policy,
and will include completed forms to document receipt, maintenance, movement, modification, and disposition of property; records of quarterly inventories; property meetings; reports of missing and damaged property; staff training; and related support documentation. CFMs will maintain records similarly, as adapted to fleet and fleet reporting systems. Copies of annual property certifications will be maintained by the Center Director or Administrative Manager. Following contract closeouts/transition, all property records will be preserved and retained on site for a period of six years and three months after final payment to the contractor. Property records subject to dispute or audit beyond this period of time must be retained through resolution and are subject to rules established by CFR Title 41.

h. **Inventory Requirements:** Contractors are required to perform (a) a 100 percent -inventory certification of all reportable property on an annual basis, and (b) three 100 percent quarterly inventories of all non-expendable property assigned to the contract. Annual certification packages must be completed by the last month of each contract year (for example, contracts with contract year performance periods of September 1 through August 31 must be completed by August 31, for each contract year), or when requested by the National Property Officer. The annual certification process is intended, in large part, to validate a Master Property Inventory List annually. During the quarterly and annual inventory process, items that are identified as damaged, destroyed, or missing will enter the disposition process and be reported to federal officials as outlined in this policy. Annual inventories are conducted by contractors during the last month of each contract year, are reconciled and certified by a corporate official, and submitted in full to the federal Project Manager/COR, along with a summary memorandum. The summary memorandum will include a total number of items certified and will present and discuss any outstanding reconciliation issues. Failure to submit, or submission of incomplete or inaccurate annual property certifications, will be addressed by the Contracting Officer and reflected on contractor performance reports.

i. **Identification:** All GFP in Job Corps will be permanently marked at time of receipt by the contractor as “PROPERTY OF U.S. DEPT OF LABOR.” Where permanent marking is not possible, semi-permanent markings or labels will be affixed in conspicuous locations on the surface of the property so that they are clearly visible. This applies to all non-expendable property. Reportable property must be bar-coded using the established bar-coding system, wherever feasible. Extra care will be taken to ensure that property unable to host bar-code labeling is accounted for and reported. Property not belonging to the government, such as some Student Government Association (SGA) or Labor Union/NTC property, should be marked as such, be easily distinguishable from government property, and should not be bar-coded. Rented and leased property will not be permanently marked; however, secured labels will be affixed to the item, reading, “Assigned to U.S. Department of Labor.” CPMs, CFMs, and location custodians will inspect property regularly, and at least quarterly, to ensure that GFP is identified, labeled,
and bar-coded as required.

j. **Procedures**: Contractors must:

1. Conduct quarterly and annual inventories and recordkeeping, as described above. Prepare an annual CPMP and submit to the federal Project Manager by October 1 for review, approval, and implementation by January 1.

2. Enter all required information for reportable property in the Job Corps EPMS. An item code is required at the time of initial reporting. Item codes help to ensure the proper identification of an item, particularly those that are non-serialized, and help to reduce guesswork during physical inventories. Item codes are obtained from a drop-down selection menu contained in the EPMS database. Obtain user authorization and access from the JCDC. Direct technical EPMS system questions to the JCDC Help Desk; direct questions related to policies and procedures to the RPO.

3. Enter all required fleet data in the FTMS, at least monthly. Fleet reporting must occur by the 25th of each month for the prior month. Obtain user authorization and access from the JCDC. Direct technical FTMS system questions to the JCDC Help Desk; direct questions related to policies and procedures to the federal Project Manager.

4. Receive and subdivide property for the contract into logical units specified by Location Codes within the EPMS, and assign a Property Custodian to each location. (All facility areas on center will have an assigned Property Custodian.)

5. Maintain a historical file containing records of property procurement, acquisitions, transfers (incoming, outgoing, and internal), modification/cannibalization, missing/damaged property, repairs, dispositions, donation, recycling, abandonment, and destruction.

6. Identify and report missing, damaged, or destroyed government property using the Report of Survey/Inventory Adjustment Report (Form ETA 396), and forward to the Regional Office. All contract staff must be trained on the requirement to report missing or damaged property immediately to senior management. Reports to law enforcement officials will occur at the time it is discovered that property is missing. Efforts to report, locate, and recover missing property will be documented and maintained with the contractor’s property records and will be submitted

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5 Item codes have been updated and streamlined in the Job Corps EPMS (as of July 2010). Item codes will thus vary from those shown in the ETA 359 Property Management Handbook (August 2003).

6 This timeline has been updated to allow time for receipt by contractors of monthly GSA statements; these statements contain data required for FTMS reporting.
concurrently with a completed ETA 396 to the federal Project Manager within 30 days of identification of loss. Items below the $3,000 EPMS reporting threshold may be listed together, if loss is identified at the same time, and reported on a single ETA 396. Items at or above the $3,000 reporting threshold will be reported separately and should not be listed together. Loss of items valued above the reporting threshold must be reported immediately, upon identification of loss, by e-mail to the Regional Project Manager and RPO, to be followed within 30 days by the ETA 396 report along with supporting documentation. The submission of an ETA 396 form to the Job Corps Regional Office automatically requests relief of liability; however, submission of the ETA 396 does not automatically grant relief to the contractor and should not be assumed.  

(7) Receive prior written approval from the federal Project Manager of both the sending and receiving centers for all transfers of property requested from one contract to another. Items that are listed in the Marketplace (Redistribution) section of the EPMS, and are picked up by another contract, will be documented via a fully executed SF-122 Transfer Order – Excess Personal Property. Note that block 14 in this instance does not receive GSA approval, but DOL approval by the appropriate PM (who may delegate signature authority to the Regional Property Officer). **Under no circumstances will property be moved from one contract to another without prior written approval and signature of the appropriate federal staff**, and timely adjustment to EPMS inventories.

(8) Conduct transfer or movement of property within the same contract (and at the same site of operation) through use of a Property Transfer Request, which is a hand receipt, and maintain hand receipts with the records of the receiving custodian. Hand receipts should include the description of the property, serial number (if serialized), old location code, new location code, signature and date of sending custodian, and signature and date of receiving custodian. Copies of all hand receipts must be provided to the CPM at time of transfer. If the property location transfer is expected to exceed 30 days, the CPM must forward copies of hand receipts to the RPO as part of the contractor’s quarterly submission package, for location adjustments in the EPMS.

(9) Conduct physical modification or cannibalization of property only as authorized in advance in writing by the federal Project Manager and RPO, and only where such action constitutes the best available option. CPMs will ensure that items are not “stripped for parts” without advance approval from the Regional Office. Complete records of cannibalized items will be maintained with the appropriate authorizing document(s).

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7 The preceding paragraph contains Job Corps procedural updates that may vary from the ETA 359 Property Management Handbook (August 2003).
(10) Keep documentation for property transactions up to date, including those requiring approval of the federal Project Manager, and send routine actions at least quarterly to the Regional Office for review and processing.\(^8\) Regional officials will review and approve transaction requests as appropriate, and process within 30 days (see Job Requirements for Property Administration – Regional Staff). Non-routine or high-priority actions will be forwarded and processed based on an agreed-upon timeline between the contractor and the Regional Office.

(11) Maintain a suspense file with records of pending transactions (i.e., pending ETA 396 reports/requests, pending disposition requests, etc.). Contractors will follow up with federal Project Managers monthly to finalize outstanding or pending transactions. Transactions pending beyond 90 days in the regions must be communicated by either the RPO or the contractor to the NPO.

(12) Provide for the appropriate and timely disposition of excess property (see Appendix 505a, Disposition of Excess Property).

(13) Coordinate with the federal Project Manager, and with GSA, as directed, to conduct leased-vehicle acquisition, utilization, and maintenance in accordance with this policy and with GSA guidelines. Requests for additions, removals, or exchanges of GSA-leased vehicles, or for vehicle maintenance outside of GSA-authorized guidelines or service locations, will be sent to the federal Project Manager for review and approval, subject to final approval by the National Office. Except as otherwise noted herein, contractors and federal staff will follow the ETA 359 Property Management Handbook (August 2003), Chapter IX, Motor Vehicles, for policies and procedures regarding Job Corps fleet vehicles.

(14) Ensure that a Property Requirements List (PRL) is completed by the contractor and submitted to the federal Project Manager for approval, for all property that the contractor wishes to procure with government (contract) funds, as follows:

(a) Items with a unit cost of $200 or above require the advance review and approval of the federal Project Manager/COR prior to purchase. **Advance PRLs** will be submitted to the federal Project Manager/COR as needed by the contractor.

(b) Items with a unit cost of under $200 do not require advance review and approval of the federal Project Manager/COR prior to purchase. These PRLs may be executed for purchase by the

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\(^8\) This represents a Job Corps procedural variance over the ETA 359 Property Management Handbook (August 2003), Section 3e(3), which requires contractors to forward supporting documentation for all property-related transactions within 10 days.
contractor. However, copies of all internal PRLs will be submitted quarterly to the federal Project Manager/COR along with the quarterly property report for review at the discretion of federal officials.

The PRL format must be consistent and numbered by the contractor in strict numerical sequence. PRL numbers must be easily identified on each page, should the PRL exceed one page or contain attachments. All PRLs shall be readily accounted for and available for review on-site at the request of federal officials. Each PRL must contain the following elements:

- Next sequentially assigned PRL number
- Clear description of the item intended for purchase
- Purpose of item
- Department and location where the item will be assigned
- Unit cost
- Suggested vendor name and location
- Date of purchase (for internal PRLs), or Expected purchase date (for advance PRLs)
- Requestor’s name
- Date of request
- Date received and name of receiver (noted for each item immediately upon receipt)

PRLs are maintained in the on-site purchasing office, matched with shipping and receiving documents upon arrival of goods, and are subject to inspection as part of center assessments, survey, and reviews.

Note that requirements for PRLs are separate from requirements for EPMS reporting. Although PRLs are an important part of property accountability, the PRL process has no bearing on what property is reported in the EPMS.

Ensure that government excess is the first source of supply for obtaining property and equipment, whenever feasible, and that government supply sources are used when they are available and cost effective. Typically, these are GSA supply sources. A Letter of Authority will be received by the contractor from the CO or federal Project Manager/COR, authorizing the contractor to use government supply sources during the performance period. Contractors must possess a Letter of Authority to obtain an Activity Address Code (AAC) and a GSAXcess User ID and password, in order to access GSA supply sources. AACs are contract-specific and are assigned by GSA through the Job Corps Regional Office (usually the RPO); they also may be facilitated through the Job Corps National Office.
The GSAXcess User ID is specific to individuals, assigned for DOL/Job Corps contractors by GSA through a designated official at the Department of Labor in Washington, D.C. **A valid AAC must be established in advance and as part of an application for a GSAXcess user ID.**

(16) Strictly control the use of GSAXcess. Contractors must inform the RPO when an employee applies to become authorized to screen for or list government property and when authorized individuals have terminated from the contract. Such notices must be included in the contractor’s quarterly Property Report to the region.

(17) Ensure that a Property Custodian is designated by the Center Director for each facility area, performs regular audits of property inventory under their stewardship, as appropriate, and immediately reports missing or damaged property to senior management.

(18) Submit a Property Report quarterly to the RPO, to include:

(a) Results of quarterly 100% inventory (for prior quarter)
(b) Reports of missing or damaged property (ETA 396 forms, with supporting documentation)
(c) Copies of hand receipts (for permanent location changes)
(d) Other requests (e.g., SF-120s, 122s, etc.) for approval by the Regional Office
(e) Notes from quarterly property meetings
(f) List of key personnel (with duties for property or fleet, noting any removals or additions)
(g) Training certificates or documentation of completion
(h) GSA Activity Address Code (AAC) for the current contract
(i) List of existing GSA system users (User IDs), noting removals and additions
(j) Highlights, problems, and concerns

(19) Ensure that contract closeout procedures are followed as outlined in the ETA 359 Property Management Handbook (August 2003) policy. Incoming and outgoing contractors will initiate a side-by-side, 100% physical inventory as soon as possible following notice of award. The outgoing contractor will complete a full reconciliation of all property, including all missing or damaged property (by forwarding a completed ETA 396 form to the federal Project Manager/COR not less than 15 days prior to contract end/changeover), and will present a reconciled property inventory of all expendable and non-expendable property, certified by a corporate official, at time of contract end/changeover.⁹

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7. **Duty Requirements for Property Administration**

a. **Center/Contractor Property Managers:**

Each Job Corps contractor will appoint a Contractor Property Manager (CPM) who is a member of the contractor’s operational management team, and has primary responsibility for ensuring that GFP is appropriately identified, used, maintained, protected, accounted for, and reported as required. The CPM will ensure that the procedural requirements of this policy and subsequent updates are implemented; the contractor has established and implemented an approved CPMP; reporting occurs in the EPMS and FTMS as required; documentation is executed and maintained as directed by this policy and by federal regulation; routine quarterly and annual 100% inventories are conducted for all GFP (expendable and non-expendable); location and area inventories are conducted as needed (e.g., following incidences of damage or theft); records are maintained and protected; incidences of loss, damage, destruction, and theft are identified, reported, documented, and processed as prescribed; excess property is identified and entered into disposition status expediently; repair logs are maintained; modification/cannibalization of property occurs only in rare instances of best available option and as approved in advance by the region; Property Custodians are assigned by the Center Director and lists of Property Custodians remain up-to-date; quarterly Property Meetings are held and documented as outlined in this policy; GSA systems are utilized as required and to the maximum extent possible; quarterly submissions, as designated in this policy, are sent to the region; training is provided to center staff, particularly to Property Custodians, to ensure the appropriate use and protection of property; coordination occurs with on-site administrators, fleet, IT, safety and security managers, location custodians, and others as appropriate, to ensure that the requirements of this policy and compliance with federal regulations are fulfilled, and that integrity of use occurs for all property.

b. **Regional Staff:**

(1) Each Job Corps region will appoint a federal Regional Property Officer (RPO) to oversee property requirements and activities by Job Corps contractors within the region. The RPO will work with and provide assistance to the federal Project Managers/CORs in order to review and approve CPMPs; initiate and process Regional Boards of Survey (see Section 8, Contractor Liability); review and approve excess property, transfers, donations, modifications, and disposal of property; and adjust contractor EPMS inventory records, as needed. RPOs provide coordination with contractors in their regions to ensure that substantial stores of excess property are moved forward for disposition in a timely manner; a list of CPMs and CFMs is maintained and kept current for all contracts within the region; communication and training for CPMs and CFMs occurs as needed; GSA Activity Address Codes (AACs) are
obtained by Job Corps contractors; GSAccess User IDs are obtained by contractors, and contractors understand GSA systems that support purchase, acquisition, excess, donation, and disposal; property-related questions by centers and federal staff within the region are answered; regional participation occurs with regard to development of new policies and procedures initiated by the Job Corps NPO or Job Corps Administrator; and that communication occurs with the Regional Director, Regional Project Managers/CORs, and other staff as needed, with regard to significant property-related matters. RPOs also are responsible for ensuring that each contract-operated center within the region reports fleet data, monthly, as required into the FTMS.

(2) Federal Project Managers/CORs hold primary responsibility for oversight of contractor-held GFP assigned to their contracts. They maintain the same property-related authorities as Regional Property Officers; however, Project Managers will consult with RPOs whenever possible when conducting property-related actions and reviews. Disputes will be brought to Regional Directors for determination. Federal Project Managers will conduct inspections and surveys of contractor-held GFP as appropriate, to include on-site and remote spot checks, desk monitoring, EPMS and documentation auditing, and other such assessments as needed. Federal Project Managers/CORs issue, at the direction of the CO, Letters of Authority authorizing contractors to use government supply sources during the performance period, and conduct review of property actions proposed and documented by the contractor, and provide approval (as appropriate). Federal Project Managers shall maintain copies of communications to contractors regarding liability (or relief thereof) in the contract file. Federal Project Managers will ensure that both outgoing and incoming contractors are informed with regard to required procedures prior to contract end/changeover, and will receive and review final property reconciliations and reports of missing/damaged property not later than 15 days prior to the scheduled end/changeover date, for review. The federal Project Manager/COR will monitor joint inventory efforts between incoming and outgoing contractors during the contract transition period, and ensure that a certified final inventory (signed and certified by an officer of the company) is submitted at time of contract end/changeover.

(3) Regional Directors maintain the same property-related authorities as RPOs and Project Managers; however, Regional Directors hold authority to resolve property matters within the region. Regional Directors are encouraged to consult with the NPO and the CO with regard to property-related matters where property cost is $3,000 and above, and in cases that are atypical, unusual, or appear to require guidance beyond this policy.
c. **Job Corps National Property Officer:**

The Job Corps National Property Officer (NPO) develops policies, procedures, communications, and training pertaining to Job Corps’ Property Administration Program as outlined herein; coordinates with GSA and other federal agencies to ensure that Job Corps is in compliance with existing regulations and requirements; remains informed on systems, support, and new and emerging policies and regulations that may affect the administration of contractor-held GFP in Job Corps; coordinates with DOL and National Office officials to ensure that Job Corps’ Property Program operates in alignment with DOL, agency, and other National Office requirements; interprets regulations, orders, policies, and other guidance and provides decisions pertaining to significant property matters; issues procedural waivers as appropriate; provides guidance to CORs and RPOs for actions and duties related to property administration; reviews and makes determinations pertaining to contractor liability and/or replacement of property following regional review, as designated in this policy, and forwards determinations of contractor liability to the appropriate CO for approval; establishes workgroups to address elements of administration and oversight of contractor-held GFP; writes policies and directives for approval by the National Director; holds conference calls and trainings with regional and contractor staff as needed; provides reports and information as required to the National Director and other DOL officials; inspects property activities of Project Managers/CORs, RPOs, and other staff as appropriate; and retains authority to inspect contractor compliance with Job Corps property policies.

d. **National Job Corps Data Center:**

Develops and maintains Job Corps’ Electronic Property Management System (EPMS) and Job Corps’ Fleet Tracking Management System (FTMS); provides technical assistance for EPMS and FTMS users; provides routine and ad hoc reports to the National Office and other federal officials as needed; provides input on development of policies and procedures for contractor-held GFP; and issues guidance as appropriate and in conjunction with the NPO regarding IT equipment utilization.

e. **Contracting Officers:**

(1) At the time of new contract award, the assigned CO issues (or directs the COR to issue) a Letter of Authority to the contractor, to include provisions for the use of government supply sources. The Letter of Authority specifies the contract number and performance period along with other information. A Letter of Authority typically is needed by a contractor in order to obtain a GSA Activity Address Code (AAC). A copy of the Letter of Authority will be maintained by the federal Project Manager/COR, and will be furnished to the National Office or to GSA upon request.
(2) COs will make final determinations for findings of contractor liability, following Regional and National Office reviews. COs will inform the contractor in writing of findings and required actions, or may request that the Regional Director or COR issue such communications. RPOs will monitor the status of National Office recommendations and CO decisions regarding contractor liability for replacement of property or reimbursement of costs, and will monitor contractor compliance accordingly (see below, Section 8, Contractor Liability).

8. Contractor Liability

a. Contractors are responsible for the appropriate use, care, protection, and disposition of government property, and for compliance with this policy and applicable federal regulations. The CPMP is central to establishing an effective on-site property management system, a key consideration during determinations of accountability and liability. Contractors are required to inform the federal Project Manager, in writing, of instances of missing, damaged, destroyed, or stolen property, and contractors may be held liable where appropriate controls or management systems were not being followed. All instances of missing, damaged, destroyed, or stolen property will be reported by the contractor to the region. The appropriate Job Corps Regional Office will receive and review reports of all instances of missing, damaged, or destroyed property and will determine whether relief of accountability is to be granted for inventory that is under the established $3,000 threshold. Items at or above the $3,000 threshold will receive a formal Board of Survey at the Regional Office, and results will be forwarded to the NPO along with recommendations.

Where the Job Corps NPO finds that contractor liability is indicated and relief of accountability should not be granted, in whole or in part, he or she will work with the designated CO to establish the amount of liability and mechanisms to reimburse the government so that the contract is made whole. The CO will make and issue final determinations and provide notice to the contractor, or may request that the Regional Director or COR provide such communication in accordance with the CO’s final determination.10

b. The preferred method for reimbursement, where a determination of liability has been issued by the Contracting Officer, is replacement of property – for both function and cost. Replacement costs will be assumed by the contractor from non-contract funds and will be reflected in corporate accounting records, subject to inspection by government officials. In such instances, contractors will replace the property for function – in the same area on center where the loss occurred – at or close to the original purchase cost of the missing/damaged item. Only in instances where this is not feasible (for example, following contract closeout), will a check payment from the contractor for the amount of liability be forwarded to the

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10 This is a variance between Job Corps updated property administration policy and the ETA 359 Property Management Handbook (August 2003).
federal Project Manager/COR. All such checks will be made payable to the U.S. Department of Labor. Upon receipt, the federal Project Manager will provide copies to the RPO and the CO to provide documentation for closeout of the pending payment, and to authorize adjustment to the contract’s EPMS records. The Regional Office will then forward the contractor’s original check to the NPO, along with an explanation of payment.

c. Where missing/damaged property is reported during contract closeout, the outgoing contractor will be notified of either Job Corps’ or the CO’s findings, in accordance with this policy, for relief of accountability. Notice of findings/final determinations will be provided to the contractor within 30 days under normal circumstances. Such requests for relief of accountability will follow the same procedures as outlined in this policy for all missing or damaged GFP. Job Corps does not grant automatic relief of accountability in instances where more than 30 days have passed to notify contractors of liability decisions. The CO holds final authority to apply discretion, relative to the circumstances, regarding a reasonable and appropriate time frame for notice to a contractor.\(^{11}\)

d. Non-capitalized GFP generally is not depreciated for purposes of determining contractor liability. Conversely, capitalized property generally is depreciated for purposes of determining contractor liability. The CO may make determinations regarding depreciation at the time of his or her review, if liability is indicated.

9. **Dollar Thresholds**

   a. **The dollar threshold for reporting of contractor-held GFP in Job Corps’ Electronic Property Management System (EPMS) is $3,000.00, except for sensitive property.** All sensitive property as outlined in this policy will be reported in the EPMS. Non-sensitive property below an original purchase cost of $3,000 is not required to be reported in the EPMS. Job Corps contractors *may* use the EPMS as an inventory system to assist in managing all non-expendable GFP, but it is not required.

   b. **There is no dollar threshold for sensitive property.** All sensitive property must be reported in the EPMS. Sensitive property includes electronics, computers, printers, telecommunications devices, video and audio devices (including DVD players), flat screens and monitors, and items that are serialized. Sensitive property also includes power tools (including powered hand tools), tool kits, and specialty tools and equipment used by career technical training (CTT) programs or by center operations and maintenance. When in doubt, contractors may contact the appropriate RPO for additional guidance.

   c. The following property is **not** considered sensitive property in Job Corps: landline telephone systems and phone sets, handheld calculators, and cell phones.

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\(^{11}\) This is a variance between Job Corps updated property administration policy and the ETA 359 Property Management Handbook (August 2003).
However, cell phone replacements will not be funded from contract funds.

d. **The dollar threshold for contractor reporting of missing, damaged, destroyed, or stolen property is $0.00.** All property that is found to be missing, damaged, destroyed, or stolen must be reported by the contractor to the federal Project Manager/COR by way of the ETA Form 3-96, and with all supporting documentation/reports as prescribed in this policy.

e. **The dollar threshold for Job Corps NPO review of Boards of Survey and contractor liability is $3,000.00.** The Regional Office will review all instances of property loss under $3,000.00, and where the region finds that relief should be granted, the federal Project Manager/COR will directly communicate that finding to the contractor and maintain such communications in the contract file. Instances of loss $3,000.00 or above will be forwarded to the NPO along with the results of the Regional Board of Survey, for review and action as described herein.

Related documents include:

- Appendix 505a, Disposition of Excess Property in Job Corps (Procedures)
- Form 5-06, Property Custodian’s Request to Designate Excess Property
APPENDIX 505A
ADMINISTRATION AND MANAGEMENT OF JOB CORPS
CONTRACTOR-HELD GOVERNMENT-FURNISHED PROPERTY:
DISPOSITION OF EXCESS GOVERNMENT-FURNISHED PROPERTY

1. Introduction
   a. Contractor-held excess property is Government-Furnished Property (GFP) that is considered no longer of use to the contract. This can be surplus property, property that is old or obsolete, property that is damaged, property that is unsafe, or property that is otherwise serving no effective useful purpose on the contract. There are strict rules around how to handle excess GFP, and laws and regulations pertaining to handling of certain items during disposal (for example, electronics). Authorized actions for excess property must be approved in advance by the federal Project Manager/Contracting Officer’s Representative (COR). Such actions include transfers (internal and external), donations, cannibalization (in rare instances), and disposal. Except for sale of scrap material to bona fide recycling companies, as outlined in this policy, there is no sale of property or exchange/sale program in Job Corps, and no sale or exchange of property is authorized outside of sales by the government through General Service Administration (GSA). Each of the authorized pathways for disposition of property is described below. This guidance cannot contain all available information pertaining to authorized disposition of property.

   b. Job Corps Contractor’s On-site Property Managers (CPMs) must become familiar with the procedures that support the disposition of excess GFP; it is a substantial part of the job and requires attention to detail. The CPM always should consult with the federal Project Manager or RPO when in doubt or when clarity is needed.

2. Requirements
   a. Contractors will follow the steps given under Section 3, Process, below for disposition of GFP. Circumstances not addressed in this policy will be brought to the attention of the Regional or National Property Officer.

   b. Contractors will submit a written report as part of the annual Contractor’s Property Management Plan (CPMP), which reflects the number of property items that were authorized for disposition for the prior fiscal year (October 1 through September 30), with a breakdown of disposition type (e.g., Transfer/Redistribution [contractor-to-contractor within the Job Corps system]; Transfer/GSA [external transfer]; Donation, Recycling [including any monies received by Recycling companies and directed to Student Government Association environmental initiatives], and Disposal).
c. Acquisition of GFP by private individuals is expressly prohibited, regardless of the disposition status of the property. Evidence of such activity will establish a basis for legal action/investigation. Contractor managers will ensure that this restriction is included in training for all employees.

3. Process

a. Property considered no longer of use to the contract must first be deemed *excess* by the CPM, as appropriate. Property custodians will inform the CPM of property that they feel should be categorized as excess, using the report entitled “Administration and Management of Job Corps Contractor-Held Government-Furnished Property: Custodian’s Request to Designate Excess Property” (Form 5-06). The CPM will review the information and condition of the item and confirm (or reassign) a condition code, and initial the form. Where equipment is re-assigned internally (for re-use within the contract), an Internal Transfer of Property/Hand Receipt will be completed. Where equipment is not re-assigned internally, the CPM will note on the report that the item(s) has moved into disposition, initial and date the form, and affix an adhesive label to the item in a conspicuous location that contains the Disposition Condition Code. This establishes the entry of that item into disposition status. Items moved into disposition status will be reported on an SF-120 and forwarded to the region with the quarterly property submission, for regional review and approval.

b. Condition Code Designations and Descriptions

<table>
<thead>
<tr>
<th></th>
<th>Excellent Condition</th>
<th>Property in new or unused condition, which can be used immediately without modifications or repairs.</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>Usable Condition</td>
<td>Property that shows some wear, but can be used without significant repair.</td>
</tr>
<tr>
<td>7</td>
<td>Repairable Condition</td>
<td>Property that is unusable in its current condition, but can be economically repaired.</td>
</tr>
<tr>
<td>X</td>
<td>Salvage Condition</td>
<td>Property that has value in excess of its basic material content, but repair or rehabilitation is impractical or uneconomical.</td>
</tr>
<tr>
<td>S</td>
<td>Scrap Condition</td>
<td>Property that has no value above its basic material content.</td>
</tr>
</tbody>
</table>

c. All property that has been deemed as excess by the contractor, regardless of condition, must be approved by both the CPM and the Center Director. All SF-120 Reports of Excess Personal Property must contain the signature of both the CPM and the Center Director prior to being forwarded to federal officials for final approval.
d. Contractors should note that as a general rule, computers that are taken offline or replaced should not be reused on center. Doing so often creates system glitches and technical problems on the Job Corps network. Guidance for how to handle old computers, or computers that have been replaced, appears at the end of this section.

e. “Sighting” of Property Generally Rescinded

In the past, contractor-held GFP that was requested to be moved into excess status in Job Corps was required to be “sighted” by a federal representative (or authorized designee). This standard requirement, although it helped to control unauthorized disposition of some property, brought unintended consequences. First, it caused long-term buildup of surplus and excess property on Job Corps centers. Second, it did not, in itself, provide the internal controls that would effectively assist contractors in ensuring that only federally authorized actions took place with regard to those items.

With the issuance of this updated policy, the requirement for federal representatives to conduct an in-person visual inspection (or “sighting”) of the proposed excess property is generally rescinded. However, the federal Project Manager/COR, RPO, or the NPO retains the authority to require that the property submitted for excess by the contractor be held for visual inspection (by a federal representative) prior to approving the property as excess. All approvals shall be made on a case-by-case basis at the federal regional or national level. In most cases, the contractor will request property to become excessed by using the SF-120, Report of Excess Personal Property, and this form will be reviewed by the federal Project Manager and the RPO. The region may request photographs and additional supporting information as part of its review, and may disallow some or all of the items requested for excess or disposition on a single SF-120.

f. SF-120s – Report of Excess Personal Property

All items reported by the contractor as excess property must be submitted on an SF-120 for review and clearance by the appropriate federal official (Regional Project Manager or RPO). This includes all non-expendable items, not just items that are reported in the EPMS. For example, a set of chairs that are not reported in the EPMS must still be reported on an SF-120 when the contractor is requesting that they be excessed and moved into disposition. Items contained in the EPMS inventory will be reported on a separate SF-120 from those that are not.

g. SF-122 and SF-123 – Transfer Order/Excess/Personal/Surplus Property

Transfers of items from one contract to another, or outside of the contract (to GSA or State Agencies for Surplus Property), are requested and approved by using the SF-122 (Transfer Order Excess Personal Property) or the SF-123

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12 This update represents a variance over the ETA 359 Property management Handbook (August 2003).
(Transfer Order Surplus Personal Property) form. The requested transfer actions must be approved by the assigned federal Project Manager or the RPO, for both the sending contract and the receiving contract, as appropriate. The SF-122 is used for intra-agency transfers (for Job Corps contract-to-contract property); the SF-123 is used to list property with GSA for transfer or sale. If the item is transferred to GSA, GSA may generate an automated transfer form, which will be printed by the CPM, a copy of which will be sent by the contractor to the region as part of its quarterly property report. Otherwise, all completed SF-122s and SF-123s (original forms) will be forwarded to the RPO as part of the quarterly report.

Copies of the completed forms will be maintained with the contractor’s on-site property records and remain available for inspection by government officials.

h. Disposition Pathways (Non-Computerized Equipment)

Disposition Pathways vary according to property type and condition code. Computerized equipment should follow the guidance provided below (Section i). For all other property/equipment:

(1) **Condition Code 1 – Excellent**: This property is in new or unused condition and can be used immediately without modifications or repairs. Once federal approval has been obtained via the SF-120 process, this equipment should be moved by the contractor within the EPMS listing into “redistribution” status, for listing on the EPMS Marketplace for 21 days, to make it available for other contractors (Computers generally are not eligible for redistribution in Job Corps; see guidance below for Computerized Equipment [Section i]).

(a) If the property is wanted by another contractor, the SF-122, Transfer Order Excess Personal Property, will be completed by the holding contractor (CPM), and forwarded to the federal Project Manager for approval/signature, who will forward to the receiving Project Manager for approval/signature.

(b) Following a period of 21 calendar days under redistribution status, if no interest has been expressed by another contractor, the property must be listed with GSA via GSAXcess for a period of 21 days or with the local State Agency for Surplus Property (SASP) ([https://www.gsa.gov/portal/content/100851](https://www.gsa.gov/portal/content/100851)). If no activity has been generated as a result of the above actions, the property is then eligible for donation.

(c) **Donations**: Donations may be made to state and local governments, public libraries, public schools, nonprofit organizations whose primary mission is training or education (e.g., Head Start programs), and where none of the above entities are able to receive
the equipment, to other bona fide nonprofit organizations. (Note that religious organizations are not eligible.) Donations will be documented with the following information: printout of the EPMS list that shows the property, line by line, to be donated (where applicable); the approved SF-120 Report of Excess Personal Property; and a completed Certificate of Abandonment or Destruction (the “Donate to Public Body” option will be marked). At time of donation, recipients must initial and date each inventory item shown on the EPMS listing (to document receipt of each item); and note “RECEIVED,” date, taxpayer identification number of the organization, and name and signature of the individual who is receiving the item(s) for the organization. The CPM will make a notation to the SF-120 “DONATED,” date, name of organization to which the equipment was donated, and provide his or her name and signature. The CPM and Center Director will witness the donation and provide attestation on the lower half of the Certificate of Abandonment or Destruction form. The contractor will maintain original documentation in the on-site property records; copies will be forwarded to the federal Project Manager as part of the quarterly property report. The federal Project Manager will review the documentation and approve inventory adjustments by the region, as appropriate.

(2) **Condition Code 4 – Usable:** This property shows some wear, but can be used without significant repair. This property will follow the same procedures as those shown for Condition Code 1.

(3) **Condition Code 7 – Repairable:** This property is unusable in its current condition but can be economically repaired. Such equipment should follow the same procedures for Condition Code 1, however, may also follow the procedures for Salvage or Scrap if the property is not transferred internally via the EPMS Marketplace (Redistribution), or via GSA or the local SASP, or if no suitable donation entity (as outlined above) can receive the equipment after reasonable attempts have been made and documented.

(4) **Condition Code X – Salvage:** This property has value in excess of its basic material content, but repair or rehabilitation is impractical or uneconomical. This property may not be listed for internal redistribution. It should be listed with GSA via GSAXcess or the local SASP may be contacted ([https://www.gsa.gov/portal/content/100851](https://www.gsa.gov/portal/content/100851)) to pick up the item(s). If, after documenting those efforts and after a reasonable period the property has not been transferred or picked up, it should be recycled wherever possible, or as a last resort, disposed of.

(a) **Recycling:** Property to be recycled must be obtained by a bona
fide, licensed recycler/scrap dealer. Pick up of items for recycling will be documented with the following: printout of the EPMS or other inventory listing that shows the property (line by line) to be recycled or scrapped, where applicable; the approved SF-120 Report of Excess Personal Property; and a completed Certificate of Abandonment or Destruction. The “Scrap Dealer” option will be marked. At time of pickup, dealers must initial and date each inventory item shown on the inventory listing (to document receipt of each item); and note “RECEIVED,” date, taxpayer identification number of the organization, and name and signature of the individual who is receiving the item(s) for the organization. The CPM will make a notation to the SF-120 as either “RECYCLED,” or “SCRAPPED,” date, name of company receiving the property, the dollar amount of monies received from the company as a price paid to the contractor for materiel, if any, and CPM’s name and signature. The CPM and Center Director will witness the dealer pickup and provide attestation on the lower half of the Certificate of Abandonment or Destruction form. The contractor will maintain original documentation in the on-site property records; copies will be forwarded to the federal Project Manager as part of the quarterly property report. The federal Project Manager will review the documentation and approve inventory adjustments by the region, as appropriate. Any monies received as a result of sale of scrap will be directed to the Student Government Association’s environmental initiatives fund (see Section i(11) for additional guidance).

(5) **Condition Code S – Scrap:** Items in this category may go directly into a pathway for Recycling, as noted above, wherever possible. **The disposal of GFP will occur only as a last resort,** after all other potential pathways for disposition have been exhausted. Disposal will follow the same procedures as those for recycling, except that the Certificate of Abandonment or Destruction will be marked “Abandoned in Place,” and in the explanation section, method of disposal should be noted (e.g., for pickup by waste management company, taken to landfill, etc.) In this instance, there will be no signature of a receiving organization or business; however, the Certificate of Abandonment or Destruction must still be witnessed by both the CPM and the Center Director.

(a) Acquisition of GFP in any disposition status, including Salvage or Scrap, by private individuals is expressly prohibited, and evidence of such activity will establish a basis for legal investigation.

(b) **Electronic Equipment:** The disposal of electronic equipment must follow federal, state, and local laws and regulations, and its
handling must be documented. Only waste management companies licensed to handle electronic equipment may receive and dispose of such equipment. For additional assistance in disposal of electronic equipment, contact local city or county Public Works offices. You also may visit http://www.epa.gov/wastes/conserve/materials/ecycling/donate.htm for additional resources.

i. Disposition Pathways (Computerized Equipment)

(1) Computers should be organized separately as working or non-working, physically identified as such, and stored and protected in a designated area away from operating computers. An SF-120 (Report of Excess Personal Property) will be completed, with federal authorization signature (usually the federal Project Manager), for all excessed computer equipment. A single SF-120 may be completed for all computer equipment excessed at a single point in time and will include all standard identifying information (e.g., description of item, serial number [as available], etc.).

(2) Excess computers (whether working or not) are not eligible for redeployment on center or redistribution via EPMS Redistribution/Marketplace, and should not be listed for internal transfer within Job Corps.

(3) Working computer equipment that is identified for donation must be physically identified as “Pending Donation.”

(4) Prior to donation or disposition, hard drives of computerized equipment will first be sanitized and all student records and/or personally identifiable information (PII) will be removed in accordance with Job Corps policy. A DL-155 form must be completed at the time of sanitization and maintained with the contractor’s property records.

(5) Actions for donations of working computers to an authorized donation site are initiated. Contractors should first attempt to identify an authorized Computer for Learning (CFL) donation site wherever practicable (http://computersforlearning.gov). Once an authorized donation organization is identified, a Certificate of Abandonment & Destruction will be completed. Donation recipients must initial the SF-120 for each item received, and provide a notation on the Certificate of Abandonment & Destruction indicating “RECEIVED,” organization name, signature, and date.

(6) A copy of the approved SF-120, along with the DL-155, EPMS listing that identifies the items donated, and a completed Certificate of Abandonment & Destruction will be forwarded to the RPO for review and subsequent
removal of donated items from the EPMS listing.

(7) Where CFL donation is not available, usable equipment should be listed with GSA (via GSAXcess) for external transfer or sale, or the local State Agency for Surplus Property (SASP) should be contacted (https://www.gsa.gov/portal/content/100851).

(8) Where GSA is unable to transfer or sell property or where the local SASP has been unable to pick up the property within a reasonable period of time, other donation organizations may be considered. These include local/state governments, libraries, and nonprofit organizations with an educational mission such as Head Start. Such donations shall only be made to legal nonprofit organizations with tax ID numbers. Note that donations to religious organizations are prohibited. All procedures described above for donation of property must be followed in every instance.

(9) Where equipment is not donated, or transferred via GSA or the local SASP, CPMs may contact their local city or county Public Works offices for assistance, and may also visit UNICOR (see item 10 below), or visit http://www.epa.gov/wastes/conserve/materials/ecycling/donate.htm for additional resources. Computer equipment and electronics must follow specific environmental regulations for recycling or disposal. Contractors will ensure that any waste disposal companies used for disposing of computers and electronic equipment can demonstrate that they are licensed to handle electronics waste recycling or disposal and are in compliance with existing federal, state, and local environmental regulations. The same procedures apply for recycling of computers and electronics as those outlined above for donation, except that the “Scrap Dealer” box will be checked on the Certificate of Abandonment or Destruction.

(10) UNICOR is a federally supported organization with a major focus on the recycling of computers, electronics, and other materials. In certain U.S. areas, UNICOR will pick up recyclables, including computers and other equipment, where there is a sufficient amount of material (usually 15 or more computers; however, other equipment may be added to increase the size of the UNICOR pickup). UNICOR operates major donation warehouses where items may be dropped off in Atlanta, GA; Miami, FL; Inglewood, CO; Sheridan, OR; and Landover, MD. The same procedures for donation will apply, as specified above, for all UNICOR donations. UNICOR offers information and customer assistance by phone and online. Visit http://www.unicor.gov/recycling.aspx for more information.

(11) Funds received as a result of scrap sales will be directed to the Student Government Account (SGA) and recorded as a credit to the SGA Environmental Initiatives fund. The date of the credit to the SGA account
will be within three business days of the date of sale and pickup of items. The SGA will use the funds in this account to support environmental projects and initiatives on center, and will conduct such activities in accordance with established SGA procedures.

(12) Failure by the contractor to obtain, maintain, and produce records that support required procedures at the request of the government could result in findings of liability assessed against the contractor.
APPENDIX 506
FINANCIAL MANAGEMENT FOR CIVILIAN CONSERVATION CENTERS (CCC)

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A. INTRODUCTION

1. Scope

Appendix 506 contains instructions and requirements for budgeting and reporting the costs of operating Job Corps centers that are administered by federal conservation agencies. This appendix is applicable only to federally-operated centers (contractor-operated centers should refer to Appendix 502). Federally administered Job Corps centers are referred to as “CCCs,” which stands for: Civilian Conservation Centers. The federal agencies that administer the CCCs are referred to as “CCC agencies.”

The requirements of this appendix address: (i) the reporting of the actual costs of operating the federally administered centers, and (ii) the procedures for revising the initial annual center budget that is approved at the outset of each Program Year. This appendix is not intended to supersede U.S. Department of Labor (DOL) guidance and instructions that are contained in the annual “budget formulation package” that is issued to the CCC agencies prior to the start of each Program Year. If any budget formulation instructions appear to be in conflict with the requirements of this appendix, the budget formulation instructions shall prevail.

2. Purpose

The procedures and requirements contained in this appendix are intended to serve as the basis of a financial management system that provides Job Corps Program Managers at several different levels with important information for managing resources and determining the efficient allocation of funds. The Job Corps center Financial Management System (FMS) provides for:

- Periodic, detailed review of actual expenses and a comparison between planned (budgeted) versus actual expenses
- The reliable identification of variances from budget that may require corrective action
- The ratification of appropriate adjustments in current year budgets
- The compilation of nationwide Job Corps cost data for inclusion in reports to the Congress and the public

B. SYSTEM OVERVIEW

1. Uniform Cost Categories

A major feature of the Job Corps center FMS is the use of uniform cost categories that allow for comparability between centers and for the compilation of national and regional totals for analytical and public reporting purposes. The cost categories have been defined in a way that will provide information that is immediately relevant to ongoing managerial and oversight functions.
2. Initial Budget Formulation

CCC budgets are formulated annually in a cycle that coincides with the July 1 through June 30, which is referred to as the Program Year. Several months prior to the start of a Program Year, the DOL-Office of Job Corps issues budget/planning instructions to the CCC agencies to initiate the budget formulation process. One of the main outcomes of this process is the development of a detailed line-item budget for each CCC that is approved at the outset of the Program Year. This approved budget, often referred to as the Program Operating Plan, provides the basis for fund transfers to the CCC agencies throughout the Program Year.

3. Reporting of Actual Expenses

CCCs are required to report expenses on a quarterly basis. The reports are prepared using Form 2110F CCC Financial Report and Form 2110HQ CCC National Roll-up Report. These two reports have been designed to display line-item data for expense in the current quarter along with cumulative expense incurred since the beginning of the current Program Year.

4. Comparing Actual Versus Planned Expense

With respect to Center Operations Expense, the financial reports for CCCs require a comparison of actual expenses versus planned expenses. The comparison of actual versus planned expense at CCCs is in the context of the line-item budget that is in place for center operating expenses during the current Program Year. CCC agencies may elect to make these comparisons through either one of two methods.

The first method is for the CCC agency to break out the budget for the entire current year into an internal quarter-by-quarter line-item budget that takes into account the seasonal variations that influence some line-items (e.g., fuel and utilities). This internal budget is then used to supply the amounts of the “planned” line-item expenses for the current year to date.

The second method is to compute the current year expenses that are “planned” through the end of the current quarter via a simple straight-line proration of the approved budget for the entire current year. The proration of planned expenses is on the basis of days rather than months. This second method, though not as precise as the first, is deemed to be adequate for Job Corps financial management purposes. The method of reporting Planned Expense cannot be changed during a contract year.

5. Displays and Evaluation of Variances

For center operating expense, the cost reports for CCCs will identify budgetary variances by individual cost category on a Program Year to date basis. A line-item variance is simply the difference between planned program year to date cost and
the actual program year to date cost.

For individual line-items, 1-29, an explanation is required if the variance is 5 percent or more (plus or minus) of the line-item amount budgeted for the entire Program Year. However, no explanation is required if the dollar amount of the variance for an individual line-item represents less than 0.1 percent of the total Center Operations Budget (Line 30) for the entire Program Year. To illustrate: if the program year budget for a particular line-item is $100,000, the first alternative variance threshold is $100,000 x 5.0 percent = $5,000. If the total Center Operations Budget for the Program Year is $8,000,000, the second alternative variance threshold is $8,000,000 x 0.1 percent = $8,000. Since $8,000 is the larger of the two dollar amounts, then $8,000 is used as the variance threshold for the budget line-item in question instead of $5,000.

An explanation is further required whenever the current program year to date total, Actual Expense for Center Operations, exceeds the Planned Total Expense by an amount equating to one percent of the total budget for the current Program Year.

Please note that the thresholds for explaining variances are determined as a percentage of the full year's budget amount. This might appear contrary to intuition, which would say that the variances should be viewed in terms of planned expense to date. The purpose of the non-intuitive approach prescribed above is to lessen the narrative reporting burden relative to variances that occur in the early months of the Program Year. This permits CCC agencies an opportunity to resolve or reverse variance trends before being officially required to explain them to DOL.

Reported variances may occur for a number of reasons, including: (i) erroneous assumptions in the formulation of the budget, (ii) unforeseen events requiring greater or fewer financial resources than anticipated, (iii) poorly controlled spending, and/or (iv) reporting or computational errors. The identification and analysis of variances may lead to a wide range of corrective actions, including:

- Spending constraints to reduce or stabilize overruns
- Increased spending in areas where adequate resources have not been utilized to provide prescribed services
- Improvements to internal administrative control systems and the provision of training to appropriate staff
- Budget revision request to more reasonably distribute financial resources between cost categories
- Initiation of requests for an increase or decrease in the approved budget in order to adjust for unforeseen cost or program changes
6. **Formal Budget Revisions**

   After the initial program year budget for a CCC has been approved by DOL, it is generally appropriate to accomplish a formal revision to the budget only when there is a net change in bottom line center operating costs or when a realignment of existing funds is clearly needed to resolve a gross misallocation of resources. However, frequent reshuffling of funds between line-items as a means to make reported variances go away is not generally considered a useful or legitimate practice.

   *Detailed procedures and requirements for developing, submitting, and approving formal budget revisions are addressed in a later section of this Appendix, 506. These procedures have been crafted to ensure consistency with the relevant provisions of the Interagency Agreements that are in place between DOL and the CCC agencies.*

7. **Related Financial Management Systems**

   The requirements and procedures of this appendix have been designed in a way that is intended to ensure or promote consistency of data across different aspects of CCC financial management: DOL/Job Corps allocation of funds for CCCs; detailed operational budgeting; quarterly cost reporting; and interagency fund transfers to cover CCC and CCC-related costs.

   a. **Job Corps Fund Allocation System (JFAS)**

      JFAS is a web-based in-house information technology (IT) application that is used by DOL/Job Corps to control the allocation of funds to all Job Corps program activities, including those conducted at CCCs. It is DOL policy to share various types of JFAS reports and documents with its center operators, including CCC agencies. The JFAS reports and data sheets that are available to contractors include: the Budget Worksheet, Program Operating Plan Detail Report, Financial Operating Plan (FOP) Allocations Report, and the Budget Authority Transfer Requirements Report. These reports will help CCC agencies to identify discrepancies and pending issues that require follow-up action.

      Pending the development of an IT application that will provide CCC agencies with direct access to their respective JFAS reports and documents, the Office of Job Corps, or the center’s Regional Project Manager, may provide CCC agencies with copies of these reports (which are normally available in PDF format) on a routine scheduled basis or upon request of the CCC agency.
b. **Job Corps Financial Management System (FMS)**

CCC agencies fulfill most of their financial reporting and operational budgeting responsibilities using the Job Corps FMS, which is a web-based IT application administered by the Job Corps Data Center (JCDC). The FMS is used by CCC agencies to prepare and submit quarterly 2110F cost reports, 2110HQ cost reports, and 2110S monthly staff vacancy and separation reports. At some point in the future, the FMS will also be used to enter annual program operating plans/budgets. Data entry procedures can be found on the JCDC website and in training and orientation materials that have been published by JCDC. The FMS is a secure IT system, with access being controlled by user names and passwords.

c. **Interagency Fund Transfers**

DOL provides CCC agencies with Job Corps funds through interagency non-expenditure fund transfers that are processed through the Treasury Department. Such transfers are routinely accomplished on a quarterly basis, but special, ad-hoc interim transfers are made when circumstances so require. The amounts transferred by DOL are formulated using data that is aggregated from the JFAS system.

C. **COST CATEGORIES**

1. **Overall Structure of Categories**

The cost categories that are used on center cost reports and budgets are structured as follows:

a. **Center Operations Expenses**

This category includes staff salaries and benefits, supplies, materials, utilities, fuel, food and all other day-to-day operational expenses that are incurred at a Job Corps center. For CCC budgeting and reporting purposes, Center Operations Expenses are subdivided into Direct Expense and Program Direction Expense.

  - **Direct Center Operations Expense**: These are expenses that are incurred directly at or on behalf of an individual CCC. Direct center operations expense is subdivided into 29 different line-items, two of which are reserved for possible later use. These line-items are separately displayed on Page 2 of the 2110F report. The types of expenses covered in the various line-items are defined and described in detail in a later section.

  - **Program Direction**: This expense category refers to the general administrative and overhead costs of the CCC agency that are
incurred to supervise and support its CCCs. Examples of Program Direction Expense might include financial management support, personnel support, procurement support, and executive direction. These costs are normally incurred at district, regional, and/or national office levels within the CCC agency. In each program year’s budget, DOL provides funding to CCC agencies for these costs in an amount that equals six percent of the total of direct CCC costs approved by DOL for that Program Year, but excluding Construction/Rehab funds. CCC agencies report actual Program Direction Expense on a national roll-up basis only.

In the quarterly 2110F output reports that are generated by the Job Corps FMS (which are based on data supplied by a CCC agency), the total Program Direction Expense that is reported at the national level is prorated among the individual CCCs based on each CCC’s student slot level. This proration of Program Direction Expense to individual CCCs is done to ensure comparability of CCC cost data with the costs being reported at contractor-operated Job Corps centers.

Transfer-of-Station Fund: An allocation of program direction funds equivalent to 0.25 percent of all initial center operation (A) funding at the inception of each Program Year will be established for the agency to use in filling Center Director vacancies.

This allocation will be transferred to the agency headquarters and expensed on the headquarters quarterly 2110F. Transfer-of-Station (TOS) costs are not to be transferred to or expensed on individual center 2110Fs.

The 0.25 percent allocation of program direction funds will be for TOS only, and the agency is responsible for administering and managing this fund. Once these funds are expended, no additional funding may be used for TOS, unless a supplemental request for funding is received and approved by DOL. Using the third quarter 2110F as a guide, DOL and USDA will work together to determine if all TOS funding will be used by the end of the Program Year; and if excess funds remain, that amount of funding authority will be taken back/withheld in the final Program Year transfer.

b. Center Capital Expenses

This expense group consists of the following four major expense categories:

- Construction, Rehabilitation, and Acquisition (CRA)
- Capital equipment
• GSA Vehicle Rental
• Career Technical Skills Training (CTST) materials

These four categories are separately displayed on cost reports and in the program operating plans.

c. **Student Transportation Expense**

This cost category refers to Job Corps-paid expenses for inter-city transportation of new enrollees and students.

d. **Outreach and Admissions (OA) Expense**

This cost category applies only when a CCC’s program operating plan expressly includes funding for the provision of activities for the outreach and admission of new students. When a CCC’s program operating plan does contain Outreach/Admissions funding, supplementary cost reports and budgets must be prepared.

e. **Career Transition Services (CTS) Expense**

This cost category applies only when a CCC’s program operating plan expressly includes funding for the provision of career transition services to graduates and former enrollees. When a CCC’s program operating plan does contain Career Transition Services funding, supplementary cost reports and budgets must be prepared.

f. **Other Expense Categories Not Preprinted on the Forms**

Blank lines are provided in the reporting formats for writing in other non-standard categories that might be included in a program operating plan.

2. **Contract Expense**

The costs of contracts issued by CCC agencies are classified differently depending on the characteristics of the contract. For purposes of Job Corps cost classification, contracts fall into two different and mutually exclusive categories: Staffing Contract and Non-Staffing Contract. The following are definitions and criteria for determining the category that applies to a particular contract, along with the cost allocation policies that apply to that category.

a. **Staffing Contract**

This category applies to any contract or subcontract that provides one or more staff persons who are employed at the center on a full-time basis or any contract or subcontract that provides a number of part-time staff
persons who are employed at the center and whose scheduled hours of work at the center collectively represent one or more full-time positions (FTP). The cost allocation policies that apply to contracts in this category are as follows:

**Contractor Personnel Expense:** The cost of compensation (salaries/wages and benefits), which the contractor pays to its staff who work at the center, shall be allocated to the appropriate functional or programmatic line-item as a personnel expense (e.g., Line 1-Academic Personnel, Line 3-Career Technical Training (CTT) Personnel, and so forth).

**Other Direct Contractor Expense:** The direct costs of the contractor that are for non-personnel items shall be reported in the appropriate functional or programmatic line-item as an “Other” cost (e.g., Line 2-Other Academic Expense, Line 4-Other Career Technical Training Expense, and so forth).

**Contractor Indirect Expense (Overhead/G&A):** The contractor’s overhead and G&A expense shall be reported on Line 16-Other Administrative Expense.

**Contractor Fee:** Contractor fee shall be allocated to the appropriate functional or programmatic line-item as a non-personnel cost (e.g., Line 2-Other Academic Expense, Line 4-Other Career Technical Training Expense, and so forth).

In some cases, expenses might not be currently detailed on the contractor’s invoice because the service is being provided at a fixed price or fixed unit price (e.g., meals served, billable labor hours). In these cases, adequate detail can usually be obtained from the contractor’s proposal as a means to formulate the required break out of total expense into the line-items identified above. If detail is not provided in the proposal, then supplementary information should be obtained from the subcontractor.

**b. Non-Staffing Contract**

This category applies to any contract or subcontract that does not qualify as a Staffing Contract. In addition to subcontracts that are solely for the procurement of supplies, equipment, commodities, and so forth, the Non-Staffing category also typically applies to:

- Contracts for facility repairs or renovations
- Contracts for intermittent services such as trash collection and pest control
- Contracts with individual medical practitioners working less than full-time at the center

The costs of a Non-Staffing Contract will normally be allocated to a single
budget line-item. For example, the entire costs of a trash collection contract would be assigned to Line 19-Other Facility Maintenance Expense.

3. Personnel Expenses

This term is used in this appendix to refer to all salaries, wages, and all associated personnel costs such as payment of earned leave upon termination, employer retirement contributions, Social Security taxes, life insurance, health insurance, worker’s compensation insurance, etc. All direct employees of the center as well as contractor and subcontractor employees who perform ongoing functions at the center, which might otherwise be performed by CCC agency staff, are to be included. However, the cost of contractor staff members who perform work at the center on a one-time or irregular or intermittent basis should be reported in the appropriate non-personnel expense line.

If an employee works in more than one area, such as part-time in academics and part time in career technical training, or a secretary is assigned to two departments, the cost must be allocated to the appropriate categories. The basis for the allocation must be documented in a salary allocation plan, explaining the rationale for the allocation. The allocation plan must be available for review by DOL auditors. If the employee’s assignment is changed, the allocation should be changed. All salary allocations should be reviewed annually to assure that the basis for allocation is still valid.

With regard to managerial positions (which may generally be defined as those that involve supervision of supervisors), those which oversee three or more different departments or programmatic functions should normally be treated as executive positions whose costs should be allocated to Line 15-Administrative Personnel. This guidance is not a hard and fast rule, however; and circumstances may exist that justify the formulation of a salary allocation plan for this type of position.

With regard to front-line supervisors who function as team leaders and who supervise or coach diverse groups of practitioners (such as academic instructors, career technical training instructors, counselors, and so forth), it is recommended that salary allocation plans be formulated which break out the personnel costs into as many line-items as are appropriate.

4. Treatment of Money Received

When money is received by a CCC, it is most often a reimbursement of cost. Such reimbursements are recorded as reductions (credits) in the appropriate expense accounts in order to reflect true CCC operating expenses. Examples are as follows:

- Food sales to staff and visitors are credited as a reduction to center food costs
- Reimbursements by GSA for vehicle maintenance and fuel are credited as a reduction to center vehicle operating costs
• Reimbursements of fuel costs from tenants are credited as a reduction of center fuel costs
• Reimbursement for Workforce Innovation and Opportunity Act (WIOA) or other buy-ins are credited as a reduction to center academic and/or career technical training costs
• Prompt payment discounts, cash rebates and refunds are credited as a savings to the account to which the product or service was originally charged

5. Cost Category Definitions

The following are definitions for the cost categories that are used in the 2110F CCC cost reports and in the line-item CCC budgets/program operating plans. The categories are discussed in the same order as they appear on the 2110F. Also note that the lists of example expense items that are provided for each cost category are not considered exhaustive or all-inclusive. For expense items that are not specifically identified in the lists of examples, agencies may use their own good judgment to determine which cost categories apply; or they may refer the question to the Office of Job Corps for guidance.

a. 2110F, Page 2: Note Regarding Personnel Expense: For purposes of reporting actual expense on a quarterly basis, the costs of federal personnel and non-federal personnel are combined together. In contrast, the annual CCC budget formulation process requires that each line-item of personnel expense (Lines 1, 3, 5, 9, 11, 13, 15, 18, and 20) be broken out for pricing purposes between personnel costs of federal staff versus personnel cost of contractor staff.

Line 1-Academic Personnel Expense: Includes the cost of all personnel whose primary duties are in academic programs, including positions such as those listed below:

Managers
  Training Program Director
  Academic Manager
  Principal Teacher

Instructors
  Academic Instructor
  Reading Instructor
  Math Instructor
  HSE Instructor
  Driver Education Instructor
  ELL Instructor
  Communications Instructor
  Wellness/Safety Instructor
  Instructor Substitutes
Support Staff  
Testing Coordinator  
Secretarial/Clerical assigned to the academic department

Line 2-Other Academic Expenses: This category consists of all non-personnel expenses that are immediately related to a center's academic programs, including the types of expense listed below.

Academic Materials and Supplies: Includes the cost of materials and supplies (e.g., books, workbooks, testing materials) used in conducting academic programs for students. This will also include the cost of expendable items for exclusive use in the classrooms such as: drapery, wall hangings, bulletin boards, computer software, and DVDs.

Not included are general-purpose items such as paper, pencils, paper clips, rubber bands, erasers, etc., which are reported on Line 16-Other Administration Expense.

Academic Services: Includes the cost of contractual services for student academics, including repair and maintenance of academic equipment.

Academic Tuition: Includes the cost of tuition for off-center academic instruction. If the tuition is prepaid, please see discussion concerning treatment of prepaid items in a later section on accrual reporting.

Academic Rentals: Includes the cost of facilities and equipment (but motor vehicles will not be charged here).

Other: Other expenses that should be assigned to the academic operating expense category but which do not match any of the above examples.

Line 3-Career Technical Personnel Expense: Includes the cost of all personnel whose primary duties are in the career technical training programs, including positions such as those listed below:

Managers  
Training Program Director  
Career Technical Program Manager  
Works Program Officer

Instructors  
Career Technical Instructors
Career Technical Instructor Substitutes
Career Exploration Instructor

Support Staff
CTST Coordinator
Work-Based Learning Coordinator
ACT/OTP Coordinator
Career Technical Testing Coordinator
Secretarial/Clerical assigned to Career Technical department

**Line 4-Other Career Technical Expenses:** This category consists of all non-personnel expenses that are immediately related to a center’s career technical training programs, including the types of expenses listed below.

**Career Technical Materials and Supplies:** Includes the cost of all materials and supplies (e.g., books, workbooks, testing materials) used in conducting career technical training programs for students. This will also include the cost of expendable items for exclusive use in the classrooms, such as drapery, wall hangings, bulletin boards, computer software, and DVDs.

*Not included* are general-purpose items such as paper, pencils, paper clips, rubber bands, erasers, etc., which are reported on Line 16-Other Administration Expense.

**Career Technical Services:** Includes the cost of contractual services acquired for student career technical training, including repair and maintenance of career technical equipment.

**Career Technical Tuition:** Includes the cost of tuition for off-center career technical training instruction. If the tuition is prepaid, please see discussion concerning treatment of prepaid items in a later section on accrual reporting.

**Career Technical Rentals:** Includes the cost of facilities and equipment (but not motor vehicles) rented for career technical training under the appropriate category.

**Other:** Includes other expenses that should be assigned to the career technical training operating expense category, but which do not match any of the above examples.

**Line 5-Career Success Personnel Expense:** Includes the cost of all personnel whose primary duties are in career success standards and related social skills training programs, including positions such as those listed below.
Managers
Director of Residential Living
Director of Counseling
Residential Living Managers/Counseling Manager
Recreation Manager

Counselors
Counselor (includes UA retrieval)
Counselor Aide
Center Standards Officer

Residential Advisors/Residential Counselors
Residential Advisors/Residential Counselors and Aides
Group Leaders and Aides

Coordinators
Diversity Coordinator
Student Government/Leader/SWF Advisor
Student Safety Advisor/Coordinator*

Recreation Staff
Recreation Specialist/Coordinator
Arts/Crafts Instructor/Coordinator

Support Staff
Secretarial/clerical assigned to above areas

*Refers to activities for instilling “safety consciousness/awareness” in students. Does not relate to security personnel costs, which are assigned instead to Line 20- Security Personnel Expense.

Line 6-Other Career Success Expense: This category consists of all non-personnel expenses that are immediately related to a center’s Career Success Program or related social skills training, including the types of expenses listed below.

Career Success Materials and Supplies: Includes the cost of supplies and materials used in the counseling program, the dormitory supervision program, and any other career success or social skills development program. Also includes the cost of student incentive programs, including any that focus on academic or career technical achievement.

Not included are general-purpose items such as paper, pencils, paper clips, rubber bands, erasers, etc., which are reported on Line 16-Other Administration Expense. Also not
included are driver education costs that are reported as an academic expense (Line 1 or 2).

**Career Success Services**: Includes the cost of contractual services acquired to implement social skills development programs and activities.

**Career Success Rentals**: Includes the cost of facilities and equipment rented to carry out social skills development activities. This will not include motor vehicle rental or GSA charges.

**Morale-Recreation-Welfare Materials and Supplies**: Includes the cost of supplies and materials used in the morale-recreation-welfare program.

**Morale-Recreation-Welfare Services**: Includes the cost of contractual services acquired to implement the morale-recreation-welfare program. Includes repair and maintenance of recreational equipment.

**Morale-Recreation-Welfare Rentals**: Includes the cost of facilities and equipment rented to carry out morale-recreation-welfare activities. This will not include motor vehicle rental or GSA charges.

**Other**: Includes other expenses that should be assigned to the social skills training operating expense category but which do not match any of the above examples.

**Line 7-Food**: Includes the cost of food purchased for the center’s dining hall and the cost of purchased meals that are served to students. This category includes:

**Dining Hall Food**: Includes the cost of food issued for the dining halls and related direct freight charges. This amount must include the cost of food provided or purchased in connection with subcontracted food service. **Subcontracted labor and other non-food costs incurred are not charged to this account**, but will be charged to Line 9-Support Services Personnel Expense, Line 10-Other Support Service Expense, or other appropriate line-items as defined in Section C.3 Personnel Expenses. Receipts from sale of meals to staff and visitors are credited as a reduction to expense.

**Purchased Meals**: Includes the cost of meals purchased for students while engaged in off-site activities such as academic, career technical, and recreational trips.
Line 8-Clothing: Includes the cost of clothing and cash clothing allowances furnished to students, including:

**Issue Clothing**: Issue of personnel clothing and ditty bags.

**Cash Clothing Allowance**: Cost of cash clothing allowances as discussed in Chapter 6, Section 6.6, R2.

**Career Technical Clothing**: Cost of student special clothing such as work clothes, career technical training uniforms, and protective clothing as discussed in Chapter 6, Section 6.6, R1, a-c.

**Recreation Clothing**: Cost of student recreational clothing.

Line 9-Support Services Personnel Expense: Includes the cost of all personnel whose primary duties are in the area of support services, including positions such as those listed below.

**Managers**
Dining Hall Manager
Laundry Manager
Vehicle Fleet Manager

**Food Service Cooks**
Dining Hall Workers

**Laundry Service**
Laundry Operator

**Drivers**
All Drivers employed at center

**Incidental Outreach and Admissions (OA), and Career Transition Services (CTS) Staff**
Any part-time or intermittent OA/CTS staff when OA or CTS is not included as a specific, separate line-item in the CCC program operating plan.

**Other Support Staff**
Secretarial/Clerical assigned to above areas

Line 10-Other Support Services Expense: Includes the non-personnel/non-food operating expenses associated with the provision of room and board to Job Corps students, including the types of expenses listed below.
Dormitory Linens and Supplies: Includes the costs of initial issue and replacement of all expendable items purchased for use in the dormitories, such as:

- Sheets, blankets, and bedspreads
- Pillows and pillow cases
- Mattresses and mattress covers
- Dormitory curtains and drapery
- Laundry supplies for students
- Bulletin boards
- Throw rugs
- Pictures and wall hangings
- Irons and ironing boards

*Not included* are cleaning supplies for dormitories. This expense will be charged to Line 19-Other Facilities Maintenance Expense-Materials and Supplies.

Commercial Laundry and Linen Service: Includes the cost of linens and uniforms supplied by a subcontractor as well as the cost of laundry service for center-owned linens and uniforms, including those used in the medical facility.

Kitchen and Dining Hall Supplies: Includes the cost of non-food supplies and materials required in the operation of the kitchen and dining halls, including chemical and cleaning agents used for maintaining the kitchen, the initial and replacement cost of linens, uniforms, dishes, and utensils.

Contracted Food Services: Includes the cost of all services other than the cost of food and personnel in a contracted operation.

*Note:* The cost of food in a contracted operation will be reported on Line 7-Food. The cost of personnel and fringe benefits in a subcontracted operation will be reported on Line 9-Support Services Personnel Expense.

Kitchen and Dining Hall Non-Food Services: Includes the cost of services rendered in connection with the operation of the kitchen and dining hall, such as equipment maintenance.

On-Center Laundry Supplies: Includes the cost of supplies and consumables needed to operate any on-center laundry facilities. Does not include laundry supplies furnished directly to students.

On-Center Laundry Facility Services: Includes the cost of
contractual services acquired for equipment maintenance and repairs.

**Student Local Transportation:** Includes the cost of local public transportation such as bus passes or tokens for students and for children attending child care between home and the center, and expense to and from academic and career technical training sites.

**Student Lodging Expense:** Includes the cost of student lodging expense while on center-sponsored trips, (i.e., academic, career technical, recreational) and the costs of temporary local lodging due to center dormitory problems that require temporary off-center housing. Staff lodging expense will be charged to Line 27-Staff Travel and Training.

**Incidental Outreach and Admissions (OA) and Career Transition Services (CTS) Expense:** Includes the cost of any incidental OA/CTS expense when OA or CTS is not included as a specific, separate line-item in the CCC program operating plan.

**Other:** Includes other expenses that should be assigned to the support service operating expense category but which do not match any of the above examples.

**Line 11-Medical/Dental Personnel Expense:** Includes the cost of personnel whose primary duties are in the area of health program services, including positions such as those listed below.

**Managers**
Medical Service Director

**Medical Professionals**
Doctors of Medicine
Doctors of Osteopathy
Optometrist

**Mental Health Professionals**
Psychiatrist
Psychologist Social Worker
Substance Abuse Counselor

**Dental Professionals**
Dentist
Oral Surgeon
Orthodontist
Endodontist
Periodontist
Allied Medical Workers  
Physician’s Assistant  
Nurse Practitioner  
Medical Assistant  
Registered Nurse  
Licensed Practical Nurse  
Licensed Career Technical Nurse  
Nurse Assistant  
Laboratory Technician  
Reproductive Health Coordinator*  
Trainee Employee Assistance Program (TEAP) Coordinator*

*If the Reproductive Health or TEAP Coordinator also serves as a counselor, the cost should be prorated Social Skills Training and medical salaries.

Allied Dental Workers  
Dental Hygienist  
Dental Assistant  
Dental Technician

Support Staff  
Secretarial/Clerical assigned to above areas

Line 12-Other Medical/Dental Expense: This category consists of all non-personnel expenses that are immediately related to a center's health services programs, including the types of expenses listed below.

Medical and Mental Health Fees: Includes fees charged by non-salaried health providers including Physicians (Doctors of Medicine, Doctors of Osteopathy), Psychiatrists, Psychologists, Optometrists and Social Workers, and excluding Dentists, for “as needed” health services performed regardless of where the services were rendered. This includes x-rays and other laboratory services included in the providers’ bills. This also includes charges based on a “by procedure rate.”

Note: This will not include charges from subcontracted providers who bill at an hourly rate. The entire amount will be charged to Line 11-Medical/Dental Personnel Expense.

Medical Support: Includes cost of medical services rendered by other than the providers listed above, such as:
• Hospitals  
• Medical laboratory and x-ray services when billed separately
• Ambulance and mortuary costs
• Environmental health inspections and services

Dentist Fees: Includes fees charged by non-salaried dentists (including Oral Surgeons, Orthodontists, Endodontists, and Periodontists) for “as needed” dental services performed regardless of where the services were rendered. This includes x-rays and other laboratory services provided by a dentist and included in the bill.

**Note:** This will not include charges from subcontracted providers, which will instead be charged to Line 11-Medical/Dental Personnel Expense.

Dental Support: Includes cost of dental services rendered by other than the providers listed above, such as:
• Clinics or other institutions
• Dental laboratory and x-ray services when billed separately

Supplies and Pharmaceuticals: Includes the cost of all medical and dental supplies and pharmaceuticals (e.g., bandages, dental material, disposable syringes, medicines, drugs, eyeglasses, etc.) regardless of source.

Other: Includes other expenses that should be assigned to the medical/dental operating expense category but which do not match any of the above examples.

**Line 13-Career Preparation (CP) and Career Transition Readiness (CTR) Personnel Expense:** Includes the cost of personnel whose primary duties are in the area of career preparation and career transition readiness, including positions such as those listed below.

**Managers**
CP and/or CTR Director or Manager

**Practitioners**
CP Instructor
CP Leader
CP Coordinator/Specialist
CTR Coordinator/Specialist

**Support Staff**
Secretarial/Clerical assigned to above areas

**Line 14-Other CP/CTR Expense:** This category consists of all non-personnel expenses that are immediately related to CP/CTR services that are available
for students, including the types of expenses listed below.

**CP/CTR Materials and Supplies:** Includes the cost of all materials and supplies (e.g., books, workbooks, testing materials) used in conducting CP/CTR programs for students. This will also include the cost of expendable items for exclusive use in the classrooms such as: drapery, wall hangings, bulletin boards, computer software, and DVDs.

*Not included* are general purpose items such as paper, pencils, paper clips, rubber bands, erasers, etc., which are reported on Line 16-Other Administration Expense.

**CP/CTR Services:** Includes the cost of contractual services acquired for student CP/CTR services including repair and maintenance of CP/CTR equipment.

**CP/CTR Tuition:** Includes the cost of tuition for off-center CP/CTR instruction. If the tuition is prepaid, please see discussion concerning treatment of prepaid items in a later section on accrual reporting.

**CP/CTR Rentals:** Includes the cost of facilities and equipment (but not motor vehicles) rented for CP/CTR services.

**Other:** Includes other expenses that should be assigned to the CP/CTR operating expense category but which do not match any of the above examples.

**Line 15-Administrative Personnel Expense:** Includes the cost of personnel whose primary duties are in the area of overall center management and administrative services and support, including positions such as those listed below.

**Executive Leadership**
Center Director
Deputy Director
Center Director Trainee

**Administration Operations**
Administration Manager or Director
Administration Assistant
Personnel Manager/Specialist
EEO Coordinator
Finance Manager/Staff
Procurement Manager/Staff
Student Accountability Officer  
Student Records and Payroll Staff  
Transportation Clerk  
Legal Services Clerk  
Scheduling Clerk  
ADP Specialist/Programmer  

Supply Operations  
Property Manager  
Property Specialist  
Warehouse Staff  
Supply Clerk  
Clothing Clerk  

Support Staff  
Secretarial/Clerical assigned to assist above personnel  
Secretarial/Clerical not classifiable in other categories  
PBX Operator  

Line 16-Other Administrative Expense: This category consists of all non-personnel expenses that are immediately related to administration support functions at the center, including the types of expenses listed below.  

Office Materials and Supplies: Includes the cost of general office supplies used throughout the center such as paper, pencils, paper clips, rubber bands, and flash drives.  

Note: Charge special items used in the academic and career technical training programs, such as drafting supplies, sketching pads, special forms, etc., to those activities in the appropriate accounts reported on Line 2-Other Academic Expense or Line 4-Other Career Technical Training Expense.  

Office Services: Includes the cost of contractual services required for center administration, such as the cost of contracted duplicating and printing services.  

Office Equipment Rentals: Includes the rental cost of photocopying and data processing equipment.  

Office Equipment Maintenance: Includes cost for maintenance and repair of office equipment. Costs incurred under lease purchase agreements are considered rental costs.  

Legal Services: Includes the cost of legal services acquired for the center. The cost of legal services on behalf of students will be paid
by the Job Corps Regional Offices if public defenders are not available, when proper documentation is supplied and approved by the Regional Director.

**Note:** Legal expenses relating to personnel matters or actions brought by employees against the CCC agency are considered indirect administrative expenses (i.e., Program Direction Expense).

**Accounting Services:** Includes the cost of contracted accounting services acquired for the CCC.

**Note:** The costs of financial audits of CCCs are considered to be Program Direction Expenses.

**Consultant Costs:** Includes the fees charged by outside consultants and their related travel and per diem expenses. A consultant is one who analyzes, gives advice, or helps determine how functions should be performed. The title “consultant” does not necessarily indicate that the cost of retaining such an expert should be reported on this line. For example, Mental Health Consultants are part of the medical function and are not chargeable here, but should be charged to either Line 11-Medical/Dental Personnel or Line 12-Other Medical/Dental Expense. Trainers are often called “consultants,” but they are the performers of the function and are rightfully chargeable to Line 27-Staff Travel and Training.

**Contract Overhead/G&A Expense:** This includes contractor overhead and G&A expense as discussed in a previous section.

**Other/Miscellaneous Administrative Expense:** Includes the cost of miscellaneous supplies and services required in the operation of the center, such as the following:

- Consumable supplies such as paper towels, toilet tissue, soap, etc.
- Packing, handling, and shipping cost to transfer excess property to or from a holding facility and shipment of separated student belongings
- Community relations expense
- Miscellaneous equipment repairs not chargeable elsewhere
- Any miscellaneous administrative expenses outside the definitions of office supplies and services
Expenses not to be included are as follows:

- **Incoming freight charges** should not be lumped indiscriminately into the administrative expense category. Where possible these charges should be prorated to the individual items received (inventory or capital). When it is not practical to charge this cost to the individual items covered by the freight charges, because of the late receipt of the invoice or the large number of items covered, the cost should be prorated and directly charged to the appropriate expense categories.

- **Phase-out or Closing costs** should not be lumped indiscriminately into the administrative expense category, but should be distributed to the appropriate cost categories. Severance pay and accrued vacation pay are to be charged to the categories of personnel costs where the affected individuals’ salaries were charged.

Line 17-Reserved for Later Use: This line had previously been used for program direction expense, which will now be reported at the agency level.

Line 18-Facilities Maintenance Personnel Expense: Includes the cost of personnel assigned to center maintenance functions, including positions such as those listed below.

- **Managers**
  - Maintenance Manager/Supervisor

- **Maintenance Workers**
  - Maintenance Worker
  - Maintenance Mechanic
  - Maintenance Helper
  - Groundskeeper
  - Janitorial/Cleaning Staff

- **Support Staff**
  - Secretarial/Clerical assigned to the maintenance section

Line 19-Other Facilities Maintenance Expense: This category consists of all non-personnel expenses that are immediately related to maintenance of center facilities, including the types of expenses listed below.

- **Materials and Supplies**: Includes the cost of materials and supplies required for routine maintenance and repair of center physical facilities, including sidewalks, fences, grounds, roads, and any equipment affixed to a structure as an integral component. Also
includes the cost of general cleaning and janitorial supplies and any special work clothes or items of personal safety equipment (e.g., goggles) purchased for use by maintenance staff.

**Contracted Services**: Includes the cost of contracted services required for routine maintenance of center facilities (e.g., painting) and systems (e.g., servicing of fire alarm systems and fire extinguishers), but not including any contracts that are classifiable as Construction/Rehab projects. Also includes contracts for trash pick-up and pest control.

**Equipment Rental**: Includes the cost of equipment rented, or being acquired on a lease purchase agreement, in connection with maintenance and repair of center facilities, excluding motor vehicles.

**Equipment Operation, Maintenance, and Repair**: Includes the costs of operating, maintaining, and repairing motorized and mobile equipment (e.g., power mowers, tractors, portable generators, etc.), excluding motor vehicles. Include equipment owned by other agencies leased on a mileage or use basis.

**Note**: Report operation, maintenance, and repair cost of other motorized equipment chargeable to work projects on Line 35-Career Technical Skills Training.

**Other**: Includes other expenses that should be assigned to the maintenance operating expense category but which do not match any of the above examples.

**Line 20-Security Personnel Expense**: Includes the cost of all personnel assigned to the security function, including positions such as those listed below.

- **Managers**
  - Security Manager
  - Security Supervisor

- **Security Staff**
  - Security Officers Guards

- **Support Staff**
  - Secretarial/Clerical assigned to security section

**Line 21-Other Security Expense**: This category consists of all non-personnel expenses that are immediately related to the provision of a guard force or
security force at the center, including the types of expenses listed below.

**Supplies and Services:** The cost of supplies and services required for the performance of this function, such as the center-furnished uniforms and the laundering of these items.

**Contracted Security Services:** The cost of all subcontracted security costs other than those classifiable as personnel expense. An example would be augmentation of normal security forces in connection with a special event or emergency.

**Equipment Rental:** The cost of equipment rented, or being acquired on a lease purchase agreement, in connection with the security function.

**Other:** Includes other expenses that should be assigned to the security operating expense category but which do not match any of the above examples.

**Line 22-Communications:** Includes cost incurred by the CCC agency for communications services used by its CCCs, including the types of expense listed below.

**Local Telephone Service:** Includes the cost of local telephone service - basic monthly service, equipment lease costs, any message unit charges, access charges, and taxes.

**Cell Phones:** Includes the cost of cell phone service.

**Long Distance Calls:** The cost of long distance voice and data transmission service used by a CCC, along with incoming collect long distance calls.

**Telephone Service Charges and Communications Equipment Rental Charges:** Includes the cost of service for telephone equipment changes, repairs, and the installation of additional telephones and related equipment and the cost of fax and other communications equipment rental and maintenance.

**In-Center Communications System:** Includes the cost of operating and maintaining radio, closed-circuit television, and other in-center communication systems.

**Postage:** The cost of postage or expedited services such as Federal Express and postage meter rental and maintenance.
Other Communications Costs: Any communications costs that do not match any of the above examples.

Line 23-Utilities and Fuel: Includes cost utilities and fuel consumed by the center, such as the types of expenses listed below.

- Natural Gas
- Electricity
- Coal
- Heating oil
- Propane
- Water
- Sewage
- Cable TV
- Other (as determined by the center)

Note: Fuel for vehicles is not reported here, but on Line 26-Motor Vehicle Operating Expense.

Line 24-Facility Lease Expense: Includes costs for leasing CCC facilities where the CCC agency is the lessee or where DOL is the lessee, but funds have been in a CCC’s program operating plan and payment is made by the CCC agency. Also record in this amount any separate property taxes and insurance premiums, payment of which is made to the lessor, by the terms of the facility lease.

Note: Equipment lease costs are not to be recorded in this account.

Line 25-Insurance: Includes the cost of authorized or required liability insurance that is carried by CCC agency, including:

- Automobile Insurance (liability and property damage)
- Employee Liability Insurance
- Other Required Insurance

Note: CCC agencies are generally self-insured. These types of costs should not be incurred unless expressly approved and funded by DOL in a CCC’s program operating plan.

Line 26-Motor Vehicle Operating Expense: Includes:

- Mileage-based costs (such as those shown on a GSA or other Detailed Billing Register)
- Fuel costs
- Commercial Vehicle Rental
- Operation, Maintenance, and Repair of CCC Owned Vehicles
Note: The following types of vehicle rentals are not reported here, but elsewhere as indicated:

- Operation, maintenance, and repair cost of construction equipment for CTST projects; these costs will be charged to Line 35-CTST
- Rental of vehicles for staff while on travel assignments is charged to Line 27-Staff Travel and Training
- Monthly rental charges and charges for damage to rental vehicles will be reported on Page 3, Vehicles Rental/Amortization
- At CCCs, fixed ownership rate charges are also reported on Page 3, Vehicle Rental/Amortization
- Commercial rentals of driver education vehicles are charged to Line 2-Other Academic Expense

Line 27-Staff Travel and Training: Includes the cost of staff travel, per diem, and miscellaneous expenses in connection with work assignments that involve travel away from the center and the costs of providing technical or professional training to CCC staff. The types of expenses to be included are listed below.

Training Related Travel: Includes CCC staff transportation, per diem cost, and miscellaneous expenses for training purposes. This account is used whether training is provided by the CCC, the CCC agency, DOL, the Regional Office, or the Office of Job Corps. Cost includes travel in privately owned vehicles, commercial transportation, and leased vehicles; and meal, lodging, and incidental expenses. If training or technical assistance is provided by CCC staff to another CCC or to a contract center, all travel expenses will be charged to the CCC/center receiving the training or assistance and expensed to that CCC/center’s staff travel and training account.

Non-Training Related Travel: Includes the cost of CCC staff for transportation, per diem, and miscellaneous expenses for work assignments other than those related to staff training.

Note: All travel expense incurred by CCC staff for participation in CCC agency reviews of other CCCs will be charged as a Program Direction Expense since they are performing agency-level oversight functions. Costs incurred by CCC staff for providing technical assistance to other CCCs will be charged as discussed above.

Transfer-of-Station Costs: Associated with filling the Center
Director position at one of the Job Corps centers operated by USDA’s Forest Service, including and limited to: one pre-arrival trip to new duty station for house-hunting in accordance with GSA regulations, movement of household goods, transportation of employee and family to new duty station, allowance for temporary quarters (per diem) in accordance with GSA regulations, and incidental expenses associated with a transfer-of-station.

**Staff Training and Tuition:** Includes the cost of tuition and fees for staff training that the CCC has determined is beneficial for the CCC and is job-related. Reimbursement to staff under an employer education policy will be charged as an employee benefit to the appropriate category of personnel expense. Also includes the cost of materials and services such as trainers, rental of facilities and equipment, supplies, printing and duplicating, and contractual support.

**Line 28-Reserved:** This line is reserved for later use and is presently left blank.

**Line 29-FECA:** This line is used to report cost of Federal Employees’ Compensation Act (FECA) charges that are paid by the CCC agency to DOL’s Employment and Standards Administration to reimburse benefits paid to current or former employees on the basis of injuries sustained while employed at the CCC. These charges are normally paid two years in arrears. Therefore, the exact amount of the payments due from a CCC agency in a given Program Year are known before that Program Year begins.

**Line 30-Subtotal of Direct Expense:** On the 2110F cost reports, Line 30 is used to display the total of direct center operating costs, Lines 1 through 29 above.

**Line 31-Program Direction Expense (Allocated):** This is the CCC’s share of the CCC agency’s program direction expense. Although CCC agencies report program direction expense on an agency totals basis, the Job Corps FMS automatically allocates this total amount among all of the agency’s CCCs. The amount shown for a CCC represents a pro rata allocation based on planned slot/Student Year (SY) levels.

**Line 32-Total Center Operations Expense:** On the 2110F cost reports, Line 32 is used to display the total of direct center operations expense plus program direction expense.

**Note to Regions:** Total center operating expense equates to cost code A-Center Operations in the internal DOL AAPP/FOP financial management system.
b. 2110F, Page 3:

Line 1-Center Operations Expense: The Page 2 amounts for direct expense, program direction expense and total center operations expense are carried forward to lines 1a, 1b, and 1c, respectively.

Line 2-Equipment/Furniture: Includes costs for purchase of NON-EXPENDABLE PERSONAL PROPERTY.

**Note to Regions:** This expense category equates to cost code B2-Eqpt in the internal DOL AAPP/FOP financial management system.

Line 3-Vehicle Rental/Amortization: Includes GSA or other monthly/daily charges and charges for damage to vehicles. Also at CCCs, includes fixed ownership rate charges for vehicles used by the center. This category does **not include** mileage charges, which instead should be assigned to center operating expense, Line 26, Vehicle Operating Expense.

**Note to Regions:** This expense category equates to B3-GSA Vehicle Rental in the internal DOL AAPP/FOP financial management system.

Line 4-CTST Materials: Includes the costs of building materials, consumable supplies, and allowable construction, and equipment installation contracts in connection with work training projects performed by Job Corps students that result in improvements separately for each identifiable CTST project. Only projects on the approved CTST plan may be performed with CTST funds.

**Note to Regions:** This expense category equates to B4-CTST Materials in the internal DOL AAPP/FOP financial management system.

Line 5-Student Transportation/Meal Allowances: Includes the costs of government-furnished inter-city travel, including prescribed meal allowances, such as travel associated with new enrollee arrival, government paid leaves, winter break, transfers to other centers, travel home upon separation, and so forth. This line-item does not refer to the costs of local student travel, which are considered to be a center operating expense.

**Note to Regions:** This expense category equates to D-Transportation in the internal DOL AAPP/FOP financial management system.

Line 6-Outreach/Admissions (OA): Includes costs incurred for outreach and admission of prospective new students. Expenses should be charged to
this category only if specifically funded in the CCC program operating plan.

**Note to Regions:** This expense category equates to C1-Outreach, Admissions in the internal DOL AAPP/FOP financial management system.

**Line 7-Career Transition Services (CTS):** Includes costs incurred for providing post-separation career transition services to graduates and former enrollees. Expenses should be charged to this cost category only if specifically funded in the CCC program operating plan.

**Note to Regions:** This expense category equates to C2-Career Transition Services in the internal DOL AAPP/FOP financial management system.

**Lines 8, 9-Non-Standard Expense Categories:** On Page 3, of the 2110F, two lines are left blank in order for the CCC agency to write in any other, additional categories of expense. Expenses should be charged to a “write-in” category only if specifically funded in the program operating plan. Please note that most pilot projects conducted at Job Corps centers are not reported in a “write-in” category. This is because the pilot efforts normally involve variations on or augmentations of normal service delivery programs – e.g., implementing newly developed academic curricula in order to test their effectiveness. The types of activities funded in Job Corps pilot efforts usually fit within the scope and purpose of established center operations cost categories. Therefore, expenditures for Job Corps pilot efforts must normally be budgeted and reported appropriately within the structure of preprinted cost categories.

**Line 10-Subtotal of Operating Funds:** This line is used to report the subtotal of the previous expense categories (Lines 1-9), which are those that are covered from the annual Job Corps appropriations for operating funds.

**Line 11-Facility Construction/Rehab/Acquisition:** This line is limited to funds that are provided from the Job Corps CRA (facility construction, rehabilitation, and acquisition) appropriation. Moreover, all CRA funds that are made available to a CCC or CCC agency must be reported in this expense category.

Includes expenses for constructing or making long-lasting physical improvements to structures, utilities (e.g., heating and plumbing), roads and grounds, as well as the purchase and installation of major pieces of equipment, during a rehabilitation or construction project, that are permanently attached to structures, such as air conditioners, walk-in freezers and refrigerators, ovens and stoves, cafeteria dishwashers, and wall-to-wall carpeting. Also included within the meaning of this term are the costs of
architectural and engineering services (design) that are required for such construction or improvements and have been approved as part of the project. Purchase or lease of equipment and the cost of special motor vehicles required for completion of projects will also be charged here.

At CCCs, construction and rehabilitation work is to be performed only on the basis of: (i) identified projects for which specific Construction/Rehab funding has been approved for the CCC’s program operating plan; or (ii) emergency repairs that are authorized per guidelines contained in Chapter 5, Section 5.8 R5-R10. Separate records should be maintained on the individual Construction/Rehab projects that have been approved in the CCC program operating plan.

The construction and rehabilitation account does not refer to:

- Career Technical Skills Training Activities, funded as CTST projects, wherein Job Corps students receive hands-on training by participating in construction projects or other projects that result in physical improvements to center facilities
- Equipment other than the major items of the type described above
- Facility leases
- Architectural and engineering management support services, including procurement support, facilities surveys, site surveys, or facility utilization studies when performed under an Office of Job corps contract
- Management support services for the acquisition or leasing of facilities

**Note to Regions:** This expense category equates to B1-Cnst/Rehab in the internal DOL AAPP/FOP financial management system.

**D. JOB CORPS CENTER FINANCIAL REPORTS FOR CCC’S**

1. **Purpose**

The Job Corps Conservation Center Financial Report (2110F) is used by CCC agencies to report financial activity for individual CCC’s. This report tracks expense on a detailed line-item basis and provides for the comparison of actual versus planned expense in order to identify the existence of potential problem areas.

A second type of report is also required (2110HQ). This format is designed to report expense in the program direction category as well as agency roll-ups of expense reported at individual CCCs. The 2110HQ also reports other key indicators of financial activity at the agency level, such as DOL fund transfers, amounts obligated, and amounts paid.
2. **Originators**

These reports are prepared and submitted to DOL by the federal agencies (referred to as CCC agencies) that operate Job Corps centers.

3. **Time Frames**

CCCs are required to report quarterly based on a cycle that coincides with the Job Corps Program Year. This is the same time frame that is used by DOL and the CCC agencies to budget for Job Corps expenses. The 2110F and 2110HQ provide for quarterly reporting of financial activity, primarily on a PYTD (Program Year to Date) basis. When a new Program Year begins on the following July 1st, the cycle starts anew. The quarterly reporting periods are as follows:

- Quarter 1: July 1 - September 30 (3 months)
- Quarter 2: July 1 - December 31 (6 months)
- Quarter 3: July 1 - March 31 (9 months)
- Quarter 4: July 1 - June 30 (12 months)

4. **Accrual Reporting**

Costs reported on the “2110F” should be on an accrual basis, i.e., the cost of materials and services received, regardless of when the invoices are received or paid. Many costs, such as medical, mental health and dentist fees, hospital charges, vehicle charges, utility costs, contract costs, and communication bills, as a few examples, are not normally invoiced promptly. It is important that all such charges, including earned but unpaid salaries and payroll related costs, be accrued so that reported costs include all incurred expenses. However, please note the following:

- CCCs are permitted to expense inventory when received.
- ** Earned but unpaid leave will not be accrued or reported as expense.**
  Earned leave that is paid when an employee terminates from the agency will be reported as salary expense when paid.
- For items that are prepaid, such as tuition for students to attend off-center instruction, the costs reported at the end of each quarter should be a proration of the full pre-paid amount until the time period covered by the pre-payment has been completed.
- All Job Corps expense incurred during the reporting period should be properly reflected in the 2110F and 2110HQ, **including any expense that is being paid from an expired appropriation.** It is expected that the great preponderance of reported expense will be paid from current appropriations. However, it is not unusual for an incidental amount of expense to be paid from funds that were duly obligated at an earlier time under an appropriation that has since transitioned into “expired” status and which is so designated in the current Program Year. The reporting of such expense will generally not be taken as a reason to modify the approved budget that is in place for the
current Program Year.

5. **Error Corrections**

With regard to reports submitted for Program Year quarters 1 - 3, a corrected report for a given quarter may be submitted, but only if the report for the following quarter has not yet been submitted. Otherwise, any adjustments made to correct erroneous information on past reports for quarters 1 - 3, will be reflected in the current quarter’s expenses.

With regard to reports that are submitted for the final quarter of a Program Year (the 4th quarter ending June 30th), CCC agencies may submit a revised 4th quarter report until the end of the succeeding Program Year.

6. **Preparing and Submitting the 2110F and 2110HQ Reports**

Each quarter, a separate 2110F report is needed for each CCC and an 2110HQ report is needed for each CCC agency. CCC agencies submit their 2110F and 2110HQ reports using DOL’s web-based Job Corps Financial Management System (FMS) that is administered by the Job Corps Data Center (JCDC). The FMS has been designed in a way that minimizes the volume data that must be entered each month and which ensures consistency and accuracy in all internal mathematical operations that are present in the report. CCC agency staff may obtain detailed guidance and training on FMS procedures for entering and submitting 2110F and 2110HQ reports from JCDC representatives.

The due dates for CCC agency submittal of the quarterly reports are as follows:

- Quarter 1: Submit on or before October 10
- Quarter 2: Submit on or before January 10
- Quarter 3: Submit on or before April 10
- Quarter 4: Submit on or before July 10

7. **Descriptions and Definitions of 2110F Data Items**

Detailed instructions and guidance for entering 2110F data into FMS are available from JCDC sources. The following discussion is not intended to supply instructions for entry of 2110F data, but is intended to provide detailed definitions and descriptions of the information that appears on a submitted 2110F report when it is viewed in its printable output form.
a. 2110F, Page 1

A. **Center Name**: This is the name used to identify the CCC for which the report has been submitted. Center names are spelled out fully. Abbreviations are not used. The words “Job Corps Center” are not used as part of the center name. The center name appears at the top of each page of the 2110F report.

B. **Agency Name**: This is the name of the federal agency that operates the CCC. The agency name appears at the top of each succeeding page of the 2110F report.

C. **Report Period Ending**: This is the quarter for which the report is being prepared. The report period ending date appears at the top of each succeeding page of the 2110F report.

D. **Status**: This is the status of the report in terms of “Submitted” versus “Pending.”

E. **Student Years (SY) Produced, Program Year to Date (PYTD)**:

1. **Current Quarter Planned Average OBS**: This is the planned average on-board strength (OBS) for the quarter being reported according to information stored in and imported from the JCDC-WSSR (JCDC-Weekly Student Strength Report) database.

2. **Current Quarter Actual Average OBS**: This is the average number of students on-board during the quarter according to information stored in and imported automatically from the JCDC-SSR database.

3. **Capacity Percent Current Quarter**: This is the current quarter actual OBS as a percent of planned average OBS. This is calculated as the value in E2 divided by the value in E1.

4. **Planned SY, PYTD**: This is the planned number of Student Years for the Program Year through the current reporting period (PYTD) according to information stored in and imported from the JCDC-WSSR database. This reflects the average planned slot capacity during the PYTD period, which is then adjusted (by number of days in PYTD/365 days) to yield Planned PYTD SYs.

5. **Actual SY, PYTD**: This is the actual Student Years
produced during the PYTD period according to information stored in and imported from the JCDC-WSSR database. This reflects the average actual on-board strength during the PYTD period, which is then adjusted (number of days in PYTD/365 days) to yield Actual PYTD SYs.

6. **Capacity Percent, PYTD**: This is the Program Year to Date actual student service years produced compared to plan. This is calculated as the value in E5 divided by the value in E4.

7. **Slot Capacity @ End of Quarter**: This is the planned slot capacity on the final day of the report period according to information contained in the JCDC-WSSR database.

F. **Student Year Cost**:

1. **Planned for PYTD**: This is the planned cost PYTD from 2110F, Page 2, Line 32, Column (c), divided by planned SY PYTD as displayed in item E4 above.

2. **Actual Cost/SY, PYTD**: This is the actual cost PYTD from 2110F, Page 2, Line 32, Column (d), divided by actual SY PYTD as displayed in item E5 above.

G. **Expected Underrun if OBS is Less Than 98.0 Percent**:

**Note**: Data is shown in this block only if the value in item E6 is less than 98.0 percent.

1. **Expected Savings per SY Not Delivered**: Item F1 (Planned Cost per SY) x 15 percent.

2. **SY Shortfall, Program Year to Date**: Item E4 (Planned SY, PYTD) minus Item E5 (Actual SY, PYTD).

3. **Minimum Underrun Expected**: Item G1 x Item G2.

4. **Reported Variance**: This is the PYTD center operations cost variance reported on Page 2, Line 30, Column (e). An underrun will be positive. An overrun will be negative.

5. **Underrun Deficit**: If item G3 is less than item G4, this data cell is left blank. Otherwise, this data cell displays the value of item G3 minus item G4. The amount in item E5 is that portion of the expected underrun that has not been obtained. This will require the CCC agency to supply an explanation.
in the Variance Exceptions and Concerns section on Page 4, of the 2110F.

H. **Signature of Authorized Agency Representative:**

1. This block shows the signature of the authorized CCC agency representative who is submitting the 2110F to DOL via the FMS. The signature line is reserved for use when the necessary e-signature technology can be applied. The date block shows the date when the report was formally submitted into FMS.

2. Name and Title: This block shows the name and title of the authorized CCC agency representative.

b. **2110F, Page 2**

A. **Center Name:** Same as Page 1.

B. **Agency Name:** Same as Page 1.

C. **Period Ending (Date):** Same as Page 1.

D. **Status:** Same as Page 1.

E. **Basis for Planned Expense, PYTD (Prorated versus Custom Detail Budget):** This indicates whether the CCC agency has elected to report Planned Expense-CYTD in Column (c) as either (i) straight line pro-rations of the program operating plan amounts for the full Program Year; or (ii) in amounts that are taken from a quarter-by-quarter Custom Detail budget which considers seasonal cost fluctuations in certain line-items.

F. **Net Center Operations Expense:** Following are descriptions and definitions for entries in Columns (a) through (e).

**Cost Categories Column:** See the Cost Category Section of this appendix for definitions.

**Current Quarter Actual (a):** This column displays the actual expenses for the current quarter for each expense category.

**Full Program Year Budget (b):** This column displays the budgeted amount for the entire Program Year for each expense category as shown on the latest approved program operating plan.
Planned Expense PYTD (c): This column displays the amount of expense for each expense category which has been planned (budgeted) to accumulate from the start of the current Program Year through the end of the reporting period. The CCC agency has an option whether to: (i) have the system report planned expense-PYTD automatically via straight line pro-ration of the program operating plan; or (ii) have a custom detail budget as discussed in section E above. The option may not be changed during a Program Year.

- **Prorated from Program Operating Plan:** The amount reported will be the current year’s straight-line budget through the end of the reported quarter. These amounts are prorated by a factor that is calculated as follows: “Days from Start of Program Year through End of Report Period” divided by “Days in Full Program Year.”

- **Custom Detail Budget:** The amount will be the current year’s cumulative quarterly budget through the end of the current quarter as per the custom detail amounts entered earlier in the FMS by the CCC agency.

Actual Expense PYTD (d): This column displays actual expense that has accumulated since the beginning of the current Program Year.

Variance (e): This is the difference between PYTD planned expenses versus PYTD actual expense, computed as Column (c) less Column (d). If the actual expense exceeds the budget (an overrun) the variance will be in brackets (negative variance).

Variance Threshold (f): This column displays the variance thresholds that, if exceeded (plus or minus), require the CCC agency to enter narrative explanations and corrective action plans that will appear starting on Page 4, of the 2110F report. The variance threshold amounts are calculated in accordance with the formulas discussed in the earlier section on Display and Evaluation of Variances.

Subtotal of Direct Expense, Lines 1-29 (Line 30): Line 30 displays the sum of the values in Lines 1 through 29. This represents the totals for direct center-level expense at the CCC in the Center Operations cost category.

Program Direction Expense - Allocated (Line 31): This is the CCC’s share of the CCC agency’s program direction expense. Although CCC agencies report program direction expense on an agency totals
basis, the Job Corps FMS automatically allocates this total amount among of the agency’s CCCs. The amount shown for a CCC represents a pro-rata allocation based on planned slot/SY levels.

Total Center Operations Expense - Lines 30+31 (Line 32): Line 32 shows the total of amounts in Lines 30 and 31. Within the Center Operations cost category, this represents the total of both direct and indirect (i.e., Program Direction) costs that are allocable to the CCC.

c. 2110F, Page 3

A. **Center Name:** Same as Page 1.

B. **Agency Name:** Same as Page 1.

C. **Period End Date:** Same as Page 1.

D. **Status:** Same as Page 1.

Separate Display for Operating Funds Versus CRA Funds: The differences between Operating (non-CRA) funds and CRA (Construction/Rehab/Acquisition) funds are such that it is useful to provide separate arrays of finance-related management information for each of these two broad categories. The main difference is that Operating funds are available for obligation only during the current Program Year while CRA funds are available for obligation over the course of three program years. It is also the case that the key tracking indicator for Operating funds is EXPENSE while the key tracking indicator for CRA funds is OBLIGATION. Operating funds are applied to support the day-to-day costs for staffing, consumables, utilities and other ongoing expense. In contrast, CRA funds are applied to contracts and purchases that are needed for major repairs and upgrades to CCC buildings and grounds.

E. **Status of Operating (Non-CRA) Funds:**

The following are descriptions and definitions for amounts appearing in Columns (a) through (f) in Section E:

**Categories of Expense:** See the Cost Category Section of this appendix for definitions.

**Budget for Program Year (a):** This column displays the budgeted amount for the entire Program Year for each expense category as shown on the latest approved program operating plan for the current Program Year.
Current Quarter Expense (b): This column displays the actual net expense in the current quarter for each expense category.

Program Year to Date Expense (Columns c-f): These three columns display CCC expense that is incurred in the current Program Year. The data displayed in each column is as follows:

Expense Paid (or Being Paid) from Current Funds (d): This column displays actual net expense that has accumulated since the beginning of the current Program Year and which has been paid or will be paid from current/active (non-expired) funds.

Expense Paid (or Being Paid) from Expired Funds (e): This column displays actual net expense that has accumulated since the beginning of the current Program Year which has been paid or will be paid from expired funds. It is expected that the great preponderance of reported expense will be paid from current appropriations. However, it is not unusual for an incidental amount of expense to be paid from funds that were duly obligated at an earlier time under an appropriation that has since transitioned into “expired” status and is so designated in the current Program Year.

Total PYTD Expense (e): This column displays the sum of amounts in Columns (c) and (d).

Total PYTD Expense as percentage of PY Budget (f): This column expresses the Total PYTD expense in Column (e) as a percentage of the Program Year Budget amount in Column (a).

F. Status of CRA Funds:

The following are descriptions and definitions for amounts appearing in Columns (a) through (d) in Section F:

Status Indicators: This column contains row headings (labels) for the indicators of financial status that are being reported in this section. The row headings refer to the following:

1. Transfers Budgeted by DOL: This heading refers to CRA funds that DOL has included in its current
budget/program operating plan. Amounts are displayed only for current/active appropriations and not for open but expired appropriations.

2. Actual Transfers EOP: This heading refers to CRA funds that have actually been transferred to and received by the CCC agency.

3. Balance of Transfers Due (Line 1-2): This heading refers to the amount of funds that DOL will transfer at a later time during the current Program Year.

4. Cumulative Obligations EOP: This heading refers to the total amount of obligations that have been made as of the end of the reporting period.

5. Cumulative Obligations through Prior Program Year: This heading refers to the total amount of obligations that had been made as of the end of the preceding Program Year.

6. Budgeted for Obligation this PY (Lines 1-5): This heading refers to the amount of DOL-approved funding that is or will be available for the CCC Agency to obligate in the current Program Year.

7. Net Obligations this PY (Lines 4-5): This heading refers to the portion of total obligations that have occurred during the current Program Year.

8. Unobligated Balance EOP (Lines 6-7): This heading refers to the amount that is or will be available for additional obligations during the current Program Year.

9. Net Obligations this Quarter: This heading refers to the amount of funds obligated during the quarter being reported. This amount is determined by taking the cumulative obligations reported at the end of the current quarter and netting out the cumulative obligations that were reported at the end of the preceding quarter.

Accounts Expiring this Program Year (Column a): This column is used to report the status of CRA funds that will expire at the end of the current Program Year. This is the
category of funds that has the greatest risk of lapsing. Efforts should be taken to ensure that these funds are fully and properly obligated for approved CRA projects before the end of the current Program Year. As a general rule that is intended to minimize the risk of lapsing CRA resources, accounts that expire earlier should be utilized ahead of accounts that expire later.

Accounts Expiring Next Program Year (Column b): This column is used to report the status of CRA funds that will expire at the end of the Program Year that follows the current Program Year.

Accounts Expiring in a Later Program Year (Column c): This column is used to report the status of CRA funds that will not expire until sometime after the next Program Year. Funds that are reported under this column are usually available for obligation for one additional year beyond the next Program Year. However, instances have occurred in which CRA funds in particular accounts have been granted extended availability per language in appropriations legislation.

Total of Current Accounts (a+b+c): This column is used to display the totals of amounts in columns a through c.

d. **2110F, Page 4**

A. **Center Name**: Same as Page 1.

B. **Agency Name**: Same as Page 1.

C. **Period End Date**: Same as Page 1.

D. **Status**: Same as Page 1.

E. **Variance Reasons/Solutions**:

This section automatically identifies each line-item, on Page 2, where actual expense differs from planned expense by a significant margin (referred to as the variance threshold). Other reportable anomalies from Pages 1 and 2 are also automatically identified. For each Page 2, Line-Item Variance or other anomaly that is listed, there is a block that shows the dollar amount of the variance/anomaly, along with blocks in which preparers of the 2110F report are required to supply both reasons for and the resolutions of these
variances and anomalies. The following guidance and advice is provided to those who formulate the reason and resolution statements:

Reasons: The Reason Block is used to explain the cause of the variance or anomaly and its present and future impact on CCC costs and program performance. It is often the case that the reasons for variances cannot be determined solely by the finance department of the CCC. Input should normally be sought from appropriate Program Managers. The discussion of the reasons for a variance or anomaly should be brief, but clearly stated so that they can be understood by a reviewer not aware of specific conditions at the center.

Resolutions: The Resolutions Block is used to briefly explain the action that has been taken or is planned to be taken to correct or resolve the variance or anomaly. Preparers are advised that simple repetition of resolution statements from month to month to month suggest that the planned actions are either ineffective, inappropriate or not being implemented. If no action is possible, it should be so noted and explained.

8. **Descriptions and Definitions of 2110HQ Data Items**

Detailed instructions and guidance for entering 2110HQ data into FMS is available from JCDC sources. The following discussion is not intended to supply instructions for entry of 2110HQ data, but is intended to provide detailed definitions and descriptions of the information that appears on a submitted 2110HQ report when it is viewed in its printable output form.

a. **2110HQ, Page 1**

A. **Agency Name**: This is the name of the federal agency name that has been registered in the FMS. The agency name appears at the top of each succeeding page of the 2110HQ report.

B. **Quarter End Date**: This is end date of the quarter for which the report is being prepared. The report period ending date appears at the top of both pages of the 2110HQ report.

C. **Quarter Number**: This is number of the quarter (1, 2, 3, or 4) for which the report is being prepared. The quarter number appears at the top of both pages of the 2110HQ report.

D. **Status**: This is the status of the report in terms of “Submitted”
versus “Pending”.

E. Expense in All Categories:

Section E in the 2110HQ is patterned exactly after Section E, on Page 3, of the 2110F report. The information in this section of the 2110HQ report consists of agency-wide roll-ups of the expense data reported for agency’s CCCs.

Categories of Expense: See the Cost Category Section of this appendix for definitions.

Budget for Program Year (a): On an agency-wide basis, this column displays the budgeted amount for the entire program year for each expense category as shown on the latest approved program operating plan for the current Program Year.

Current Quarter Expense (b): On an agency-wide basis, this column displays the actual net expense in the current quarter for each expense category.

Program Year to Date Expense (Columns c – f): These three columns display agency-wide expense that is incurred in the current Program Year. The data displayed in each column is as follows:

Expense Paid (or Being Paid) from Current Funds (d): This column displays actual net expense that has accumulated since the beginning of the current Program Year which has been or will be paid from current/active (non-expired) funds.

Expense Paid (or Being Paid) from Expired Funds (e): This column displays actual net expense that has accumulated since the beginning of the current Program Year which has been or will be paid from expired funds. It is expected that the great preponderance of reported expense will be paid from current appropriations. However, it is not unusual for a small portion of expense to be paid from funds that were duly obligated at an earlier time under an appropriation that is designated as “expired” in the current Program Year.

Total PYTD Expense (e): This column displays the sum of amounts in Columns (c) and (d).

Total PYTD Expense as % of PY Budget (f): This column expresses the Total PYTD expense in Column (e) as a percentage of the Program Year Budget amount in Column (a).
F. Net Obligation of Current/Active Funds in Current PY (PYTD):

Section F in the 2110HQ is used to report obligations of current/active funds on an agency-wide basis. The term “current/active funds” refers to funds from Job Corps appropriations that are available to obligate during the current Program Year.

Categories of Expense: See the Cost Category Section of this appendix for basic definitions. Please note that Facility Construction/Rehab/ Acquisition (CRA) funds need to be broken out in terms of:

a. Funds that will expire at the end of the current Program Year
b. Funds that will expire at the end of the next Program Year
c. Funds that will expire in a later Program Year

Please note that the DOL-approved budget/program operating plan that is issued to a CCC agency reports the cumulative amounts that have been approved for transfer to the CCC agency using the same break-out described above. However, in the 2110HQ report, the amounts shown in the PY Budget Column (a) consist of the cumulatives approved for transfer less the amounts actually obligated prior to the start of the current Program Year. This is to ensure that the current PY budget column accurately reflects the amounts available for obligation during the current Program Year.

This same feature is not needed for the non-CRA accounts due to the fact that current non-CRA funds are not made available for obligation prior to the start of the current Program Year.

Budget for Program Year (a): The amounts in this column are the same as those that appear in Section E. The only difference is the break-out of CRA funds described in the preceding discussion of expense categories.

PYTD Obligations (b): On an agency-wide basis, this column displays the CCC agency’s net obligations that have occurred from the start of the current PY through the end date of the quarter being reported.

PYTD Obligations as percentage of PY Budget (c): This column expresses the Total PYTD obligations in Column (b) as a percentage of the Program Year Budget amount in Column (a).
G. Net Outlays in Current PY (PYTD):

Section G in the 2110HQ is used to report net outlays of Job Corps funds that have occurred during the current Program Year.

**Categories of Expense:** See the Cost Category Section of this appendix for basic definitions.

**From Current/Active Funds (d):** This column reports net outlays from current/active accounts that have occurred during the current Program Year.

**From Expired Funds (e):** This column reports net outlays from expired accounts that have occurred during the current Program Year.

**Total (f):** This column reports the total of amounts in Columns (d) and (e).

b. 2110HQ, Page 2

A. **Agency Name:** Same as Page 1.

B. **Quarter End Date:** Same as Page 1.

C. **Quarter Number:** Same as Page 1.

D. **Status:** Same as Page 1.

E. **Status of Job Corps Operations Funds Received by Agency - Cumulative:**

F. **Status of Job Corps Construction/Rehab Funds Received by Agency - Cumulative:**

These sections summarize the status of all Job Corps funds that have been transferred to the CCC Agency and which are still considered to be “open” accounts. These open accounts include both “current” accounts and “expired” accounts. After an account has been “closed”, which normally occurs after an account/appropriation has been in “expired” status for five full years, there is no need for the CCC agency to provide DOL with any further information about that account. If the required information proves difficult to obtain from internal CCC agency financial systems, it is the case that most of the required information in Sections F and G can be abstracted from quarterly SF 133s that CCC financial staff prepare and submit to the Office of Management and Budget (OMB).
Section F is used to provide information on Job Corps Operating funds. Section G is used to show the status of Job Corps CRA funds. Each of these two sections provide for subtotals to be displayed for “current” accounts/appropriations versus “expired” accounts/appropriations.

The following are descriptions/definitions for amounts appearing in Columns (a) through (g) Treasury Appropriation Fund Symbol/TAFS (a). This column displays the TAFS that has been assigned to each account/appropriation, including the numerals that designate the period of availability for obligation.

Appropriation Expiration Date (b): For each TAFS that is listed, the expiration date of the appropriation must be provided. For Job Corps accounts/appropriations, this date will normally fall on June 30th.

Amount Transferred (c): This column is used to display the cumulative amount of funds in each account/appropriation that has been transferred to the CCC agency. This amount might not be available to abstract from the SF 133 for the quarter being reported, but should be readily available from CCC agency financial records. Contact DOL Job Corps financial staff if assistance is needed to locate this information.

Amount Obligated (d): This column is used to display the cumulative amount of obligations charged to each account/appropriation. One possible way to develop this number is to take the cumulative amount transferred per column c and then net out the current unobligated balance being reported in the SF 133 for that quarter. In other words, the amount in this column may be regarded as the Column (c) amount less then Column (f) amount.

Obligations As percentage of Transfers (e): This is simply the amount in Column (d) divided by the amount in Column (c).

Unobligated Balance/End of Period (f): This is the unobligated remainder from the total amount that has been transferred per Column (c). This unobligated remainder may normally be abstracted from the SF 133 that is prepared for the current quarter.

Unpaid Obligations/End of Period (g): This is the subtotal of the obligated amount reflected in column (d) that has not yet been paid out as of the end date of the quarter being reported. This number may normally be abstracted from the SF 133 that is prepared for the current quarter.
E. DOL FUND TRANSFERS

It is the policy of DOL to ensure that CCC agencies have adequate Job Corps funds available to cover all immediate obligation and outlay requirements of their CCC’s and their supporting program direction activities. In implementing this policy, DOL must be mindful of the parameters that are inherent in the patterns followed by Congress in appropriating Job Corps funds and in the scheduling for apportionments by OMB.

Within these parameters, DOL will strive to observe the following schedule for making fund transfers to each CCC agency in the framework of the agency’s aggregated program operating plan:

1. Incremental Transfers

Funds in the expense categories listed below will be transferred in quarterly increments. The amounts of each quarterly transfer will be intended to bring the cumulative transfer amount up to the percentages of the full PY program operating plan as indicated. Except as noted for the 4th quarter transfer, DOL will process the transfers to occur as early as possible in the quarter. Provided that adequate funds have been apportioned to DOL by OMB, the quarterly cumulative target levels are as follows: (i) 1st quarter - 35 percent; (ii) 2nd quarter - 60 percent; (iii) 3rd quarter - 90 percent; (iv) 4th quarter - 100 percent.

The initial fourth quarter transfer will only provide 95 percent of funds to the CCC’s until Low OBS take-back is calculated (for a discussion of Low OBS take-back, see page 51). Once the take-back is determined, the remaining 5 percent of funds will be transferred to the agencies, less the take-back amount.

- Center Operations Expense (Including Program Direction)
- Outreach and Admissions
- Career Transition Services

2. Full Transfers

Funds in the expense categories listed below will be transferred in full as soon as possible after being approved and incorporated into the program operating plan. This will normally be done on a monthly update basis.

- Equipment and Furniture
- Vehicle Rental/Amortization
- Career Technical Skills Training Materials
- Student Transportation
- Support Activities
- Facility Construction/Rehab/Acquisition (CRA).

DOL will strive to make CRA transfers exclusively from “Advance” appropriations in order to minimize CCC agency accounting and financial
reporting burdens. DOL will also strive to use the most recent CRA advance appropriation that is available at the time of the transfer in order to provide the CCC agency with adequate lead times to obligate the funds to construction and related contracts.

F. CCC PROGRAM OPERATING PLANS

1. Purpose/Definitions

The term “Program Operating Plan” refers to the budget that has been agreed to by DOL and the CCC agency to support the Job Corps facilities and operations that will be administered by the CCC agency during a Program Year. A center-level program operating plan is formulated for each CCC as well as for the CCC-agency’s general and administrative functions (referred to as “program direction” activities).

For individual CCCs, program operating plans include annual budgeted amounts for the major expense categories. Center operations expense is further broken out into the line-items described in a previous section. In those line-items that relate to personnel costs, a further break out is provided in terms of federal personnel versus non-federal personnel. Outreach and Admissions, and Career Transition Services funds, if present, are broken out into personnel (federal versus non-federal) and other costs.

Program operating plans are intended to provide the CCC agency with a reliable framework in which to allocate and manage resources for provision of staffing, supplies, and services that are needed for the effective operation of a Job Corps CCC.

2. DOL’s IT Infrastructure

Program operating plans for CCCs are maintained in DOL’s Job Corps Fund Allocation System (JFAS) and are thus integrated into the DOL database containing the Job Corps Advance Annual Procurement/Financial Operating Plan. JFAS is used not only to maintain the approved CCC program operating plans, it is also used to provide IT support for the development of initial program operating plans prior to the start of each Program Year.

3. Formulation of Initial Program Operating Plans

a. Annual Budget Call

The development of the initial program operating plans that are in place at the start of a Program Year is launched many weeks before the Program Year begins. This process is initiated by DOL through issuance of a Budget Call Memo/Package to each CCC agency. The memo contains a discussion of overall fiscal considerations and policies for the upcoming Program Year, such as allowance levels for scheduled federal pay raises and allowances for
to accommodate the effects of anticipated cost inflation in the nation’s economy.

The budget call normally contains overall dollar targets in each major expense category for each CCC agency. Also included in the Budget Call Package are a number of technical exhibits that provide guidance and specifications for the CCC agencies to follow in the preparation of their proposed program operating plans and its required back-up information (e.g., staffing tables, pricing data for supplies and materials, etc.).

b. Schedule for Program Operating Plan Development

The normal schedule for the development of the initial program operating plans is as follows:

- 20 weeks before PY start (on or around February 15): DOL issues budget call memo/package to CCC agencies (copies furnished to DOL regional offices)
- 13 weeks before PY start (on or around April 1): CCC agencies submit proposed program operating plans and back-up materials to Office of Job Corps, and appropriate Regional Office
- 11 weeks before PY start (on or around April 15): DOL Regional Offices provide any comments to Office of Job Corps
- 6 weeks before PY start (on or around May 15): Discussion/negotiations are completed and approved initial program operating plans are issued by DOL
- Start of new PY (July 1 or first available date apportionment becomes available): DOL initiates 1st quarter fund transfers to the CCC agencies

4. Requesting Mid-Year Funding/Budget Adjustments/Movement of Funds

First note that this section applies to operating (non-CRA) funds only. During the course of a Program Year, the program operating plan of a CCC might require adjustment or revision for a variety of reasons, such as funding for special programmatic initiatives, replacement of fire-damaged supplies and materials, and so forth. In some cases, these adjustments are initiated by DOL (e.g., funding for special initiatives). In most cases, however, proposals for mid-year funding adjustments originate within CCC agencies.

CCC agencies are discouraged from submitting Requests for Funding/Budget Adjustments except in the most urgent and compelling cases. The DOL expectation is that CCC agencies should maintain staffing and deliver services in a satisfactory manner within the resource levels provided in the initial program operating plans that are put in place at the start of each program year. It is also the case that DOL’s contingency reserves are rarely abundant.
This section describes the basic steps and requirements that a CCC agency should follow when preparing and submitting a request for a mid-year adjustment in its program operating plan. Please note that this section is concerned only with funding of operating expenses. The term Operating Expense can be defined as all categories of Job Corps expense other than Construction/Rehab - which has its own congressional appropriation. The major expense categories that are considered Operating Expense include: A-Center Operations; B2-Equipment; B3-GSA Vehicle Rental; B4-CTST Materials; C1-Outreach and Admissions; C2-Career Transition Services; D-Student Transportation; and S-Support. With respect to Construction/Rehab funding requests, basic procedures and requirements are found in Chapter 5, Section 5.8, R5-R10.

a. **When Is a Request for a Mid-Year Funding/Budget Adjustment Needed?**

A request should be prepared and submitted when a CCC agency believes that a funding/budget adjustment is required in order to maintain the effectiveness of a CCC AND that such an adjustment cannot be accomplished via unilateral internal budget realignments that are within the parameters described in the DOL/CCC Interagency Agreement.

b. **Format**

The only requirement for a Budget Adjustment or Movement of Funds request is the use of the Movement of Funds Spreadsheet. This spreadsheet was developed by DOL, at the request of the USDA, to formalize the process for Movement of Funds as described in the current Interagency Agreement. The Bureau of Reclamation is not required to use the attachment, but is encouraged to do so. It is recommended that the request be accompanied by brief explanatory statement along with whatever additional exhibits or tables are appropriate. Electronic “soft-copy” is required.

c. **Content**

There are no rigid requirements or specifications concerning the content of a Request for Funding/Budget Adjustment. The level of detail depends on the complexity of the situation. Elaborate presentations are not required, but a briefly stated justification for the change in funding, along with appropriate back-up in the form of pricing data and computations. The request should also indicate which major categories of operating expense would be affected. In cases where the increase is of an ongoing nature, it is imperative that the request specify the effective date of the change, the net change amount in the current Program Year and the change amount that will be needed in the next Program Year.
d. Submitting a Request

CCC agencies should, via email, submit Requests for Funding/Budget Adjustment to the Office of Job Corps (to the attention of the budget team), with a courtesy copy being sent to the DOL Job Corps Regional Office that is responsible for monitoring the CCC which is the subject of the request. These types of requests may be submitted at any time. As a general rule, CCC agencies should strive to submit these types of requests as soon as possible after the need for a funding adjustment becomes evident.

e. Turn-Around Time

The Office of Job Corps will strive to provide the CCC agency with a substantive response to its request within two weeks of submittal, depending on the complexity and/or policy implications of the issues that need to be addressed.

5. Underruns Due to Low On-Board Strength (OBS)

CCC agencies are expected to control expenditures for items that are sensitive to on-board student strength to ensure that expenditures for such items are commensurate with average student OBS. Expense items that are considered OBS-sensitive include food, clothing, and other consumables that normally comprise about 15 percent of a CCC’s center operations budget.

In any program year when the CCC operates at less than 98 percent of planned capacity, the CCC agency will be expected to generate an appropriate cost under-run as a result of reduced spending for OBS-sensitive items. The amount of the expected under-run is computed as follows: numerical shortfall in student service years during the program year x the budgeted cost per SY (i.e., annual center operations budget/planned SY) x 15 percent = anticipated under-run. Anticipated low-OBS under-run data appears automatically on each quarter’s 2110F cost report if capacity utilization is running less than 98.0 percent on a program year-to-date (PYTD) basis.

Based on a CCC’s cost report for the quarter ending March 31st, DOL will make an appropriate reduction in the program operating plan of any CCC which has a PYTD capacity utilization of less than 98.0 percent. This reduction will be based on the under-production of student years (SY’s) that has occurred during the first nine months of the program year. The amount of the reduction will be the same as the “Minimum Expected Low OBS Under-Run” that is reported in the March 31st quarterly cost report.
6. **Program Year-End Return Transfers**

If, during the final quarter of a program year, a CCC agency determines that it will not be able to obligate some portion of the Job Corps funds that have been transferred from DOL, the CCC agency should make arrangements to transfer the funds back to DOL so that funds arrive back to DOL on or before June 1. This will allow DOL to obligate the funds for allowable purposes prior to the end of the Program Year.

If the funds in question were originally provided for equipment/furniture acquisition, the purchase of CTST materials, facility Construction/Rehab projects, or similar items that are not of an ongoing, operational nature, DOL will strive to restore these funds to the CCC agency through appropriate compensating budget increases in the CCC agency’s program operating plan for the following program year. In order to assure the restoration of these funds, the return fund transfer to DOL must be accomplished by no later than June 1st in the expiring Program Year.

7. **Formats and Content**

Detailed instructions and specific formats will be provided in each year’s budget call package that is issued to CCC Agencies by DOL. If DOL intends to introduce material changes in the requirements or formats that have been used in the past, it will, if practicable, share the proposed changes with the CCC Agencies for review and comment beforehand.
APPENDIX 507
MONTHLY STAFFING REPORTS

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A. CENTER STAFF VACANCY AND SEPARATION REPORT

1. Purpose

The Job Corps Monthly Center Staff Vacancy and Separation Report, ETA 2110S, is used by operators of Job Corps centers (contractors and Civilian Conservation Centers (CCC) agencies) to report staff vacancy and separation data. This data is used by U.S. Department of Labor (DOL) to create management information that is used to monitor trends in staff vacancies and staff turnover that require attention and possible corrective action.

2. Originators

Job Corps center operators complete this report for each center for which they are currently responsible. Where there is more than one operator at a particular Job Corps center, each with specific center responsibilities and a separate contract or funding agreement with Job Corps, each operator will complete this report for center staff on their payroll. Also, a separate set of reports may be required for major program components falling under one contract or funding agreement (e.g., satellite center versus main center) if requested by the DOL-Job Corps Regional or National Office.

3. Time Frames

Reports will display information for the entire reported calendar month. The reports are due from center operators by the 20th day of the following month. For example, the report for June is due on July 20th.

4. Submittal Procedures

Contractors and CCC agencies submit the 2110S using DOL’s web-based Job Corps Financial Management System (FMS) that is administered by the Job Corps Data Center (JCDC). The FMS has been designed in a way that minimizes the volume of data that must be entered for the 2110S and which ensures consistency and accuracy in all internal mathematical operations that are present in the 2110S output reports. Contractors and CCC agencies may obtain detailed guidance concerning FMS procedures for entering and submitting the 2110S by referring to the FMS Technical Guide that is available from the FMS website.

5. FMS Output Reports

Contractors, CCC agencies, and DOL staff are able to generate useful 2110S-based output reports from FMS, including a 12-month roll-up report that tracks a center’s vacancies and turnover rates for the prior twelve month period, and which compares the center’s data alongside national averages.
6. **2110S Data Descriptions and Definitions**

Detailed instructions and guidance for entering 2110S data into FMS is available from the FMS Technical Guide. The following discussion provides definitions and descriptions of the information that appears on a submitted 2110S report when it is viewed in its basic printable output form.

**Center Name:** This is the name used to identify the Job Corps center being reported. If the report applies to a major component rather than an entire center, the component name will appear after the center name, e.g., "Pine Top-Utopia Satellite."

**Current Center Operator:** This is the name of the operator organization (contractor or CCC agency) as registered in the FMS.

**Report for Month Ending:** This is the last day of the calendar month for which the report has been prepared.

**Total Center Slot Capacity:** This is the official planned slot capacity of the center as of the last day of the month reported, according to information stored in the JCDC-WSSR (JCDC-Weekly Student Strength Report [WSSR]). This is further broken out in terms of:

- Residential Slots vs. Non-residential slots; and
- Off-Center Training Slots vs. On-Center Slots (in FTSE-full time student equivalents)

**Columns:**

**Position Categories:** To determine which positions/job titles are to be reported in which personnel categories, please refer to the appropriate financial management appendix (502 for contract center operators and 506 for CCC agencies) and refer to the sections that describe center operations expense categories.

**Notes:**

- Staff funded in expense categories other than Center Operations, (e.g., OA or CTS) are not to be included in the “center” version of this report.

- Career Technical Training instructors furnished via NTCs (National Training Contractors) are reported separately on the line so labeled.
FTE Positions Authorized: This column displays the current number of funded full-time equivalents (FTE) authorized in each category. This will include all direct center employees of the center, National Training Contract (NTC) staff, and subcontractor employees (or contractor employees at CCC’s) who perform ongoing functions at the center, which might otherwise be performed by direct employees of the center operator.

Notes:

- The term FTE refers to full-time equivalent (e.g., 1 full-time position or 2 half-time positions). Part-time staff will be reported as a portion of an FTE, e.g., 0.5 FTE for a staff member who works half the hours required of a full-time position.

- Substitute positions are not included in the count of Authorized Staff.

- If an employee works in more than one area such as part-time in academics and part-time in career technical training, or a secretary is assigned to two departments, the FTE will be allocated to the appropriate category as explained in the sections contained in the financial management appendices concerning "Allocation of Split Duties.”

On Board (End of Month): This is the number of FTE staff on board in each category at the end of the current month. This will include all direct center employees of the center, National Training Contract staff, and subcontractor employees (or contractor employees at CCC’s) who perform ongoing functions at the center, which might otherwise be performed by direct employees of the center operator. Overtime will not be considered when calculating on-board FTE. The maximum FTE for any single staff person will be 1.0.

Vacancies (End of Month): This is the number of vacancies at the end of the month for each category and center total. It is calculated by subtracting “On Board” from “FTE Positions Authorized.”

Separations This Month: This is the number of FTE represented by staff persons who were separated from employment at the center for any reason (transfer to another center, resignation, retirement, fired for cause, etc.) during the month being reported. Staff members who transfer from one job to another at the center are not
counted. If it has been discovered that a staff member was separated in the prior month but not counted on that month’s report, that separation will be counted on the current month’s report.

B. OA/CTS STAFF VACANCY AND SEPARATION REPORT

1. Purpose

The Job Corps Monthly Outreach and Admissions (OA)/Career Transition Services (CTS) Staff Vacancy and Separation Report, “ETA 2110S-OA/CTS,” is used by DOL-funded providers of OA and/or CTS services (contractors or CCC agencies) to report staff vacancy and separation data. This data is used by DOL to create management information that is used to monitor trends in staff vacancies and staff turnover that require attention and possible corrective action.

2. Originators

DOL-funded providers of OA and/or CTS services complete this report for each of their DOL contracts or funding agreements that are currently in place. If the provider is a CCC agency, separate reports are required for each CCC that is being funded for these services. This report must also be submitted by center contractors whose contracts are expressly funded for the provision of OA and/or CTS services.

3. Time Frames

Reports will display information for the entire reported calendar month. The reports are due from OA/CTS contractors by the 20th day of the following month. For example, the report for June is due on July 20th.

4. Submittal Procedures

OA/CTS providers submit the 2110S-OA/CTS using DOL’s web-based Job Corps Financial Management System (FMS) that is administered by the Job Corps Data Center (JCDC). The FMS has been designed in a way that minimizes the volume of data that must be entered for the 2110S and which ensures consistency and accuracy in all internal mathematical operations that are present in the 2110S-OA/CTS output report. Contractors may obtain detailed guidance concerning FMS procedures for entering and submitting the 2110S-OA/CTS by referring to the FMS Technical Guide that is available from the FMS website.
5. **FMS Output Reports**

OA/CTS providers and DOL staff are able to generate useful output reports from FMS, including a 12-month roll-up report that tracks a provider’s vacancies and turnover rates for the prior twelve-month period, and which compares the provider’s data alongside national averages.

6. **2110S-OA/CTS Data Descriptions and Definitions**

Detailed instructions and guidance for entering 2110S-OA/CTS data into FMS is available from the FMS Technical Guide. The following discussion provides definitions and descriptions of the information that appears on a submitted 2110S report when it is viewed in its basic printable output form.

**Contract Name and Scope:** This identifies the services being provided (OA and/or CTS) and the geographic coverage of the contract or funding agreement.

**Contractor:** This is the name of the OA/CTS provider organization as registered in the FMS.

**Report for Month-Ending:** This is the last day of the calendar month for which the report has been prepared.

**Columns:**

**Position Categories:** To determine which positions/job titles are to be reported in which personnel categories, please refer to the appropriate financial management appendix (503 for contract center operators and 506 for CCC agencies) and refer to the sections that describe and define the OA and CTS expense categories. Staff funded in expense categories other than OA or CTS (e.g., staff funded under a center operations budget) are not to be reflected in these reports.

**FTE Positions Authorized:** This column displays the current number of funded FTE authorized in each category. This will include all direct employees of the provider as well as subcontractor employees (or contractor employees at CCC’s) who perform ongoing functions which might otherwise be performed by direct employees of the provider.

**Notes:**

- The term FTE refers to “full-time equivalent” (e.g., 1 full-time position or 2 half-time positions). Part-time staff will be reported as a portion of an FTE, e.g., 0.5 FTE for a staff member who works half the hours.
required of a full-time position.

- If an employee works in more than one area, such as part-time in OA and part-time in CTS, the FTE will be allocated to the appropriate category as explained in the sections contained in the financial management appendices concerning "Allocation of Split Duties."

**On-Board (End of Month):** This is the number of FTE staff on board in each category at the end of the current month. This will include all direct provider employees and subcontractor employees (or contractor employees at CCC’s) who perform ongoing functions which might otherwise be performed by direct employees of the provider. Overtime will not be considered when calculating on-board FTE. **The maximum FTE for any single staff person will be 1.0.**

**Vacancies (End of Month):** This is the number of vacancies at the end of the month for each category and center total. It is calculated by subtracting “On Board” from “FTE Positions Authorized.”

**Separations This Month:** This is the number of FTE represented by staff persons who were separated from employment under the OA/CTS contract or funding agreement for any reason (transfer to another contract, resignation, retirement, fired for cause, etc.) during the month being reported. Staff members who transfer from one job to another that is funded under the same contract or funding agreement are not counted. If it has been discovered that a staff member was separated in the prior month but not counted on that month’s report, that separation will be counted on the current month’s report.
APPENDIX 508
CAREER TECHNICAL SKILLS TRAINING

Career Technical Skills Training (CTST) projects provide opportunities for students to learn and practice career technical skills and workplace competencies through projects that result in improved facilities, projects or finished products. Projects accomplished through the CTST program provide students with quality training in a wide range of skills required for employment in their chosen career fields.

General Requirements

1. The National Director of the Office of Job Corps will allocate CTST funds to support training projects for designated career technical training (CTT) programs that use projects in the Construction, Advanced Manufacturing, Automotive and Machine Repair, Information Technology (Network Cable Installation only), Transportation, or Renewable Resources and Energy industries as the primary method of training. Training provided in these programs should offer a minimum of 65 percent hands-on activities/project-based learning resulting in finished products, projects or improved facilities.

2. Additional programs and projects may be eligible for CTST funding if determined to be appropriate by the National Director.

3. CTST projects must be described by the center in an annual CTST Plan prepared for the upcoming program year, and submitted by February 1, annually using approved CTST Submittal forms from the Funded-Not-Corrected (FNC)/Construction, Rehabilitation, and Acquisition (CRA)/Career Technical Skills Training (CTST) Job Corps Citrix website. Upon completion of all required fields within the form, Centers will upload the completed form to the FNC/CRA/CTST Job Corps Citrix website for review and approval by the Regional Office, followed by the National Office. When National Training Contractor (NTC) trades are involved, the annual CTST Plan must be developed in full cooperation with the NTC instructor(s). An NTC representative must sign the CTST Plan or provide a letter of concurrence with it, as well as for any modification to the plan, submit to the National Office, as prescribed below.

4. CTST Plans must be based on providing a wide range of training experiences for students within the funding allocated by the National Office of Job Corps (NOJC), and the student training time available for CTST work during the program year. Every effort should be made to actively involve students in planning and budgeting CTST projects and should be considered part of their project-based learning process.

Programs Eligible for CTST Funding

1. The annual CTST program budget for each center must be determined on the basis of the total authorized training slots for the following career technical offerings which use hands-on, project-based learning as the primary method of training. The following program areas, both basic and advanced, are eligible for CTST funding (Basic and Advanced designation is provided through the Centers Approved Master Profile):
- Bricklayer
- Brick Masonry
- Building Construction Technology
- Carpentry
- Cement Masonry
- Construction Craft Laborer
- Electrical
- Facilities Maintenance
- Floor Covering
- Forestry Conservation and Firefighting
- Glazing
- Heating, Ventilation and Air Conditioning (HVAC)
- Heavy Construction Equipment Mechanic
- Heavy Equipment Operations
- Heavy Truck Driving
- Landscaping
- Machining
- Manufacturing Technology
- Network Cable Installation
- Overhead Line Construction
- Painting
- Paving Machine Operator
- Petroleum Technician
- Plastering
- Plumbing
- Sign, Billboard, and Display
- Smart Meter and Instrumentation Technician
- Solar Installer and Repairer (PV and Thermal)
- Stationary Engineering
- Surveying
- Tile Setting
- Underground Residential Distribution
- Urban Forestry
- Water/Wastewater Operator
- Weatherization
- Welding

**CTST Funding**

1. Funding allocated to each center for CTST programming must be established by the National Office of Job Corps (NOJC) on a program year basis and per training slot for each eligible career technical training (CTT) program as specified above.

2. CTST funds must be maintained and accounted for by the center as a separate budget line item and not transferred to any other budget category.

3. Centers may use single project underrun to support/balance out existing approved project(s), provided single underrun project is in 100 percent completion status within the applicable CTST program year (PY) cycle.

4. The total estimated cost of the center’s CTST Plan must not exceed the annual allocated funding level established by the National Director of the Office of Job Corps.

5. The center’s annual CTST Plan must include estimated costs of individual CTST projects.

   a. CTST funds may be used for the following:
      (1) Materials, tools and supplies (including health, safety, and personal protection equipment (PPE) and public identification)
      (2) Jobsite power tools (specific to the completion of CTST projects)
      (3) Equipment rental
      (4) Contracted services
(5) Agency technical services (USDA Forest Service Civilian Conservation Centers [CCCs] only)
(6) Motor vehicle operations and maintenance

b. The total combined costs for a CTST project contracted services and agency technical assistance must not exceed 50 percent of the total cost of the individual project for which it is requested.

c. The use of CTST funds for mock-up training must be limited to materials, jobsite power tools, and equipment necessary to construct installed training aids or work stations.

6. CTST funds must not be used to:

a. Supplement budgets or operations that are unrelated to or beyond the scope of hands-on training.

b. Pay any center staff salaries.

c. Purchase separately funded career technical training, consumable materials used in classroom training, curriculum, training aids, audiovisuals, or texts.

d. Offset or prorate salary, administrative, or support costs above the center level.

e. Maintain, rehabilitate, or construct staff housing.

f. Subcontract for the purpose of accelerating a project completion date.

7. Funding for CTST off-center projects may be supplemented in whole or in part by the benefiting agency, but:

a. Costs to Job Corps must be limited to those items that are directly related to student training, and essential heating, plumbing, and electricity.

b. Benefiting agencies/organizations must provide all technical assistance, materials, planning, design, and ancillary features and equipment that do not contribute to or involve student training.

Annual CTST Plans

1. Plan Contents - Each center’s annual CTST plan must be prepared and submitted using the annual CTST Form approved by the National Office, which shall be posted on the Job Corps Funded-Not-Corrected (FNC)/Construction, Rehabilitation, and Acquisition (CRA)/Career Technical Skills Training (CTST) website.

2. Project Selection - Centers must select CTST projects in accordance with the following priorities;
a. Projects that address skill items on Electronic Training Achievement Records (e-TARs) for each career technical training (CTT) area to ensure a wide range of skills development and that ensure the training inherent in the proposed projects is geared to job placement in the field for which students are being trained.

b. On-center construction, rehabilitation, and maintenance projects, including items identified in the facility survey (In situations where contracted services are required, priority must be given to projects that fulfill these services through another Job Corps center’s or other career technical skills training program’s participation, whenever reasonably possible.)

c. Off-center projects on federal, state, county, or municipal public lands (Priority must be given to those projects for which the benefiting agency provides the most financial support. Benefiting agencies must provide technical assistance, materials, and other resources.) Justification must be provided for projects that require more than one hour travel in each direction:
   (1) Promote, preserve, or protect the economic self-interest of private individuals or groups, whether profit or nonprofit.
   (2) Involve capital construction that would normally be provided through city funding, industry funding, or through bond issues.

d. Public service projects for nearby communities, limited to public lands or to support community-based organizations (Such organizations need not be involved in providing job training services. Community service projects must be considered on-center projects in establishing priorities, provided the cost to Job Corps does not exceed $5,000.)

e. Repetitive or production-oriented projects that provide short-term or inclement weather activities, such as production of cattle guards, picnic tables, and other such items

f. Construction of cut-away displays, mock-ups and work stations

g. Special projects as assigned and approved by the National Office

3. Prohibited Projects - Centers must not include the following in CTST Plans:

   a. Projects that displace currently employed or contractually required workers, or impair existing contracts for services

   b. Costs of administrative direction, management assistance, or overall program planning and support provided by the center operator when such costs are not directly related to the planning or execution of any specific project

4. Spike Camps/Off-Center Residential Facilities – Spike camps/off-center residential facilities must be established only in support of a specific CTST project proposal.

5. Spike camp proposals must include full justification and meet the following criteria:
a. Be located 75 miles or less from the center, or further distance if approved by the Regional Office

b. Be temporary and not maintained beyond the life of the CTST project

c. Provide academic and residential support services and supervision of students 24 hours each day, commensurate with the level of services provided at the center

d. Exclude beginning readers from participation

e. Provide student supervision by staff other than career technical training instructors during non-working hours

f. Provide adequate medical/dental coverage for students

g. Have prior inspection and approval by the Center Safety Officer

h. Provide adequate communications between the spike camp and the center

i. Be operational only during the training week. Students must be returned to the center at the end of the last training day of the week

j. Have prior agreement from any involved NTC

k. Maintain costs involved with the spike camp operation separately from center operations to enable analysis of cost-effectiveness of the operation

6. Center-to-Center Collaborative Projects

a. Centers must make every effort to use existing CTST programs including those at other centers, when planning CTST projects.

b. Centers are encouraged to review local and distance centers for available CTST support for proposed projects that the existing center does not have the CTST program offering. No distance limitations are placed on center to center collaboration.

**CTST Plan Submission and Approval**

1. National Office Approval - centers must not begin any CTST project construction without express approval by NOJC.

a. Centers not submitting by the deadline established by NOJC may be determined to have forfeited/or delayed availability to CTST funds.
b. The NOJC Division of Education Services, Career Technical Training (CTT) Unit will maintain record of and manage the review process, including review by the Job Corps Division of Facilities and Asset Management, if necessary.

c. Centers may submit corrections or alternative/replacement projects using the annual CTST Form approved by the National Office and formally notifying the CTT Unit Supervisor of their intent to do so, but not later than April 30.

2. Allocations - The National Office, via CTT, will communicate final allocation status to the Regional Office not later than July 1 and the Regional Office shall distribute CTST funds to centers for CTST projects approved by the National Office between July 1 and July 31.

3. 90 Day Rule - The Regional Offices shall place 100 percent of the center’s allocation on the existing center operations contract unless said contract is scheduled to terminate/expire within 90 calendar days of the funds being made available to the Regional Offices. In cases where the contract is scheduled to terminate/expire within 90 calendar days, the Regional Office will place 100 percent of the available CTST funding on the new contract, once awarded.

4. Spending of Funds by Centers - The center must spend all CTST funds on its designated plan and projects by March 31 or forfeit unspent funds to the National Office. Each center must also complete the reconciliation contained in the CTST form at least twice annually, or at a frequency directed by the NOJC. The bi-annual status report must be submitted for July 1 through December 31 activity by 5:00 p.m. Eastern Standard Time January 31. The reporting for the period of July 1 through June 30 report must be submitted by 5:00 p.m. Eastern Standard Time July 31.

**Operation of CTST Projects**

1. Center management must designate a staff member to coordinate and manage CTST project implementation. NTCs involved with specific projects must assist the designated staff member in carrying out the plan.

2. The individual designated to oversee CTST projects must coordinate with all career technical training programs on center involved in CTST projects and the Safety Coordinator.

3. The Regional Office will report withdrawal and deferment of an approved CTST project and instances of unauthorized projects or expenditures in writing immediately to the National Office CTT Unit.

4. All major CTST projects in progress and all completed projects and products, including buildings, campgrounds, or other permanent projects, must be prominently marked as having been produced by Job Corps. All movable products must be identified by either affixing a marked, non-corroding metal plate or by branding/stamping the project with the Job Corps name or emblem.
APPENDIX 509
JOB CORPS CHILD DEVELOPMENT PROGRAMS

SELECTION AND FUNDING OF JOB CORPS CHILD DEVELOPMENT PROGRAMS

Selection

1. Job Corps centers must submit proposals for on-site child development programs and/or residential parent/child programs to the National Office for approval, through the Regional Office.

2. Proposals must include:
   a. Statement of need, including documentation demonstrating that existing local resources are not adequate to meet the needs of the center’s student population.
   b. All information specified in Exhibit 5-7, Proposal Outline for On-Site Child Development Centers and Residential Parent/Child Programs.

3. The National Director, Job Corps, shall request a design and/or facility review as appropriate, and shall approve the establishment of all on-center child development and/or residential parent/child programs.

Funding Sources

1. Job Corps appropriated funds shall be used only for costs specified in Exhibit 5-8, Use of Job Corps Funds for Child Development Centers and Parent/Child Programs.

2. Non-Job Corps funds shall be used to support the ongoing operating costs of child development programs and the additional operating costs associated with housing children in residential parent/child programs. Non-Job Corps funding resources are specified in Exhibit 5-8.

3. In exceptional circumstances, where a shortfall in non-Job Corps revenue obtained from outside sources for support of ongoing costs of child development centers (CDCs) and/or residential parent/child programs (RPCs) occurs, the Job Corps center may submit a request through the Regional Office to the National Office for funding. The request must clearly explain why any shortfall exists and what steps the center has taken to address the problem.

4. Centers must identify resources and develop linkages for financial and nonfinancial support for on-center programs.
ADMINISTRATION OF ON-CENTER CHILD DEVELOPMENT PROGRAMS

Method of Operation

Center operators must operate approved on-site child development programs:

1. Directly with center-employed staff.
2. Through subcontracts with licensed child care providers.
3. Through agreements with other programs such as Head Start.

Licensing

Center operators must design and operate a development program for children that meets state licensing guidelines. Every effort shall be made by the center to obtain licensing by the state.

Design of Program

Center operators must operate the program in accordance with written standard operating procedures (SOPs) that include the following:

1. Designation of ages of children to be served. In no case, however, may children younger than 6 weeks nor children of mandatory public school age be enrolled in on-center CDC programs.
2. Registration and enrollment procedures for children, including provision of any required documents by parents such as children’s birth certificates and immunization records, or documentation to meet other minimum health standards established by the state licensing agency and/or by the CDC operator.
3. Designated hours of operation.
4. Daily schedule of activities.
5. Procedures for release of children. Unless a prior written agreement is on file with the CDC, only custodial parents or designated individual(s) may remove a child or children from the CDC. A signed consent form, giving the name of the parent’s designee(s), must be kept on file to verify identification of the designees.
6. Emergency procedures. Authorization for emergency treatment from the parent must be on file for each child in the event the condition of a child is such that waiting for parental authority may jeopardize the life or risk permanent disability of the child.
7. Record-keeping procedures, including a process for maintaining records on each child on a current basis, to include the emergency treatment authorization, notation of any allergies
or health problems, immunization records, developmental progress, and emergency contact information.

8. System to provide copies of emergency and alternate contact information obtained from parents, and designation of individuals who can remove children from the premises, to staff in CDC and, as appropriate, in residential parent/child dormitories.

9. Procedures to ensure proper storage and administration of medication to children must be handled in accordance with state guidelines for child care centers.

10. A written child guidance/behavior modification policy, which prohibits corporal punishment or any humiliating or frightening punishment such as spanking, hitting, slapping, pinching, shaking, or any other form of physical or verbal abuse.

11. Procedures for transportation of children in accordance with state laws. Children in RPCs shall be entitled to government-paid transportation to and from home to the same extent it is allowed for their parent(s).

12. Procedures to ensure the CDC is maintained at full capacity. If all slots are not filled by children of students, dependent children of center staff may use the center for payment of a fee established by the center operator and approved by the Regional Office. Where on-center programs are operated by Head Start, eligible children from the neighboring community may fill remaining slots.

13. Procedures to ensure routine maintenance and cleanliness of CDC facilities.

14. Procedures to ensure proper handling and storage of food in accordance with state and local health department requirements.

**Safety and Health Requirements**

Child development centers must ensure that:

1. CDC buildings and furnishings conform to National Fire Protection Association (NFPA) 101 and state licensing requirements. In cases where these differ, the more stringent shall apply.

2. Prior to occupancy, the facility must be inspected by the state licensing agency, local fire department or other authorized agency, the Job Corps center’s safety supervisor, and the DOL safety and health officer.

3. Portable fire extinguishers, which meet state guidelines, are available in the CDC.

4. An annual fire prevention inspection by the fire department or cognizant agency and the CDC director or designated representative must be conducted, and any discrepancies must be promptly corrected.
5. All CDC staff must be trained in proper evacuation procedures. Evacuation procedures must be conspicuously posted. Emergency evacuation drills must be conducted in accordance with state and local regulations.

6. Crib rooms in CDCs must have at least one exterior exit with an inclined ramp for emergency evacuation of wheeled cribs.

7. A daily attendance record must be maintained by the CDC staff and kept readily available for conducting “head counts” of evacuees outside the building in the event of a fire or other emergency.

8. Smoking is not permitted in CDC or in children’s outdoor play areas.

9. The CDC is maintained in sanitary condition in order to reduce the spread of disease.

10. Door and cabinet hardware in child activity spaces and children’s bathrooms must be operable from either side.

11. Exit-door hardware in toddler activity spaces must be located above the reach of children.

12. Only non-toxic, lead-free paint may be used in CDCs.

13. Storage space containing cleaning and other chemicals must be securely locked. Such materials must not be located in or directly off rooms occupied by the children. Flammable, poisonous, and highly caustic materials, such as drain cleaner, must not be stored on the premises.

14. Pest control operations must be approved and inspected by the Job Corps center safety officer.

15. A daily inspection of the CDC and outdoor play areas must be conducted by center management personnel to identify and eliminate safety hazards.

16. First aid kits must be conveniently located, but out of reach of children, in all CDCs and include materials for emergency cleansing and protection of wounds, bandages, dressings, rubber gloves, thermometer, and tweezers.

17. Emergency instructions and telephone numbers of medical, ambulance, fire, and police services must be conspicuously placed near all facility telephones.

**Insurance**

Center operators must obtain liability insurance covering personal liability and accident coverage for the facility, staff and volunteers, and children and parents while on the premises, unless state requirements are different.
**Quality Assurance**

Center operators must conduct an annual review of each child development center and residential parent/child program.
CHILD DEVELOPMENT CENTER PROGRAM STAFFING

Staff Coverage

Child development center operators must:

1. Staff the CDC at a level that maintains staff-to-child ratios and group sizes as appropriate for the ages of the children enrolled, and in accordance with minimum requirements of the cognizant state licensing agency.

2. Ensure that the ratio of staff to children is sufficient at all times to maintain constant supervision and to ensure quick evacuation in the event of fire or other emergency.

3. Count only staff involved in providing direct care for children in ratios.

4. Ensure that at least two adults are in the CDC at all times.

5. Ensure the presence of one staff member who is in physical or visual supervision of occupied crib rooms at all times.

6. Assign at least one full-time caregiver to each age/developmental group.

Staff Qualifications

Child development center operators must:

1. Hire only qualified staff in accordance with Exhibit 5-9 (Child Development Center Minimum Staff Qualifications) as shown at the end of this section.

2. Ensure that all CDC staff are at least 18 years of age.

3. Conduct an extensive background check prior to employment to ensure staff do not have a history of, conviction of, admission to, or evidence of acts of child abuse, molestation, or neglect. In states that have set up systems for background checks on persons applying for positions working with children, the background check must include fingerprinting. All references must be checked prior to employment.

4. Ensure staff are in good physical and mental health and have received all required physical examinations and immunizations in accordance with state licensing standards.

Use of Volunteers

Child development center operators must ensure that health requirements and background checks for, and policies regarding use of, volunteers meet state guidelines.
Staff Training

Child development center operators must ensure that staff receive training as follows:

1. All new staff must participate in a new-staff orientation prior to actually caring for children, which must include:
   a. CDC regulations and standard operating procedures.
   b. Health practices, including personal hygiene and sanitation principles and infectious disease control.
   c. Child nutrition/feeding
   d. Safety
   e. Fire protection
   f. Emergency procedures
   g. Identification of and responsibility for reporting of child abuse

2. Each caregiver must participate in specialized training related to child development and receive periodic updates, covering at a minimum, the requirements of the state licensing agency and the following topics:
   a. First aid
   b. Infant and child CPR
   c. Child growth and development
   d. Age-appropriate programming and activities
   e. Design and use of space for children
   f. Working with parents
   g. Child guidance and behavior modification techniques
   h. Child abuse and/or neglect detection, prevention, and reporting
PROGRAM AND DEVELOPMENTAL CARE

Activities

Child development center operators must provide:

1. A balance of active and quiet activities.

2. Developmentally appropriate activities for each age group that promote the intellectual, social, emotional, cultural, and physical development of the children.

3. Copies or posting of activity schedules in an area where parents can review them.

4. Opportunities for outdoor play.

5. A routine for napping, and appropriate bedding and cots.

Facilities and Environment

Child development center operators must provide:

1. Facilities and equipment as specified in Exhibit 5-10 (Facility Requirements for Child Development Centers and Residential Parent/Child Programs).

2. An environment that is conducive to learning, with child-sized furnishings, materials, and supplies.

3. Equipment and toys to meet age and developmental levels of children.

4. Individual storage areas for personal belongings of children.

Meals

Child development center operators must provide:

1. Nutritional meals and snacks, which at a minimum meet state requirements.

2. Meal scheduling so that there must be no more than 3 hours nor less than 2 hours between regular meals and snacks.

3. Copies or posting of menus in an area where parents can review them.

4. Information on children’s food allergies, maintained on a current basis; formula and juices prepared by the parent at home labeled with the child’s name and refrigerated until use; food brought by parents labeled as to content, date of opening, and the name of the child for whom it is intended.
Child Guidance/Behavior Modification

Child development center operators shall provide a behavior modification system that:

1. Focuses on learning appropriate behaviors.

2. Prohibits corporal punishment or any humiliating or frightening punishment such as spanking, hitting, slapping, pinching, shaking, or any other form of physical or verbal abuse.
PARENT INVOLVEMENT

Child development center operators must develop a system to ensure sharing of information with parents on an ongoing basis that includes the following:

**Parent Handbook**

A brochure or parent handbook with hours of operation, philosophy of the program, description of the developmental program, emergency procedures, and daily schedule of activities.

**Interaction With Caregivers**

Opportunities for each parent to talk to the child’s caregiver, to be informed of child’s activities, to observe the program, and to review the schedule of planned activities.

**Parent Meetings**

A parent meeting or conference at least quarterly for CDC staff to update parents on child’s progress.

**Parent Notifications**

Procedures for immediate notification of a child’s parent(s) in the event of illness, accident, or injury of their child and to ensure that at no time will a child who is ill or injured be left unattended. Job Corps center health staff must not be contacted regarding illness or injury of children except in emergency situations.

**Children’s Meals**

Copies of menus or posting of menus in an area where parents can review them. Parents must be requested to provide the CDC any information on food that the child has allergic reactions to; this information must be maintained on a current basis in child’s file.
ADMINISTRATION OF RESIDENTIAL PARENT/CHILD PROGRAMS

Standard Operating Procedures

Job Corps centers must operate residential parent/child programs in accordance with written standard operating procedures (SOPs) that describe the following:

1. Emergency procedures, including treatment authorization and contact information that is accessible to RPC staff.
2. Provision of meals for children and for storage of food and feeding children in residences.
3. Supervision to ensure children are not left alone in residences, including policies regarding babysitting or other care for children during evening hours.
4. Procedures to accommodate children who are ill. This may require that parents be allowed to remain in the dormitory during class hours to care for children too ill to be left in the child development center.

Medical Care for Children

Job Corps centers must:

1. Arrange for children’s medical care to be provided off center. Medical care for children must be covered by the parent’s health insurance plan, Medicaid, or other well-baby care or entitlement program. Centers must make local arrangements for emergency care for children.
2. Use Job Corps center health services only in case of emergency illness or injury. Make alternative arrangements for care as quickly as possible.

Safety and Health Requirements

Job Corps centers with residential parent/child programs must ensure that:

1. All doors in residences are operable from both sides so that children will not get locked in.
2. All cleaning supplies are kept in locked areas that are inaccessible to children.
3. Laundry supplies are kept in a locked cabinet or stored out of the reach of children.
4. Only non-toxic, lead-free paint may be used in the dormitory housing parents and children.
5. Portable fire extinguishers that meet state guidelines must be available for use in parent/child living areas.
6. Food items and cleaning supplies must never be stored in the same areas.

7. Fire-retardant bedspreads and draperies must be used in parent/child living areas.

8. Pest-control operations must be approved and inspected by the center safety officer.
RESIDENTIAL PARENT/CHILD PROGRAM STAFFING

Staff Coverage

1. Develop a staffing plan for the residential parent/child program that takes into account the configuration of the housing facilities and the unique needs and problems of parents/children in a residential setting.

2. Ensure that staff coverage is sufficient to maintain the safety and security of the students and their children.

Staff Qualifications

1. Hire only counselors and residential advisors/residential counselors who meet at least the minimum qualification requirements established in Chapter 5, Exhibit 5-3 (Minimum Staff Qualifications).

2. Ensure that staff assigned to the residential parent/child dormitory do not accept responsibility for care or supervision of students’ children.

3. Conduct a background check prior to employment to ensure staff assigned to the RPC do not have a history of, conviction of, admission to, or evidence of acts of child abuse, molestation, or neglect. In states that have set up systems for background checks on persons applying for positions working with children, the background check must include fingerprinting. All references must be checked prior to employment.

Staff Training

1. Provide staff training in accordance with Chapter 5, Exhibit 5-4 (Required Staff Training).

2. Ensure all staff assigned to the RPC program have successfully completed a beginning first aid course and CPR, including infant/child CPR.

3. Ensure all RPC dormitory staff receive training on identification of child abuse and responsibility and procedures for reporting instances of child abuse.
# SF 1034 Public Invoice, Center Contract Example

## Form 5-01

**U.S. Department, Bureau, or Establishment and Location**
OFFICE OF JOB CORPS, USDOL
CHICAGO REGIONAL OFFICE
1111 SOUTH WACKER DRIVE, RM 5005
CHICAGO, IL. 55555

**Date Voucher Prepared**
09/09/2011

**Contract Number and Date**
AE99999999 03/02/2011

**Requisition Number and Date**

**Public Voucher for Purchases and Services Other Than Personal**

**Voucher No.**
12

**Payee's Name and Address**
XYZ Corporation
202 Hill Street
Pleasantville, OH 44444

**Shipped From**

**To**

**Weight**

**Government B/L Number**

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**Total**

$470,000.00

**Payment:**

- **PROVISIONAL**
- **COMPLETE**
- **PARTIAL**
- **FINAL**
- **PROGRESS**
- **ADVANCE**

**Approved for**

- **$**

**Exchange Rate**

- **$1.00**

**Differences**

**Amount verified; correct for payment**

**Signature or initials**

Pursuant to authority vested in me, I certify that this voucher is correct and proper for payment.

(Date)

(Authorized Certifying Officer)

(Title)

**Accounting Classification**

**Check Number**
ON ACCOUNT OF THE U.S. TREASURY

**Check Number**
ON (Name of Bank)

**Cash**

**Date**

**Payee**

**XYZ CORPORATION**

---

1. When stated in foreign currency, insert name of currency.
2. If the ability to certify and authority to approve are combined in one person, one signature only is necessary; otherwise the approving officer will sign in the space provided, over his official title.
3. When a voucher is receipted in the name of a company or corporation, the name of the person writing the company or corporate name, as well as the capacity in which he signs, must appear. For example: “John Doe Company, per John Smith, Secretary”, or “Treasurer”, as the case may be.

**Privacy Act Statement**

The information requested on this form is required under the provisions of 31 U.S.C. 82b and 82c, for the purpose of disbursing Federal money. The information requested is to identify the particular creditor and the amounts to be paid. Failure to furnish this information will hinder discharge of the payment obligation.
## FORM 5-02
### VOUCHER BACK-UP SHEET FOR CENTER CONTRACTS AND EXAMPLE

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<td>Payments Actually Received to Date</td>
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<td>$100,000.00</td>
<td>$4,060,000.00</td>
</tr>
<tr>
<td>2</td>
<td>Pending Payments Based on Vouchers Previously Submitted</td>
<td>$445,000.00</td>
<td>$8,000.00</td>
<td>$453,000.00</td>
</tr>
<tr>
<td>3</td>
<td>Amount Requested on this Voucher</td>
<td>$450,000.00</td>
<td>$20,000.00</td>
<td>$470,000.00</td>
</tr>
<tr>
<td>4</td>
<td>Cumulative to Date, Including this Voucher (B1+B2+B3)</td>
<td>$4,855,000.00</td>
<td>$128,000.00</td>
<td>$4,983,000.00</td>
</tr>
<tr>
<td>5</td>
<td>Current Contract Funding</td>
<td>$6,800,000.00</td>
<td>$145,000.00</td>
<td>$6,945,000.00</td>
</tr>
<tr>
<td>6</td>
<td>Balance of Contract Funding Remaining After This Voucher</td>
<td>$1,945,000.00</td>
<td>$17,000.00</td>
<td>$1,962,000.00</td>
</tr>
</tbody>
</table>

### C. COMMENTS

**INSTRUCTIONS**

**SECTION A**

1. Self-Explanatory
2. Self-Explanatory
3. Vouchers must be numbered sequentially.
4. Normally either the last day of the month or the 15th.
5. Number of the most recent contract modification when the voucher is submitted.

**SECTION B - Enter Amounts for Operating Expense, CRA, and Totals Under the Appropriate Columns**

- **B1.** Amount of payments actually received by the contractor for this contract as of voucher submittal date.
- **B2.** Amount of payments that are pending for this contract based on vouchers previously submitted by the contractor.
- **B3.** Amount of payment requested on this voucher (taken from SF 1034).
- **B4.** Enter total of Lines B1, B2, and B3.
- **B5.** Enter contract funding amount per current contract modification.
- **B6.** Enter result of Contract Funding minus Cumulative Vouchered Amount to Date. All columns should be positive.

**SECTION C**

Contractor may use this section to provide any comments deemed appropriate, such as identifying the previous vouchers that were paid in amounts other than requested.

*In vouchers that are prepared for expense through the end of a month, these amounts should be in agreement with the cumulative vouchered reimbursable expense amounts shown in page 4, Section E, of the 2110 cost report that is submitted for that month.*
**FORM 5-03**

**PUBLIC VOUCHER FOR PURCHASES AND SERVICES OTHER THAN PERSONAL**

<table>
<thead>
<tr>
<th>VOUCHER NO.</th>
<th>12</th>
</tr>
</thead>
</table>

**U.S. DEPARTMENT, BUREAU, OR ESTABLISHMENT AND LOCATION**

<table>
<thead>
<tr>
<th>OFFICE OF JOB CORPS, USDOL</th>
</tr>
</thead>
<tbody>
<tr>
<td>CHICAGO REGIONAL OFFICE</td>
</tr>
<tr>
<td>1111 SOUTH WACKER DRIVE, RM 5005</td>
</tr>
<tr>
<td>CHICAGO, IL, 55555</td>
</tr>
</tbody>
</table>

**DATE VOUCHER PREPARED**

09/09/2011

**SCHEDULE NO.**

**CONTRACT NUMBER AND DATE**

AE88888888 03/01/2011

**PAID BY**

**REQUISITION NUMBER AND DATE**

**PAYEE’S NAME AND ADDRESS**

<table>
<thead>
<tr>
<th>ACME CORPORATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>303 OAK STREET</td>
</tr>
<tr>
<td>RIVERVILLE, MO 55555</td>
</tr>
</tbody>
</table>

**SHIPMENT FROM**

**TO**

**WEIGHT**

**GOVERNMENT B/L NUMBER**

**NUMBER AND DATE OF ORDER**

**DATE OF DELIVERY OR SERVICE**

**ARTICLE OR SERVICES**

(Enter description, item number of contract or Federal supply schedule, and other information deemed necessary)

<table>
<thead>
<tr>
<th>SERVICES DURING 08/16/2011 THROUGH 08/31/2011</th>
</tr>
</thead>
<tbody>
<tr>
<td>$45,000.00</td>
</tr>
</tbody>
</table>

**QUANTITY**

**UNIT PRICE**

**AMOUNT**

<table>
<thead>
<tr>
<th>COST</th>
<th>PER</th>
<th>()</th>
</tr>
</thead>
</table>

**PAYMENT:**

<table>
<thead>
<tr>
<th>☐ PROVISIONAL</th>
<th>☐ COMPLETE</th>
<th>☐ PARTIAL</th>
<th>☐ FINAL</th>
<th>☐ PROGRESS</th>
<th>☐ ADVANCE</th>
</tr>
</thead>
</table>

**APPROVED FOR**

**EXCHANGE RATE**

$= 1.00

**DIFFERENCES**

Amount verified; correct for payment

**SIGNATURE OR INITIALS**

**EXCHANGE RATE DIFFERENCES**

Amount verified; correct for payment

**PAYMENT**

<table>
<thead>
<tr>
<th>PAYEE<strong>3</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>ACME CORPORATION</td>
</tr>
</tbody>
</table>

**ACCOUNTING CLASSIFICATION**

**CHECK NUMBER**

**ON ACCOUNT OF THE U.S. TREASURY**

**CHECK NUMBER**

**ON (Name of Bank)**

<table>
<thead>
<tr>
<th>CASH</th>
<th>DATE</th>
<th>PAYEE<strong>3</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>$</td>
<td></td>
<td>ACME CORPORATION</td>
</tr>
</tbody>
</table>

**PRIVACY ACT STATEMENT**

The information requested on this form is required under the provisions of 31 U.S.C. 82b and 82c, for the purpose of disbursing Federal money. The information requested is to identify the particular creditor and the amounts to be paid. Failure to furnish this information will hinder discharge of the payment obligation.

---

1. When stated in foreign currency, insert name of currency.
2. If the ability to certify and authority to approve are combined in one person, one signature only is necessary; otherwise the approving officer will sign in the space provided, over his official title.
3. When a voucher is receipted in the name of a company or corporation, the name of the person writing the company or corporate name, as well as the capacity in which he signs, must appear. For example: "John Doe Company, per John Smith, Secretary", or "Treasurer", as the case may be.

---

**AUTHORIZED CERTIFYING OFFICER**

**TITLE**

<table>
<thead>
<tr>
<th>JOHN J. SMITH</th>
</tr>
</thead>
<tbody>
<tr>
<td>CHIEF FINANCIAL OFFICER</td>
</tr>
</tbody>
</table>

---

**DATE**

**AUTHORIZED CERTIFYING OFFICER**

**TITLE**

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
</table>

---

**NSN 7650-00-634-4206**
### Form 5-04
VOUCHER BACK-UP SHEET FOR OA/CTS CONTRACTS AND EXAMPLE

<table>
<thead>
<tr>
<th>A. IDENTIFYING INFORMATION</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Contractor Name: ACME CORPORATION</td>
<td></td>
</tr>
<tr>
<td>2 Contract Number: AE8888888</td>
<td></td>
</tr>
<tr>
<td>3 This Voucher Number: 12</td>
<td></td>
</tr>
<tr>
<td>4 For Reimbursement of Expenses Incurred Through: 8/31/2011</td>
<td></td>
</tr>
<tr>
<td>5 Current Contract Modification Number: 5</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>B. AMOUNTS VOUCHERED VERSUS CONTRACT FUNDING</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Payments Actually Received to Date</td>
<td>$440,000.00</td>
</tr>
<tr>
<td>2 Pending Payments Based on Vouchers Previously Submitted</td>
<td>$42,000.00</td>
</tr>
<tr>
<td>3 Amount Requested on this Voucher</td>
<td>$45,000.00</td>
</tr>
<tr>
<td>4 Cumulative to Date, Including this Voucher (B1+B2+B3)</td>
<td>$527,000.00</td>
</tr>
<tr>
<td>5 Current Contract Funding</td>
<td>$780,000.00</td>
</tr>
<tr>
<td>6 Balance of Contract Funding Remaining After This Voucher</td>
<td>$253,000.00</td>
</tr>
</tbody>
</table>

| C. COMMENTS |  |

#### INSTRUCTIONS

**SECTION A**
1. Self-Explanatory
2. Self-Explanatory
3. Vouchers must be numbered sequentially
4. Normally either the last day of the month or the 15th
5. Number of the most recent contract modification when the voucher is submitted

**SECTION B - Enter Amounts for Operating Expense, CRA, and Totals Under the Appropriate Columns**
1. Amount of payments actually received by the contractor for this contract as of voucher submittal date
2. Amount of payments that are pending for this contract based on vouchers previously submitted by the contractor
3. Amount of payment requested on this voucher (taken from SF 1034)
4. Enter total of Lines B1, B2, and B3
5. Enter contract funding amount per current contract modification
6. Enter result of Contract Funding minus Cumulative Vouched Amount to Date (This should be a positive amount)

**SECTION C**
Contractor may use this section to provide any comments deemed appropriate, such as identifying the previous vouchers that were paid in amounts other than requested.

* In vouchers that are prepared for expense through the end of a month, the cumulative amount should be in agreement with the cumulative vouched reimbursable expense amounts shown in page 2, Section F, of the 2110-OA/CTS cost report that is submitted for that month.
## Center Preventive Maintenance Plan (PMP) Checklist

<table>
<thead>
<tr>
<th>Center Name:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Center Address:</td>
<td></td>
</tr>
<tr>
<td>Center Operator:</td>
<td></td>
</tr>
<tr>
<td>Regional Office COR:</td>
<td></td>
</tr>
<tr>
<td>Reviewed By:</td>
<td></td>
</tr>
<tr>
<td>Review Date:</td>
<td></td>
</tr>
</tbody>
</table>

### GENERAL REVIEW

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Y ☐ N</td>
<td>Plan includes quantity and qualifications of maintenance staff?</td>
<td></td>
</tr>
<tr>
<td>☐ Y ☐ N</td>
<td>Plan provides list of qualified vendors that will be used to provide maintenance activities?</td>
<td></td>
</tr>
<tr>
<td>☐ Y ☐ N</td>
<td>Plan includes how the center will address health and safety requirements for maintenance activities?</td>
<td></td>
</tr>
<tr>
<td>☐ Y ☐ N</td>
<td>Plan describes how Computerized Maintenance Management System (CMMS) will be used to schedule and manage the preventive maintenance program?</td>
<td></td>
</tr>
</tbody>
</table>

### CUSTODIAL

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Y ☐ N</td>
<td>Plan includes custodial work plan that addresses each facility and articulates frequency of activity to ensure a safe, clean, and sanitary environment for Job Corps students (e.g., cleaning and stocking of all restrooms, kitchen areas, dining areas, and other common areas daily)?</td>
<td></td>
</tr>
<tr>
<td>GROUNDS/SNOW REMOVAL</td>
<td>Y/N</td>
<td>Assessment Item</td>
</tr>
<tr>
<td>----------------------</td>
<td>-----</td>
<td>-----------------</td>
</tr>
<tr>
<td>Y</td>
<td></td>
<td>Plan includes grounds requirements for each facility to include provisions for ensuring safe, clean, neat, and sanitary grounds and landscaping?</td>
</tr>
<tr>
<td>N</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Y</td>
<td></td>
<td>Plan includes provisions for timely snow and ice removal for center pavements to ensure a safe environment?</td>
</tr>
<tr>
<td>N</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>PEST MANAGEMENT</th>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Y</td>
<td></td>
<td>Plan addresses minimal federal and local requirements for development of Integrated Pest Management (IPM) plan, licensure requirements for pest specialists, and handling requirements for chemicals and pesticides?</td>
<td></td>
</tr>
<tr>
<td>N</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Y</td>
<td></td>
<td>Plan includes weekly monitoring, inspections, and mitigation of pests, such as termites and rodents, to ensure a clean and safe environment?</td>
<td></td>
</tr>
<tr>
<td>N</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>WASTE MANAGEMENT</th>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Y</td>
<td></td>
<td>Plan includes provisions for ensuring waste, including recycling waste, is collected and removed from center as required to maintain clean and sanitary conditions?</td>
<td></td>
</tr>
<tr>
<td>N</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Y</td>
<td></td>
<td>Waste and Recycling operations are in accordance with center’s Solid Waste Management Plan and support waste diversion goals?</td>
<td></td>
</tr>
<tr>
<td>N</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>SECURITY SYSTEM (CHECK HERE IF N/A □)</th>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Y</td>
<td></td>
<td>Plan includes periodic inspection of security systems to ensure they are working properly?</td>
<td></td>
</tr>
<tr>
<td>N</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>FIRE EXTINGUISHERS / EMERGENCY LIGHTING (CHECK HERE IF N/A □)</th>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Y</td>
<td></td>
<td>Plan includes documented monthly inspections and annual certification of all center fire extinguishers?</td>
<td></td>
</tr>
<tr>
<td>N</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### FIRE EXTINGUISHERS / EMERGENCY LIGHTING (CHECK HERE IF N/A □)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Y</td>
<td>Plan includes documented monthly inspections of all center illuminated exit signs and emergency lighting?</td>
<td></td>
</tr>
</tbody>
</table>

### KITCHEN HOOD FIRE SUPPRESSION SYSTEMS (CHECK HERE IF N/A □)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Y</td>
<td>Plan includes annual testing and certification by vendor?</td>
<td></td>
</tr>
<tr>
<td>□ N</td>
<td>Plan includes semiannual maintenance by qualified vendor?</td>
<td></td>
</tr>
<tr>
<td>□ Y</td>
<td>Plan includes hood duct grease removal service by qualified vendor at regular intervals in accordance with manufacturer recommendations?</td>
<td></td>
</tr>
</tbody>
</table>

### FIRE ALARM AND SMOKE DETECTION SYSTEMS (CHECK HERE IF N/A □)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Y</td>
<td>Plan includes weekly inspection of fire alarm systems for alarm and issues?</td>
<td></td>
</tr>
<tr>
<td>□ N</td>
<td>Plan includes provision for the repair of identified fire alarms and fire alarm issues by a qualified vendor in a timely manner?</td>
<td></td>
</tr>
<tr>
<td>□ Y</td>
<td>Plan includes provisions for a fire watch if a system is out of service?</td>
<td></td>
</tr>
<tr>
<td>□ N</td>
<td>Plan includes annual testing and inspection of the entire fire alarm system (including duct smoke detection system and all dampers) by qualified personnel to ensure it is operational?</td>
<td></td>
</tr>
</tbody>
</table>

### FIRE SUPPRESSION SYSTEMS (CHECK HERE IF N/A □)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Y</td>
<td>Plan includes weekly inspection of dry system gauges to ensure that proper pressures are maintained?</td>
<td></td>
</tr>
<tr>
<td>□ N</td>
<td>Plan includes weekly inspection of valves to ensure they are in the proper position?</td>
<td></td>
</tr>
<tr>
<td>□ Y</td>
<td>Plan includes quarterly inspection of wet system gauges to ensure that proper pressures are maintained?</td>
<td></td>
</tr>
<tr>
<td>□ N</td>
<td>Plan includes weekly inspection of the fire pump system to ensure there are no leaks and that it is operational?</td>
<td></td>
</tr>
</tbody>
</table>
### PRH Chapter 5: Management Services

**FIRE SUPPRESSION SYSTEMS** (CHECK HERE IF N/A)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Y</td>
<td>Plan includes quarterly inspection of waterflow alarms and supervisor signal devices?</td>
<td></td>
</tr>
<tr>
<td>N</td>
<td>Plan includes annual testing and inspection of the entire system by qualified personnel to ensure it is operational?</td>
<td></td>
</tr>
</tbody>
</table>

**FIRE HYDRANT SYSTEMS** (CHECK HERE IF N/A)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Y</td>
<td>Plan includes annual lubrication of all stems, caps, plugs, and threads to ensure proper operation?</td>
<td></td>
</tr>
<tr>
<td>N</td>
<td>Plan includes annual testing of fire hydrants with full flow for at least one minute per hydrant (or until all foreign material has cleared) to ensure removal of sediment and hydrants are functioning properly?</td>
<td></td>
</tr>
<tr>
<td>Y</td>
<td>Plan includes flow testing at minimum 5-year intervals of fire hydrant system to validate that the required flow and pressure is achieved?</td>
<td></td>
</tr>
</tbody>
</table>

**ELEVATORS** (CHECK HERE IF N/A)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Y</td>
<td>Plan includes monthly, quarterly, and annual maintenance requirements in accordance with manufacturer’s recommendations performed by qualified personnel or vendor?</td>
<td></td>
</tr>
<tr>
<td>N</td>
<td>Plan includes annual certification testing by an authorized and qualified third-party elevator inspector in the state of jurisdiction?</td>
<td></td>
</tr>
<tr>
<td>Y</td>
<td>Plan includes load testing every 5 years (or as required by local jurisdiction) by qualified elevator vendor.</td>
<td></td>
</tr>
</tbody>
</table>

**GROUND AND ELEVATED WATER STORAGE TANKS** (CHECK HERE IF N/A)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Y</td>
<td>Plan includes thorough inspection in accordance with American Water Works Association (AWWA) D101-53 to include tank structure at minimum every 5 years by qualified professionals?</td>
<td></td>
</tr>
<tr>
<td>N</td>
<td>Plan includes washout of tanks at minimum every 5 years or more frequently in areas prone to sediment problems?</td>
<td></td>
</tr>
<tr>
<td>Y/N</td>
<td>Assessment Item</td>
<td>Review Comment or Recommendation</td>
</tr>
<tr>
<td>-----</td>
<td>--------------------------------------------------------------------------------</td>
<td>-----------------------------------</td>
</tr>
<tr>
<td>☐ Y</td>
<td>Plan includes quarterly environmental health inspection by qualified non-center personnel?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>Plan includes provision to record amount of water treated, amount of chlorine used, and daily free chlorine residual?</td>
<td></td>
</tr>
<tr>
<td>☐ Y</td>
<td>Plan includes regular verification that primary and secondary disinfection systems are working properly?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>Plan includes provision for bacterial and chemical tests to be performed by state or local health authorities?</td>
<td></td>
</tr>
<tr>
<td>☐ Y</td>
<td>Plan includes annual environmental health inspection by qualified non-center personnel (N/A for septic systems)?</td>
<td></td>
</tr>
<tr>
<td>☐ Y</td>
<td>Plan addresses requirement for wastewater treatment operators to be licensed and trained per state, federal, and local requirements?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>Plan includes maintaining records of water treatment variables (pH, dissolved oxygen, total suspended solids, BOD) as needed to check the system’s operation?</td>
<td></td>
</tr>
<tr>
<td>☐ Y</td>
<td>Plan includes regular inspection for undesirable objects and chemicals, and removal or additional safety training/education as needed?</td>
<td></td>
</tr>
<tr>
<td>☐ Y</td>
<td>For septic systems, plan includes regular checking of tank level and provisions to pump the tank before solids reach the overflow?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>For septic systems, plan includes periodic inspection and removal of debris from pretreatment devices and periodic pumping of solids from trap as needed?</td>
<td></td>
</tr>
<tr>
<td>☐ Y</td>
<td>For septic systems, plan includes periodic inspection and cleaning of effluent filters?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>For septic systems, plan includes monthly inspection of drain field for odors or septic tank effluent on surface?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>For septic systems, plan includes periodic inspection of drain field for odors or septic tank effluent on surface?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>For septic systems, plan includes monthly inspection of drain field for odors or septic tank effluent on surface?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>For lagoons, plan includes periodic mowing and if possible, removal of burrowing animals from lagoon banks?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>For lagoons, plan includes inspection for noxious odor, floating plant life, excessive algae, or high solid content in effluent, and dredging if necessary?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>For lagoons, plan includes inspection for noxious odor, floating plant life, excessive algae, or high solid content in effluent, and dredging if necessary?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>For packaged plants, plan includes daily inspection of system to ensure pre-treatment devices, aeration tanks, sludge pumps, settling tanks, dosing</td>
<td></td>
</tr>
</tbody>
</table>
### WATER TREATMENT SYSTEMS (CHECK HERE IF N/A □)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
</tr>
</thead>
<tbody>
<tr>
<td>Y</td>
<td>tanks/pumps, chlorination/de-chlorination systems are working properly?</td>
</tr>
<tr>
<td>N</td>
<td>For packaged plants, plan includes maintenance of system to include scraping of hopper walls, washing of plant structures, lubrication of mechanical devices, replacement of air filters and belts as needed, cleaning of diffusers?</td>
</tr>
</tbody>
</table>

### BOILER SYSTEMS (CHECK HERE IF N/A □)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
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</thead>
<tbody>
<tr>
<td>Y</td>
<td>Plan includes monthly chemical testing of system water and adjustment as needed?</td>
<td></td>
</tr>
<tr>
<td>N</td>
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<tr>
<td>Y</td>
<td>Plan includes monthly inspection of fuel filter and replacement as needed?</td>
<td></td>
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<tr>
<td>N</td>
<td></td>
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<tr>
<td>Y</td>
<td>Plan includes quarterly blowdown of drain valve and inspection of system?</td>
<td></td>
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<td>N</td>
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<tr>
<td>Y</td>
<td>Plan includes semiannual inspection and adjustment of control system?</td>
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<td>N</td>
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<tr>
<td>Y</td>
<td>Plan includes annual maintenance requirements including inspection/cleaning of combustion chamber and refractory, inspection of safety devices, and tuning to ensure optimal performance?</td>
<td></td>
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<tr>
<td>N</td>
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<tr>
<td>Y</td>
<td>Plan includes annual testing and certification requirements in accordance with federal, state, and local requirements by a qualified vendor?</td>
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<td>N</td>
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</table>

### BUILDING MANAGEMENT (HVAC CONTROLS) SYSTEM (CHECK HERE IF N/A □)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
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</thead>
<tbody>
<tr>
<td>Y</td>
<td>Plan includes monthly inspection of air compressor systems for pneumatic systems and checking pneumatic lines for blockage?</td>
<td></td>
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<tr>
<td>N</td>
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<tr>
<td>N/A</td>
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<tr>
<td>Y</td>
<td>Plan includes monthly inspection of control set-points and timing with adjustment as needed?</td>
<td></td>
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<tr>
<td>N</td>
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</table>

### AIR HANDLING SYSTEMS (CHECK HERE IF N/A □)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
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</thead>
<tbody>
<tr>
<td>Y</td>
<td>Plan includes at minimum quarterly inspection of air handling systems for excessive vibration, worn parts, and improper operation?</td>
<td></td>
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<tr>
<td>N</td>
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</table>
### OTHER MECHANICAL SYSTEMS (CHECK HERE IF N/A □)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
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</thead>
<tbody>
<tr>
<td>Y</td>
<td>Chillers and Refrigeration Equipment - Plan includes at minimum quarterly inspection to include water testing, proper operation, refrigerant and fluid leaks, proper alignment, lubrication and adjustment of components, and repairs as needed?</td>
<td></td>
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<tr>
<td>N</td>
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<tr>
<td>N/A</td>
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<tr>
<td>Y</td>
<td>Cooling Towers - Plan includes at minimum monthly water testing and quarterly inspection to include proper operation of towers and chemical injection system, belt tension and wear, inspection/clearing of blowdown system, lubrication and adjustment of components?</td>
<td></td>
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<tr>
<td>N</td>
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<tr>
<td>N/A</td>
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<tr>
<td>Y</td>
<td>Steam Distribution Systems - Plan includes at minimum quarterly testing of system condensate and feed water and treatment as necessary, inspection of safety devices for proper operation, inspection/clearing of blowdown system, inspection/cleaning of steam traps, pumps, controls?</td>
<td></td>
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<tr>
<td>N</td>
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<tr>
<td>N/A</td>
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<tr>
<td>Y</td>
<td>Furnaces - Plan includes at minimum inspection of fuel and air filters and replacement as needed, inspection of belt tension and condition and replacement as needed?</td>
<td></td>
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<tr>
<td>N</td>
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<tr>
<td>N/A</td>
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<tr>
<td>Y</td>
<td>Aboveground Storage Tanks/Underground Storage Tanks (AST/UST) - Plan includes inspecting tanks, secondary containment and ancillary equipment in accordance with applicable SP001, etc. regulations.</td>
<td></td>
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<tr>
<td>N</td>
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<tr>
<td>N/A</td>
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</tbody>
</table>

### GREASE INTERCEPTOR SYSTEMS (CHECK HERE IF N/A □)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
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</thead>
<tbody>
<tr>
<td>Y</td>
<td>Plan includes at minimum monthly inspection and grease removal/disposal at required intervals by qualified vendor?</td>
<td></td>
</tr>
<tr>
<td>N</td>
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<tr>
<td>Y</td>
<td>Plan includes at minimum monthly inspection of inlet, outlet, and air relief ports for obstructions, and connections for leaks?</td>
<td></td>
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<tr>
<td>N</td>
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<tr>
<td>Y</td>
<td>Plan includes provision for storage of used grease in approved containers and disposal by qualified vendor to minimize introduction of grease into drainage systems?</td>
<td></td>
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<tr>
<td>N</td>
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<tr>
<td>RENEWABLE ENERGY SYSTEMS (CHECK HERE IF N/A □)</td>
<td>Y/N</td>
<td>Assessment Item</td>
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<tr>
<td>☐ Y Solar Water Systems - Plan includes at minimum quarterly inspection for leaks, loose connections, insulation wear, proper pump operations, and proper controls operations?</td>
<td></td>
<td></td>
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<tr>
<td>☐ N Solar Electric Systems - Plan includes at minimum quarterly inspection for damage, loose connections, and proper controls operations?</td>
<td></td>
<td></td>
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<tr>
<td>☐ N Wind Turbine Systems - Plan includes at minimum maintenance and inspections in accordance with manufacturer’s recommendations by qualified vendor?</td>
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<table>
<thead>
<tr>
<th>ELECTRICAL SYSTEMS (CHECK HERE IF N/A □)</th>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Y Transformers (to include those containing PCBs) - Plan includes at minimum quarterly inspection for leaks, corrosion, structural support issues, removal of brush and other debris from around transformers, loose connections, insulation and conductor condition, proper locked enclosure as needed for safety?</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>☐ N Electrical Panels - Plan includes at minimum quarterly inspection for damage, proper labeling, breaker failure, proper securing of panel doors for safety, loose connections?</td>
<td></td>
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<tr>
<td>☐ Y Electrical Outlets - Plan includes at minimum quarterly inspection and replacement of damaged outlets and outlet covers and testing of GFCI breakers to ensure proper operation?</td>
<td></td>
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<tr>
<td>☐ N Generators - Plan includes at minimum regular maintenance by qualified personnel to include monthly exercise of generators and fluid checks/replacement as needed?</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>☐ Y Plan includes provisions for Lockout/Tagout in accordance with 29 Code of Federal Regulations (CFR) 1910.147 to safeguard workers from hazardous energy releases during maintenance or repair activities?</td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>
### LIGHTING SYSTEMS (CHECK HERE IF N/A □)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
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</thead>
<tbody>
<tr>
<td>☐ Y</td>
<td>Plan includes regular inspections of exterior lighting systems and re-lamping as required to ensure safe illumination levels during hours of darkness?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>Plan includes regular inspections of interior lighting systems and re-lamping as required to ensure adequate illumination levels?</td>
<td></td>
</tr>
</tbody>
</table>

### PLUMBING SYSTEMS (CHECK HERE IF N/A □)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
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</thead>
<tbody>
<tr>
<td>☐ Y</td>
<td>Backflow Preventers – Plan includes annual inspection and certification of backflow prevention devices by a certified professional as required by federal, state, or local requirements?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>Plan includes regular inspection of gas, water, and drainage piping systems and fixtures for leaks, insulation damage, proper operation, and repairs as needed?</td>
<td></td>
</tr>
<tr>
<td>☐ Y</td>
<td>Lift Stations - Plan includes at minimum quarterly inspection of pump lift stations for proper operation and maintenance/repairs as needed?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>Water Heaters - Plan includes at minimum quarterly inspection water heaters for proper set point, condition of water and draining as needed, condition of element and replacement as needed, and for any leaks and corrosion?</td>
<td></td>
</tr>
<tr>
<td>N/A</td>
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</tbody>
</table>

### BUILDING ENVELOPE SYSTEMS (CHECK HERE IF N/A □)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
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</thead>
<tbody>
<tr>
<td>☐ Y</td>
<td>Roofs – Plan includes at minimum, semi-annual inspection of roofs and maintenance to include removal of debris from drainage devices, inspection of flashing systems and penetrations for leaks, inspection of interior finishes for water damage, and repairs as needed?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>Plan includes regular inspection of windows to include replacement of weather-stripping as needed?</td>
<td></td>
</tr>
<tr>
<td>☐ Y</td>
<td>Plan includes regular inspection of doors and designated emergency exits to ensure proper closure and ease of egress?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
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</tbody>
</table>
### BUILDING ENVELOPE SYSTEMS

<table>
<thead>
<tr>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
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</thead>
<tbody>
<tr>
<td>Plan includes regular inspections of the building envelope system for leaks and/or damage and repairs as needed?</td>
<td></td>
</tr>
<tr>
<td>Plan includes recurring protection of exposed building envelope surfaces to include repainting as needed?</td>
<td></td>
</tr>
</tbody>
</table>

### GENERAL MAINTENANCE

<table>
<thead>
<tr>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
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</thead>
<tbody>
<tr>
<td>Plan includes periodic inspection of building interior finishes to include ceiling tiles, flooring, wall finishes and repair, replacement, repainting as required?</td>
<td></td>
</tr>
<tr>
<td>Plan includes periodic inspection of laundry facilities, dryer vent systems, electrical, as required for repair or replacement?</td>
<td></td>
</tr>
</tbody>
</table>

### KITCHEN PREVENTIVE MAINTENANCE

<table>
<thead>
<tr>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Plan includes maintenance requirements for kitchen equipment including refrigeration systems, hood ventilation systems, steamers, ovens, and dishwashers?</td>
<td></td>
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</tbody>
</table>

### PAVEMENTS MAINTENANCE

<table>
<thead>
<tr>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
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</thead>
<tbody>
<tr>
<td>Plan includes periodic inspection of rigid and flexible pavements for damage and proper drainage, removal of debris and vegetation as needed, repair of potholes and sealing of cracks as needed to prevent further damage.</td>
<td></td>
</tr>
</tbody>
</table>

### HAZARDOUS MATERIALS MANAGEMENT

<table>
<thead>
<tr>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Plan includes provisions for ensuring proper handling and disposal of Asbestos-Containing Materials (ACM) and lead-based paint by qualified personnel or vendor as needed?</td>
<td></td>
</tr>
<tr>
<td>Plan includes provisions for ensuring proper handling, storage, and disposal of hazardous materials by qualified personnel or vendor as needed?</td>
<td></td>
</tr>
</tbody>
</table>
### STATE HEALTH INSPECTION (CHECK HERE IF N/A □)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Y</td>
<td>Plan includes provision to ensure required health inspections are scheduled with local and state authorities as required for food services and child development center facilities?</td>
<td></td>
</tr>
</tbody>
</table>

### STORMWATER MANAGEMENT SYSTEMS (CHECK HERE IF N/A □)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Y</td>
<td>Plan includes periodic inspection for and removal of sediment and vegetation in storm-water systems to ensure unobstructed flow and proper capacity?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>Plan includes periodic mowing, litter removal, and debris removal as required in swales to ensure unobstructed storm-water flow?</td>
<td></td>
</tr>
<tr>
<td>☐ N</td>
<td>Plan includes repair of eroded embankments as required to maintain unobstructed flow and proper capacity?</td>
<td></td>
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<tr>
<td>☐ Y</td>
<td>Plan addresses requirement for storm-water permitting as required per state, federal, and local requirements?</td>
<td></td>
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</tbody>
</table>

### COMPUTERIZED MAINTENANCE MANAGEMENT SYSTEM (CHECK HERE IF N/A □)

<table>
<thead>
<tr>
<th>Y/N</th>
<th>Assessment Item</th>
<th>Review Comment or Recommendation</th>
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<tbody>
<tr>
<td>☐ Y</td>
<td>Plan includes center’s plan for use of a system to schedule and track all preventive and corrective maintenance activities? It is recommended that this include all facilities maintenance and repair activities including subcontracted activities.</td>
<td></td>
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<tr>
<td>☐ N</td>
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**FORM 5-06**

**ADMINISTRATION AND MANAGEMENT OF JOB CORPS**
**CONTRACTOR-HELD GOVERNMENT-FURNISHED PROPERTY:**
**PROPERTY CUSTODIAN’S REQUEST TO DESIGNATE EXCESS PROPERTY**

TO: ________________________________, Contract Property Manager (CPM)

FROM: ________________________________, Property Custodian Name

DATE: ________________________________ LOCATION: ________________________________

The below-listed property is reported as excess property and suggested condition code shown. CPMs will inspect all property reported by the Property Custodian to confirm condition and usability.

CPMs: See Job Corps Property Policy – Disposition of Excess Property in Job Corps (for Contractor-Held GFP) for specific procedures.

<table>
<thead>
<tr>
<th>Item</th>
<th>Description</th>
<th>Serial # (or “N/A”)</th>
<th>Condition Code*</th>
<th>CPM Initials</th>
<th>Reassigned/Location</th>
<th>Moved into Disposition</th>
<th>CPM Initials</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
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*Condition Code Key:*

1 = Excellent Condition (in new or used condition, which can be used immediately without modifications or repairs)

4 = Usable Condition (shows some wear, but can be used without significant repair)

7 = Repairable Condition (is unusable in its current condition but can be economically repaired)

X = Salvage Condition (has value in excess of its basic material content but repair is impractical or uneconomical)

S = Scrap Condition (has no value above its basic material content)
## FORM 5-07
**CENTER SUSTAINABILITY MEASURES UPDATE**

Center Name:  
Reporting Period:  
Date:  
(January - June, or July - December)

<table>
<thead>
<tr>
<th>Sustainability Measure</th>
<th>Relevant Supporting Documents and Notes*</th>
<th>Estimated % Complete</th>
<th>Documentation Provided</th>
<th>Verification By (Name &amp; Title)</th>
<th>Facility Related Problems of Issues**</th>
<th>Progress During Reporting Period; Compliance Status Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Energy Conservation</td>
<td>Implementation of energy conservation measures, including those identified in energy/water audits, and any new or modified procedures.</td>
<td></td>
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<tr>
<td>Water Conservation</td>
<td>Implementation of measures in the Water Conservation Program Plan, and any new or modified procedures. Include identification of ways to reduce or eliminate potable water use for irrigation.</td>
<td></td>
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<tr>
<td>Waste Reduction and Diversion</td>
<td>Implementation of measures in the Solid Waste Management Policy, and any new or modified procedures.</td>
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<tr>
<td>Greenhouse Gas Emissions Reduction</td>
<td>Steps taken to reduce both direct emissions (energy generation and purchase) and indirect emissions (business travel, commuting travel, student transportation, and from the increased use of renewable energy).</td>
<td></td>
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<tr>
<td>Integrated Operations and Maintenance</td>
<td>Identification of modifications to operational procedures; printouts of computerized maintenance management systems with occupant feedback capabilities.</td>
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<tr>
<td>Environmental Purchasing</td>
<td>Environmentally preferred purchasing policy for ongoing consumables and durable goods that will protect the environment and public health, conserve natural resources, and minimize waste.</td>
<td></td>
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<tr>
<td>Outdoor Water Irrigation</td>
<td>Identification of ways to reduce or eliminate potable water use for irrigation. (May be addressed by Water Conservation Program Plan.)</td>
<td></td>
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<tr>
<td>Stormwater Management</td>
<td>Stormwater runoff mitigation strategy and stormwater pollution plan. (May be addressed by Water Conservation Program Plan or Spill Prevention Control and Countermeasure plan.)</td>
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<tr>
<td>Sustainability Measure</td>
<td>Relevant Supporting Documents and Notes*</td>
<td>Estimated % Complete</td>
<td>Documentation Provided</td>
<td>Verification By (Name &amp; Title)</td>
<td>Facility Related Problems of Issues**</td>
<td>Progress During Reporting Period; Compliance Status Notes</td>
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<tr>
<td>Integrated Pest Management</td>
<td>Integrated pest management techniques utilized at center to minimize pesticide use.</td>
<td></td>
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<tr>
<td>Ozone Depleting Compounds</td>
<td>Documentation of the elimination of ozone-depleting compounds and the alternative environmentally preferable products used.</td>
<td></td>
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</tr>
<tr>
<td>Tobacco Smoke Control</td>
<td>Documentation of controls to prevent smoking within 25 feet of building entrances, operable windows, and building ventilation intakes, or documented smoking policy.</td>
<td></td>
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<tr>
<td>Moisture Control</td>
<td>Moisture control policy.</td>
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</tbody>
</table>

* Submit supporting documents and notes to the checklist. If sustainability measures are not feasible due to cost or other considerations, submit documentation explaining why.

** Problems or issues that occurred during the reporting period that significantly impacted the center's ability to demonstrate acceptable conservation and sustainability.
FORM 5-08
RIGHT TO USE PHOTOGRAPHIC LIKENESS OR MOVING IMAGES
RELEASE FORM FOR ADULT STUDENTS

I, _________________________, grant to the Job Corps Program of the United States Department of Labor, the right to use and publish photographic likenesses or pictures of me or moving images captured by a video camera or other means. I may be included in the photographic likenesses, pictures, or moving images in whole or in part, in conjunction with my own name, or reproductions thereof, made through any medium, including the Internet, for the purpose of advertising in promotion of the Job Corps Program.

I waive any right that I may have to inspect or approve the finished product or the advertising or other copy, or the use of the portraits, photographic likenesses of pictures, or moving images of me.

I release the Job Corps Program and the United States Department of Labor, and all persons acting under the direct permission or authority of the Job Corps Director from any liability that may arise out of the use of the portraits, photographic likenesses, or moving images if used for the purpose of advertising in promotion of the Job Corps Program.

Dated: _________________________, 20___
Student Signature

Witness: _________________________
Name (Printed)

Job Corps Center

Class/Department

Identifier (color of shirt, etc.): ______________________________________

________________________________________

ACKNOWLEDGMENT

I have read this document and it was fully explained to me by a Job Corps representative.

Witness: _________________________

Student Signature
FORM 5-09
RIGHT TO USE PHOTOGRAPHIC LIKENESS OR MOVING IMAGES
RELEASE FORM FOR MINORS

I, ______________________________ (name of parent), as __________________________ (father or mother or guardian) of ______________________________ (name of student), a minor, grant to the Job Corps Program of the United States Department of Labor, the right to use and publish photographic likenesses or pictures of ______________________________ (name of student) or moving images captured by a video camera or other means. I understand that my child may be included in photographic likenesses, pictures, or moving images in whole or in part, in conjunction with his or her own name, or reproductions thereof, made through any medium, including Internet, for the purpose of advertising in promotion of the Job Corps Program.

I waive any right that I may have to inspect or approve the finished product or the advertising or other copy, or the use of the portraits, photographic likenesses or pictures, or moving images of my child.

I release the Job Corps Program and the United States Department of Labor, and all persons acting under the direct permission or authority of the Job Corps Director from any liability that may arise out of the use of the portraits, photographic likenesses, or moving images if used for the purpose of advertising in promotion of the Job Corps Program.

Dated: ___________________________, 20___

Witness: ____________________________

Name (Printed):

Student Name

Job Corps Center

Class/Department

Identifier (color of shirt, etc.):


ACKNOWLEDGMENT

I have read this document and it was fully explained to me by a Job Corps representative.

Witness: ____________________________

Parent Signature
POLICY AND REQUIREMENTS HANDBOOK

CHAPTER 6: ADMINISTRATIVE SUPPORT SERVICES

APRIL 6, 2020
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6.0 INTRODUCTION

Chapter 6, Administrative Support Services, provides a framework of requirements for contractors in broad administrative tasks. Requirements are detailed in the following sections within the chapter: Leaves and Absences; Enrollments, Transfers, and Separations; Allowances and Allotments; Records Management; Rights; Clothing; and Transportation. Center operators must carry out administrative tasks consistent with the Workforce Innovation and Opportunity Act and Job Corps requirements contained herein. Contractors must ensure that students receive the support services and benefits necessary for successful participation in the Job Corps program. Contractor administrative functions include, but are not limited to, using tracking systems throughout students’ tenures found in the Job Corps Data Center’s Suite of Applications and in accordance with requirements in the PRH, implementing systems to orderly carry out requirements in the PRH, managing allowances and allotments, safeguarding Personally Identifiable Information (PII), managing all records in accordance with requirements, protecting religious freedom and civil rights of students, implementing process requirements regarding student complaints, and adhering to all motor vehicle requirements contained herein. Establishing uniform systems to account for and document student participation, attendance, achievement, and progress are required as well as creating an environment where students regard staff as supportive, caring, and dependable.
6.1 LEAVE AND ABSENCES

REQUIREMENTS

R1. Student Attendance, Whereabouts, and Status

Centers must:

a. Account for and document the whereabouts and status of every Job Corps student for each day and time during that student’s enrollment.

b. Ensure that students attend class or is participating in approved training activities during training periods. Students may not be counted as present for classes or training unless centers can demonstrate they are in a classroom or training area.

c. Be capable of detecting and responding to instances of unauthorized or unexplained student absences.

R2. Attendance System

Centers must:

a. Have a system for tracking students’ attendance that allows them to effectively carry out their responsibilities as described in R1 of this Section. Each center’s system must include the following elements:

1. Conduct a morning attendance check no later than one hour after a student’s scheduled training day start time to establish present-for-duty status for all students. Documentation must be authenticated by the signature of a staff member on the morning attendance check form.

2. Maintain attendance records in Center Information System (CIS) for all scheduled career development activities, including on- and off-center academic and career technical training (CTT) classes, group activities, and off-center trips.

3. Develop a procedure to document student arrivals and departures from the campus. Departure records must indicate student destination, and estimated and actual time of return.

4. Conduct, at a minimum, two bed checks each night for residential students.

5. Develop a process for new enrollees, transfer students, nonresidential students, and students returning from off-center status, to sign in and alert appropriate center staff to their presence immediately upon their arrival on center.

b. Report each student’s duty status in the Center Information System (CIS) using the
guidelines shown in Exhibit 6-1, Duty/Pay/Leave Status Chart, and the procedures stated in the CIS documentation.

c. Document each duty/pay/leave status change and the reason for such change in CIS.

d. Maintain documentation of duty status for a minimum of three years.

e. Identify and remediate chronic absences, missed appointments, and tardiness.

f. Monitor and document student attendance at off-center training activities, including work-based learning, Other Training Provider (OTP), Advanced Career Training (ACT), and other center-sponsored events.

**R3. Authorized Absences**

Centers must:

a. Designate, in writing, staff members who are authorized to approve student leave and passes.

b. Grant breaks and leave to students based on the criteria shown in Exhibit 6-1.

   Note: Leave must not be granted as a means of artificially postponing the student’s separation.

c. Approve leaves or overnight passes for minor students only with the written consent of the minor’s parent or legal guardian. Pass consents must include, at a minimum, information specified on the Job Corps Parental Consent Form.

d. Document all leave requested by students on a CIS leave request form. Each automated leave request form must include justification, as appropriate, for the type of leave requested based on criteria shown in Exhibit 6-1.

e. Authorize overnight passes with the following restrictions:

   1. Only for periods that do not conflict with scheduled classes unless an accommodation for a class schedule adjustment is approved for a student with a disability.

   2. For minors, only to destinations authorized by written parental consent.

f. Report and maintain electronic copies of leave requests in CIS according to procedures shown in the CIS documentation and retain any supporting hard-copy verification documentation in the student’s personnel file. Verification obtained from phone calls must be documented on the CIS leave request form and must include: name of contact person, title, phone number, date of contact, and name and address of the facility.
R4. Unauthorized Absences

Centers must:

a. Identify and report as Unauthorized Absence (UA) any student who fails to return or report within two hours after the student’s required attendance time, whether on-center or off-center (e.g., the start of a training day).

The Unauthorized Absence of minor students should be reported to the center records department, center management, and regional office immediately after it is confirmed.

b. If a student who was identified and reported as an Unauthorized Absence contacts the center with a credible and verifiable explanation, the student’s status may be changed to the appropriate leave category, effective the date of the emergency, if the emergency prevented the student from contacting the center timely. Additionally, if the emergency prevents the student from contacting the center and the student has been separated, the student must be reinstated if the student wants to be reinstated. Receipt of documentation to support the status change is required.

c. If a student who was identified and reported as an Unauthorized Absence returns to or reports to the center or off-center activity more than two hours after the student’s required attendance time, but has no credible or verifiable explanation, the student must be listed as being in an Unauthorized Absence status for that day. The student may, however, participate in regularly scheduled activities for that day. The student’s scheduled required attendance time and actual report time should be documented in CIS Case Notes, and a printout of the CIS Case Notes should be included in the student’s personnel folder.

d. Have implemented a step-by-step Standard Operating Procedure (SOP) to report, locate, and contact the student who is in an Unauthorized Absence or Missing Minor Student status. The procedures must include staff notification to center managers and the Center Director, a center-wide search for the UA student, and discussions with staff and students on center as to the UA student’s suspected whereabouts. The SOP must also detail both procedures for contacting the students’ parent/guardian if the student is a minor and law enforcement reporting, as detailed in Sections e. and f. below. The center must write a written investigative report after every incident, the investigation and retrieval efforts must be documented in CIS Case Notes, and a printout of the investigative report and CIS Case Notes must be included in the student’s personnel folder.

e. In the event that an adult student (i.e., 18 or older) is considered to be in an Unauthorized Absence status, as described in Section a, the center must initiate an investigation to verify the student’s whereabouts, consistent with the SOP described in Section d. If the investigation fails to identify the UA adult student’s location, the center must contact local law enforcement and adhere to their guidelines for reporting of missing persons. The center must document all appropriate notifications and the result of
the internal investigation in CIS Case Notes, and include a copy of the CIS case notes in the student’s personnel folder.

**Important note:** If police find a missing adult student whose actions were voluntary, they might not disclose where the person is to the center unless the person gives permission. Filing a missing person report for an adult does not entitle the center to know where they are, only that they are safe.

f. In the event that a minor student (*i.e.*, under 18) is considered an Unauthorized Absence, and as described in Section a, the center must contact the parent/guardian immediately, to inform them of the absence. If the parent/legal guardian is unaware of the student’s whereabouts, the center must immediately report the missing minor to local law enforcement and record the missing minor’s duty status as Missing Minor Student in CIS. The center must fully cooperate with law enforcement in locating the student, as well as conduct a thorough internal investigation to establish the whereabouts of the student consistent with the SOP described in Section d, as long as it does not interfere with the law enforcement investigation. The center must document the parent/guardian/police notification and the result of the internal investigation in CIS Case Notes, include a copy of the CIS case notes in the student’s personnel folder, and follow the Significant Incident Report (SIR) requirement as described in Chapter 5, Section 5.4. To supplement the SIR, the center must provide a written detail of the center’s retrieval efforts to the respective Regional Office. As soon as the student’s whereabouts are known, the center must change the missing minor student’s duty status from Missing Minor Student to the appropriate duty status and begin regular retrieval efforts, as appropriate.

g. Assist the student in arranging return transportation, at the student’s request and expense.

h. Notify probation or parole officers, if applicable.
6.2 ENROLLMENTS, TRANSFERS, AND SEPARATIONS

REQUIREMENTS

R1. Enrollments

Centers must:

a. Accept for enrollment all assigned new or transfer students who arrive at the designated arrival point or who report to the center. Students who depart for the assigned center in government-furnished transportation and do not reach the center are not considered arrivals.

b. Upon arrival, enroll the student by creating a student record in Center Information System (CIS) in accordance with procedures in the CIS documentation. The enrollment date is the scheduled date of departure, provided that the student followed his or her travel itinerary. If the student intentionally changed the itinerary, the date of enrollment must be the date of arrival on center.

R2. Transfers

Centers must:

a. By mutual agreement with another center and with written approval of the Regional Office, arrange for the transfer of a student, including travel arrangements, if the student meets one or more of the following conditions:

1. Interested in and is qualified for a training program along a viable career pathway, as documented on the student’s My Pathway to Achieving Career Excellence (MyPACE) Career Plan that is not available at the current center.

2. A permanent change in environment or associations for the student will enhance the chances for graduation.

3. Appeal of a disciplinary discharge is upheld and the Regional Director requests a transfer.

4. Has medical needs that cannot be met at the current center and facilities and services are available at another center.

b. The transferring center remains accountable for the student until the day he or she arrives at the receiving center.

c. If the student is receiving or has received medical services, including mental health, oral health, and Trainee Employee Assistance Program (TEAP), the transferring center will provide a legible or typed summary note on student’s current status, medication,
and treatment compliance at least two weeks prior to student arrival. The student health record must arrive at the time of student arrival.

d. Original centers must provide student files to the receiving center in the case of readmitted students.

e. The receiving center is accountable for the student from the day he or she arrives at the receiving center. Transfers are permanent. Only the National Director, as warranted, may approve a student’s return to the originating center.

**R3. Enrollment Extensions**

A student cannot be enrolled in Job Corps for more than two years, except in the following circumstances:

a. An enrollment extension may be granted for a period not to exceed one additional calendar year in order for a student to complete an advanced career training (ACT) or advanced training (AT) program.

b. An enrollment extension may be granted for a period not to exceed one year in the case of a student with a disability who would reasonably be expected to meet the standards for a Job Corps graduate if allowed to participate in the Job Corps for not more than one additional year.

c. An enrollment extension may be granted to a student who participates in national service by providing assistance in addressing national, State, and local disasters, as authorized by a USDA Forest Service Civilian Conservation Center (CCC) program per Chapter 5, Section 5.1, R37 and R38, for the amount of time equal to the period of national service.

d. A center may request Regional Office approval to extend a student’s two-year enrollment limit for up to six months in order for the student to qualify for graduation. If approved, centers must maintain documentation of the approval in the student’s personnel folder.

**R4. Separations**

a. Centers must establish an orderly system to process students separating from the Job Corps program that includes at a minimum:

   1. Updating all student accomplishments in CIS, including attainment of High School Diploma (HSD), High School Equivalency (HSE), career technical training certifications, and/or career technical training completion.

   2. Documentation of the reasons for the student’s separation as approved by the Center Director or designee, with the documentation filed in the student’s personnel folder.
3. Arrangements for transportation for the student to the appropriate destination.

4. Notification of the parents/guardians of minor students regarding the student’s separation status, date, and scheduled time of arrival home. Such notification must be documented.

5. A system to provide separating students with originals of any earned credentials, documentation of accomplishments, and contact information for their Career Transition Services Specialists, and support staff at the student’s destination.

6. Assignment of the student to the appropriate Career Transition Services provider in the locale to which the student will return.

7. Written notification to the student of name, location, and phone number of Career Transition services providers.

8. Notification and transmission of student separation data to the Job Corps Data Center within two working days of the student’s separation, in accordance with procedures in the CIS documentation.

9. Verification and update of student address and alternate contacts.

b. Each student’s separation status and eligibility for career transition services will be determined automatically by CIS based upon data entered regarding the student’s accomplishments while enrolled, and in accordance with criteria shown in Chapter 4, Section 4.1, Eligibility for Services.

c. Centers must enter separation codes for students in accordance with the following definitions and separation codes listed in the CIS documentation:

   1. Ordinary Separation – Student has separated as scheduled and none of the following codes apply.

   2. Maximum Benefits Separation – Formal determination has been reached through the student performance evaluation process and approved by the Regional Director that the student has achieved as much benefit from the Job Corps program as his or her abilities will allow (see Form 6-01, Maximum Benefit Separation).

   3. Resignation Separation – Student freely decides to quit Job Corps. Resignations must be accepted at any time a student requests unless he or she is pursuing it as a means of avoiding a disciplinary discharge. Students in Unauthorized Absence status may resign in person by returning to center, or by telephone. Within two (2) days of a student’s resignation by telephone, center staff must confirm the resignation in writing, by mail or email.
4. Disciplinary Separation – Student has committed a behavioral infraction or has an accumulation of behavioral infractions of such gravity that it disqualifies him or her from further enrollment in Job Corps.

5. Medical Separation – Student is no longer able to participate in Job Corps due to medical (including pregnancy-related conditions), dental, substance use, or mental health reasons; documentation of the student’s medical condition must be provided. If at the time of medical separation, the student is determined to be eligible for reinstatement once the medical condition is resolved, the student is then separated as a Medical Separation with Reinstatement Rights (MSWR), and may be reinstated within 180 days (see Chapter 6, Section 6.2, R5(c) and (g)).

6. Withdrawal of Parental Consent Separation – The legally responsible parent/guardian withdraws permission/consent for enrollment of a minor student. The center must verify and document that the requesting parent has legal responsibility for the student.

7. Death Separation – Student dies while enrolled in Job Corps.

8. Fraudulent Enrollment Separation – A determination has been reached, with the approval of the Regional Director or designee, that the student was ineligible for enrollment or that he or she became enrolled as the result of a significant screening error.

9. Unauthorized Absence (UA) Separation – A student will be separated from the program if the student accrues in excess of 6 consecutive unauthorized absence training days or in excess of 12 non-consecutive unauthorized absence training days in the prior 6 months. The effective date of separation is 2 hours after the student’s scheduled training day start-time on either the 7th consecutive training day absence or the 13th training day absence in 6 months, as applicable. It is further noted that if a student who is in Unauthorized Absence status for 6 consecutive training days or 12 non-consecutive training days in a 6-month period reports on the 7th or 13th day (as applicable) within 2 hours of their scheduled training day start-time, the student will not be separated as an Unauthorized Absence Separation.

10. Administrative Separation with Reinstatement (ASWR) – For temporary or anticipated long-term center closure when designated by the Regional or National Office of Job Corps, and for students who are called to active military duty and must temporarily suspend their participation in Job Corps.

11. Withdraw Health Insurance Portability and Accountability Act (HIPAA) Consent – If the student or parent/legal guardian of a minor student revokes the HIPAA consent.

12. Missing Minor Student Separation – A missing minor student can only be separated with the Regional Director’s concurrence and only after it is evident that the
student, through no fault of their own, will not be returning.

**R5. Medical Separations**

Centers must ensure that:

a. Medical separations are initiated by health services staff.

b. Students are medically separated when they are determined to have a pre-existing or acquired health condition that significantly interferes with or precludes further training in Job Corps or the health problem is complicated to manage, or the necessary treatment will be unusually costly.

c. If the Center Physician estimates that the student will be able to return to the center within 180 days, a Medical Separation with Reinstatement Rights (MSWR) will be given. If the student’s condition cannot be stabilized in 180 days, a regular medical separation will be given and the student may reapply in one year, unless the MSWR is extended pursuant to Chapter 6, Section 6.2, R5 (g).

d. Health and social service written referrals are provided for all separated students.

e. For MSWR, students are contacted monthly by the Health and Wellness Manager to assess progress and plan their return to Job Corps within the 180 days allowed.

f. Health and Wellness staff approve a student’s transportation plan for medical separation.

g. Center staff must submit a request to the Regional Office to extend an MSWR beyond 180 days for extenuating circumstances. The request should be accompanied by supporting documentation from the student’s health-care provider verifying that extension of leave is medically necessary. Requests will be reviewed on a case-by-case basis.

**R6. Re-enrollments**

Centers must effect reinstatements or re-establishments of students according to the following:

a. Reinstatement shall be used to expedite the return to active participation of a student who was separated for medical reasons with reinstatement rights or administrative separation with reinstatement rights (ASWR). Reinstatement is a resumption of the previous enrollment, not a new enrollment. Reinstatement is effective the date the student physically reports back to the center. To qualify for reinstatement under MSWR, the student must:

1. Return within 180 days from separation date.
2. Provide documentation showing resolution of the medical condition (including medical, mental health, oral health, alcohol, or drug abuse conditions) and ability to participate in the program.

To qualify for reinstatement under ASWR, the student must:

1. Return within 12 months from separation date.

2. Provide appropriate military documentation, if the separation reason was a call to active military duty.

b. Re-establishment shall be used, with approval from the Regional Office, to return to active participation a student who:

1. Received disciplinary discharges that were overturned by the Regional Office.

2. Was separated due to arrest for a felony or misdemeanor charge and was later exonerated.

3. Was separated because of clerical error.

Re-establishment is a resumption of the previous enrollment, not a new enrollment. Re-establishment is effective the date the student physically reports back to the center and must be documented in the student’s personnel file. For re-established students who were separated because of clerical errors, the student will be retroactively placed on paid leave under the Not Present for Duty – Separation in Error leave type as indicated in Exhibit 6-1. For re-established students who were separated because of disciplinary charges or due to an arrest, the student will be retroactively placed in a Not Present for Duty – Disciplinary Overturn leave type, in either paid or unpaid status, as determined by the Regional Office.
6.3 ALLOWANCES AND ALLOTMENTS

REQUIREMENTS

R1. Allowances

Centers must:

a. Issue personal allowances to students in accordance with the procedures specified in Exhibit 6-2, Student Allowance and Allotment System (SAAS).

b. Ensure the physical security and financial accountability of payroll funds pending disbursement to students.

c. Notify all students upon enrollment that they shall receive allowances as follows:

   1. Paid biweekly, in cash, by electronic funds transfer (EFT) to a bank account with a debit card or to a non-bank issued debit card, for all days in paid status in amounts specified in Exhibit 6-2, subject to the following restrictions:
      (a) Job Corps Data Center will not change its financial transfer process;
      (b) Students must not be charged fees to access their funds, including automated teller machine (ATM) fees;
      (c) Charging any transaction fees to students is prohibited; and
      (d) Operators must effect any changes using current resources.

   2. For re-enrolled students, paid at base allowance levels as if they were newly enrolled (including students with prior fraudulent enrollments).

   3. For reinstated and re-established students, paid at their previous base allowance levels.

   4. Increases in base allowance levels paid automatically as specified in Exhibit 6-2.

   5. Arrival pay in amounts as specified in Exhibit 6-2.

R2. Transition Allowances

a. Outreach and Admissions (OA) contractors must notify all applicants upon enrollment, and centers must notify all students upon arrival, that they may become eligible, at the time of graduation, to receive a transition allowance as an incentive for attainment of academic credentials and those associated with career technical training, such as industry-recognized credentials, as designated in Exhibit 6-2.

b. At the time of graduation, students must be notified that they must provide forwarding information to ensure proper delivery of the transition allowances.
c. Students must be notified that failure to cash a received transition allowance check or failure to report non-receipt of a check within 12 months of the date of separation will result in forfeiture of the payment.

d. Students who re-enroll and subsequently graduate from the program are entitled to receive a transition allowance based on those academic and career technical training credentials obtained while enrolled in Job Corps according to the requirements stated in Exhibit 6-2, and are also entitled to the transportation benefits as shown in Exhibit 6-3, Student Transportation.

e. Centers must disburse partial transition allowances to graduated students, at time of departure, as shown in Exhibit 6-2.

R3. Allowances and Taxes

a. Advise students that personal allowances, transition allowances, and other benefits are subject to Federal payroll withholding and Social Security taxes, because students are considered Federal employees for purposes of Federal payroll taxes.

b. Ensure that an income tax withholding form (W-4 form) is completed for each new enrollee as required by the tax code.

c. Ensure that wage and tax statements (W-2 forms) received by the center from the Job Corps Data Center (JCDC) are immediately distributed to all enrolled students or forwarded directly to separated students.

R4. Advances and General Indebtedness

a. Centers may issue personal advances to students to help satisfy pressing financial obligation(s), such as a court fine, bail bond, clothing, or other personal needs. Such advances must not exceed $250 at any given time. A personal advance may be issued only upon determination by the Center Director or designee when practical alternatives are not available to the student; failure to satisfy the financial obligation would unduly interfere with the student’s successful enrollment in Job Corps; and there is a reasonable expectation that the student will be able to repay the advance. The Job Corps Data Center (JCDC) will promptly reimburse a center for its disbursement of allowable personal advances to students.

b. Centers must ensure that the following instances of student indebtedness to Job Corps are promptly and accurately recorded in Center Information System (CIS) and also documented and maintained in the student’s personnel records. Items of student indebtedness that must be recorded in CIS include:

1. Personal advance

2. The cost of government-furnished travel needed by the student to return to the
center from an Unauthorized Absence

3. The cost of government-furnished travel based upon misrepresentation by the student

4. Student’s share of dependent’s allotment

c. Students must repay their indebtedness to Job Corps. Repayment of indebtedness recorded in CIS shall be initiated through automatic payroll deductions from personal allowances. In no case shall indebtedness deductions from personal allowances exceed 50 percent of the personal allowance. For students separating from the program prior to full reimbursement of indebtedness, the outstanding balance must be collected automatically from any transition payment monies available at the time of separation.

d. Centers must recover Other Student Indebtedness as specified below and in R4.c. above.

1. Reimbursement for lost or damaged government property, with total indebtedness against a student not to exceed $500. Student reimbursement for property loss or damage must not exceed 50 percent per pay period as a deduction against student’s personal allowance. Center operators must apply such student reimbursements as offsets to be credited to the contract or interagency agreement and used to repair and replace damaged property or for other allowable costs. Any balance remaining due from the student at time of separation may be deducted from any transition payment due to the student. Thereafter, any remaining balance due must be treated as uncollectible.

2. Center-imposed disciplinary fines may be collected from the student after the student receives his or her biweekly living allowance, not to exceed $5 per pay period. Such fines must be paid to the Student Benefit Fund. Disciplinary fines may not be collected from any source other than a student’s biweekly living allowance.

**R5. Allotments**

Centers must initiate allotments for those students who desire to make a support payment for dependents in accordance with Exhibit 6-2 and the following criteria:

a. An allotment may be initiated by a student who has a child(ren) who draws substantial support from the student. The student must provide proof of dependency.

b. Allotment amounts shall be matched by the government at five times the amount of the allotment. Students may contribute $5 or $10 initially, and then increase the amount to $15, if they choose, after a minimum of 57 paid days in the Job Corps program.

c. Allotments must be paid according to procedures specified in Exhibit 6-2.
d. Students must be advised that the personal allowance and transition allowance will be reduced by the amount of their designated allotment(s).
6.4 RECORDS MANAGEMENT

REQUIREMENTS

RI. Safeguarding Personally Identifiable Information (PII) by Admissions Counselors (ACs)

a. Providers of enrollment services must ensure that PII gathered during the admissions process is protected at all times. ACs must adhere to the following guidelines:

1. ACs must not use personally owned or public computers to download or store protected PII.

2. ACs must only access and store student protected PII using the CDSS Suite of Applications.

3. E-mail containing any PII is not allowed outside the jobcorps.org domain.

4. Approved encryption must be used to encrypt data that is moved to a portable device like a thumb drive or Compact Disk (CD).

5. Any missing documents or equipment that contains Protected PII must be immediately reported to the Information Technology (IT) Point of Contact (POC) and the Job Corps Technical Assistance Center.

6. When not in use, documents containing PII must be stored in locked file drawers or a secured room.

7. All documents containing PII must be immediately retrieved from printers, copiers and fax machines as soon as they are printed or received, including the originals.

8. Sensitive documents must be properly disposed of by shredding or placing them in a locked recycling bin, and never placed intact in a trashcan or open recycling bin.

9. Any kind of PII that may have been left by others, or any PII incidents that staff observe should be reported immediately.

b. Should circumstances necessitate that PII is taken outside of the Outreach and Admissions (OA) office, ACs must adhere to the following guidelines:

1. ACs must not take any personally identifiable information belonging to Job Corps applicants, students or graduates off-site unless explicit approval is received from the OA manager. This applies to all forms of PII, whether in paper form such as student documents and files, or electronic form such as CDs, thumb drives, portable hard-drives or laptops.
2. ACs must keep PII in his or her possession at all times during transit.

3. PII must not be left unattended in a vehicle; this includes any papers, document holders, briefcase, and/or any information on a CD, hard-drive or laptop.

4. PII must not be stored off-site (for example, at home) unless it can be stored securely such as in a locked filing cabinet or safe.

R2. Collection and Handling of Education-Related Information and Documents by ACs

ACs must:

a. Collect, maintain, and transmit education-related information and documents as follows:

1. Include copies of one or more of the records in the list below, if available, in the applicant’s file:
   (a) An official school transcript with the school’s seal affixed. If the applicant states that he or she has a High School Equivalency (HSE) credential, a copy of the HSE certificate or official HSE test scores.
   (b) A copy of an acceptable High School Diploma (HSD) or official high school transcripts indicating graduation, if the applicant states that he or she completed the 12th grade and obtained a diploma. An acceptable diploma is one described in Criterion 6, Educational and Training Needs, in Exhibit 1-1, Eligibility Requirements.
   (c) May indicate in an applicant’s records that the applicant has a HSD only after receiving a copy of one or more of the following documents:
      (1) A regular/standard HSD
      (2) An honors diploma
      (3) An Individual Education Plan (IEP)/special education diploma
      (4) Official transcripts indicating graduation from a school that meets the guidelines set in Appendix 302, Guidelines for the Accreditation of Job Corps High School Programs
      (5) A foreign diploma

2. When filing, storing, and transmitting IEP, Section 504 plans, IEP/special education diplomas, similar documents, or any documents indicating that a particular applicant has such documents, strictly comply with the following legal requirements related to medical and disability-related information, as explained in Appendix 202, Transmission, Storage, and Confidentiality of Medical, Health, and Disability-Related Information:
   (a) Place these records in separate “health records” files that are kept and stored separately from all other information about the individual applicant until the records are sent to the center.
   (b) Carefully limit access to these documents. Appendix 202, Transmission, Storage, and Confidentiality of Medical, Health, and Disability-Related
Information, explains what categories of persons are legally authorized to have access to the documents.

(c) Transmit hard copies of the documents in sealed envelopes in accordance with Appendix 202, Transmission, Storage, and Confidentiality of Medical, Health, and Disability-Related Information. Make the best effort to ensure that the copies are delivered only to persons who are authorized to have access to those specific types of documents.

b. If the applicant has not provided copies of the required official records, contact the appropriate state HSE Administrator (see Exhibit 1-1, Eligibility Requirements, Criterion 6. Educational and Training Needs), or the last high school the applicant attended; send the administrator or school a Records Release Authorization (Form 1-02), signed by the applicant or his or her parent or guardian, requesting that the required official records be delivered to the OA office or Job Corps center.

c. Before an applicant departs for his or her center of assignment, ensure that the center has received either the official records listed above, or documentation of the official request. This documentation must include contact information for the HSE Administrator or school from which the records have been requested.

R3. Collection and Handling of Health-Related Information and Documents by ACs

ACs must:

a. Use the Job Corps Health Questionnaire (ETA 6-53) to collect health information about the applicant offered enrollment, along with any medically connected documentation, as described in the instructions for the Job Corps Health Questionnaire (ETA 6-53).

b. Forward the originals of the Job Corps Health Questionnaire (ETA 6-53) of the applicant offered enrollment, and all documentation that has been collected, to the center of assignment. These documents must be forwarded in envelopes or files that are sealed and kept separate from any other information about the applicant offered enrollment.

c. For applicants who are not offered enrollment, retain copies of the Job Corps Health Questionnaire (ETA 6-53), and all related documentation that has been collected, in a file that is stored separately from any other information about the applicant. The Job Corps Health Questionnaire (ETA 6-53), the related documentation, and all other medical or disability-related information about the applicant must be kept confidential, and access to this information must be strictly limited to persons with a need to know, as described in Appendix 202, Transmission, Storage and Confidentiality of Medical, Health and Disability-Related Information.

OA agencies may retain copies of the ETA 652, Job Corps Reasonable Accommodation Request Form-Admissions (Form 1-06), applicant folder cover sheet, folder inventory,
alternate contact sheet and a copy of documentation showing proof of low income eligibility. With the exception of Form 1-06, Job Corps Reasonable Accommodation Request Form-Admissions, copies of these documents may be filed electronically.

**R4. Documentation by ACs**

ACs must:

a. Enter all information involving applicant eligibility criteria in the Outreach and Admissions Student Input System (OASIS) in accordance with the procedures specified in the OASIS documentation and Regional Office procedures.

b. Use the procedures described in Exhibit 1-1, Eligibility Requirements, to verify, assess, and document information relating to applicant eligibility criteria.

c. Use the procedures described in Exhibit 1-1, Criterion 12, to provide documentation to Job Corps centers for their use in assessing applicants’ health needs.

**R5. Applicant Files**

OA contractors must ensure that OASIS files are available to the center of assignment and that hard copy documents are available to the center at least 7 working days prior to each applicant’s scheduled arrival at the center, or departure to the center, if using government-furnished transportation.

**R6. Withdrawal of Application**

If an applicant withdraws his or her application, or an applicant offered enrollment chooses not to enroll, all supporting documentation should be maintained with the central file, and returned to the OA agency if the application is not in regional review. If the application is in regional review, then the applicant file and all supporting documentation must be returned to the Regional Office who will review the documentation of the withdrawal before returning the file to OA. Files must be maintained for a minimum of 3 years from the end of the applicable program year. Health and disability-related documentation must be maintained in a separate file to which access is strictly limited, as described in Appendix 202, Transmission, Storage and Confidentiality of Medical, Health and Disability-Related Information.

**R7. Electronic Training Achievement Record (e-TAR) Recordkeeping**

Centers must:

a. Ensure Career Technical Training (CTT) instructors record skill proficiency by rating the appropriate skills area on the e-TAR. This action (i.e., recording a rating level)
represents verification to the student of skill attainment. The date entered does not necessarily indicate when the student completed the task. The date indicates the instructor’s assertion of skill proficiency, documenting that the student is able to perform the task to industry standards with little or no supervision. The student does not need to be present for duty in order for the instructor to perform the data entry process.

b. Centers must ensure that all students’ test results and progress are documented on approved e-TARs including credential attainment results.

c. Provide a copy of one or more of the following: credential attainment certificate, and/or e-mail/electronic notification of pass/fail results from credential issuing party. This can be a hard copy in a student’s permanent records file or uploaded into the e-TAR system.

**R8. e-TAR Student Portal Access**

Students will use the Student Portal to access their e-TAR and check off the student acknowledgement box. This allows the student to stay informed of his/her progress. This is for information only. This does not impact the completion status or closing out of the e-TAR.


Centers must:

a. Ensure all students’ reading and mathematics test results and progress are documented and recorded using the automated Student Testing System (STS) of the Center Information System (CIS).

b. Document student academic progress as described in the center’s CDSS Plan that is referred to in Chapter 5, Section 5.1, R8.

**R10. High School Equivalency (HSE) and High School Diploma (HSD) Reporting/Documentation/Recordkeeping**

Centers must record information on students’ HSE and high school diploma achievement while on center in the Center Information System (CIS), and maintain the appropriate supporting documentation in the students’ permanent Job Corps files.

a. HSD/HSE Completion Reporting

1. The HSE completion date recorded in CIS will be the date on the student’s HSE certificate, if available. If the HSE certificate cannot be obtained prior to a student’s separation, the center can enter the date of the student’s last HSE test on the official notification from the HSE testing center indicating the student has passed the HSE assessment.
2. The official high school diploma completion date recorded in CIS will be the date on a student’s diploma. If the high school diploma is not available at the time of a student’s separation, the center can enter either: the date of the official letter from the diploma-granting institution indicating the student has met all the requirements for graduation and will be issued a diploma at a later date; or the date on the student’s official high school transcripts indicating graduation.

b. HSD/HSE Final Documentation

1. Copies of the HSE certificates or official HSE scores must be included in students’ permanent files.

2. Copies of high school diplomas students earned while on center, final high school transcripts, and supporting documentation on special education services, as applicable for students with documented disabilities, must be included in students’ permanent files.

c. HSD/HSE Progress Reporting and Documentation

1. Copies of students’ HSE practice test results (paper answer sheets, or reports generated by official practice test software, or Testing Management System (TMS) reports) will be maintained by centers required by the states in which they are located to show documentation of official practice test results prior to students taking HSE exams.

2. Centers must record students’ test scores in each HSE content area in CIS, as written confirmation is received from the testing center. Scores recorded in CIS must be updated to record higher test scores that students may obtain in retests, as needed, in each content area.

3. Students’ progress in their HSD and HSE must be documented in the students’ Pathway Achievement Record (PAR).

R11. General Reporting/Documentation/Recordkeeping in CIS

Centers must document and record in CIS, all student:

a. Concurrent Training progress (Approved alternate and additional forms of documentation must be included in the student’s permanent records upon separation.)

b. Work-Based Learning (WBL) assignment and progress (WBL Module)

c. Leadership training/student government participation

d. Driver’s education progress and test results
e. Provision for water safety instruction and swimming proficiency

f. Wellness training progress and accomplishments

g. English Language Learner (ELL)/Limited English Proficiency (LEP) test results and progress in the student’s Personal Career Development Plan and other applicable modules of CIS

**R12. Counseling Reporting/Documentation/Recordkeeping**

Centers must:

a. Ensure that counselors maintain a record of individual counseling sessions to include, at a minimum, the following information:

1. The reason for such session

2. An assessment

3. A plan of action with appropriate follow-up

b. Implement safeguards to assure that personal information about individual students, subject to the Privacy Act, is shared among staff only to the extent necessary to ensure the safety and effective provision of services to students, and no further, in accordance with Chapter 6, Appendix 601 (Student Rights to Privacy and Disclosure of Information). Personal counseling records must be treated as confidential documents.

c. Advise students regarding counselors’ responsibilities for safeguarding confidentiality and for disclosing information that is legally required or that may represent a threat to the student or others.

d. Monitor, on a monthly basis, the accuracy of records and data that students enter in their MyPACE Career Plans and PAR. Document changes and updates to these plans and record in Case Notes.

**R13. Fact-Finding Board Reporting/Documentation/Recordkeeping**

a. Regardless of the outcome of the Fact-Finding Board, centers must ensure that documentation is retained on center and is available for auditing for at least three years, consistent with other recordkeeping responsibilities.

b. Centers must ensure that all student incentives, infractions, and sanctions are documented and recorded using CIS. This includes documenting the date of the Fact-Finding Board, the disposition, and in the case of Level II Fact-Finding Board, a rationale if the Board’s recommendation is not upheld.
R14. Clothing Documentation

Centers must maintain records and receipts in each student’s personnel file for each clothing purchase. Such records must include a signed receipt for all clothing allowances issued to the student.

R15. Community Living (Residential) Reporting/Documentation/Recordkeeping

Center operators must:

a. Develop procedures to record important information about student-related events as the events occur and to transmit the information from each shift to the next.

b. Maintain individual records for each student that contain, at a minimum, basic identifying information, including emergency contacts, and written parental consent (minors) for weekend passes to approved destinations. Such records must be readily accessible to dormitory staff.

R16. Records System

Centers must develop and maintain a uniform records system to accurately document and regularly update the following types of information, services, and accomplishments for each student during enrollment, as specified in the designated chapter:

<table>
<thead>
<tr>
<th>Record Type</th>
<th>Chapter</th>
</tr>
</thead>
<tbody>
<tr>
<td>Administrative Records (enrollment data, allowances and allotments, leaves and absences, and case notes)</td>
<td>Chapter 6</td>
</tr>
<tr>
<td>Career Preparation Period and Career Development Period Records</td>
<td>Chapter 6</td>
</tr>
<tr>
<td>Health History and Services</td>
<td>Chapter 2</td>
</tr>
<tr>
<td>Personal and Social Development Records (including Fact-Finding Board documentation)</td>
<td>Chapter 2, Chapter 6</td>
</tr>
<tr>
<td>Career Transition Services Information</td>
<td>Chapter 4</td>
</tr>
</tbody>
</table>

R17. Student Personnel File

Centers must maintain for each student throughout enrollment a personnel file, which must include the following documents:

a. Folder Inventory Form

b. Student Job Corps Data Sheet (ETA 6-52)$^1$ and required enrollment forms

c. Record of Issue/Spent Clothing Allowance

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$^1$ Form generated through OASIS (Outreach and Admissions Student Input System). For forms requiring signature, a signed copy shall be maintained in the student personnel file.
d. Designation of Beneficiary (SF 1152)

e. Zero Tolerance for Violence and Drugs Certification

f. All hard-copy verification documentation supporting pay and leave status, including parental pass consent for minors (Leave/Documentation and Case Notes). Leave requests must be maintained electronically in CIS.

g. Informed Consent to Receive Mental Health and Wellness Treatment

h. Equal Opportunity Notice (Form 1-09)

i. A hard copy of the My Pathway to Achieving Career Excellence (MyPACE) Career Plan (initial and all versions), justification statement if student changed career pathways during enrollment and a final Pathway Achievement Record (PAR). MyPACE Career Plan and PARs must also be stored electronically and uploaded in the CIS e-Folder.

**R18. Separation**

a. At the time of a student’s separation from Job Corps, centers must combine all necessary records pertaining to that student into a single record and store it in a central location on center. Records of separated students must contain documents as specified below:

1. All records indicated in R17 above

2. Final Student Profile (ETA 6-40)

3. Health Record

4. Accommodation Record

5. Copy of official High School Equivalency (HSE) certificate or, if not applicable, passing scores, or high school diploma earned while in Job Corps including final high school transcript(s) (if the student earned their diplomas from a center accredited as a degree-conferring high school). Supporting documentation will also include special education services, as applicable for students with documented disabilities.

6. Notice of separation from CIS

7. Training Achievement Record (TAR)

   (a) For students who complete their program while using a paper TAR, the completed original TAR is required for the students’ permanent file.
(b) For students assigned to an e-TAR, e-TARs will be stored electronically and will be available through the Center Information System (CIS/CIS3G).

(c) The full e-TAR will be permanently stored in the data warehouse. In addition, as a contingency measure, centers will keep a hard copy of the e-TAR summary page in the student permanent record file and credential attainment results (refer to Chapter 6, Section 6.4, R.7.b. and c.).

8. Tests of Adult Basic Education (TABE) – Answer Sheets (when the TABE is not administered online) or the Student Testing System (STS) Tickets

9. Fact-Finding Board documentation (whether result was termination or retention)

10. Unauthorized Absence CIS Case Notes

b. Provide a copy of the student’s Job Corps Consent for Release of Information as part of ETA Form 6-52 to the Career Transition Services Contractor within five working days of separation. Information from confidential files must not be released to Career Transition Services Contractors.

c. Maintain the permanent student record on center for at least three years, at which time it will be archived to the Federal Records Center, as directed by the Regional Office.

R19. Student Death

In the event of a student’s death, the center must follow Significant Incident Report (SIR) reporting requirements (see Chapter 5, Section 5.4) and send the entire student personnel record (including sealed health record) to the Office of Job Corps by signature-required mail or delivery within 10 days. The sealed health record must include Office of Worker’s Compensation Programs (OWCP) forms and written notification of death, plus the death certificate and autopsy and toxicology reports if available.

R20. Confidentiality of Student Records

Centers and Outreach and Admissions/Career Transition Services (OA/CTS) contractors must safeguard and ensure the confidentiality of student personal information contained in records, as well as verbal and written communications, in accordance with federal, state, and local law, and as specified in Appendix 601 (Student Rights to Privacy and Disclosure of Information) and Appendix 202 (Transmission, Storage, and Confidentiality of Medical, Health, and Disability-Related Information).

R21. Access to Student Records

Centers and OA/CTS contractors must provide students and parents/guardians of minors with access to their records on request. Access to medical records can be denied in accordance with the Notice of Medical Information Use, Disclosure, and Access (Form 2-
01) and Authorization for Use and Disclosure of Your Health Information (Form 6-02).

**R22. Release of Information**

Centers and OA/CTS providers must respond to requests from former students or third parties for information concerning their enrollments, upon receipt of a written, signed release of information, and in accordance with provisions of Appendix 601. This shall apply as long as the center has custody of the record.

**R23. Freedom of Information Act Requirements**

Centers and OA/CTS contractors must respond to requests for disclosure of personal information about students in accordance with the Freedom of Information Act as specified in Appendix 601.

**R24. Subpoenas for Student Records**

Centers and OA/CTS contractors must forward all subpoenas to produce a student record, or to testify regarding a student record to the Regional Office.

**R25. Records and Reports Maintenance**

Center operators, agencies, and OA/CTS contractors must maintain records and reports for three years. Records of disclosures of protected health information shall be kept for three years. Exceptions to this retention period shall be communicated by the National Office of Job Corps on a case-by-case basis.
6.5 RIGHTS

REQUIREMENTS

R1. Student Rights

a. Centers and Outreach and Admissions/Career Transition Services (OA/CTS) contractors must inform all applicants and students of their rights and protections regarding civil rights, including disability accommodations (see Chapter 2, Section 2.4, R2), sexual harassment (see Chapter 2, Section 2.5, R6), religious rights (see R2 below), and nondiscrimination (see Appendix 602). At a minimum, centers and contractors must provide applicants and students with the Equal Opportunity Notice set forth in 29 CFR 38.35 (see Form 1-09). The Notice must be included in each student’s file, and provided in alternate formats upon request to applicants and students with visual impairments. Where an alternate-format notice has been provided, a record that such a notice has been given must also be made a part of the student’s file.

b. Staff Training

Job Corps centers must ensure that all Job Corps center staff are fully apprised of, and annually trained in, requirements regarding civil rights; including religious rights (see Exhibit 5-4).

R2. Religious Activities/Treatment of Religious Organizations

Job Corps places a high value on the right of students in Job Corps to exercise their religious freedom. As detailed in R2.a–h below, Job Corps centers are required to allow students to engage in religious activities on center.

In implementing this requirement, Job Corps centers must not discriminate among students on the basis of religion, religious belief, or lack thereof. Therefore, Job Corps centers must remain neutral in their treatment of various religions; must not require or, based on religion, prohibit participation by any student in religious activities; and must neither encourage students in, nor discourage students from, belief in religion generally, or in any particular religion.

a. Respect for Religious Rights

Job Corps centers must ensure that each student has the right to worship, or not worship, as he or she chooses.

b. Religious Activities Permitted on Center

1. Job Corps centers must permit students to express their views related to religion and to exercise their right to religious freedom. Job Corps centers must permit residents to engage in voluntary religious activities, including holding religious services, on
center. Reasonable time, place, and manner restrictions may be imposed, however.

2. Job Corps centers must inform students about their rights to engage in religious activities on center. To the extent applicable, centers must also develop, and inform students about, procedures for scheduling (and monitoring, where appropriate) religious activities to ensure equitable allocation of space and other center resources. In preparing the calendar of recreational events and activities required by Chapter 2, Section 2.2, R3.a, centers must include those on-center religious services that are open to all students and/or supervised by Job Corps staff.

c. Religious Accommodations

1. Centers must develop, and inform students how to access procedures for requesting religious accommodations (for example, special diet or exemption from center activities or rules for religious reasons).

2. If a center denies a request for a religious accommodation, the center must:
   (a) Explain to the student the reason for the denial, and any appeal rights and procedures.
   (b) Create and keep a record describing the request, indicating that the request was denied and explaining the reason for the denial.

d. Transportation to Local Religious Facilities

Job Corps centers may provide students with transportation to and from local religious facilities. The decision whether to provide transportation to a particular facility must not be based on religion. However, Job Corps centers may impose reasonable time, place, and manner restrictions. For example, a Job Corps center may decide that it will provide transportation only to facilities that are located within a particular distance from the center. If Job Corps centers choose to provide transportation to religious facilities, these centers must develop, and inform students about, procedures for requesting such transportation. Note: Providing such transportation does not relieve Job Corps centers of the obligation in R2.b above to permit students to engage in voluntary religious activities on center.

e. No Discrimination on the Basis of Religion

In providing Job Corps services, Job Corps centers must not discriminate against or favor a current or prospective student on the basis of religion or religious belief or lack thereof. This requirement does not preclude Job Corps centers from accommodating religion or religious belief (e.g., permitting exemptions from certain Job Corps center rules for students based on religious reasons) nor does it require Job Corps centers to give similar treatment or exemptions to students who desire “accommodations” for reasons unrelated to religion or disability (see Chapter 2, Section 2.4, R2 for information about accommodating students with disabilities). Job Corps centers are permitted to supervise on-center religious activities as appropriate to maintain good
order and discipline, so long as Job Corps centers do not rely upon the religious (or nonreligious) nature of an activity, or student gathering, in choosing which activities or gatherings to supervise.

f. Prohibited Activities

The following activities are always prohibited on center, regardless of any religious motivation.

1. Acts of violence
2. Animal sacrifice
3. Performance of curses, hexes, or other rituals or actions intended to harm others
4. Public nudity
5. Acts of self-mutilation or infliction of bodily harm
6. Use or display of weapons
7. Exclusion by race, ethnicity, color, or national origin
8. Sexual acts
9. Use, possession, or sale of controlled substances as defined under the Controlled Substances Act; being under the influence of, using, or possessing any narcotic drugs, hallucinogens, marijuana, barbiturates, or amphetamines; operating a motor vehicle while under the influence of alcoholic beverages; and being under the influence of or using alcoholic beverages
10. Possession of unauthorized goods, as defined in the Job Corps regulations at 20 CFR 686.120, which include:
   (a) Firearms and ammunition
   (b) Explosives and incendiaries
   (c) Knives
   (d) Homemade weapons
   (e) All other weapons and instruments used primarily to inflict personal injury
   (f) Stolen property
   (g) Drugs, including alcohol, marijuana, depressants, stimulants, hallucinogens, tranquilizers, and drug paraphernalia except for drugs and/or paraphernalia that are prescribed for medical reasons
   (h) Any other goods prohibited by a center operator in a Student Handbook
11. Coercion or harassment of anyone based on religion or lack thereof
g. When Federal Support May Be Used to Support Inherently Religious Activity

Except as described in this PRH, Job Corps centers must not use direct federal support for inherently religious activities such as worship, religious instruction, or proselytization.

At Job Corps facilities where there is such a degree of government control over the program environment that religious exercise would be significantly burdened absent affirmative steps by Job Corps operators (such as at isolated Job Corps facilities), program officials may take affirmative steps to ensure that program beneficiaries are able to exercise their religious freedom, including the use of direct federal support to provide access to religious services and activities where necessary to ensure the opportunity for exercise of religious rights. Indirect federal support – e.g., support received due to the exercise of genuine and independent choice by a Job Corps student – is not subject to these restrictions on providing Department of Labor (DOL) support to inherently religious activities.

Note: Center supervision of students engaged in religious activities and gatherings, to the extent such supervision occurs in a manner consistent with center supervision of students engaged in nonreligious activities and gatherings, does not constitute federal “support” for religious activities.

h. Religious Organizations Must Be Treated on a Basis Equal to That of Other Organizations

Religious organizations are eligible on the same basis as any other organization to participate in Job Corps programs or activities. Job Corps centers must not discriminate against or favor an organization on the basis of the organization’s religious character or affiliation or lack thereof. Religious organizations that participate in Job Corps programs must be permitted to maintain their religious identity. Religious organizations are permitted to use their facilities to provide services to Job Corps without removing or altering religious art, icons, scriptures, or other religious symbols from those facilities.

R3. Voting Rights

Centers must develop and implement procedures to assist students to vote locally or by absentee ballot. Any assistance with voter registration must be conducted with nonpartisan entities free from party affiliation, bias, or designation.

R4. Use of Applicant and Student Photos and Moving Images

All contractors and centers must ensure that photos and moving images of Job Corps applicants and students are not taken, used on printed materials, or posted on the Internet, except when proper releases have been obtained, as specified in Appendix 601 (Student Rights to Privacy and Disclosure of Information).
R5. **Authorizations (Consent for Treatment)**

Centers must ensure that proper authorizations are obtained prior to delivery of health services to students.

a. The signed consent form (ETA 653) serves as authorization for basic routine health care and must be placed in the student health record by the time a student arrives on center. Additionally, each student must have a signed Informed Consent to Receive Mental Health and Wellness Treatment form in the student health record by the time the student arrives on center.

b. Each time a student requires services other than those covered under the blanket consent signed on admission, written consent must be obtained from the student or parent/legal guardian.

c. In emergency situations, the Center Director may make an exception to the requirement for consent when a student who has reached the age of maturity cannot give consent or a parent/guardian of a student under the age of maturity cannot be contacted. This must be documented in the student’s health record.

R6. **Student Tort Claims**

Job Corps centers must:


b. Assist students in submitting claims to the Regional Office for damage, loss, or destruction of personal property, when the property is under the control and custody of the center, in accordance with the Federal Tort Claims Act, 28 CFR Part 15, in accordance with procedures described in Appendix 602.

R7. **Access to Legal Services**

Job Corps centers must provide students with information about the availability of legal assistance within the community at no cost to Job Corps, for those charged with a felony or misdemeanor.

R8. **Student Legal Obligations**

Job Corps centers must assist students in resolving minor legal obligations and civil fines or court-ordered restitution.
R9. Notification of Discrimination Complaints

OA/CTS contractors and centers must promptly notify the Regional Office of any administrative actions or lawsuits that are filed against the contractor or center that allege discrimination on the grounds of race, color, religion, sex (including pregnancy and gender identity), national origin, age, disability (physical or mental), genetic information, parental status, sexual orientation, marital status, political affiliation or belief, or any other prohibited factor, including those filed by students and applicants. The notification must include:

a. Names of the parties to the action or lawsuit
b. Forum (court or administrative agency) in which each case was filed
c. Relevant case numbers

The Regional Office will convey the information to the Office of Job Corps, which is responsible for promptly conveying this information to the U.S. Department of Labor Civil Rights Center (DOL-CRC), as described in 29 CFR 38.42.

R10. Complaint Process for Suspected Enrollment Discrimination

If the applicant believes that he or she has been denied admission for enrollment as a result of discrimination on a protected basis (race, color, religion, sex/gender, national origin, age, disability, political affiliation or belief, citizenship, or participation in a program or activity financially assisted under Workforce Innovation and Opportunity Act Title I (https://www.congress.gov/113/bills/hr803/BILLS-113hr803enr.pdf), he or she may file a written complaint within 180 days of the decision, with either the recipient of the funds, as defined in 29 CFR 38.4, such as the OA agency or the Job Corps contractor, or center operator (if not federally operated), or the U.S. Department of Labor Civil Rights Center (DOL-CRC). The contact information of the Director of DOL-CRC is as follows:

Director, Civil Rights Center
U.S. Department of Labor
200 Constitution Avenue, NW
Room N-4123
Washington, DC 20210
(202) 693-6502 (voice)
TTY: (202) 693-6516
CivilRightsCenter@dol.gov

For electronic versions of DOL-CRC’s complaint form in English or Spanish (PDF or HTML format), using Internet Explorer, please go to DOL-CRC’s website at: https://www.dol.gov/agencies/oasam/centers-offices/civil-rights-center/external/how-to-file-complaint.
**R11. Applicant/Student Complaints**

Job Corps centers must develop and implement systems to respond to complaints of discriminatory treatment of students or applicants, or violation of civil or religious rights, which include the following features:

a. A designated, trained Equal Opportunity Officer who will:

1. Assist students and applicants in filing complaints with the center or with the DOL Civil Rights Center (CRC).

2. Attempt to informally resolve complaints filed with the Job Corps center.

3. Document all complaints filed with the Job Corps center (including keeping a complaint log) and all actions taken in connection with complaints.

4. Provide center-wide training and publicity.

5. Ensure that student complainants are not subjected to retaliation or other adverse treatment.

6. Provide written notice of students’ right to file complaints, and written guidance to assist students in filing complaints.

7. Advise students of appeal rights and procedures.

8. Serve as the center’s liaison with CRC.

9. Monitor and investigate the Job Corps center’s activities to make sure the center is complying with its nondiscrimination and equal opportunity obligations.

10. Report directly to the appropriate official about equal opportunity matters.

11. Undergo training to maintain competency.

b. Complaint resolution procedures as specified in Appendix 602 (Civil Rights and Nondiscrimination).

**R12. Equal Employment Opportunity/Civil Rights**

Center operators and OA/CTS contractors must:

a. Not deny employees equal opportunity/civil rights because of race, color, religion, sex (including pregnancy and gender identity), national origin, age, disability (physical or mental), genetic information, parental status, sexual orientation, marital status, political
affiliation or belief, or any other prohibited factor. Sexual harassment is prohibited in Job Corps. Discrimination is prohibited in all contracts, grants, and programs funded by the Department of Labor.

b. Provide prompt, fair, and impartial consideration of discrimination or other civil rights complaints through an established and approved grievance system.
6.6 CLOTHING

REQUIREMENTS

R1. **Student Clothing**

Centers must:

a. Issue, at no cost to the student, uniforms, specialized and safety equipment, and clothing necessary for a student to participate in career technical training. Such clothing and equipment must meet safety and health requirements and industry standards so that the student is able to function comfortably and effectively in all weather conditions.

b. Issue, but retain as government property, such clothing and safety equipment that is determined to be serviceable and that can be sanitized.

c. Issue, for retention by the student, safety shoes and other items that cannot be sanitized.

R2. **Cash Clothing Allowance**

Centers must establish a system to provide clothing allowances for students in the amounts indicated and according to the time schedule shown below:

<table>
<thead>
<tr>
<th>Allowance Type</th>
<th>Amount</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>First clothing allowance</td>
<td>$100 (requires minimum 30 days length of stay)</td>
<td></td>
</tr>
<tr>
<td>Second clothing allowance</td>
<td>$125 (for projected graduates only; may issue within 90 days of projected graduation)</td>
<td></td>
</tr>
<tr>
<td>AT/ACT clothing allowance</td>
<td>$100 (available at time of transfer)</td>
<td></td>
</tr>
</tbody>
</table>

R3. **Emergency Clothing**

a. Centers must provide for emergency clothing needs and deduct the amount provided from the student’s clothing allowance.

b. In the event of a disaster declaration made by the President under the Stafford Act, at the discretion and approval of the Regional Director, students may be provided with clothing and other personal items up to $500 in value.
6.7 TRANSPORTATION

REQUIREMENTS

R1. Motor Vehicles

Center operators and OA/CTS contractors must follow the requirements of ETA Handbook 359 and 48 CFR Chapter 1 Subpart 51.2 regarding acquisition and use of motor vehicles.

R2. Goal Achievement

The National Office of Job Corps will work continuously to reduce petroleum fuel consumption through the following measures:

a. Increasing the number of Alternative Fuel Vehicles (AFV) in Job Corps’ fleet.

   Job Corps centers must make every effort to attain AFVs when replacing existing fleet or when acquiring new leases

b. Maintaining oversight of all new vehicle acquisitions, replacements, and returns

   1. Job Corps centers with a need to acquire or replace a vehicle must justify this need or action to the Office of Job Corps.

   2. To acquire a new or replacement vehicle, the requesting center must submit the Request to Lease a GSA Vehicle form to the center’s Project Manager (PM) for submission to the Office of Job Corps (see Form 6-05). Information on AFVs that can be leased directly from GSA can be found at www.GSA.gov in the most current Product Guide for Alternative Fuel Vehicles (AFV). The website afdc.energy.gov contains descriptions of alternative fuels and additional AFV resources.

   To ensure accurate completion of the report, when acquiring new vehicles or renewing existing leases, the agency code 1680 should be used to complete all necessary paperwork.

c. Reducing the consumption of petroleum fuel through efficient fleet policies

   Center operators must examine their center’s fleet to determine which vehicles are necessary for center operations. Vehicles that are being consistently underutilized (driven less than 800 miles per month) for three consecutive months should be viewed as candidates for return. In order to retain these vehicles, justification must be provided to the Office of Job Corps, Division of Facilities and Asset Management (DFAM). There are no eligibility requirements for returning a vehicle to GSA.

d. Establishing fleet standards that will lead to more efficient operations
Center staff must acquire vehicles based upon the fleet standards established by the Office of Job Corps, replacing high-fuel-consumption vehicles with fuel-efficient vehicles.

Center operators must examine which of their vehicles are high-fuel-consumption vehicles and replace them with more fuel-efficient vehicles. Although vehicle returns may be made at any time, replacements must meet the eligibility requirements set forth by GSA. Vehicle replacements must follow the process outlined in R2.a and R2.b above.

Vehicle replacement standards are the criteria used to determine whether a GSA-leased vehicle is eligible for replacement. This information is listed in Exhibit 6-4, Minimum Requirements for Replacing Vehicles Leased from the General Services Administration Prior to the End of the Lease.

e. Providing General Services Administration (GSA) with annual fleet acquisition requirements

Upon the announcement of GSA’s annual solicitation for the fleet acquisition requirements for the upcoming fiscal year, each Job Corps center must prepare its fleet acquisition requirements and send to its Project Manager by August 15 for approval. Acquisition requirements will be forwarded to GSA by the Project Manager (PM). Form 6-06, GSA Annual Fleet Requirements Spreadsheet, must be used by centers to submit this information.


Center operators must ensure that centers have standard forms and procedures in place to document the vehicle information that must be reported to the National Office of Job Corps on a monthly basis. The National Office has developed standard forms to assist in the tracking of fleet information. The standard forms include Form 6-03, GSA Vehicle Log, and Form 6-04, GSA Vehicle Maintenance Log.

R4. Fleet Management Reporting

Center operators must ensure that:

a. Fleet Managers are entering all vehicle information into the Job Corps Fleet Tracking Management System on a monthly basis.

b. Vehicle information is reported by the 10th day of the current month for the previous month. This information must be recorded in the Job Corps Fleet Tracking Management System. The information entered into the system will assist the Office of Job Corps with its reporting requirements.
**R5. Vehicle Accident Recordkeeping**

a. SF-91, Operator’s Report of Motor Vehicle Accident

The operator of any government vehicle involved in an accident will complete an SF-91 form at the scene of the accident, if possible. The center will develop procedures to ensure that copies of the SF-91, and other applicable forms, are available in each vehicle, including privately owned vehicles, rentals, and GSA vehicles used for official business.

b. SF-91A, Investigation Report of Motor Vehicle Accident

This report is used for motor vehicle accidents resulting in vehicle and/or property damage over $500, or disabling work injuries including fatalities caused by a motor vehicle accident.

**R6. Overview of Center Director Responsibilities for Vehicle Safety Programs**

Each Center Director must be responsible for:

a. Administration of the motor vehicle safety program.

b. A program for training, testing, and licensing students.

c. A comprehensive vehicle maintenance and inspection program.

d. Promotion and incentive awards programs to encourage traffic safety.

e. Compliance with state and local motor vehicle laws.

f. An effective accident investigation and analysis system.

g. An on-center system of traffic control to include appropriate signs and lines.

**R7. Overview of Vehicle Operation Requirements**

a. Government-owned vehicles and leased vehicles, collectively called GOV, must be operated by individuals meeting the following qualifications:

1. Drivers must possess a valid state driver’s license.

2. Drivers must possess a valid federal employee identification or Job Corps identification.

b. Student operation of GOV must be authorized by the Center Director and limited to driver’s training only.
c. All over-the-road vehicles must be equipped with fire extinguishers, emergency warning lights, and well-maintained first-aid kits.

d. In GOV, all drivers and passengers must wear seat belts in all over-the-road vehicles (except buses where seat belts are not available). When infants and/or children are being transported, safety restraints must meet applicable state requirements for size/weight.

e. Drivers must not engage in cell phone usage, text messaging, or use personal or government-supplied electronic equipment, when operating GOV or when driving privately owned vehicles (POV) while on official government business.

f. No vehicle will be loaded (with personnel or materials) beyond the vehicle’s rated capacity.

g. All operators must adhere to applicable federal, state, and local vehicle operator licensing and safe operator requirements. Federal requirements may include, but are not limited to, Hours of Service (Part 395 of the Federal Motor Carrier Safety Administration [FMCSA] regulations).

h. Students will not be transported in the back bed of a pickup truck or other open-bed vehicle, including vehicles equipped with caps covering the bed of the truck, unless such vehicle has been equipped with appropriately designed and constructed seating and safety restraints.

i. If a bus has an auxiliary gas tank that powers the air conditioner, the vehicle must have that tank properly guarded.

j. Aisles in buses and vans must be kept free of obstructions that may hinder orderly evacuation of the vehicle during an emergency. Passengers must be seated at all times. Tools, equipment, luggage, and other personal belongings must be properly stored and secured before operating the vehicle.

k. No bus transporting students or staff shall have the emergency door locked or constricted.

l. All vehicles that are driven by staff and students on center must meet appropriate federal and state safety requirements.

m. While a commercial driver’s license (CDL) is preferred for those drivers operating 12- and 15-passenger vans, operators must, at a minimum, adhere to the vehicle operator licensing and safe operator requirements for the state or local jurisdiction.

n. All 12-passenger vans currently leased or owned by Job Corps contractors and agencies are to be operated in a manner that reduces the risk of vehicle rollover and other known risks associated with operating the vehicles. Job Corps contractors and agencies are
permitted to purchase new vans and retain 12-passenger vans that are currently in their GSA fleet inventory. The vans must be operated in accordance with the guidelines and restrictions detailed below:

1. The 12-passenger vans will carry a maximum of eight passengers, including the driver. If there is a need to transport more than eight passengers, it must be done using multiple vehicles.

2. Roof racks must be removed, except those that carry only light loads (e.g., ladder rack, rowing oars, etc.). No loads over 60 pounds should be placed on the roof.

3. Rear seats must be removed.

o. All 15-passenger vans currently leased or owned by Job Corps contractors and agencies are to be modified and operated in a manner that decreases known risks associated with operating the vehicles. Job Corps contractors and agencies are permitted to retain 15-passenger vans that are currently in their GSA fleet inventory in accordance with the guidelines and restrictions detailed below; however, centers are encouraged to explore other fiscally responsible transportation options before purchasing, leasing, or renting additional 15-passenger vans.

1. All 15-passenger vans will carry a maximum of 10 passengers, including the driver. If there is need to transport more than 10 passengers, it must be done using a higher capacity vehicle, or by using multiple smaller vehicles.

2. Roof racks must be removed, except those that carry only light loads (e.g., ladder rack, rowing oars, etc.). No loads over 60 pounds should be placed on the roof.

3. Rear seats must be removed.

**R8. Government-Furnished Transportation**

Centers must provide Job Corps students with government-furnished transportation between their home and Job Corps centers in accordance with procedures issued by the Regional Office under the conditions and circumstances shown in Exhibit 6-3 (Student Transportation).

**R9. Local Transportation**

Centers must:

a. Provide transportation to and from the center daily for nonresidential students.

b. Provide transportation to and from the center’s designated arrival point for students using government-paid transportation.
c. Provide transportation for students to and from off-center training, work-based learning, and off-center activities.

d. Provide transportation for students to and from off-center medical/dental appointments. In lieu of the center providing transportation, the center may approve a student’s request to be transported by a friend, partner, or family member.

R10. Transportation for Dependent Children

Centers must provide government-paid transportation to and from home to children who participate in Job Corps Residential Parent/Child programs. Such transportation must be authorized to the same extent as it is allowed for their parents.
## Exhibit 6-1
### Duty/Pay/Leave Status Chart

<table>
<thead>
<tr>
<th>Pay Status</th>
<th>Duty Status</th>
<th>CIS Leave Type</th>
<th>CIS Leave Reason</th>
<th>Uses</th>
<th>Criteria/Limitations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Paid</td>
<td>Present for Duty</td>
<td>Present for Duty on Center</td>
<td>N/A</td>
<td>For students involved in normal training and other on-center activities, including students who are staying on center and participating in center authorized off-center training (CTST, WBL, or OTP) during the winter break.</td>
<td></td>
</tr>
<tr>
<td>Paid</td>
<td>Present for Duty Off Center</td>
<td>Present for Duty Off Center</td>
<td>Non-Resident ACT/OTP</td>
<td>For non-resident students enrolled in off-center ACT/OTP programs.</td>
<td>• Centers must have documented daily accountability for student attendance at the ACT/OTP site; this documentation should be submitted, at a minimum, once per week to the center.</td>
</tr>
</tbody>
</table>
| Paid       | Present for Duty Off Center | Present for Duty Off Center | Career-Related Activities | For students involved in authorized activities off center for job interviews, job search, apprenticeship registration, college application processing, or armed forces processing. | • Maximum length of time for out-of-town job search and interviews, apprenticeship registration, college registration, or armed forces processing cannot exceed 10 training days during enrollment.  
• The Regional Office, at its discretion, may grant a waiver to extend the 10 training day limit if there is reasonable expectation of full-time employment. Regional Office extensions are limited to 5 training days during a student’s enrollment.  
• Students must have at least 2 pre-arranged and verifiable job interviews (one of which may be the CTS, One-Stop Career Center/American Job Center, or Employment Office) set up for this period.  
• Students must have an appointment to visit the Career Transition Services (CTS) office in their hometown if one exists; if not, telephone contact with the appropriate CTS office should be scheduled.  
• At the end of the job search period, if the student has not been successful in job search activity, that student will be separated effective on the 11th day (or the 16th day if a Regional Office waiver has been granted), with a referral to the appropriate CTS office. The center has the option of returning the student to the center for additional training or employability assistance prior to the 11th day (or 16th day).  
• The CTST projects must be approved on a center’s CTST plan.  
• Other WBL and OTP must have approval by the Regional Office through the CDSS plan or authorization letter.  
• Covers such programs as American Barge Lines, Advanced Paving, Advanced Cement, Habitat for Humanity, and similar programs. |

CTST/WBL/OTP | Present for Duty Off Center | Present for Duty Off Center | Present for Duty Off Center | For students involved in off-center training that requires overnight stays (CTST, WBL, OTP). |  |
<table>
<thead>
<tr>
<th>Pay Status</th>
<th>Duty Status</th>
<th>CIS Leave Type</th>
<th>CIS Leave Reason</th>
<th>Uses</th>
<th>Criteria/Limitations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Paid</td>
<td>Present for Duty Off Center</td>
<td>Present for Duty Off Center</td>
<td>Firefighting, Emergency Service</td>
<td>For students involved in authorized activities off-center for firefighting and/or national emergencies.</td>
<td>• Assignments shall not exceed 3 consecutive weeks without Regional Office approval.</td>
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<tr>
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<td>• Assignments shall have a maximum limit of 8 weeks (56 calendar days) per year of enrollment.</td>
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<td>• The Regional Office, at its discretion, may grant a waiver to extend the 8-week limit if there is a confirmed need to extend firefighting/emergency service, not to exceed 4 additional weeks (28 calendar days).</td>
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<td>• Verification must be documented on the leave form in CIS.</td>
</tr>
<tr>
<td>Paid</td>
<td>Present for Duty Off Center</td>
<td>Present for Duty Off Center</td>
<td>Center Miscellaneous</td>
<td>For students involved in authorized training activities off center for recruiting drives, escort duty, competitions, awards, conferences, events.</td>
<td>• Maximum length of time shall not exceed 10 training days during enrollment.</td>
</tr>
<tr>
<td></td>
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<td></td>
<td></td>
<td>• Verification must be documented on the leave form in CIS.</td>
</tr>
<tr>
<td>Paid</td>
<td>Present for Duty En Route</td>
<td>En Route</td>
<td>En Route</td>
<td>For students in authorized travel status using most direct route home or to receiving center for: • New Arrivals • Separations • Transfers</td>
<td>• New enrollees with inbound travel overnight or longer.</td>
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<td></td>
<td>• Separating students with travel overnight or longer.</td>
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<td></td>
<td>• Transferring students during travel by most direct route.</td>
</tr>
<tr>
<td></td>
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<td></td>
<td>• En route status not authorized if student takes unauthorized side trip or layover.</td>
</tr>
<tr>
<td>Paid</td>
<td>Present for Duty On Pass</td>
<td>On Pass</td>
<td>National Guard Duty</td>
<td>For authorized overnight pass including National Guard weekend duty.</td>
<td>• Must not conflict with scheduled classes.</td>
</tr>
<tr>
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<td></td>
<td>• Destination must be documented.</td>
</tr>
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<td></td>
<td></td>
<td>• For minors, only to destinations authorized by parental consent.</td>
</tr>
<tr>
<td>Unpaid</td>
<td>Not Present for Duty</td>
<td>Winter Break</td>
<td>Alternative Winter Break</td>
<td>For students who participate in authorized off-center training (CTST, WBL, or OTP) during the winter break period and choose to take their winter break at a later date.</td>
<td>• Set by the National Office of Job Corps to include 10 non-training days as well as 2 federal winter holidays and 3 weekends.</td>
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<tr>
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<td></td>
<td></td>
<td></td>
<td>• Destination is home of record, or alternate destination.</td>
</tr>
<tr>
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<td></td>
<td></td>
<td></td>
<td>• For minors, only to destinations authorized by parental consent.</td>
</tr>
<tr>
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<td></td>
<td>• Students are entitled to go on winter break regardless of length of enrollment.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>• Students may elect not to go on break, but may decide to remain at the center instead. Centers must have an appropriate level of</td>
</tr>
<tr>
<td>Pay Status</td>
<td>Duty Status</td>
<td>CIS Leave Type</td>
<td>CIS Leave Reason</td>
<td>Uses</td>
<td>Criteria/Limitations</td>
</tr>
<tr>
<td>------------</td>
<td>------------</td>
<td>----------------</td>
<td>-----------------</td>
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<td>---------------------</td>
</tr>
</tbody>
</table>
| Paid       | Not Present for Duty – Administrative Leave With Pay | Administrative Leave With Pay | Winter Break On-Center | For students who choose to remain on center during the winter break period and are not participating in authorized off-center training (CTST, WBL, or OTP). | structured activities for students who remain on center during the winter break.  
• Regional Office approval is necessary to authorize students, who are not able to go on the scheduled winter break because of conflicts with their off-center training or program activity schedules, for an alternative winter break. Documentation of Regional Office approval must be maintained in the student’s permanent record. |
| Paid       | Not Present for Duty – Bereavement Leave | Bereavement Leave | Bereavement | Death in immediate family (mother, father, spouse, grandmother/father, child, sister, brother, guardian, someone acting in lieu of parents). | • Not to exceed 10 training days per the prior 12-month window of time.  
• Must be verified by attending physician/hospital, funeral director, American Red Cross.  
• Verification obtained from phone calls is allowed as long as the following information is obtained and documented on the CIS leave form: name of contact person, title, phone number, date of contact, and name of the facility.  
• Verification must be obtained within 1 working day after leave request.  
• Must be authorized by CD or designee. |
| Paid       | Not Present for Duty – Active Duty Military | Active Duty Military | Active Duty Military | Short-term active duty in National Guard. | • Not to exceed 8 weeks (56 calendar days) during enrollment.  
• Must be verified with Military Personnel. |
<table>
<thead>
<tr>
<th>Pay Status</th>
<th>Duty Status</th>
<th>CIS Leave Type</th>
<th>CIS Leave Reason</th>
<th>Uses</th>
<th>Criteria/Limitations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Paid</td>
<td>Not Present for Duty – Paid Time Off (PTO)</td>
<td>Paid Time Off</td>
<td>Paid Time Off</td>
<td>For students to use at their discretion. Only allowed with concurrence of the center (e.g. vacation, to take care of personal business such as un-subsponaed court appearances, meeting with probation/parole officer, elective medical/dental treatment, cultural or religious observances/practices, and pressing family obligations).</td>
<td>• One PTO day is accrued every 30 calendar days of enrollment. PTO day must be requested by the student and the CIS leave form submitted, no later than 1 hour after a student’s scheduled training day start time on the leave date. New students will begin with 3 PTO days. • No special documentation required, other than the CIS leave form.</td>
</tr>
<tr>
<td>Unpaid</td>
<td>Not Present for Duty – Unauthorized Absence (UA)</td>
<td>Unauthorized Absence</td>
<td>N/A</td>
<td>For students who fail to return or report to center within 2 hours of the student’s scheduled training-day start time.</td>
<td>• Unauthorized absences in excess of 6 consecutive training days (not including weekends, holidays, winter break, or other center non-training days, for example) will result in separation from the program 2 hours after student’s scheduled training-day start time on the 7th training day, with the separation date being the 7th training day. • Unauthorized absences in excess of 12 nonconsecutive training days (not including weekends, holidays, winter break, or other non-training days, for example) in the prior 6 months will result in separation from the program 2 hours after student’s scheduled training-day start time on the 13th training day, with the separation date being the 13th training day, unless the student resigns. • If the student contacts the center with a credible and verifiable explanation, the student’s status may be changed to the appropriate leave category, effective the date of the emergency, if the emergency prevented the student from contacting the center timely. The required verification is the same as outlined for Bereavement, Administrative Leave with Pay, etc., unless the student resigns.</td>
</tr>
<tr>
<td>Unpaid</td>
<td>Not Present for Duty – Missing Minor Student (MMS)</td>
<td>Missing Minor Student</td>
<td>N/A</td>
<td>For minor students who are missing through no fault of their own and whose parents/guardians are unaware of the student’s whereabouts.</td>
<td>• When a minor student is reported as an Unauthorized Absence (UA) and their parent/guardian verifies that they are unaware of the student’s whereabouts. • This status should be used only in a bona fide case of a minor student who is missing through no fault of their own. • This is a prelude to taking the required steps for locating and contacting missing students as noted in Chapter 6. • This category requires proper documentation such as a police report and a Significant Incident Report (SIR).</td>
</tr>
<tr>
<td>Unpaid</td>
<td>Not Present for Duty – Fact-Finding Board Leave (FFBL)</td>
<td>Fact-Finding Board Leave</td>
<td>Level I Infraction</td>
<td>For student removal pending FFB results.</td>
<td>• CSO incident report must be documented and submitted in CIS prior to leave being granted. • Up to 3 training days, due to alleged participation in a Level I infraction. • Up to 5 training days, due to alleged participation in a Level II infraction if student is determined to be a threat to themselves or others. • National Director has discretion to extend</td>
</tr>
<tr>
<td>Pay Status</td>
<td>Duty Status</td>
<td>CIS Leave Type</td>
<td>CIS Leave Reason</td>
<td>Uses</td>
<td>Criteria/Limitations</td>
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</tr>
</tbody>
</table>
| Unpaid     | Not Present for Duty | Regional Office Management Leave | Level II Infraction | this leave if the National Director has suspended the timeframe for the Fact-Finding Board to issue its decision if the student subject to the Fact-Finding Board is also the subject of an active police investigation.  
• National Director can remove any student charged with a Level II infraction if the National Director determines they are a threat to themselves or others, in accordance with the PRH. | |
| Unpaid     | Not Present for Duty | National Office Management Leave | Cultural/Religious Accommodation | For special circumstances such as:  
• The student does not have sufficient PTO leave days accrued to take care of significant personal business such as un-subpoenaed court appearances, meeting with probation/parole officer, elective medical/dental treatment, cultural or religious observances/practices, and pressing family obligations.  
• Leave for subsistence activities and disability/religious accommodations.  
• Personal considerations which affect retention. | • Maximum length of time shall not exceed 5 training days per year of enrollment.  
This restriction does not apply to additional leave days provided as a reasonable accommodation to students (e.g. with disabilities or as a religious accommodation), with emergencies, or other significant personal business that may be granted by the National Director.  
• Students must provide documentation, for their leave request to return home, and it must be maintained in the permanent record.  
• Leave must be requested by the student, and CIS leave form submitted no later than the end of the same training day.  
• Can only be approved by the Regional Director or Division Chief. |
| Unpaid     | Not Present for Duty | National Office Management Leave | Disability Accommodation | |
| Unpaid     | Not Present for Duty | National Office Management Leave | Elective Medical or Dental Procedure | |
| Unpaid     | Not Present for Duty | National Office Management Leave | Meeting with Probation Officer | |
| Unpaid     | Not Present for Duty | National Office Management Leave | Other | |
| Unpaid     | Not Present for Duty | National Office Management Leave | Pressing Family Obligation | |
| Unpaid     | Not Present for Duty | National Office Management Leave | Retention Support | |
| Unpaid     | Not Present for Duty | National Office Management Leave | Subsistence Activities | |
| Unpaid     | Not Present for Duty | National Office Management Leave | Un-Subpoenaed Court Appearance | |
| Unpaid     | Not Present for Duty | National Office Management Leave | For Special Circumstances | For students who are parents that have to remain at home and must care for dependent children (e.g. sickness or interruption in day care). | • Maximum length of time shall not exceed 5 training days during enrollment.  
• Student must provide a statement asserting parental responsibilities prior to the use of this leave status.  
• Personal Leave for Childcare must be requested by the student, and CIS leave form submitted no later than the end of the same training day.  
• No special documentation required.  
• Can only be used after PTO days are exhausted. |
| Paid       | Not Present for Duty | Separation in Error | Separation in Error | For re-establishment after disciplinary discharges are overturned by the Regional Office. | • No maximum number of days.  
• Center must submit justification to Regional Office for approval.  
• Regional Office to approve use of this status. |
| Paid       | Not Present for Duty | Disciplinary Overturn With Pay | Disciplinary Overturn With Pay | |

PRH Chapter 6: Administrative Support Services

Exhibit 6-1 (Page 5)
<table>
<thead>
<tr>
<th>Pay Status</th>
<th>Duty Status</th>
<th>CIS Leave Type</th>
<th>CIS Leave Reason</th>
<th>Uses</th>
<th>Criteria/Limitations</th>
</tr>
</thead>
</table>
| Unpaid     | Not Present for Duty – Disciplinary Separation Overturn | Disciplinary Overturn Without Pay | Disciplinary Overturn Without Pay | For re-establishment after student found not guilty of felony or misdemeanor charge. | • No maximum number of days.  
• Center must submit justification to Regional Office for approval.  
• Regional Office to approve use of this status. |
• Set by the National Office of Job Corps to include 30 calendar days.  
• Destination is home of record, or alternate destination.  
• For minors, only to destinations authorized by parental consent.  
• Transportation is provided to all students.  
• Students are entitled to go on Spring Break 2020 COVID-19 Leave regardless of length of enrollment.  
• Centers must have an appropriate level of structured activities for students who remain on center during the spring break.  
• The National Director can extend the number of days under this leave type. |
## EXHIBIT 6-2
### STUDENT ALLOWANCE AND ALLOTMENT SYSTEM (SAAS)

<table>
<thead>
<tr>
<th>Eligibility</th>
<th>Minimum Paid Days</th>
<th>Amount</th>
<th>Pay Out</th>
<th>Criteria</th>
<th>Paid By</th>
<th>Deductions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Basic Living Allowance</td>
<td>Program Enrollment</td>
<td>1-182 paid days</td>
<td>$25 per pay period</td>
<td>Bi-weekly in cash, electronic funds transfer to a bank account with a debit card or to a non-bank issued debit card.</td>
<td>Center</td>
<td>Collected by JCDC as payroll deductions:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>183+ paid days</td>
<td>$35 per pay period</td>
<td></td>
<td></td>
<td>• payroll taxes</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>• indebtedness</td>
</tr>
<tr>
<td>Allotments</td>
<td>Student with child(ren)</td>
<td>Student # of share days</td>
<td>Matched at 5 times the student share by Job Corps</td>
<td>By check to designated allottees</td>
<td>JCDC</td>
<td>Collected by the center:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>$5</td>
<td></td>
<td></td>
<td></td>
<td>• student fines</td>
</tr>
<tr>
<td></td>
<td></td>
<td>N/A</td>
<td></td>
<td></td>
<td></td>
<td>• property loss/damage reimbursements</td>
</tr>
<tr>
<td></td>
<td></td>
<td>$10</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>N/A</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>$5-$15</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>57+</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Arrival Pay</td>
<td>Newly Enrolled</td>
<td>N/A</td>
<td>$25 (one-time payment)</td>
<td>Upon arrival in cash</td>
<td>Center</td>
<td>Payroll taxes*</td>
</tr>
<tr>
<td></td>
<td>Re-Enrolled</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>(*applied retroactively to first regular bi-weekly allowance check by JCDC)</td>
</tr>
<tr>
<td>Transition Payment</td>
<td>Graduate with HSE or high school diploma completion only (attained while at Job Corps)</td>
<td>N/A</td>
<td>$200</td>
<td>90% at time of departure from center - JCDC check for balance</td>
<td>Center and JCDC</td>
<td>Payroll taxes Advances</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Student Allotment share</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Other indebtedness</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Transition Payment</td>
<td>Graduate with CTT completion only (no HSE or high school diploma attained)</td>
<td>N/A</td>
<td>$500</td>
<td>90% at time of departure from center - JCDC check for balance</td>
<td>Center and JCDC</td>
<td>Payroll taxes Advances</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Student Allotment share</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Other indebtedness</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Transition Payment</td>
<td>Graduate with combination of either a HSE or high school diploma (requires completion at Job Corps) and Job Corps CTT completion</td>
<td>N/A</td>
<td>$1,200</td>
<td>90% at time of departure from center - JCDC check for balance</td>
<td>Center and JCDC</td>
<td>Payroll taxes Advances</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Student allotment share</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Other indebtedness</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Survey Completion Payments</td>
<td>Students who completed CPP or were enrolled for 60 or more days</td>
<td>N/A</td>
<td>$10</td>
<td>Following completion of:</td>
<td>JCDC</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>$20</td>
<td>Quarter 2 survey</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Quarter 4 survey</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
## EXHIBIT 6-3
### STUDENT TRANSPORTATION

<table>
<thead>
<tr>
<th>Purpose of Travel</th>
<th>Authorized Destination</th>
<th>Limitations</th>
<th>Government Paid Travel?</th>
<th>Taxable?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Enrollment</td>
<td>Home to center of assignment</td>
<td></td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>Readmission</td>
<td>Home to center of assignment</td>
<td>Arranged and purchased by center</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>Separation</td>
<td>Center to home of record, or center to location of placement</td>
<td></td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Transfer</td>
<td>Between sending and receiving centers</td>
<td></td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>Bereavement Leave</td>
<td>Center to home and return</td>
<td>Death of immediate family must be verified and meet bereavement leave requirements</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Winter Break</td>
<td>Center to home and return, or to destination other than home, if authorized by parent/guardian for minor students</td>
<td>Costs for travel to destination other than home shall not exceed cost to home destination</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Administrative Leave With Pay</td>
<td>Center to home and return</td>
<td>Leave must be verified and meet administrative leave requirements</td>
<td>Yes</td>
<td>Depending on the circumstances³</td>
</tr>
<tr>
<td>Paid Time Off</td>
<td></td>
<td>If combined with winter break, any costs for travel beyond the government paid travel from the center to home or an alternative destination and back to the center must be charged to the student.</td>
<td>No</td>
<td>N/A³</td>
</tr>
<tr>
<td>Regional Office Management Leave</td>
<td>Center to home and return</td>
<td>At Regional Office’s discretion; may be arranged and purchased by center and charged to student when there is a reasonable expectation that costs can be recovered during the student’s enrollment</td>
<td>No</td>
<td>N/A</td>
</tr>
<tr>
<td>National Office Management Leave</td>
<td>Center to home and return, contingent on National Director’s directive</td>
<td></td>
<td>Depending on the circumstances. Will be determined on a case-by-case basis.</td>
<td>Depending on the circumstances. Will be determined on a case-by-case basis.</td>
</tr>
<tr>
<td>Personal Leave for Childcare without Pay</td>
<td>Center to home and return</td>
<td></td>
<td>No</td>
<td>N/A</td>
</tr>
</tbody>
</table>

---

² Not taxable for absences such as center closure for emergency conditions as that is for the convenience of the government.
³ Taxable column is N/A in multiple travel categories since there is no government paid travel to tax.
<table>
<thead>
<tr>
<th>Purpose of Travel</th>
<th>Authorized Destination</th>
<th>Limitations</th>
<th>Government Paid Travel?</th>
<th>Taxable?</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Present for Duty Off Center</strong></td>
<td>Center to P-DOF site</td>
<td>No more than two times during enrollment unless waived by the Regional Office</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td><strong>Fact-Finding Board Leave</strong></td>
<td>Center to home and return based on Fact- Finding Board (FFB) outcome</td>
<td>Return to center will be based on FFB outcome</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td><strong>Relocation (Center or National Training Contractor [NTC] Initiated)</strong></td>
<td>1. Center or NTC program to place of employment 2. Center to educational institution or training agency that has accepted student for admission 3. Center to site of interview with apprenticeship sponsor</td>
<td>1. With firm job commitment 2. Student has been accepted for admission 3. Student meets standards of sponsor and has reasonable assurance of acceptance into program (Payment for travel to other than home location is not allowable for students with less than 90 days in Job Corps)</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>Relocation (Student Requested)</strong></td>
<td>Center to community other than student’s home of record</td>
<td>Center has reasonable assurance that a bona fide job offer exists and that employer will hire student subject to interview</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>Unauthorized Absence</strong></td>
<td>Return to center</td>
<td>At student request, may be arranged and purchased by center and charged to student</td>
<td>No</td>
<td>N/A</td>
</tr>
<tr>
<td><strong>Student Death (shipment of remains)</strong></td>
<td>Center to place of enrollment or other point in the U.S. or possessions as agreed to by Center Director and next-of-kin</td>
<td></td>
<td>Yes</td>
<td>No</td>
</tr>
</tbody>
</table>
## Exhibit 6-4

**Minimum Requirements for Replacing Vehicles Leased from the General Services Administration Prior to the End of the Lease**

<table>
<thead>
<tr>
<th>Vehicle Category</th>
<th>Fuel Type</th>
<th>Years and Miles</th>
</tr>
</thead>
<tbody>
<tr>
<td>Passenger Vehicles</td>
<td>Any</td>
<td>3 years and 36,000 miles or 4 years and any miles or any number of years and 60,000 miles</td>
</tr>
<tr>
<td>Light Trucks 4x2, 4x4</td>
<td>Non-diesel</td>
<td>7 years or 60,000 miles</td>
</tr>
<tr>
<td></td>
<td>Diesel</td>
<td>8 years or 150,000 miles</td>
</tr>
<tr>
<td>Medium Trucks 4x2, 4x4</td>
<td>Non-diesel</td>
<td>10 years or 100,000 miles</td>
</tr>
<tr>
<td></td>
<td>Diesel</td>
<td>10 years or 150,000 miles</td>
</tr>
<tr>
<td>Heavy Trucks 4x2, 4x4, 6x4, 6x6</td>
<td>Non-diesel</td>
<td>12 years or 100,000 miles</td>
</tr>
<tr>
<td></td>
<td>Diesel</td>
<td>12 years or 250,000 miles</td>
</tr>
<tr>
<td>Ambulances</td>
<td>Non-Diesel</td>
<td>7 years or 70,000 miles</td>
</tr>
<tr>
<td></td>
<td>Diesel</td>
<td>7 years or 100,000 miles</td>
</tr>
<tr>
<td>Conventional Buses</td>
<td>Any</td>
<td>8 years or 150,000 miles</td>
</tr>
<tr>
<td>Forward Control Buses</td>
<td>Any</td>
<td>10 years or 250,000 miles</td>
</tr>
<tr>
<td>Transit Buses</td>
<td>Any</td>
<td>12 years or 500,000 miles</td>
</tr>
<tr>
<td>Intercity Coach</td>
<td>Any</td>
<td>15 years or 1,000,000 miles</td>
</tr>
</tbody>
</table>
APPENDIX 601
STUDENT RIGHTS TO PRIVACY AND DISCLOSURE OF INFORMATION

Each applicant or student is entitled to privacy in accordance with federal, state, and local law, and in accordance with the following policies.

1. Privacy of Person and Possessions

The Job Corps center director must ensure that each student’s area, including any storage for belongings, remains private. Neither students nor their belongings may be searched or examined except under circumstances described in Chapter 5, Section 5.3, Safety and Security. If evidence that may be used in a criminal prosecution is discovered, the student involved is to be advised of his or her right to remain silent and to an attorney.

2. Confidential Personal Information

a. Information collected as part of the admissions process must be protected in accordance with the terms of the Privacy Act. Each applicant shall be informed of the following and acknowledge by signing the Job Corps Consent Record:
   (1) The statutory authority for the request
   (2) Why the information is needed
   (3) Whether it is voluntary or mandatory to give the information
   (4) The effects of not providing information
   (5) The uses which may be made of the information

b. Personal information contained in center records, as well as verbal and written communication between students and staff, must be held in confidence.

c. Student information may be released to other staff on a need-to-know basis when it is judged to be in the interest of the student, but the student must be informed of the possibility.

d. Staff must advise students in advance about the types of information that must be shared with the center director or other center personnel when it affects the welfare of the center as whole or requires action to protect the welfare of an individual enrollee.

e. Confidential student information may not be copied or kept by anyone except as provided by the Disclosure of Information (Section 5 below) procedures.

f. Department of Labor representatives may review counseling, health, and other confidential records for administrative purposes.

g. Job Corps students or staff shall not participate in, nor be the subject of, any study or research project unless it has been approved through the Regional Office and by the National Director of Job Corps. Such participation, if approved, must only be on a
voluntary basis, and the researcher must guarantee to protect the anonymity of all staff and students involved in any presentation of the results.

3. **Access to Student Records**

   a. Students, and parents or guardians of minor students, shall be granted access to their records upon request. Access to medical records can be denied in accordance with the Notice (Form 2-01) and Authorization (Form 6-02).

   b. If a student or parent of a minor student objects to any information in the record, he or she may request a meeting with the center director to request that such information be removed or modified. If the request is denied, the record must be documented with the reasons. The student and or parent/guardian may also include a written objection to the content. All such statements shall become part of the student’s personnel record.

   c. If a student or parent of a minor student objects to any information in the medical record, he or she has the right to amend their protected information. Amendments will be made in accordance with the Notice and Authorization.

   d. When the center has custody of the record, designated center staff shall respond to requests from former students or third parties, for information concerning their enrollments, only upon receipt of a written signed release of information, and in accordance with the provisions of this appendix, the Notice, and the Authorization.

4. **Use of Student Photos or Moving Images**

   a. Outreach and Admissions/Career Transition Services (OA/CTS) contractors and centers shall ensure that photos or moving images of Job Corps students are taken, used on printed materials, or posted on the Internet only when the student, or legal guardian in the case of minors, has:

      (1) Been informed about the possible uses of such pictures for promotional purposes, for an unlimited time; and

      (2) Has signed a Right to Use Photographic Likeness or Moving Images release (as shown in Form 5-08 for adult students, and Form 5-09 for minors).

   b. In all cases where a photographer, newspaper, or other entity has been hired to take pictures, the contract for services shall either:

      (1) Include language that states “All Copyright and/or Intellectual Property Rights are the property of the Department of Labor”; or

      (2) A “Notice of Copyright” must be signed by the photographer before the photograph may be used (as shown in the Job Corps Forms Handbook).
5. Disclosure of Information

All requests for personal information about students must be treated as requests under the Freedom of Information Act and the Privacy Act of 1974, and handled pursuant to 29 CFR Parts 70 and 70a and 45 CFR Parts 160 and 164.

a. No student records of information of any kind about a student may be released to outside agencies or persons without the student’s signed consent, or parent/guardian consent for minor students, with the following exceptions:

(1) Upon written request, parents or guardians of minors and probation or parole officers may be given information regarding a student’s general medical condition and/or achievement in the program.

(2) To avert a serious threat to health or safety, protected health information can be released. Medical information may be provided to local health authorities in the case of student death to assist with their investigation.

(3) A student’s name, address, age, former residence, dates of entry and/or separation, forwarding address and other possibilities for locating a student or his or her family may be released to state or federal law enforcement agencies or other government investigators.

(4) CTS providers shall be provided a summary of each student’s academic and vocational achievement and other such information necessary to assist in the placement of a student. Placement agencies must obtain a written release of information from each student prior to sharing information with prospective employers, schools, and training institutions.

(5) Where a job opportunity with a prospective employer is covered by a federal government security clearance requirement necessitating that the employer receives information on the student’s arrests, convictions, and/or probation or parole status, the placement agency shall release such confidential information only after obtaining a signed consent from the student or parent/guardian.

(6) All subpoenas to produce student records or to testify regarding a student record shall be forwarded to the Regional Office for consultation with the Regional Solicitor.
APPENDIX 602
CIVIL RIGHTS AND NONDISCRIMINATION

Job Corps shall provide equal opportunity for all employees and students without regard to race, religion, color, national origin, gender, disability, or political affiliation or belief. Sexual harassment is prohibited. Discrimination is prohibited in all contracts, grants, and programs funded by the Department of Labor.

Responsibilities:

1. Students must be treated equally with no segregation or discrimination of any kind with respect to training, working assignments, eating arrangements, or any other center activities. Segregated housing on the basis of gender is allowed as outlined in 45 CFR 86.32.

2. Staff shall not be discriminated against in employment practices on the basis of race, color, national origin, gender, age, disability, religion, or political affiliation or belief.

3. The Directorate of Civil Rights (DCR) for the Department of Labor (DOL) shall be responsible for enforcing equal opportunity in all DOL-funded programs, including the provision of Job Corps services. DCR shall conduct periodic reviews of contract centers to ensure compliance with civil rights laws and regulations.

4. The DOL Office of Federal Contract Compliance (OFCCP) shall be responsible for ensuring contractor compliance with the mandates of Executive Order 11246, and enforcing nondiscrimination and equal opportunity for Job Corps contractor staff.

5. For agency-operated Civilian Conservation Centers, the Equal Opportunity Officers of the agency shall be responsible for enforcement of equal opportunity and nondiscrimination for staff only.

CENTER EQUAL OPPORTUNITY PROGRAMS

Each center shall ensure the protection of the civil rights of staff and students, and shall promote an atmosphere free from discrimination and harassment.

Each center shall:

1. Appoint in writing a center equal opportunity (EO) officer, who shall:
   a. Advise the Center Director on all civil rights matters.
   b. Monitor the operation of complaint/grievance procedures.
   c. Maintain logs and records of complaints/grievances.
d. Inform complainants of the disposition of complaints and appeal rights.

e. Assist students in completion of DCR Complaint Information Forms.

f. Coordinate the center EO committee.

2. Establish a three-to-five-member EO committee to conduct impartial hearings on civil rights issues or disability complaints.

3. Advise students and staff on how to conduct themselves if they feel they are subjected to acts of discrimination, hostility, or unfair treatment within the community. Assist in resolving the matter as follows:

   a. Center Directors may use remedies and conciliation services available under state law. The Regional Office shall be notified in this event.

   b. Establishments that appear to discriminate may be placed “off limits” if an administrative or legal proceeding is in progress.

   c. Inform all staff members and students that steps to resolve differences with the community or community group are in process, or will be resolved by a specific date.

AFFIRMATIVE ACTION PLANS

Each center shall submit an Affirmative Action Plan to the Regional Office within 90 days of contract award, and update it annually on the contract anniversary date. The plan shall include methods to:

1. Communicate center policies and programs to foster full understanding, acceptance, and support within the community and among students and staff.

2. Foster participation in community activities that work toward improved and equal opportunities for minority groups.

3. Encourage staff racial and gender balances that reflect that of the student population.

4. Obtain, develop, and utilize the skills of minority group and female staff to the maximum extent possible.

5. Ensure assimilation of minority group members and females throughout various center organizational and responsibility levels.

6. Identify and analyze civil rights problem areas.
7. Correct and follow up on problem situations and, if necessary, ensure that appropriate steps are taken to discourage recurrences.

8. Provide prompt, fair, and impartial consideration of discrimination complaints.

**COMPLAINT PROCEDURES**

**Equal Opportunity Complaints**

1. All EO complaints alleging discrimination or sexual harassment must be filed not later than 180 days of the alleged discriminatory or adverse action.

2. The center’s EO officer must provide assistance to complainants, either students or staff, in the preparation of formal complaint statements.

3. Each formal complaint statement shall contain the following:
   
   a. Name, home address, telephone number, work address, work unit, and work title of the complainant
   
   b. Basis for the allegation, i.e., race, gender, national origin, etc.
   
   c. Nature of the complaint, i.e., detailed description of the circumstances
   
   d. Any supporting facts, documentation, or witnesses

4. Complainants shall not be subjected to any adverse treatment, retaliation, punishment, or other administrative action based upon their submission of such complaints.

5. Efforts should be made to resolve EO complaints informally and at the lowest level possible.

**Disability/Discrimination Complaints**

1. All complaints filed under Section 504, Non-Discrimination Under Federal Grants and Programs, of the 1973 Rehabilitation Act as amended in 1992, must be filed not later than 180 days from the date of the alleged discrimination.
2. The following three steps shall be used in handling such complaints:

<table>
<thead>
<tr>
<th>Step</th>
<th>Action</th>
<th>Time Frame</th>
</tr>
</thead>
<tbody>
<tr>
<td>Step One</td>
<td>Student’s Counselor or staff’s immediate supervisor attempts to resolve issues. If unresolved, refer to Step Two.</td>
<td>five working days</td>
</tr>
<tr>
<td>Step Two</td>
<td>Center EO Officer attempts to resolve issues. If unresolved, refer to Step Three.</td>
<td>10 working days</td>
</tr>
<tr>
<td>Step Three</td>
<td>Impartial hearing before center EO Committee</td>
<td>Held within 30 days of filing of complaint</td>
</tr>
<tr>
<td></td>
<td>Committee issues recommendation to Center Director</td>
<td>Within 50 days of filing of complaint</td>
</tr>
<tr>
<td></td>
<td>Center Director issues final written decision</td>
<td>Within 60 days of filing of complaint</td>
</tr>
<tr>
<td></td>
<td>Complainant may appeal decision to the Directorate of Civil Rights</td>
<td>Within 30 days of the decision</td>
</tr>
</tbody>
</table>

3. All complaints shall be completely documented by the center. Documentation shall include:
   a. Names of persons involved
   b. Nature of the complaint
   c. Actions taken

4. When complaints are successfully resolved or final decisions are rendered, a complete report of each such case shall be forwarded to the Regional Director.

5. Complainants wishing to appeal decisions to the DCR shall be given the address and telephone number of that office by the Center Director or EO Officer.

6. Documentation shall be kept confidential and shared only with those DOL officials who may need to review the information in the conduct of their official duties.

7. Documentation shall be retained in the center’s active files for three calendar years, unless otherwise directed.
### FORMAL COMPLAINT/GRIEVANCES WHERE TO FILE

<table>
<thead>
<tr>
<th>Complainant</th>
<th>Type of Complaint/Grievance</th>
<th>Cognizant Agency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Student</td>
<td>Privacy Rule</td>
<td>Office of Civil Rights (OCR) Secretary of Health and Human Services</td>
</tr>
<tr>
<td></td>
<td>Discrimination: Race, color, national origin, religion, gender, age, citizenship, or political affiliation or belief</td>
<td>ATTN: Office for Civil Rights Hubert H. Humphrey Building 200 Independence Avenue, SW Washington, DC 20201</td>
</tr>
<tr>
<td></td>
<td>Disability</td>
<td>Directorate of Civil Rights</td>
</tr>
<tr>
<td>Staff</td>
<td>Contractor Staff</td>
<td>Disability</td>
</tr>
<tr>
<td></td>
<td>Discrimination: Race, color, national origin, gender, or religion</td>
<td>Directorate of Civil Rights or Office of Federal Contract Compliance or Equal Opportunity Commission</td>
</tr>
<tr>
<td></td>
<td>Discrimination: Age or political affiliation</td>
<td>Directorate Of Civil Rights</td>
</tr>
<tr>
<td></td>
<td>Disability</td>
<td>Individual Center (in accordance with center procedures) or Office Of Federal Contract Compliance</td>
</tr>
<tr>
<td>Agency</td>
<td>Staff</td>
<td>Discrimination: Race, color, national origin, gender, age, religion, or disability status</td>
</tr>
</tbody>
</table>

### Tort Claims

1. Job Corps students are federal employees for purposes of the Federal Tort Claims Act.

2. Center operations funds are not to be used for award of tort claims.

3. Centers shall assist students in filing claims with the Regional Office for damage, loss, or destruction of personal property, when the property is under the control and custody of the center, using the following procedures:

   a. Only the owner of the property, injured person, or duly authorized agent or legal representative may submit claims. (If filed by agent or legal representative, claim must show the title or capacity of the person presenting it and evidence of his/her authority to file the claim.)

   b. Claims must be submitted using SF 95. All claims submitted shall include the following:

      (1) Appropriate receipts, estimates, or other documentation supporting the amount claimed.
(2) A report of investigation including a statement showing exactly which duties, if any, the student was performing at the time of the incident.

(3) An attachment containing the student’s financial institution account number and bank routing number or a request for waiver of payment via electronic funds transfer. (Note: If for whatever reason Job Corps is unable to secure financial information or a waiver, the student must be paid by check.)

The following is a suggested format for collecting financial institution information and language for the waiver request.

ABA Routing No. _ _ _ _ _ _ _ _ _ (9 characters)
Acct. No. _ _ _ _ _ _ _ _ _

“I request a waiver from the requirement to receive payment by electronic funds transfer (EFT) for the following reason(s).” Check appropriate line(s):

☐ I have a physical disability that precludes my use of EFT.
☐ There is a geographic barrier to my use of EFT.
☐ Use of EFT would impose a financial hardship.

Signature of Claimant: __________________________________________

c. Claims shall be submitted to the Center Director, who will forward them along with a recommendation to the Regional Office of Job Corps.

4. Job Corps may pay claims to students for lost, damaged, or stolen property up to a maximum of $300 when such loss is not due to the negligence of the student. The student shall always be compensated for losses when they occur as a result of a natural disaster or when the student’s property is in the protective custody of the Job Corps, which shall always be the case when the student is in an Unauthorized Absence (UA) status.

5. The Regional Office shall promptly notify the student and the center of its determination on each case.
A maximum benefit separation recommendation means that a formal determination has been reached through the student performance evaluation process that the student has achieved as much benefit from the Job Corps program as his or her abilities will allow. This type of separation should occur rarely and only be used for those students who have stagnated in their progression despite being appropriately supported.

The request for a maximum benefit separation must be submitted to the Regional Office for review and must be accompanied by supporting documentation. The center must demonstrate the following:

1. What specifically are the areas in which the student is not progressing (e.g., TABE, class work, career technical skills requirements, etc.)?

2. What efforts have been made to assist the student in progressing within academics and/or career technical training (e.g., strategies, etc.)?

Next, the center must complete its responses to the checklist on Page 2 (Attachment A), complete the summary statement on Page 4 (Attachment B), and attach all relevant, supporting documentation, as indicated.
**ATTACHMENT A**

**Recommendation for Maximum Benefit Termination Considerations Checklist**

*(Please complete the checklist below and attach the center’s supporting documentation to it.)*

<table>
<thead>
<tr>
<th>Considerations</th>
<th>Discussion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Has the student’s progress stagnated in the Job Corps program?</td>
<td></td>
</tr>
<tr>
<td>If this is a student with a disability:</td>
<td></td>
</tr>
<tr>
<td>• Has the center’s disability coordinator been included in the review of student data?</td>
<td></td>
</tr>
<tr>
<td>• Is the student receiving accommodations? If the student is not receiving accommodations, why not (e.g., student turned down offered accommodations, Reasonable Accommodations Committee (RAC) not held, etc.)?</td>
<td></td>
</tr>
<tr>
<td>• Has the RAC conducted and documented meetings every 45-60 days to discuss the student’s progress and/or the need for possible alternate or additional accommodations?</td>
<td></td>
</tr>
<tr>
<td>• If the existing accommodations were not successful, were any changes to the student’s accommodations or needs modified based upon recommendation of the RAC?</td>
<td></td>
</tr>
<tr>
<td><em>(If further review is needed, visit or call the Job Accommodation Network (JAN) and/or your regional disability consultant.)</em></td>
<td></td>
</tr>
<tr>
<td>• If modifications to the accommodation plan were made, did they help the student progress?</td>
<td></td>
</tr>
<tr>
<td>• Is this a student with a cognitive disability who has a TABE waiver?</td>
<td></td>
</tr>
<tr>
<td>Is this a student suspected of having a disability?</td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>No</td>
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</tr>
</tbody>
</table>

* The Job Accommodation Network (JAN) is a service provided by the U.S. Department of Labor’s Office of Disability Employment Policy (ODEP). JAN represents the most comprehensive resource for job accommodations available. Visit the JAN site at [http://www.jan.wvu.edu/](http://www.jan.wvu.edu/).
# ATTACHMENT B

## Recommendation for Maximum Benefit Separation Summary Statement

Please summarize why the center is requesting a maximum benefits separation for this student. Describe the efforts used to assist this student to progress (e.g., strategies used, supports provided, etc.).

<table>
<thead>
<tr>
<th>Student Name:</th>
<th>Student ID:</th>
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</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>
FORM 6-02
AUTHORIZATION FOR USE AND DISCLOSURE OF YOUR HEALTH INFORMATION

As Required by the Health Insurance Portability and Accountability Act of 1996

We, the ___________________ Health Center, are prohibited by federal law from using or disclosing your personal health information (except for the uses and disclosures listed in a Notice you have received or will receive), unless you authorize us to share this information with others. This Authorization lists the uses and disclosures of your health information that may be required during your participation in the Job Corps program. Your signature on this document authorizes us to use and disclose your health information in the situations described in this document.

Job Corps requires applicants to sign this Authorization as a condition of enrollment in the Job Corps program. You have the right to revoke this Authorization by notifying us in writing, except if we have relied on the Authorization. You may submit a written revocation of this Authorization to ____________________________. We will provide you with health services regardless of whether you revoke this Authorization or any part of it, as long as you are a Job Corps student. However, revoking this Authorization may result in dismissal from Job Corps. If you are dismissed from Job Corps, we will no longer provide you with health center services.

Please note that health information that we share with others under this Authorization may, in certain circumstances, be further disclosed, and may no longer be protected by applicable health privacy standards. This Authorization will be effective from the date of your signature and will remain in effect, unless revoked, until 3 years after you have separated from Job Corps, in accordance with the Job Corps document retention policy.

By signing this document, you authorize us to share your personal health information with others in a number of circumstances. These circumstances are listed below. In each circumstance, we will share only the minimum amount of information needed to accomplish the purposes described. We will share information only with people who need to know this information. Nothing in this Authorization allows anyone to share your entire medical file with anyone else, unless that is the minimum amount of information necessary to accomplish the purposes described. Also, nothing in this Authorization allows anyone to share information about you if it is not lawful to share that information.

The law requires us to identify the person, or class of persons, who are authorized to use or disclose protected health information with someone else. In each circumstance in which we share information, a health care provider or health center staff member, including the head of the health center, will convey the information. These people may be doctors, nurses, dentists, mental health professionals, or other health care providers; health center receptionists, record keepers, or other administrative staff; or health center supervisors or managers.

The following is a list of ways information may be used or disclosed:

1. We may share with the Center Director information about your physical and mental health, including any diagnosis and any recommended accommodations or modifications. This information may be shared only if it has an effect on the operation of the center or any of
its staff, or any other Job Corps student, and only if the Center Director would need to know the information for purposes of managing such an effect appropriately. The types of information may include information about the following conditions, among others: contagious diseases, including sexually transmitted diseases; positive illegal drug or alcohol screens; pregnancy; suicidal or homicidal thoughts or other life-threatening situations; and disability. The Center Director, as the supervisor of all other center personnel, also may be informed of any information that we share with other center staff persons, to ensure appropriate use of the information, as described in this Authorization.

2. **We may share with academic, career technical, and career counseling staff information about certain health conditions.** We may share information about a present health condition that may be aggravated by the activities being supervised or conducted by academic and career technical staff persons or that could cause harm to yourself or another student, for the purpose of avoiding such health aggravation or harm. In addition, if you make a request for an accommodation or a modification in your academic or career technical training, we may share that request and the minimum health information necessary to support that request with members of the academic and career technical staff for the purpose of fulfilling your request. To the extent that present health restrictions in the academic or career technical training programs or your requests for accommodation or modification could affect your overall career technical or academic plan or goals, or could discourage you from pursuing your existing plans or goals, we may share this limited health information with members of the career counseling staff for the purpose of encouraging you to select, remain in, or return to programs you are able to complete. Career counseling staff, however, will not use this limited health information to “steer” students into stereotypical programs based on their health conditions.

3. **We may share with career transition staff information about certain health conditions, as described below.** This information may be shared when you are absent from or on leave from Job Corps or have been separated from Job Corps for purposes of assisting you in meeting your own health needs away from the Job Corps center, and ultimately assisting you in obtaining career opportunities outside of the Job Corps program. Information we may share includes the following: mental health information (excluding psychotherapy notes), including information about medications that may alter mental functioning; information about pregnancies, diseases (including HIV), medication management, and illegal drug use or alcohol abuse (including drug test results); information about accommodations or modifications you have requested, whether for a disability or for any other health condition; oral health information, including treatment plan and appointments; and any health information that may be responsible for a leave of absence from Job Corps or your separation from Job Corps. We may share this information for the purpose of helping you identify community health, housing, child care, support groups, affinity job clubs, social organizations, or other community resources that may assist you in staying healthy and obtaining and keeping employment. In addition, this information may be shared for the purpose of following up with you regarding your independent living needs as well as to encourage you to return to Job Corps, if possible.

4. **We may share with residential living staff (including counselors), Trainee Employee Assistance Program (TEAP) specialists, and mental health staff (including mental health consultants) information about certain health conditions, as described below.** This information may be shared for purposes of assisting you in meeting your own health needs while on center. Information we may share includes the following: mental health information (excluding psychotherapy notes), including information about medications that may alter mental
functioning; information about pregnancies, diseases (including HIV), medication management, and illegal drug/alcohol use (including drug test results); information about accommodations or modifications you request, whether for a disability or for any other health condition; and oral health information, including treatment plan and appointments. We may share each piece of information only with particular staff members that need to know this information to assist you or to avoid an emergency.

5. **We may share with food service staff information about your dietary needs, including information about allergies, weight management, diabetes management, and other diet needs or recommendations.** This information may be shared for purposes of avoiding medical emergencies and ensuring you are provided with appropriate food and nutrition. We will share this information only if you have a specific dietary need arising from or related to a health condition.

6. **We may share with residential living staff information about medications, allergies, medical (including mental) conditions that may warrant emergency or other immediate care, accommodations or modifications requested, or infectious/contagious diseases.** We may share this information for the following purposes: assisting you with your medication schedule or other health needs; protecting other students from infection or contagion; providing you with an appropriate environment for allergy control, including, if necessary, appropriate personal products; and ensuring that you receive requested accommodations or modifications in your living quarters for any disability. In addition, if you have a condition for which medication is prescribed for you, and you do not take that medication after you have been instructed to do so, and your uncontrolled condition may result in an unwarranted risk to yourself or others, we may share information about your condition and your failure to take your medication with disciplinary staff, including the center standards officer.

7. **We may share with safety and security staff, including federal safety officers, information about illegal drug use or alcohol abuse (underage alcohol use or disruptive or other inappropriate consumption by legal drinkers), including positive drug or alcohol test results, information about any injury or illness you incur in the performance of your duties at Job Corps, and information about medical or mental health conditions only if such conditions may assist in explaining harmful or unusual behavior you display.** We may share this information for the purpose of preventing further access by you or other students to illegal drugs, correcting or preventing environmental or other hazardous conditions that may cause injury or illness to you or other students, and managing harmful or unusual behavior (that may pose a threat to you or others) appropriately for your individual circumstance. In addition, we may share information about your allergies to foods, drugs, insect venom, or other substances for the purpose of appropriately managing emergency situations that may arise due to an allergic reaction, as well as attempting to prevent such situations.

8. **We may share with recreational staff information about allergies, asthma, or other health conditions, to the extent that those conditions may contribute to a medical emergency while participating in certain recreational activities.** In addition, we may share information about the results of any sports physical or other examination you may have been required to have in order to participate in certain recreational activities. We may share this information for purposes of helping to ensure your safety while participating in sports or other recreational activities, and to help ensure that activities you are asked or required to do are not dangerous for you.
9. We may share with a Trainee Employee Assistance Program (TEAP) specialist information about illegal drug use or alcohol abuse (underage alcohol use or disruptive or other inappropriate consumption by legal drinkers), including positive drug and alcohol test results, and information about medications you may be taking. We may share this information for purposes of assisting you in appropriate medication management and avoiding unhealthy drug dependencies.

10. We may share with student records and data management staff information about a health condition that causes you to be absent from or take leave from Job Corps, or that results in your medical separation from Job Corps. Medical information documenting the reasons for absences may be shared for purposes of accounting for your health-related absence from Job Corps, as well as to assist center staff in evaluating your possible re-enrollment in Job Corps after a medical separation. Only the information necessary to accomplish these purposes may be shared.

11. We may transfer your medical records to the student records staff for the purpose of meeting Privacy Rule document retention requirements and for providing storage of your records until they are forwarded to the Department of Labor under Job Corps’ records retention requirements.

12. We may share information about illegal use of drugs and alcohol abuse (underage alcohol use or disruptive or other inappropriate consumption by legal drinkers), including the results of any drug test. (Job Corps has a Zero Tolerance policy for illegal drug use and alcohol abuse. This means you may be expelled from Job Corps for illegal use of drugs or for alcohol abuse.) This information may be shared with a wide variety of people, including other medical testing facilities, the center standards officer and other disciplinary staff (including members of the Review Board who review proposed disciplinary action), law enforcement officers, probation officers, center safety and security staff, the center group life manager, members of the academic and career technical staff, members of the student records and data management staff, center and off-center mental health, rehabilitation, or support group personnel, and employees of the U.S. Department of Labor and their contractors. We may share this information with any of the above individuals, for any of the following purposes: verifying that the results of a drug/alcohol test are accurate; enforcing the Zero Tolerance policy by determining whether you have used illegal drugs or abused alcohol and, if so, determining the appropriate consequence (including appeals of that consequence); referring you to center or off-center mental health professionals, counselors, and/or addiction support groups; preventing further access by you or other students to illegal drugs or alcohol; assisting in compliance with local, state, or federal law; assisting you in managing your social life, education, and career without using illegal drugs or abusing alcohol; identifying illegal drug use or alcohol abuse trends among Job Corps students; and documenting illegal drug use and alcohol abuse in your student records to account for resulting consequences, as well as for purposes of determining your eligibility for re-enrollment in Job Corps.

13. We may share information with others if you request us to do so. We will ask you for a separate Authorization in that case.

14. We may share limited amounts of health information about you with Job Corps center or Department of Labor personnel, or their contractors, for the purposes of resolving internal
grievances or disputes, to the extent that the health information is a subject of the dispute.

15. **Nothing in this Authorization authorizes us to share psychotherapy notes about you, except as allowed by federal law.** Psychotherapy notes are notes made by a health care professional about the contents of a private counseling session or a group, joint, or family counseling session that are kept separate from your medical record. These notes do NOT include information about your medications, counseling session start and stop times, type and frequency of any treatment, clinical test results, and any summary of the following: diagnosis, ability to function, treatment plan, symptoms, prognosis (outlook), and your progress. This information may be shared as provided in the Notice and this Authorization. If we believe that we should share psychotherapy notes for a purpose that requires your authorization, we will ask you to sign an authorization for that particular circumstance. Refusal to give us an authorization to share psychotherapy notes about you will not affect your eligibility to continue in Job Corps.

16. **Nothing in this Authorization authorizes us to share your health information for other purposes.** For instance, this Authorization does not permit us to share your health information for purposes of determining your selection for Job Corps, your enrollment at any particular Job Corps center, your career choices (unless you require reasonable accommodations to perform the essential functions of a job), or any other purpose not set forth in this Authorization. However, other law or policies may govern these purposes. Again, we will share only the minimum amount of information necessary to accomplish the purposes described.

**Other Routine Uses**

In addition to the above uses and disclosures of your medical information (and the uses and disclosures listed in the Notice you have received or will receive), we may disclose any and all medical information about you under the following circumstances:

- We may share information with state and federal law enforcement agencies or other government investigators to assist them in locating you or your family.
- If you are a minor, we may share information with your parent(s) or guardian(s), if not prohibited by law.
- We may share information with social service agencies in cases of a student’s termination in order to provide services such as Medicaid.

**AUTHORIZATION**

I, ____________________________, have received a copy of this Authorization. I have read this Authorization and I understand that it explains circumstances in which I permit my health information to be used and shared with others. I authorize the uses and disclosures described in this Authorization.

______________________________  /
DATE                     SIGNATURE
AUTHORIZATION BY PARENT OR GUARDIAN (IF A MINOR)

I, ____________________________, am a parent or guardian of the individual named above. I have received a copy of this Authorization. I have read this Authorization and I understand that it explains circumstances in which I permit my child’s (or charge’s) health information to be used and shared with others. I authorize the uses and disclosures described in this Authorization.

/ 

DATE SIGNATURE
## FORM 6-03
**GSA VEHICLE LOG**

**Vehicle Tag Number**

**Instructions:** Each time a GSA vehicle is driven, the driver must log his/her use of the vehicle as shown in the example below. The information required includes the driver’s name, the date of use, the beginning odometer reading, the ending odometer reading, whether or not the vehicle has been fueled, the number of gallons of fuel, and the cost per gallon (rounded to the nearest cent). (The number of gallons of fuel and the cost per gallon can be found on the gas receipt.) Multiply the number of gallons of fuel by the cost per gallon for the total cost of fuel for that date. At the end of each month, the number of gallons of fuel and the total cost of fuel should be totaled for each vehicle by the fleet manager and entered into the Fleet Tracking Management System (FTMS).

<table>
<thead>
<tr>
<th>Driver’s Name</th>
<th>Date</th>
<th>Beginning Odometer Reading</th>
<th>Ending Odometer Reading</th>
<th>Fueled</th>
<th>Number of Gallons of Fuel Purchased</th>
<th>Cost Per Gallon</th>
<th>Total Cost of Fuel</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jane Doe</td>
<td>10/05/2014</td>
<td>2,563</td>
<td>3,964</td>
<td>No</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>John Doe</td>
<td>10/07/2015</td>
<td>3,964</td>
<td>4,010</td>
<td>Yes</td>
<td>14</td>
<td>$2.00</td>
<td>$28.00</td>
</tr>
</tbody>
</table>
**FORM 6-04**

**GSA VEHICLE MAINTENANCE LOG**

**Instructions:** Please use this form to track GSA vehicle maintenance. Each time maintenance is performed on a GSA vehicle, please enter the vehicle tag number, the date of the maintenance, the type of the maintenance (e.g., coolant flushing, oil change, new tires, etc.), and the cost of the maintenance. Please update each vehicle’s maintenance cost monthly in the Fleet Tracking Management System (FTMS).

<table>
<thead>
<tr>
<th>Vehicle Tag Number</th>
<th>Maintenance Date</th>
<th>Maintenance Type</th>
<th>Cost of Maintenance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example: G12-23454</td>
<td>10/10/2005</td>
<td>Oil Change</td>
<td>$40.00</td>
</tr>
<tr>
<td></td>
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</tbody>
</table>
**FORM 6-05**

**REQUEST TO LEASE A GSA VEHICLE**

1. Center Name: ____________________________
2. Point of Contact (POC) Name: ____________________________
3. Date: ____________________________
4. POC E-mail Address: ____________________________
5. Local GSA Representative E-mail: ____________________________
6. Is this a Vehicle Replacement or New Vehicle? ____________________________
7. Tag Number of the Vehicle Replaced: ____________________________
8. Vehicle Make/Model: ____________________________
9. Model Year: ____________________________
10. Vehicle Type: ____________________________
11. Lease Cost: ____________________________
12. Period of Lease: ____________________________
13. Purpose of the Vehicle: ____________________________
14. Justification for all new vehicles or non-AFVs (Submit a separate sheet if necessary):
   ____________________________
   ____________________________
   ____________________________

This space is designated for authorized parties only.

<table>
<thead>
<tr>
<th>Regional Office Use</th>
<th>National Office Use</th>
<th>OASAM Use*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date Received:</td>
<td>Date Received:</td>
<td>Date Received:</td>
</tr>
<tr>
<td>Reviewed by:</td>
<td>Reviewed by:</td>
<td>Reviewed by:</td>
</tr>
<tr>
<td>☐ Supported ☐ Denied</td>
<td>☐ Approved ☐ Denied</td>
<td>☐ Approved ☐ Denied</td>
</tr>
<tr>
<td>Comments:</td>
<td>Comments:</td>
<td>Comments:</td>
</tr>
</tbody>
</table>

* If requested, vehicle is a non-AFV.
Instructions for Requesting to Lease a GSA Vehicle

Please complete the “Request to Lease a GSA Vehicle” form to request permission to lease a vehicle from the General Services Administration (GSA).

Upon completion of this form, the form must be e-mailed to the center’s Project Manager. The Center Director must be copied on this e-mail.

1. Enter the name of the Job Corps center requesting the vehicle.
2. Enter the name of the point of contact (POC) for this request.
3. Enter the date of the request.
4. Enter the POC’s e-mail address.
5. Enter the local GSA representative’s e-mail address.
6. Indicate whether the request is for a vehicle replacement or a new vehicle.
7. Enter the vehicle tag number (if this is a replacement vehicle).
8. Enter the vehicle make/model (example: Ford Taurus).
9. Enter the model year.
10. Enter the vehicle type (examples: Sedan, SUV, Bus).
11. Enter the monthly lease cost.
12. Enter the period of the lease (example: May 1, 2005 – May 1, 2008).
13. Enter the purpose for the vehicle (examples: driver’s education, security).
14. Justify the need for a new vehicle or, in the instance of a non-AFV request, why an AFV will not suffice.

The POC, Center Director, Regional Director, and the local GSA representative will receive an e-mail from the Project Manager, stating the approval or denial of the request.

Please do not write below the dotted line. That area is for use by the authorizing parties only.
**FORM 6-06**  
**GSA ANNUAL FLEET REQUIREMENTS SPREADSHEET**

Center Name: __________________________________________

Local GSA¹ Representative’s E-mail Address: ____________________________

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Note: *If this is not an alternative fuel vehicle (AFV), submit a narrative as to why this vehicle is needed. All non-AFV’s will require National Office and Office of the Assistant Secretary for Administration and Management (OASAM) approval.*

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<th>Vehicle Type</th>
<th>Purpose</th>
<th>Replacement Tag (If Applicable)</th>
<th>Justification for a New Vehicle</th>
<th>Regional Office Support (Yes/No)</th>
<th>Regional Office Comments</th>
<th>National Office Approval (Yes/No)</th>
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<th>OASAM Approval (Yes/No)</th>
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¹General Services Administration (GSA)